Lamplighters

THE SULLIVAN & CROMWELL LAWYERS

APRIL 2, 1879 TO APRIL 2, 1979

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Foreword

In 1887, the year of Algernon Sydney Sullivan's death, seventy-seven leading citizens of the city and the nation constituted themselves a committee to compose a memorial to him. Their ultimate expression of regard emphasized, again and again, his "nobility and virtue", his "noble nature", his "noble character" — all in the sense in which nobility was understood in those days: a *mot juste* for absolute integrity, great generosity, and "a helpful love for men individually and in general, and of whatever rank or station". So, the purpose of the memorial being "that young people whose characters are being formed shall be reminded as often as possible" of his example, the quotation chosen to sum up the legacy was a couplet from *Yussouf*, James Russell Lowell's romantic parable,

> "As one lamp lights another, nor grows less,
> So nobleness enkindles nobleness."

It may be rather too much to claim that Mr. Sullivan's kind of nobility has been inherited by or preserved in all of us, or even in many of us. But it is permissible, nevertheless, to recognize that the law firm he founded with Cromwell has had its shining success through, simply, the aggregate candle power of the generations of lawyers who have been teaching each other in day-to-day practice and learning from one another. "The practice of law is essentially personal," said John Foster Dulles, "and no law firm can survive if it ignores that fact." And Arthur Dean once said of the firm, in another connection, "The whole tradition is one of friendship and cooperation, and agreement without niggling or delay."

Friendship and cooperation thrived on variety. The 739 lawyers who practiced as members and associates of Sullivan & Cromwell in the century 1879-1979 defied stereotyping. They brought to their teaching of one another diverse backgrounds of geographic origin, education and social and economic circumstances. They came from 47 states of the union, the Dakota Territory, the District of Columbia, and Argentina, Australia, China, England, Germany, Italy, Korea, the Netherlands, Netherlands Antilles, the Philippines, Puerto Rico, Scotland and South Africa. They brought undergraduate and graduate degrees from 151 colleges and universities and 57 law schools.

As with any learning and training establishment, the lesser number stayed on in the permanent faculty and got tenure; the greater number won elsewhere the ultimate rewards and satisfactions of their honed and tempered talents, realizing undoubtedly in many cases achievements more impressive than those who stayed behind. S&C alumni became partners in 73 other law firms in New York City and in 72 law firms elsewhere. They joined 136 business and financial enterprises (clients, in many cases, of the firm), including 11 investment banking firms. In those cases, entry into the organization was often through recruitment by its legal department, with subsequent advancement inside or outside that department. Thus, 32 S&C alumni/ae became chief legal officers of such organizations, and of the many others who moved "laterally" into top managerial and entrepreneurial positions, there were 10 Chairmen, 26 Presidents, and a number of investment banking general partners or officers.

S&C alumni also "ascended" (as lawyers say) the benches of The Supreme Court of the United States; the United States District Court in the Eastern and Southern Districts of New York; the Appellate Division, First Department, of the New York Supreme Court; the Commonwealth Court of Pennsylvania; the Superior Court of California; the California District Court of Appeals; and the Criminal Court of the City of New York. They became professors of law and law school deans at the Universities of Boston, California at Los Angeles, Catholic, Chicago, Columbia, Cornell, Duke, Harvard, Minnesota, New York, North Carolina, Northwestern, Rutgers, South Carolina, Stanford, Temple, Texas, Toledo, Virginia, Washington, Washington & Lee, and Yale. They went into government, serving as Senator, Ambassador, Secretary of State, Legal Advisor to State, Attorney General, Assistant Secretary of Defense, Undersecretary of the Air Force, Assistant Secretary of the Army, Assistant Attorney General in charge of Antitrust, Director of the Central Intelligence Agency. They served in numerous other responsible positions, stepladders back to private practice, in the Federal Departments of State, Treasury, Defense, Justice, Commerce and Housing and Urban Development, and in the Securities and Exchange Commission, Agency for International Development, Environmental Protection Agency and the Executive Office of the President. And this says nothing of numerous positions in state and local government, which have included service as Counsel to the Governors of New York and Nebraska.

It was, of course (and belatedly), the firm's centennial celebration which sparked interest in identifying all of the lawyers who had entered during the 100-year span and in trying to learn something about each of them. With the firm bearing the cost (but otherwise not to be blamed for any errors or omissions), the band of volunteers identified on the title page of this volume sent a questionnaire to all living alumni/ae and members of the office who had entered prior to April 2, 1979. The returns were great, almost complete, and many responded to the invitation to draft for themselves the paragraphs about their respective careers and experiences. Biographical notes on alumni/ae no longer living were researched and mainly written by the able parale-

gal researchers who assisted the compilers; they also prepared drafts from information furnished through the questionnaires by living subjects who did not draft their own short biographies.

It will be apparent that no rules or standards have been imposed as to what categories of information are included or omitted, or as to what shall appear in "fine print" versus full text. This has been allowed to depend on individual taste and preference, and has varied all over any imaginable spectrum, as also has writing style. In some special cases the author of the entry is identified; however, in absence of other explanation, quotation marks indicate that it is the subject of the biographical note who is being quoted.

Some who responded to the questionnaire chose to report on their careers very tersely. Many others made extended personal statements which the compilers found interesting and well worth sharing through this volume. Within bounds of reason and overall practicality, these are being published substantially as written. Needless to say, the careers of those happy young persons whose pasts are still in their futures are generally identified in much less space.

There is one order of friends and colleagues who are regrettably neglected in this book: our Visiting Foreign Lawyers. The practice began in 1949 of having from one to several foreign lawyers with us, as interns in the office, for a period of a year or so between their completion of postgraduate study in the United States and the resumption of their professional careers in the chambers and courts of their native lands. This custom has served as a means of identifying for the firm responsible correspondents to assist in caring for the interests of the firm's clients in many parts of the world. It has also resulted in the formation of warm personal friendships which have persisted through the years. Unfortunately, time, distance and logistical difficulties argued against the questionnaire process in their cases and, instead, there is included as an appendix a list of these friends, with their last known addresses, arranged chronologically according to their time of internship.

Perhaps the scantness of information about those international friends may be remedied if in some future year some other band of volunteers will undertake an up-dated revision or supplement. That may also be the occasion and means for curing other errors and omissions. The project has resulted in the assembly of a neat set of folders into which biographical information may be dropped as received, for interim consultation by anyone concerned as well as for possible revised publication. The help of all who peruse this volume is solicited in contributing additions and corrections.

W.P., Jr.

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Sexton, David F.	579
Sexton, Richard J.	362
Sharp, George C.	84
Sheeline, Paul C.	267
Sheils, J. Stephen	422
Sherwood, Lawrence E.	65
Shoop, Max	52
Simon, Barry P.	510
Sjogreen, Martha L.	658
Sloman, Marvin S.	286
Smiley, Dick Cutter	190
Smit, Hans	365
Smith, Arthur J. P.	226
Smith, James M.	516
Smyth, Madeline	136
Spadoro, George A., Jr.	605
Spencer, Lee B., Jr.	499
Sporn, Arthur D.	287
Sprague, Charles W.	651
Stadiem, William H.	613
Stapleton, Benjamin F., III	507
Stapleton, Michael T.	475
Stebbins, Edward C., Jr.	321
Stegemann, Clara Sue	587
Steiner, Henry J.	338
Steinheimer, Roy L., Jr.	192
Stephens, William T.	520
Sterba, M. John, Jr.	548
Stevens, Stoddard M.	64
Stevenson, John R.	288
Stevenson, Ward B., Jr.	433
Stewart, Charles P.	67
Steyer, Roy H.	199
Stimson, William P.	689
Stoll, Martin A.	415
Stolper, Max A.	303
Stone, Harlan F.	27
Stone, Lauson H.	112
Stone, William L.	8
Storrs, Richard S.	166
Stover, George H.	40
Strachan, Kristine	490
Strickler, Richard S.	660
Strong, Frederick L.	107
Strossen, Nadine	721
Sugarman, Robert G.	442
Suits, Frederick R.	230
Sullivan, Algernon S.	1
Sullivan, George H.	7
Sullivan, Charles W.	526
Sullivan, Michael M.	570
Sullivan, Peter H.	584
Sullivan, Ulric	540
Sutherland, Ashby McC.	277
Sydlaske, Janet M.	682
Sykes, Emery H.	35
Tait, Robin T.	344
Tannenbaum, Max K.	151
Taylor, C. Harold	145
Taylor, Willard B.	437
Tegethoff, David A.	494
Tehan, John B.	596
Terry, Frederick A., Jr.	357
Thacher, James S.	297
Thayer, Stuart W.	334
Thomas, James A., Jr.	281
Thomas, Kenneth A.	722
Thomas, Robert M., Jr.	495
Thompson, Jerome W.	101
Thoron, Gray	201
Tiernan, Bart T., III	517
Timbers, John W.	504
Tittman, Edward d'O.	19
Tompkins, Roger W.	421
Towne, L. Stanton	657
Tractenberg, Paul L.	419
Treiber, William F.	123
Tricomi, Francis A.	683
Troubh, Raymond S.	322
Tulchin, David B.	649
Tuttle, Miner W.	63

	Reference Number
Tweedy, Gordon B.	150
Tyndale, Hector H.	12
Tyrrell, James E., Jr.	652
Ullman, Leo S.	440
Underwood, William A.	206
Ungar, Barbara	723
Unger, Sydney E.	626
Urowsky, Richard J.	610
Vail, Donald	272
Van Wyck, Allen	83
Victor, Martin	184
Victor, Royall	36
Voelker, William F.	268
von Glahn, William G.	597
Waid, A. Clark, III	530
Walcott, J. Dexter	417
Waldman, Roger L.	438
Walker, Gordon T.	452
Walkovik, Donald C.	593
Walsh, Jerome K., Jr.	350
Warden, John L.	431
Wassall, Donald A.	639
Wasson, Houston H.	172
Watson, Stephen R.	524
Watts, Charles H.	243
Webster, Doris Heath	211
Weiner, Earl D.	483
Weir, Michael W.	447
Weitzer, Roy I.	500
Wellborn, J. Marshall, Jr.	425
Welshimer, Mark J.	664
West, Evelyn E.	146
West, Stephen K.	347
Wheeler, Annette S.	674
Whitbeck, John V.	590
Wiechmann, Walter G.	56
Williams, Lowell C.	576
Williams, William J., Jr.	400
Williamson, Edwin D.	427
Willis, William E.	294
Wilson, Charles E.	696
Wilson, H. Donald	270
Wingate, Henry S.	126
Winger, Maurice	212
Winger, Michael	583
Winokur, Samuel	119
Wise, H. Lake	676
Wiseman, Michael M.	724
Wolf, Janine A.	634
Wolfram, Steven L.	633
Wood, Nancy S.	677
Wood, Orville W.	75
Woodall, Emery J.	88
Woodard, Calvin	308
Woodbridge, John A.	104
Woodruff, Robert T.	69
Worthington, William W.	68
Wyatt, Inzer	130
Yeomans, Henry A.	38
Young, Allen I.	430
Zaffere, Frank D., III	591
Zeiss, Michael G.	619
Zettler, Hyman	99
Ziegler, William A.	278
Zimmerman, Edwin M.	299
Zuckerman, Jeffrey I.	616
Zylberberg, Abraham	594

The Lawyers Chronologically

		Time with S&C
1	Sullivan, Algernon S.	4/2/79-12/4/87
2	Cromwell, William Nelson	4/2/79-7/19/48
3	Carillo, Isaac	1879-1886
4	Curtis, William J.	11/15/80-12/31/86;—3/1/88-12/31/19
5	Comstock, George Carlton	1880-1885
6	Jaretzki, Alfred, Sr.	1881-3/17/25
7	Sullivan, George H.	1882-1912
8	Stone, William L.	1884
9	Bacon, Alexander S.	1884-1885
10	Christensen, Canby T.	1886-1887
11	Haviland, Merritt E.	1887-1890
12	Tyndale, Hector H.	1887-1912
13	Lewis, Clarence M.	1890-1894
14	Douglas, George	1891-1899
15	Gibson, Robert	1891-1892
16	Lane, Wolcott G.	1891-1893
17	Hill, Edward Bruce	1891-1917
18	Kip, William F.	1893-1894
19	Tittman, Edward d'O.	1893-1895
20	Goldbeck, William F.	1894-1896
21	McLaughlin, Edward T.	1894-1902
22	Rosencrantz, Clarke M.	7/1/94-8/28/96;—10/1/14-1/13/20
23	Mahony, Charles E.	1895-1902
24	Gladden, Frederick C.	1896-1897
25	Ridley, Albert S.	1/20/96-12/31/38
26	Chapman, William P., Jr.	1897-1906
27	Stone, Harlan F.	7/30/98-6/3/99;—9/1/23-4/8/24
28	Clark, Henry W.	1899-1904
29	Pollak, Francis D.	1899-1916
30	Converse, James C.	1900-1902
31	Olney, George Harwood	1900-1903
32	Rowe, William V.	1902-1911
33	Ganter, Carl R.	1902-1910
34	Corliss, William F.	1/1/02-3/31/39
35	Sykes, Emery H.	10/1/03-12/31/49
36	Victor, Royall	1/1/04-5/30/26
37	Catchings, Waddill	1904-1908
38	Yeomans, Henry A.	1904-1909
39	Boyesen, Hjalmar H.	8/1/04-4/12/23
40	Stover, George H.	1906-1910
41	Pierce, Henry Hill	1/15/07-12/31/28
42	Hills, Arthur Stedman	1907-1909
43	Green, Edward H.	9/7/08-11/18/63
44	Dougherty, J. Hampden, Jr.	1909-1911
45	Pollak, Walter H.	1910-1912
46	Collett, Ralph L.	1910-1917
47	Marsh, Robert McC.	1910-1915
48	Dulles, John F.	7/24/11-10/1/18;—10/1/19-7/7/49

		Time with S&C
49	Byard, John K.	1911-1914
50	Royall, Ralph	11/1/12-3/31/28
51	Crispell, Reuben B.	9/1/13-12/1/17;—5/1/19-12/31/42
52	Shoop, Max	8/1/13-9/30/19;—4/1/23-Fall 41
53	Seligman, Eustace	8/1/14-8/1/18;—1/1/19-12/31/71
54	Dodge, Donald D.	1914-1916
55	Quinn, William T.	8/16/15-11/30/25
56	Wiechmann, Walter G.	8/16/15-9/30/17;—5/1/19-12/31/57
57	Arnold, Henry N.	1916
58	Giddings, H. Starr	3/6/16-12/31/20
59	McQuillen, Paul W.	9/1/16-5/15/17;—5/26/19-10/2/68
60	Jaretzki, Alfred, Jr.	10/1/16-10/31/18;—1/20/19-12/31/69
61	Miller, Philip L.	1/15/17-11/3/45
62	Crosby, Laurence A.	8/1/17-11/30/17;—9/1/19-5/31/46
63	Tuttle, Miner W.	7/9/17-10/7/18;—11/17/19-12/31/32
64	Stevens, Stoddard M.	7/19/17-9/30/75 (of counsel)
65	Sherwood, Lawrence E.	3/22/17-7/31/19
66	Cummings, Wilbur L.	5/16/18-7/26/41
67	Stewart, Charles P.	8/20/18-8/31/19
68	Worthington, William W.	11/25/18-4/30/20
69	Woodruff, Robert T.	1918
70	Chalaire, Walter L.	4/14/19-2/15/21;—4/7/27-4/30/32
71	Francis, Lee R.	4/21/19-8/16/45
72	MacGregor, Charles	9/1/19-9/15/22
73	Hoss, Herman H.	10/22/20-3/31/22
74	Reed, Horace G.	6/1/20-4/1/46
75	Wood, Orville W.	7/1/20-6/30/22
76	Lamb, Horace R.	9/1/20-9/30/26
77	Andrews, DeLano	9/6/21-6/30/55
78	Post, Loring W.	9/12/21-10/14/28;—6/1/29-12/31/29
79	Hawkins, David R.	9/28/21-12/31/64
80	Lambert, John H.	11/14/21-6/12/24
81	Butler, Harold F.	8/1/22-3/31/35
82	Bixler, Kenneth M.	9/1/22-4/30/24
83	Van Wyck, Allen	9/18/22-3/29/33
84	Sharp, George C.	10/1/22-5/31/43;—10/1/45-12/31/70
85	Bangs, Frederick C.	1/2/26-3/1/33
86	Dean, Arthur H.	9/1/23-9/30/76 (of counsel)
87	Laws, William K.	9/1/23-10/31/33
88	Woodall, Emery J.	7/1/24-3/27/28
89	Lamont, Rogers S.	9/1/24-9/30/39
90	Scandrett, Jay J. M.	9/1/24-5/1/25
91	Mulry, William Leo	12/15/24-1/31/47
92	Darrell, Norris, Sr.	8/15/25-9/30/76 (of counsel)
93	Browning, S. Pearce, Jr.	9/1/25-2/24/61
94	Gifford, James P.	9/1/25-3/8/29
95	Gordon, Robert S.	9/1/25-12/31/34
96	Maull, Baldwin	9/1/25-1/15/35

		Time with S&C
97	Bergen, Jacob I.	12/15/25-10/31/29
98	Higgins, John C.	6/1/26-12/31/38
99	Zettler, Hyman	5/1/26-2/28/34
100	Goldsby, Robert E.	8/1/26-12/31/30
101	Thompson, Jerome W.	9/1/26-1/31/27
102	Dulles, Allen W.	10/15/26-6/30/45;—12/8/45-8/23/51
103	Dern, John	8/1/27-10/31/29
104	Woodbridge, John A.	8/15/27-5/19/39
105	Braxton, Carter M.	9/6/27-5/21/35
106	Pollak, Franklin S.	9/20/27-7/31/33
107	Strong, Frederick L.	10/1/27-5/31/31
108	Olds, Robert E.	1928-1932
109	Laylin, John G.	7/1/28-4/30/33
110	Downey, Francis X.	8/1/28-6/11/37
111	Lundgren, Walter C.	8/1/28-11/4/38
112	Stone, Lauson H.	8/3/28-11/1/33
113	McCaffery, Emmet	8/6/28-4/25/38
114	Grazier, Joseph A.	9/4/28-7/19/37
115	Gillis, Joseph F., Jr.	1/2/29-5/4/30
116	Roseborough, W. Arthur	3/1/29-4/30/32 (to Paris)
117	Hover, John C.	6/24/29-9/30/44
118	Merrill, Oliver B.	7/8/29-12/31/74
119	Winokur, Samuel	8/1/29-4/30/31
120	Goodell, Raymond B.	8/15/29-4/30/34
121	Aulsbrook, Knight G.	9/1/29-2/28/31 (Paris)
122	Granville, James P.	9/1/29-1/31/31
123	Treiber, William F.	9/18/29-2/28/31
124	Prendergast, Joseph	9/23/29-5/16/33
125	Sarolea, Charles H.	9/30/29-7/17/34
126	Wingate, Henry S.	10/1/29-9/30/35
127	Machold, Earle J.	12/1/29-3/31/30
128	Peck, David W.	5/5/30-7/15/43;—1/1/58-9/30/77 (of counsel)
129	Grean, Alexander M., Jr.	7/21/30-4/15/38
130	Wyatt, Inzer B.	9/2/30-6/30/42;—3/1/46-12/31/62
131	Latty, Elvin R.	9/5/30-9/30/33
132	Kennedy, William F.	9/16/30-4/30/45
133	Colclough, Frederic W., Jr.	10/1/30-1/31/40
134	Dimmock, Clarence O., Jr.	10/1/30-3/15/40
135	Osborne, Elizabeth Beam	10/8/30-/4/7/39;—10/26/42-4/18/44
136	Smyth, Madeline	11/3/30-10/31/31
137	Rodgers, Lois S.	1/2/31-3/31/34;—12/14/42-9/30/64
138	Hall, Ruth A.	6/1/31-9/27/37
139	McGratty, Edward J., Jr.	7/13/31-12/31/37
140	Nuessle, William E.	8/31/31-12/31/34
141	Mount, J. Edward	9/8/31-3/18/37
142	Pierce, William Curtis	10/1/31-8/11/42;—12/1/45-12/31/72
143	Childs, Thomas W.	7/15/32-1/31/40
144	Bruton, John C.	7/30/32-4/30/47

		Time with S&C
145	Taylor, C. Harold	9/26/32-10/20/40
146	West, Evelyn E.	10/1/32-10/16/33
147	MacIntyre, Malcolm A.	7/17/33-1/3/1/40
148	Pettingill, Richard G.	9/1/33-4/14/42;—10/15/45-5/14/46
149	Houston, Robert E., Jr.	10/2/33-2/10/42
150	Tweedy, Gordon B.	10/2/33-3/3/39
151	Tannenbaum, Max K.	1934-36;—1940-41;—1944-48
152	Cissel, T. Ross, Jr.	7/1/34-2/9/42
153	Lincoln, Franklin B., Jr.	8/6/34-2/28/39
154	Dooling, John F., Jr.	9/1/34-11/1/61
155	Kimberlin, Robert T.	9/1/34-1/31/38
156	Lingelbach, Robert L.	9/1/34-10/14/41
157	McMorris, Howard S.	9/1/34-9/17/37
158	Brereton, Harmar	10/1/34-10/15-41
159	Robinson, Hamilton	10/1/34-9/4/40
160	Hemmer, Bernard C.	7/22/35-2/13/42;—2/4/46-2/28/49;—8/9/50-9/30/52
161	Piel, William, Jr.	8/19/35-3/17/44;—9/1/45-
162	Foshay, William Ward	9/16/35-12/20/41;—11/1/45-
163	Hamilton, Charles S., Jr.	9/16/35-12/31/57
164	Hoffman, James B.	9/16/35-7/28/44
165	Means, Cornelius	9/16/35-2/28/41;—1/2/46-1/31/50
166	Storrs, Richard S.	9/16/35-
167	MacDuffie, Marshall W., Jr.	2/17/36-11/6/41
168	Cohen, Edwin S.	7/6/36-3/31/49
169	Birdsall, James E.	8/3/36-5/2/41
170	Henkel, David S.	8/4/36-2/29/44;—1/7/46-
171	McDaniel, Glen	9/14/36-1/27/42
172	Wasson, Houston H.	9/16/36-2/28/46
173	Keyes, Alexander L.	9/21/36-4/18/40
174	Miller, Edward G., Jr.	9/21/36-7/3/41;—5/16/46-5/27/49;—1/1/53-4/30/58
175	Canfield, Franklin O.	11/1/36-1/31/39 (Paris)
176	Allen, Charles W.	9/1/37-2/10/42
177	Andrews, Albert O'B.	9/7/37-6/29/42;—1/2/46-11/12/50
178	Augenblick, Robert L.	9/7/37-2/2/42;—12/1/45-1/16/50
179	Berberich, Frank J.	9/15/37-6/30/47
180	deVries, Henry P.	10/1/37-8/17/42;—4/1/45-8/31/48
181	Fleming, Macklin	10/13/37-8/11/39
182	Bane, Charles Arthur	8/1/38-9/4/42;—1/2/46-2/28/49
183	Brownell, Lincoln C.	8/1/38-12/31/40;—10/15/45-2/28/49
184	Victor, Martin	10/3/38-9/10/41;—2/4/46-12/15/52
185	Cabell, Richard A.	7/17/39-1/31/44
186	Milman, Howard T.	8/10/39-
187	Raben, John R.	9/1/39-8/14/42;—12/17/45-9/14/75
188	Hull, Hadlai A.	9/11/39-4/23/41
189	Ballantine, Arthur A., Jr.	11/13/39-9/17/40
190	Smiley, Dick Cutter	8/1/40-2/15/43
191	Hill, James T., Jr.	9/3/40-8/1/42;—6/11/47-7/31/50
192	Steinheimer, Roy L., Jr.	9/3/40-5/31/50

		Time with S&C
193	Deming, MacDonald	10/1/40-1/7/42
194	Rogers, Theodore O.	10/7/40-2/2/42
195	O'Neal, F. Hodge	7/7/41-12/22/41
196	Auchincloss, Louis S.	7/28/41-12/9/41;—2/18/46-12/31/51
197	McDonald, Robert J.	8/1/41-2/28/42;—3/1/46-
198	Powell, Richard G.	8/4/41-8/17/42;—2/11/45-
199	Steyer, Roy H.	9/2/41-6/2/42;—9/3/46-
200	Rankin, Bernard C.	9/15/41-8/29/42
201	Thoron, Gray	9/15/41-9/30/42;—11/26/45-6/30/48
202	Gruning, Herman W.	9/22/41-12/1/42;—11/12/46-2/28/49
203	Collens, Jonathan L.	9/29/41-3/14/42
204	Horsburgh, Marion E.	2/18/42-12/31/47
205	Cotter, Frank T.	3/30/42-3/26/43
206	Underwood, William A.	3/30/42-8/17/42
207	Griffen, Richard D.	4/1/42-8/18/43;—11/21/45-12/14/45
208	Kirkpatrick, Roderick J.	4/1/42-12/21/43;—4/1/46-1/26/56
209	Bruton, Mary Winn	4/6/42-4/18/44
210	Schlaudt, Edward H.	6/15/42-2/29/52
211	Webster, Doris Heath	7/6/42-3/31/43
212	Winger, Maurice H., Jr.	7/20/42-2/28/43
213	Merli, Margaret D.	8/31/42-8/31/45;—2/10/64-1/31/76
214	Campbell, Watson S.	9/1/42-10/15/44
215	McEniry, Catherine McPolan	9/1/42-4/21/44
216	Kerr, David A.	9/16/42-2/28/43
217	Rea, Mary F.	10/15/42-9/30/44
218	Hain, Donald C.	2/8/43-6/30/49
219	Malone, Walter W.	7/12/43-6/30/44
220	Krauss, Elizabeth L.	9/1/43-1/31/45
221	Contant, Marinus, Jr.	11/15/43-/2/15/44
222	Armstrong, Henry B., III	11/22/43-3/9/44;—9/3/46-4/30/47
223	Bruen, Alexander J.	12/6/43-1/2/76 (Consultant)
224	Jenner, Ernest J.	1/3/44-5/11/45
225	Evarts, Effingham C.	2/21/44-3/10/46
226	Smith, Arthur J. P.	3/15/44-11/30/44
227	Kaminsky, Lillian J.	6/15/44-3/11/46
228	Conklin, Majorie	8/1/44-7/31/45
229	Kellogg, Richard C.	9/18/44-1/5/45
230	Suits, Frederick R.	9/18/44-6/30/46
231	Rodriguez, Vincent A.	10/16/44-
232	Ess, Henry N., III	10/26/44-
233	Jones, Charles L., II	11/1/44-7/31/52
234	Reilley, Charles S.	2/1/45-3/2/52
235	Haberle, Mary Clarke	5/21/45-9/30/46
236	Parker, Robert H.	6/25/45-6/30/48
237	Hecker, Bruce A.	1/2/46-2/28/55
238	Miller, John R.	2/1/46-5/9/55
239	Jaqua, John C.	2/25/46-
240	Futter, Victor	3/11/46-9/12/52
241	Kust, Matthew J.	3/15/46-12/31/50
242	Kinsey, William H.	4/29/46-10/31/47
243	Watts, Charles H.	5/10/46-5/29/52
244	Broderick, Joseph A.	7/1/46-12/15/52
245	Dorsey, John G.	7/1/46-3/31/51
246	Kuraner, Irving	7/1/46-5/31/47
247	McDowell, Robert A.	7/8/46-8/28/53;—3/1/56-
248	Horn, Garfield H.	7/15/46-5/13/49;—11/5/51-
249	Barkman, Francis Elwood	8/1/46-8/17/56
250	Buchanan, William H.	9/3/46-7/1/55
251	Godshalk, Ernest L.	10/21/46-9/11/48
252	Kramer, Allan	11/1/46-11/30/54
253	Quittmeyer, Robert T.	11/4/46-3/24/56
254	Lefevre, Thomas V.	11/18/46-10/31/48
255	Scholze, George A.	2/10/47-
256	De Lynn, Hubert J.	7/1/47-6/14/59
257	Davidson, Donald McL.	9/2/47-3/31/51
258	Lockwood, Robert	11/17/47-4/29/55
259	Golden, Howard N.	11/24/47-2/10/50
260	Chandler, Anthony	12/1/47-9/30/55
261	Hoyt, Charlotte James	12/1/47-9/30/51
262	Kelley, Daniel F., Jr.	12/1/47-3/15/49
263	Farr, H. Bartow, Jr.	4/1/48-5/11/51
264	Jolly, Kenneth N.	4/1/48-9/30/55
265	Bondy, Eugene L., Jr.	5/1/48-3/10/56
266	Seidman, Robert B.	5/14/48-8/31/48
267	Sheeline, Paul C.	9/7/48-5/7/54
268	Voelker, William F.	9/7/48-4/4/52;—5/3/54-12/4/54
269	MacCrate, Robert	11/15/48-2/2/59;—9/1/62-
270	Wilson, H. Donald	12/13/48-3/5/49
271	Keefe, John M.	9/13/48-4/22/52
272	Vail, Donald	1/10/49-11/21/53
273	DeWahl, David A.	2/14/49-3/14/52
274	Rodman, Charles G.	3/7/49-10/10/52
275	Arning, John F.	3/14/49-
276	Harris, Edward M., Jr.	3/24/49-9/30/57
277	Sutherland, Ashby McC.	4/4/49-11/30/53
278	Ziegler, William A.	4/15/49-
279	Richardson, John, Jr.	5/2/49-7/29/55
280	Maechling, Charles, Jr.	6/1/49-1/20/51
281	Thomas, James A., Jr.	6/6/49-11/1/57
282	Ramstad, Dean D.	11/1/49-2/15/57
283	Gordon, William C.	8/1/50-9/30/54
284	Harr, Karl G., Jr.	8/14/50-7/9/54
285	James, Oliver B., Jr.	9/5/50-11/15/50
286	Sloman, Marvin S.	9/11/50-5/11/56
287	Sporn, Arthur D.	9/11/50-12/31/57
288	Stevenson, John R.	9/13/50-7/1/69;—1/1/73-

		Time with S&C
289	Beddall, Thomas H.	9/18/50-11/8/57
290	Baldwin, H. Reed	6/25/51-2/22/52
291	Hall, George E.	7/16/51-1/31/58
292	Schwartz, Marvin	7/16/51-
293	Rothwell, Albert F.	7/30/51-10/26/56
294	Willis, William E.	8/13/51-
295	Drake, Phillip M.	9/4/51-7/14/53
296	Gill, David G.	9/4/51-6/15/54
297	Thacher, James F.	9/4/51-11/30/52
298	Jayne, Louise M.	9/6/51-10/31/52
299	Zimmerman, Edwin M.	10/8/51-8/28/59
300	Kirby, William J.	7/7/52-
301	Day, Langdon M.	8/4/52-6/30/59
302	Kern, George C., Jr.	9/2/52-
303	Stolper, Max A.	9/2/52-5/25/56
304	Joergensen, Lorene	9/15/52-3/31/64
305	Heine, Andrew N.	12/8/52-3/4/53;—6/25/56-3/15/58
306	McAnerney, Robert M.	3/16/53-7/31/53
307	Seggerman, Kenneth M., Jr.	3/16/53-5/13/60
308	Woodard, Calvin	3/23/53-9/8/55
309	Aidinoff, M. Bernard	7/6/53-11/1/53;—8/1/56-
310	Clarke, Jack G.	9/1/53-12/28/56
311	Beshar, Robert P.	9/8/53-7/2/54
312	Cogan, James R.	9/8/53-2/8/57
313	Gotkin, Jerome	9/8/53-9/30/59
314	Phocas, George J.	9/8/53-7/31/56
315	Brock, Mitchell	9/14/53-
316	Haley, James F.	9/14/53-9/20/57
317	Pfohl, Ann M.	9/14/53-10/4/54
318	Gleason, William F., III	10/5/53-9/15/56
319	Pollard, Henry	8/2/54-9/3/54;—8/1/56-10/13/61
320	Ledbetter, John N., III	8/2/54-8/29/58
321	Stebbins, Edward C., Jr.	8/2/54-6/24/55;—9/2/58-4/15/64
322	Troubh, Raymond S.	8/23/54-7/22/58
323	Blackwell, Frederick	9/1/54-10/25/55
324	Dickey, John W.	9/7/54-
325	McQuade, Lawrence C.	9/7/54-3/10/61
326	De Genaro, George	9/13/54-4/22/60
327	Crawford, William W.	9/27/54-12/15/58
328	Carter, James M.	7/5/55-9/15/55
329	Ratner, David L.	8/1/55-1/17/64
330	Black, Donald Gordon	9/1/55-5/17/57
331	Erickson, Gordon	9/1/55-3/31/61
332	Ivey, William J.	10/10/55-
333	Seibold, Frederick C., Jr.	2/23/56-4/9/65
334	Thayer, Stuart W.	4/2/56-
335	Gilbert, Jackson B.	6/25/56-1/14/57;—12/1/59-7/6/65
336	Garland, P. Griffith	7/16/56-12/31/58
337	French, Donald F.	8/8/56-3/3/61
338	Steiner, Henry J.	8/20/56-7/5/57;—9/17/58-4/27/62
339	Mitchell, Robert E.	9/4/56-1/14/63
340	Potter, Hamilton F., Jr.	9/4/56-
341	Kaye, Stephen R.	9/10/56-12/31/63
342	Lindemann, Barbara A.	9/17/56-12/31/59
343	Darrell, Norris, Jr.	10/1/56-
344	Tait, Robin T.	10/1/56-5/28/57;—12/2/57-4/30/64
345	Scott, Kenneth E.	11/12/56-7/27/59
346	Price, Richard M.	1/16/57-1/27/67
347	West, Stephen K.	3/18/57-
348	Beimfohr, Edward G.	5/15/57-1/22/65
349	Dworkin, Ronald M.	6/17/57-10/5/57;—9/15/58-6/15/62
350	Walsh, Jerome K., Jr.	7/17/57-1/29/65
351	Overbeck, Gene E.	8/1/57-7/31/59
352	Osborn, Donald R.	8/19/57-
353	Adams, C. Murray	9/3/57-11/13/64
354	Mahoney, Dennis C.	9/3/57-3/28/63
355	Geer, John F.	9/9/57-9/10/65
356	Luney, William R.	9/23/57-3/31/64
357	Terry, Frederick A., Jr.	9/23/57-
358	Jones, Peter T.	10/8/57-3/10/61
359	Duemler, R. Leigh	1/13/58-2/11/66
360	Jeffers, Robert M.	7/16/58-9/30/59
361	Fillman, Jeffrey A.	8/4/58-1/26/62
362	Sexton, Richard J.	8/4/58-6/5/64
363	Keane, Edward W.	8/18/58-
364	Merow, John E.	8/18/58-
365	Smit, Hans	1/2/59-6/6/60
366	Prosterman, Roy L.	1/5/59-8/13/65
367	Howell, Kenneth K.	7/13/59-11/30/60
368	Farr, Charles B.	8/3/59-10/27/61
369	Coakley, Walter J.	8/10/59-3/17/60;—7/1/63-
370	Heyert, Martin	9/8/59-5/31/68
371	O'Neill, Shirley A.	9/14/59-12/28/72
372	Nicoson, William J.	9/14/59-4/30/68
373	Coolidge, Nicholas J.	9/23/59-4/1/66
374	Landau, W. Loeber	11/2/59-
375	Bikales, Norman A.	11/30/59-10/6/67
376	Broadbent, John G.	12/28/59-3/5/65
377	Jackson, Bartlett A.	2/22/60-5/29/63
378	Fisher, Peter R.	4/11/60-12/23/66
379	Frisch, E. Roger	8/10/60-1/29/65
380	Ingram, Samuel W., Jr.	8/29/60-10/13/67
381	Higgs, John H.	8/30/60-10/20/61;—8/13/62-8/31/68
382	Regala, Teodoro D.	9/6/60-3/8/62
383	Hiden, Robert B., Jr.	9/6/60-
384	Grand, Paul R.	9/12/60-1/24/64

		Time with S&C
385	Browning, W. E. S.	9/19/60-9/29/67
386	Cook, H. Clayton, Jr.	9/19/60-12/20/63
387	Monroe, Kendyl K.	9/19/60-
388	Cooper, Michael A.	10/3/60-
389	Rice, Joseph L., III	10/3/60-6/24/66
390	Bentley, Peter J.H.	11/16/60-6/30/61
391	Bickford, Robert C.	1/16/61-6/28/66
392	Greenawalt, William S.	7/31/61-10/29/65
393	Scott, Hugh N.	8/1/61-7/31/63
394	Robinson, Hamilton, Jr.	9/5/61-3/10/67
395	Allee, John S.	9/11/61-11/19/65
396	Mestres, Ricardo A., Jr.	9/18/61-
397	Clark, John B.	11/1/61-1/21/66
398	Highet, G. K. M.	11/20/61-11/15/63
399	Ambler, Michael N.	2/19/62-12/31/68
400	Williams, William J., Jr.	3/5/62-
401	Krantz, Robert A., Jr.	4/16/62-12/5/66
402	Cannon, John F.	7/16/62-
403	Barnett, Robert E.	7/23/62-4/29/66
404	Dederick, Ronald O.	8/1/62-8/16/68
405	Allen, Charles E., Jr.	8/20/62-10/18/68
406	Kaye, Judith Smith	9/4/62-1/31/64
407	Cunningham, Edward V. K.	9/5/62-4/19/63
408	McKinney, James E.	9/10/62-2/17/67
409	Paine, Peter S., Jr.	10/31/62-3/19/70
410	Benton, Stephen A.	1963
411	Donohoe, David A.	2/11/63-6/24/66
412	Cooper, Lester L., Jr.	6/3/63-6/12/64; — 11/9/64-6/19/70
413	Kleckner, Robert G., Jr.	6/24/63-4/30/70
414	Donnelly, John E.	8/5/63-4/6/70
415	Stoll, Martin A.	9/4/63-2/10/67
416	Prior, Cornelius B., Jr.	9/9/63-1/9/69
417	Walcott, J. Dexter	9/9/63-5/12/67
418	Krumme, Robert D.	10/7/63-9/30/69
419	Tractenberg, Paul L.	7/1/64-10/22/65
420	Lane, John, Jr.	8/3/64-2/5/65
421	Tompkins, Roger W.	8/3/64-6/3/65;—10/16/67-5/25/70
422	Sheils, J. Stephen	8/17/64-4/2/65;—8/30/65-4/15/67
423	Borsody, Robert P.	8/24/64-3/22/69
424	Christ, Donald C.	9/1/64-
425	Wellborn, J. Marshall, Jr.	9/28/64-7/10/71
426	Flinn, Irvine D.	11/2/64-
427	Williamson, Edwin D.	11/2/64-
428	Hodsoll, Francis S. M.	4/1/65-2/28/66
429	Gilges, Walter F.	5/3/65-2/28/70
430	Young, Allen I.	6/15/65-3/10/67;—7/10/67-5/15/71
431	Warden, John L.	8/9/65-
432	Huggin, David M.	8/17/65-

		Time with S&C
433	Stevenson, Ward B., Jr.	8/18/65-5/31/68
434	Adams, Daniel D.	8/25/65-4/12/66; — 6/12/68-11/5/71
435	Patton, Thomas E.	9/1/65-11/15/69
436	Maney, Michael M.	9/13/65-
437	Taylor, Willard B.	9/13/65-2/26/67;—7/24/67-
438	Waldman, Roger L.	9/20/65-9/8/67;—12/30/68-10/27/72
439	Reilly, Terrence C.	10/6/65-3/31/72
440	Ullman, Leo S.	11/21/65-3/15/68
441	Niehuss, John M.	5/15/66-3/22/69
442	Sugarman, Robert G.	5/31/66-7/1/67;—10/9/67-2/18/72
443	Morriss, Thomas W.	5/31/66-12/30/66;—6/1/71-1/26/73
444	Granquist, Alan D.	6/20/66-9/28/66;—7/17/67-6/30/69
445	Fenton, Wendell	6/27/66-7/31/71
446	Carlton, Richard E.	7/18/66-
447	Weir, Michael W.	8/1/66-
448	Bowers, James W.	10/3/66-6/5/74
449	Conley, Henry Q.	10/3/66-11/30/73
450	Craft, Robert H., Jr.	10/3/66-12/6/74;—11/22/76-
451	Grant, Stephen A.	10/3/66-
452	Walker, Gordon T.	1967
453	McLean, David L.	2/20/67-2/6/73
454	Hunter, James A.	3/20/67-5/15/74
455	Maynard, John	4/3/67-9/11/74
456	Howe, Richard R.	6/1/67-
457	Norfolk, William R.	6/2/67-
458	Seppala, Christopher R.	7/24/67-1/27/72
459	Dean, Daniel A., Jr.	8/1/67-7/10/70
460	Liftin, John M.	8/7/67-8/6/71
461	Martori, Joseph P.	8/7/67-2/29/68
462	Fisher, Robert I.	8/14/67-3/31/72
463	deBrier, Donald P.	8/28/67-9/4/70
464	Reynolds, William Bradford	8/28/67-3/31/70
465	Gross, John H.	9/5/67-8/31/69
466	Belknap, Michael H.	9/11/67-7/27/68;—12/2/68-10/23/70
467	Kelley, Patrick L.	9/18/67-4/15/69
468	Knight, Howard A.	10/2/67-5/31/69
469	Flexner, John C.	11/6/67-9/30/69
470	Pedersen, William F., Jr.	1968
471	Porter, Michael P.	3/18/68-2/15/71
472	Blackwood, James E.	5/20/68-7/31/71
473	McCarthy, John M.	5/31/68-10/15/69
474	Beasley, James W., Jr.	6/3/68-8/9/68
475	Stapleton, Michael T.	6/3/68-12/10/76
476	Miller, Peter P.	7/1/68-3/29/74
477	Indoe, William F.	7/22/68-
478	Budd, Martin	7/24/68-4/30/76
479	Kies, David M.	8/5/68-
480	Osgood, Robert M.	8/5/68-

		Time with S&C
481	Goldsmith, Donald A.	8/12/68-2/11/77
482	Chesley, Roger D.	8/19/68-3/11/77
483	Weiner, Earl D.	8/19/68-
484	Comus, Louis F., Jr.	8/19/68-8/23/73
485	Michael, M. Blane	8/26/68-7/31/71
486	Farrar, Stanley F.	9/3/68-8/17/73
487	Hinds, Richard deCourcy	9/4/68-10/31/71
488	Chapin, Allan M.	9/9/68-
489	Frelinghuysen, Peter	9/23/68-3/29/74
490	Strachan, Kristine	9/30/68-9/25/70
491	Miller, Joel M.	10/7/68-4/30/76
492	McLaughlin, Joseph	11/12/68-2/20/76
493	Sabetta, John C.	12/2/68-6/12/71
494	Tegethoff, David A.	3/3/69-12/31/69
495	Thomas, Robert M., Jr.	3/3/69-
496	Luhtala, John W.	5/19/69-6/30/70
497	Brock, Charles L.	6/30/69-6/28/74
498	Christensen, Henry, III	8/4/69-
499	Spencer, Lee B., Jr.	8/11/69-6/11/76
500	Weitzer, Roy I.	8/18/69-11/30/72
501	Farley, Donald W.	8/25/69-11/30/73
502	Neesemann, Carroll E.	9/2/69-6/10/77
503	Jones, Alfred C., III	9/2/69-6/14/74
504	Timbers, John W.	9/2/69-9/6/73
505	Bartlett, Marshall P.	9/8/69-1/21/77
506	Minyard, Handsel B.	9/8/69-7/31/72
507	Stapleton, Benjamin F., III	9/8/69-
508	Robinson, Davis R.	9/15/69-11/26/71
509	Romney, G. Scott	10/20/69-2/25/72
510	Simon, Barry P.	10/22/69-4/14/72
511	Russ, Charles P., III	11/19/69-1/14/72
512	Gartner, Dennis E.	1/12/70-6/27/75
513	Nitze, William A.	1/12/70-7/31/72
514	Braly, Mack M.	2/2/70-5/31/72
515	Baxter, James N.	3/2/70-12/23/76
516	Smith, James M.	3/2/70-4/20/73
517	Tiernan, Bart T., III	4/13/70-1/31/75
518	Craver, James B.	6/1/70-6/29/73
519	Schuck, Edwin G., Jr.	6/8/70-9/10/76
520	Stephens, William T.	7/8/70-5/31/73
521	Anderson, Jerome W.	8/3/70-5/31/73
522	Graham, Philip L., Jr.	8/10/70-
523	Olasov, David M.	8/10/70-
524	Watson, Stephen R.	8/10/70-1/14/77
525	Carter, James H., Jr.	8/17/70-
526	Sullivan, Charles W.	8/17/70-12/2/77
527	Cusick, Michael F.	9/14/70-
528	Griscom, Rufus K.	9/14/70-6/30/72;—1/3/73-4/15/76
529	Kaplan, J. Jason	9/14/70-1/14/72
530	Waid, A. Clark, III	9/14/70-6/30/71
531	Cavanagh, Carroll J.	9/16/70-
532	McKeon, James G.	9/16/70-12/24/70;—7/7/71-10/29/76
533	Polier, Jonathon W.	9/16/70-1/31/74
534	Grant, J. Kirkland	9/24/70-8/4/72
535	Harrington, William D.	9/28/70-3/4/77
536	McGuinn, Martin G., Jr.	11/2/70-9/2/77
537	Cohen, H. Rodgin	11/9/70-
538	Parker, Barrington D., Jr.	11/23/70-6/10/77
539	Grabel, Jeffry N.	1/18/71-5/31/71;—3/1/73-1/5/79
540	Sullivan, Ulric	2/1/71-10/31/75
541	Feldman, Scott M.	4/19/71-3/3/78
542	Rechlin, Charles F.	6/1/71-
543	McKeon, Graceann M.	6/7/71-9/26/75;—6/20/77-
544	Lyon, Richard G.	6/7/71-
545	Leake, Nolan C.	6/14/71-2/11/72
546	Akers, James E.	9/27/67-1/12/68;—7/19/71-
547	LeFlore, Ray L.	8/2/71-
548	Sterba, M. John, Jr.	8/9/71-12/13/74
549	Blackburn, Boyd A., Jr.	8/9/71-3/21/75
550	Anderson, Neil T.	8/9/71-
551	Dunson, Daniel	8/23/71-
552	Ackerman, Edgar D.	9/7/71-2/21/75
553	Asthalter, Richard G.	9/7/71-
554	Macquarrie, Myrna R.	9/8/71-4/28/72
555	Blomquist, Margaret L.	9/13/71-7/15/77
556	Fishman, Mark I.	9/15/71-5/31/78
557	Rykowski, Frank A.	9/20/71-
558	Malman, Laurie L.	9/21/71-1/5/79
559	Klein, John E.	10/4/71-1/9/76
560	Levin, Richard	10/4/71-3/29/74
561	Rostler, Jefferson P.	10/12/71-4/27/73
562	Brew, William R.	11/1/71-10/17/75
563	Dwight, Ronald A.	11/17/71-12/30/74
564	Lawson, A. Peter	1/3/72-11/10/78
565	Bornstein, Ronald E.	1/17/72-
566	Burke, Spencer B.	5/17/72-12/24/74
567	Carrad, David Clayton	5/22/72-11/26/74
568	Grant, Thomas A.	6/1/72-5/9/75
569	Orban, Joseph S., Jr.	6/1/72-9/30/75
570	Sullivan, Michael M.	6/1/72-12/6/74
571	McCormack, William F.	6/5/72-
572	Nelson, Lawrence W.	6/5/72-5/30/75
573	Carling, Francis	6/10/70-8/7/70;—6/13/72-
574	Newman, John A., Jr.	7/31/72-6/14/74
575	Balkoski, John A.	8/14/72-11/15/74
576	Williams, Lowell C.	8/14/72-12/31/75

		Time with S&C
577	Goodman, Gary A.	8/22/72-
578	Greenwald, John D.	8/22/72-1/17/74
579	Sexton, David F.	8/28/72-7/25/77
580	Costello, Charles E.	9/1/72-3/28/75
581	Paul, Marcia B.	9/5/72-1/14/77
582	Rigney, David B.	9/5/72-
583	Winger, Michael	9/13/72-8/2/74;—2/3/75-
584	Sullivan, Peter H.	9/18/72-2/5/75
585	Reinke, Alan M.	9/25/72-1/10/75
586	Duerbeck, Heidi B.	10/2/72-2/28/77
587	Stegemann, Clara Sue	10/24/72-2/27/76
588	Johnson, Jimmie	4/9/73-1/3/75
589	Kensington, Costa N.	4/23/73-1/28/77
590	Whitbeck, John V.	6/1/73-2/28/78
591	Zaffere, Frank D., III	6/11/73-
592	Hugill, Olivia I.	5/2/73-6/30/78
593	Walkovik, Donald C.	7/30/73-
594	Zylberberg, Abraham	8/6/73-
595	Mentz, Barbara A.	8/13/73-6/30/77
596	Tehan, John B.	8/13/73-
597	von Glahn, William G.	8/20/73-4/15/77
598	Brown, William J., III	8/20/73-12/31/73;—4/1/74-
599	Banoff, Barbara A.	8/28/73-11/30/73;—1/28/74-4/4/75
600	Callagy, R. Dennis	9/4/73-7/11/75
601	Alexander, Simon	9/4/73-6/13/75
602	Calaba, Frank V.	9/4/73-
603	Quinn, Linda C.	9/10/73-
604	Feldschreiber, Harvey	9/17/73-
605	Spadoro, George A., Jr.	9/24/73-11/12/76
606	DiFilippo, Nando, Jr.	10 /9/73-12/11/75
607	Owen, Robert D.	10/9/73-
608	Cody, John	10/15/73-6/30/74
609	Bialo, Kenneth M.	10/23/73-
610	Urowsky, Richard J.	10/23/73-
611	Alexander, Ronald B.	11//5/73-11/5/76
612	Dwyer, Pamela S.	11/19/73-
613	Stadiem, William H.	12/13/73-9/6/74
614	Pietrantoni, Manuel R.	1/28/74-11/21/75
615	Katz, Robert J.	2/11/74-
616	Zuckerman, Jeffrey I.	3/4/74-
617	Pollock, Phillip R.	4/17/74-1/9/76
618	Poohar, Stephen L.	5/20/74-5/19/78
619	Zeiss, Michael G.	6/10/74-
620	Douglass, Andrew I.	7/29/74-
621	Dallas, William M., Jr.	8/5/74-
622	Menaker, Richard G.	8/12/74-
623	DeVita, James R.	9/3/74-
624	O'Brien, Michael P.	9/3/74-7/29/77

		Time with S&C
625	Ringel, Ronnie	9/9/74-6/10/77
626	Unger, Sydney E.	9/12/74-
627	Howard, Philip K.	9/23/74-
628	Colborn, Paul P.	9/30/74-
629	McCone, Susan J.	9/30/74-
630	Darby, Lawrence A., III	10/7/74
631	Herbert, Seth E.	10/7/74-
632	Kau, Randall K. C.	10/7/74-5/31/78
633	Wolfram, Steven L.	10/7/74-7/22/77
634	Wolf, Janine A.	10/28/74-12/23/76
635	Patterson, Janette	11/4/74-
636	Ganzfried, Jerrold J.	12/9/74-
637	Dorkey, Charles E., III	1/20/75-
638	Schreger, Ira A.	8/11/75-5-13/77
639	Wassall, Donald A.	8/21/75-
640	Angelson, Mark A.	8/26/75-6/10/77
641	Baumgardner, John, E., Jr.	9/2/75-
642	Dalton, Mark F.	9/2/75-
643	Molé, Matthew C.	9/2/75-
644	Cannon, Lista M.	9/8/75-
645	Clark, Bruce E.	9/15/75-
646	Pfeiffer, Margaret K.	9/15/75-
647	Doyno, Barry L.	9/29/75-22/13/76
648	Johnson, Rick	9/29/75-5/31/78
649	Tulchin, David B.	9/29/75-
650	Petras, Dorothy D.	10/6/75-
651	Sprague, Charles W.	10/6/75-
652	Tyrrell, James E., Jr.	10/6/75-
653	Meiklejohn, D. Stuart	11/17/75-
654	Sarafopoulos, John	1/5/76-
655	Messinger, Martin P.	2/23/76-9/15/78
656	Elwood, M. Janice	5/3/76-
657	Towne, L. Stanton	5/3/76-
658	Sjogreen, Martha L.	5/24/76-
659	Harbour, Steven E., Jr.	7/6/76-
660	Strickler, Richard S.	8/2/76-9/16/77
661	Caywood, Warren G., Jr.	8/9/76-
662	Grobe, Bruce J.	8/30/76-7/21/78
663	Palm, Gregory K.	8/30/76-
664	Welshimer, Mark J.	8/30/76-
665	Browne, Jeffrey F.	9/1/76-
666	Apfel, Gary	9/7/76-
667	Barron, Michael	9/7/76-
668	Black, James I., III	9/7/76-
669	Freeman, Mary Elizabeth	9/7/76-
670	Halvorson, David T.	9/7/76-
671	Marx, Christine M.	9/7/76-
672	Rosenbaum, Susan K.	9/7/76-2/24/78

		Time with S&C
673	Dallas, Lynne L.	9/7/76
674	Wheeler, Annette S.	9/7/76-7/8/77;—9/11/78-
675	Curtis, John W.	9/13/76-
676	Wise, H. Lake	9/13/76-6/16/78
677	Wood, Nancy S.	9/13/76-
678	Bailen, Ann Thompson	10/4/76-
679	Adlerstein, JoAnne C.	10/5/76-8/18/78
680	Garcia, Francisco A.	10/12/76-
681	Foster, John W.	11/1/76-1/26/79
682	Sydlaske, Janet M.	1/3/77-
683	Tricomi, Francis A.	6/27/77-9/15/78
684	Scott, Richard W.	8/8/77-
685	Mead, John P.	8/15/77-
686	Janis, Mark W.	8/22/77-
687	La Vine, Shelley D.	8/22/77-
688	Knull, William H., III	8/24/77-
689	Stimson, William P.	8/29/77-
690	Lorimer, Ernest McFaul	8/30/77-
691	Burnett, Howard D.	9/6/77-
692	Edmonds, Robert Scott	9/6/77-
693	Golay, Frank H., Jr.	9/6/77-
694	Hayes, Robert M.	9/6/77-
695	Myers, Nina Sumers	9/6/77-
696	Wilson, Charles E.	9/6/77-
697	Crowley, Richard A.	9/12/77-
698	Olmsted, Elizabeth M.	9/13/77-
699	Prutzman, Deborah S.	9/19/77-
700	Rawson, Richard J.	9/19/77-
701	Ressler, Richard J.	9/19/77-
702	Bata, Robert C.	9/26/77-
703	Bowie, Jonathan M.	9/27/77-
704	Bivona, Thomas Charles	9/30/77-
705	Pober, Peter P.	10/3/77-
706	Lopata, Benjamin B.	10/11/77-
707	Colinvaux, Sally	10/17/77-
708	Nutter, Coleman A.	12/15/77-
709	McKeon, Peter T., Jr.	3/27/78-
710	Mulloy, W. Patrick, II	7/31/78-9/8/78
711	Fennell, Darrell K.	8/14/78-
712	Rosinus, David A.	8/21/78-
713	Parker, Jeffrey S.	8/23/78-
714	Caldwell, Daniel D.	8/28/78-
715	Hull, Robert Kingsley	8/31/78-
716	Baron, Jane B.	9/5/78-
717	Casati, Roberto	9/5/78-
718	Dickson, Jane J.	9/5/78-
719	Gruber, David	9/5/78-
720	Mann, Arline	9/5/78-
721	Strossen, Nadine	9/5/78-
722	Thomas, Kenneth A.	9/5/78-
723	Ungar, Barbara	9/5/78-
724	Wiseman, Michael M.	9/5/78-
725	Friesen, Connie M.	9/11/78-
726	Rodriguez, Sabino, III	9/11/78-
727	Farris, Theodore N.	9/18/78-
728	Farris, William L.	9/18/78-
729	Lovejoy, Henry Stow	9/18/78-
730	Scher, Michael P.	9/18/78-
731	Bennett, Jackson Goodwin	9/19/78-
732	Addiss, Wendy L.	9/20/78-
733	Hadjiyannakis, Helen	9/25/78-
734	Plapinger, William A.	9/25/78-
735	Bartos, James M.	9/26/78-
736	DiBlasi, Gandolfo V.	10/2/78-
737	Leopardi, Joseph A.	11/13/78-
738	Fooshee, Richard	11/27/78-
739	Friedman, John H.	12/4/78-

The Biographical Notes

In the main, these notes are based on information available up to December 31, 1979. Statements in the present tense, and the preceding alphabetical and chronological listings, are made from the viewpoint of April 2, 1979. In a few cases, however, editing and production delays have permitted the inclusion of later developments in 1979 and 1980.

1 ALGERNON SYDNEY SULLIVAN (1826-1887) S&C 1879-1887

Algernon Sydney Sullivan was a son of the Ohio Valley, raised in the southern Indiana river town of Madison. He was born in 1826 to a family with a long legal tradition and strong religious roots, and he grew up in the atmosphere of independent thought and action characteristic of the American frontier. After attending Hanover College in Indiana and Miami University in Ohio, he returned to Madison to study law and was admitted to practice in 1849.

Mr. Sullivan soon moved up river to Cincinnati, where he built a respectable practice. Married in 1851, his wife died before the year was out. A few years later, he married Mary Mildred Hammond of Winchester, Virginia; they had one son, George H. Sullivan (*q.v.*). The early years of the Sullivans' marriage were affected by the depression which began in 1856 and which led to Mr. Sullivan's being called upon to repay ruined friends' and clients' notes he had co-signed. It took him a good part of the rest of his life.

By the time the Civil War broke out, Mr. Sullivan had moved to New York and had set up a law office on William Street, near Wall. While opposed to slavery, he was sympathetic with the stubbornly independent instincts of the South. And his southern connections led to his being one of those retained to defend the crew of the Savannah, a captured Confederate privateer, whose members had been brought to New York to be tried as pirates.

It was an unpopular cause, but Mr. Sullivan believed the proper treatment of prisoners of war to be a moral issue which compelled him to appear in court on their behalf. He was threatened, warned to leave New York and denounced to the federal government, which went so far as to arrest and imprison him. This caused a storm of protest from prominent members of the bar and the bench, and after six weeks he was released. Two days later he was in court for his clients, who eventually won the status of prisoners of war and were exchanged. Mr. Sullivan proved his personal courage again during the 1863 draft riots when he intervened to protect an elderly neighbor from an angry mob.

As a member of the reform wing of the Democratic Party, Mr. Sullivan was a leader in the fight against the corruption of the Tweed Ring. He became an assistant district attorney in 1870 and served with distinction for three years during a time when other public servants were being indicted. In 1875, he became public administrator of New York and for ten years was responsible for the administration of estates of people who died without leaving wills. There was a movement to nominate him as Democratic candidate for mayor of New York, an invitation he declined.

In 1873, Mr. Sullivan organized the firm of Sullivan, Kobbe & Fowler. It was successful from the start, owing not only to Mr. Sullivan's reputation, but to contacts he had made with some of the rising industrial leaders of the day. Along the way, the firm hired a likely young man named William Nelson Cromwell, and when it was dissolved in 1879, the successor was Sullivan & Cromwell.

The new firm also was successful from the beginning, and the clients represented by Mr. Sullivan in litigated matters provided a solid base for Mr. Cromwell's development of the firm's corporate practice. Mr. Sullivan continued to be active in civic affairs while serving as senior partner, and when he died on December 4, 1887, there were many tributes.

His young partner, Cromwell, poured out his own feelings in a letter to Sullivan's widow: "For nearly fifteen years I have been looking daily into his heart, and during all those years and under the thousand temptations of a busy life, professional, social, political, I never found there even a remotest approach to sin. . . . Always gracious and altogether lovely; putting aside, not putting forward, his own great personality; reaching out both hands in constant helpfulness to men."

Flags were flown at half-staff in the City and the courts adjourned, a mark of public mourning for a private citizen since unrepeated. The American Bar Association said ". . . the law was his life work and his life love as well . . . adversary and client alike felt the power of his lucid, conscientious, wise advice . . . it is fair to say that no lawyer, however great his fame, was regarded by the bench with greater confidence and esteem."

A few years after Mr. Sullivan's death, a memorial committee of distinguished citizens that included President Grover Cleveland was organized, and ultimately it conferred a medallion on the firm. The medallion was meant as an inspiration for the young, and it praised Mr. Sullivan as a man with "absolute integrity, great generosity in act and in judgment and a helpful love for men individually and in general." The citation, it said, should signal that "achievement in the development of noble character and courageous and pure citizenship is admired and valued for its own sake, and confers a distinction as real as does the achievement of prominence in government service or success in more material and less unselfish purposes." In 1926, the New York Southern Society established the Algernon Sydney Sullivan Award at a number of Southern colleges and universities where it has since been bestowed annually on a student or students of high character.

Algernon Sydney Sullivan, a trial lawyer of the old school, did indeed leave an inspiring tradition to guide the firm he founded. He saw nobility in the law, and he had a sure sense of the moral principles

which had to be combined with practical skills to produce a sound system of jurisprudence for a rapidly growing young nation.

For a fuller account of Mr. Sullivan's life, a collection of his speeches, and some of the tributes to his memory, see *A. Holmes, Algernon Sydney Sullivan* (1929). For an account of Mrs. Sullivan, who continued to be an active civic leader for many years after Mr. Sullivan's death, see *A. Holmes, Mary Mildred Sullivan.* (1925).

2 WILLIAM NELSON CROMWELL (1854-1948) S&C 1879-1948

A full account of Mr. Cromwell's career is given to us in Arthur Dean's *William Nelson Cromwell, 1854-1948, an American Pioneer in Corporate, Comparative and International Law* (1957), and facets of his life and character are touched on in the firm's centennial pamphlet, *Sullivan & Cromwell 1879-1979, a Century At Law*. For a brief summary, we have the following notes of Eustace Seligman, prepared for his talk at the office dinner in November of 1972:

"William Nelson Cromwell was born in Brooklyn in 1854. His father had been killed in Grant's advance on Vicksburg, and he grew up in extremely straitened circumstances. On finishing high school he had to go to work to support his mother and brother, and he obtained a position as an accountant with Mr. Sullivan's firm. Mr. Sullivan soon recognized his extraordinary abilities, offered to put him through Columbia Law School, and on his graduation in 1876 took him into his office.

"Three years later, Mr. Sullivan's partner Mr. Fowler became Surrogate. The firm was thereupon dissolved, and on April 2, 1879, the successor firm of Sullivan & Cromwell was formed by Mr. Sullivan with Mr. Cromwell, who was then 25.

"Mr. Sullivan died in 1887 and Mr. Cromwell, although only 33 at the time, succeeded him as senior partner and continued as such nominally until his death in 1948 at the age of 94.

"Mr. Cromwell was a business lawyer, for which his training as an accountant was of great value and more than made up for his lack of a college education.

"After Mr. Cromwell's death Mr. Dean wrote a biography in which he summarized Mr. Cromwell's outstanding qualities as follows:

> 'The nation's industrial growth in the 1870's and 80's demanded a somewhat different kind of lawyer from the combination trial lawyer — politician — statesman — orator who were traditionally the leading members of the Bar. This need Mr. Cromwell was particularly well equipped to supply because of his flair for figures, passion for facts, and insistence on realistic economic analysis. His great gift for analyzing investments, his ability to ascertain the essential facts and to recognize social change, were among the attributes that particularly equipped him to be of service in these changed conditions.'

"Time does not permit even cursory reference to more than a few of the important matters on which he was engaged during his long career. One of these was the Illinois Central proxy battle in which he acted for E. H. Harriman in his fight with Stuyvesant Fish; another was the organization of United States Steel Corporation in which he participated and whose first president, for a month, was his partner William J. Curtis. Other financial leaders for whom he acted were Edmund C. Converse, Henry Villard, Captain Delamar and John H. Flagler.

"He is best known for his role in the acquisition of the Panama Canal by the United States. Retained as general counsel for the French Panama Canal Company, which had a concession from Colombia to build the canal, he participated in persuading our Government to adopt the Panama rather than the Nicaragua route, and to propose a treaty with Colombia which would give the United States the right in perpetuity to maintain a canal. Colombia refused to enter into such a treaty.

"Many inhabitants of the province of Panama (which had formerly been an independent nation) were incensed at the impending loss of the advantages the Canal would bring them and organized a revolt which finally resulted in a successful revolution and the setting up of the new Republic of Panama. It immediately entered into the treaty the United States desired, and thereafter the U.S. paid Mr. Cromwell's clients $40,000,000 for their assets and built the canal.

"President Theodore Roosevelt, who had sent three cruisers to Colon just before the revolution, to protect American lives and property, was accused with Mr. Cromwell of having fomented the revolution but they both denied it and no evidence has even been found to justify the charge."

Mr. Seligman's summary stopped short of what Mr. Cromwell must have regarded as one of the most important and emotionally involving periods of his dramatically varied life — the years of World War I, during most of which he remained in Paris. A strong adherent to the Allied cause, as the French suffered reverses in the early years of the war he took to heart the suffering of both the hard-pressed troops and the people living in devastated areas. When the United Stated entered the war on the Allied side, his partisanship became fiercer than ever, and at one point he felt that his partners were not taking seriously enough America's participation in the conflict. Reassured by a message from them that they did indeed feel a close involvement, Cromwell

wired bach to Jaretzki, Victor, William Curtis, Henry Hill Pierce, and Clarke Rosencrantz:

> "Am deeply touched by your cable especially as have been for many months saddened by belief you were not in sympathy with my conviction that our country is paramount to office. If you lived in this atmosphere and daily witnessed the magnificent universal sacrifices, sufferings, sorrow, you would feel as I do that mere personal gain is unworthy and that nothing now counts but humanity and the Allied cause . . . It is not how much I can gain but how much can I give of service and fortune and I intend to devote the war period in America and Europe to this paramount purpose. . . . Although professional duties here are reaching a conclusion with most cordial devotion of our clients I frankly avow my keen desire and firm intention of helping the war cause in every possible way, as nearly every man I know worthy of the name is doing. If any of our clients are not in sympathy with these sentiments then they have the misfortune of not realizing that we are living in a period when each day is as a year and each year has the significance of a hundred, and that this is probably the last great epoch in which we of maturity will ever be participants."

Long past the age where he might be considered for physical involvement in the war, Cromwell expressed his strong feelings in the way he was best able, by using his wealth to help alleviate suffering. He founded and underwrote the Atelier du Blessé Franco-Americain in Paris for wounded American and French soldiers, provided four rest homes for American officers, and was president and founder of a fund to maintain a memorial to the first squadron of U.S. aviators killed in France. He took a special interest in the cause of blinded soldiers, and became president of the Permanent Blind Relief War Fund. This concern remained with him for the rest of his life, and he became the president and founder of the American Braille Press for War and Civilian Blind, Inc., with offices in New York and Paris; this organization printed books, magazines, and music in Braille, distributing them to the blind in the United States, France, and other countries in Europe. Helen Keller presented him with a plaque to commemorate these services.

He also undertook to restore other kinds of war casualties. He gave generously to children's welfare centers, and contributed his time and money in the interest of rebuilding France. His large gifts were primarily instrumental in restoring the lace industry of Valenciennes, and the people of Bailleul made him an honorary life citizen. He played a

major role in establishing the Legion of Honor Museum in Paris, and gave generously to the French Fund for Scientific Researches.

In 1881, when the new firm, with offices in the Drexel Building on Wall Street, had been augmented by the association of William J. Curtis and Isaac Carrillo, young Cromwell thus described his state of well-being in a letter to his mother: "I am feeling splendid and have gained in flesh some & in general health considerable. I am pleased to know that you are beating me, and rivaling Jennie, by the scales. I have rented a house, dear, this morning. It is a plain, old fashioned building, on a very select street, Columbia Heights. . . . It is just opposite Mr. Beecher's house. It is not a 'stuck up' place, but very homelike, . . . I can afford to make it look nice inside and we will at last have a comfortable & pleasant home. . . . You can be accommodated at our place whenever you wish to come in, dear." By 1908, the material rewards of industry and talent unnicked by income tax enabled him to report in another of his always frequent letters to his mother, "Darling Mother: I am glad to see from your letters to Jennie that you keep well and are happy. I have forgotten to send you a check for some time past, and so I enclose one for two thousand. I want you to have everything possible that you can use. Spend money freely and give away all that you wish, for there is plenty more to come as fast as you want it. . . . Lots of kisses & love for the dearest Mother that ever lived from her loving Son William."

David McCullough, The Path Between the Seas (1977) provides additional facts, apocrypha and speculation about Mr. Cromwell's achievements on behalf of his French clients and his own country. "It was a highly unorthodox arrangement to say the least," opines McCullough, "to have the attorney for the corporation most directly in line to benefit from the treaty, a man with no official title, no rightful business to be involved in any official capacity, operating at will at the highest diplomatic level, instrumental to a degree exceeded only by the Secretary and the President, and with full impunity, but such was this exceptional man's influence over Hay and such it had been since the negotiations began. When Hay had at last put his large, legible signature to the treaty in January, it was to Cromwell that he turned and presented the pen." (p. 337) McCullough recalls the story of Senator Hanna's having advised President Theodore Roosevelt, "You want to be very careful, Theodore. This is very ticklish business. You had better be guided by Cromwell. He knows all about the subject and all about those people down there." The President's comment was, "The trouble with Cromwell is, he overestimates his relation to the cosmos." To which Hanna replied, "Cosmos? I don't know him. I don't know any of those South Americans; but Cromwell knows them all; you stick close to Cromwell."

Honors and awards which Mr. Cromwell received from foreign governments in recognition of his services and munificence included: *FRANCE*: La Légion d'Honneur, Chevalier (11 November 1919), Officier (10 August 1921), Commandeur (1 September 1923), Grand Officier (29 November 1930), Grand Croix (23 November 1935); Medaille d'Or de la Réconnaissance Française (15 July 1919); Diplôme d'Honneur avec Medaille de Vermeil de la Société Française de Secours aux Blessés Militaires (12 December 1921); Officier du Mérite Agricole (1 December 1922); Medaille d'Or de la Prévoyance Sociale (24 August 1923). *ROUMANIA*: Order of Regina Maria (5 July 1919); Grand Cross of the Order of the Crown of Roumania (28 November 1921); Grand Cross of the Order of the Star of Roumania (6 July 1927). *BELGIUM*: Medal of King Albert (12 February 1920); Cross of Chevalier of the Order of Leopold (6 November 1921); Grand Officier of the Order of Leopold (13 May 1932). *YUGOSLAVIA*: Grand Officer of the Order of San Sava (May 1931). *PANAMA*: Commemorative Medal of the Solidaridad (10 August 1925). *POLAND*: Commander of the Order of Polonia Restituta (2 May 1930). *PORTUGAL*: Grand Officer of the Order of the Benemerencia (25 June 1935). *ITALY*: Commander of the Order of Sts. Maurice and Lazare (5 February 1934).

[3] ISAAC CARRILLO S&C 1879-1886

Isaac Carrillo was Sullivan & Cromwell's first associate. He was with the firm at the time of its founding in 1879, having been previously associated with Sullivan, Kobbe & Fowler. Nothing is known of his origins and education or of his activities after leaving the firm.

[4] WILLIAM JOHN CURTIS (1854-1927) S&C 1880-1886, 1887-1919

Katharine Curtis Pierce, the only lady to have the distinction of being a daughter, wife and mother of Sullivan & Cromwell lawyers, wrote this account of her father's life.

William John Curtis was born in Brunswick, Maine, August 28, 1854, the son of John and Letitia Anne (Bammer) Curtis, the second of eight children. His early schooling was in small private schools in Brunswick. When he was 9 years old, he and his older brother, Christopher, were sent to the Lycee Imperial du Havre where they spent three years. Then the boys went to Liverpool and thence to Portland, Maine, by steamer alone. As their parents were away at sea when they reached Brunswick, they were sent to the Franklin Family School in Topsham for two years. From there they went to the Brunswick High School from which Father graduated in 1871. His father had died in 1870. It was necessary for Father to help in the support of his mother as well as to pay his college expenses at Bowdoin. At various times he was the coal merchant for Brunswick, telegraph operator, teacher, superintendent of the town entertainment program of lectures and concerts, etc. He graduated from Bowdoin in 1875 after which he went to Bangor where he was the City Editor of the "Whig and Courier". He did not like newspaper work and in 1876 he started to read Law in the office of Wilson & Woodward, Mr. Franklin Wilson having offered him the opportunity. He was admitted to the Bar in Maine in 1878 and a month later went to New York. There he was first employed as a law clerk by Mr. de Peyster Foster at $6. a week. His friend, Frank Upton, urged him to go to Columbia Law School and lent him the money to make it possible for him to do so. He took the 2 years course in one year and was admitted to the Bar in 1879. Professor Dwight gave him an introduction to Algernon Sydney Sullivan who employed him in the office of Public Administrator. After a short term of service there Elbridge Gerry, Jr. asked Father to join in forming the law firm which was called, Perry, Curtis and Gerry. In 1880 Mr. Sullivan asked him to accept em-

ployment in the firm of Sullivan & Cromwell which he did. He was managing clerk and did a great deal of court work being in court almost daily. In 1886, thinking that there was little opportunity for advancement with Sullivan & Cromwell, he formed a partnership with William Z. Larned, the firm name being Larned and Curtis. In 1887 Mr. Sullivan died and Mr. Cromwell immediately sent for Father and offered him a partnership in Sullivan & Cromwell. He accepted the offer and the relationship continued until his retirement from active practice in 1919. During his early years there he did all of the court work.

In 1881 he married Angeline Sturtevant Riley whom he had first met in Bangor. They went to live in Summit, New Jersey. Father took an active part in the life of the town. Through his efforts both a municipal water supply and sewage system were installed. He wrote the first sanitary code for the Board of Health. There was no adequate school for girls in town and he was instrumental in establishing the Kent Place School and was chairman of its first Board of Trustees.

His professional life was very active. One of his early experiences was securing naturalization papers for Henry M. Stanley who had gone to Africa to find Dr. Livingstone. Sullivan & Cromwell were selected in many assignments. The Decker, Howell & Co. case led to their becoming solicitors for the plaintiffs in the Northern Pacific R.R. receivership and then general counsel for the receivers. It was during this case that Father went to Berlin to sell certificates to raise money to improve the railroad. Later "an incident occurred which almost amounted to a grave professional scandal" as a result of which Father developed the theory that Federal judges were judges of one U.S. Circuit Court and not of independent Courts—a theory later upheld by the Supreme Court. Other important assignments were Price McCormick & Co. and W. G. Hitchcock & Co. Sullivan & Cromwell were counsel for E. C. Converse and organized the National Tube Co. that later became part of the U.S. Steel Co. of which Father was one of the incorporators and its first president for 30 days.

In 1897 Sullivan & Cromwell were retained by the Panama R.R. Co., the stock of which was owned by the New Panama Canal Co. the French company which was successor to the old company known as the de Lesseps Co. which had failed to build the canal. The new company employed a Technical Commission to find out if such a canal were practicable. At this time a group of promotors of the Nicaragua Canal was very active. Though the Panama Canal was two-fifths built people in the United States were very hostile to the project. There was a long fight in both the House of Representatives and Senate over the question of which route should be approved. Through the Speaker of the

House, Thomas B. Reed, both routes were examined. The New Panama Canal Co. refused to sell its property and therefore the Commission reported in favor of Nicaragua. The president of the company thereupon resigned and the new president cabled willingness to sell. The Commission then reported in favor of Panama. The money, $40,000,000, was paid by the United States to the Bank of France and distributed in France to the bondholders. Panama was then, 1903, part of Colombia and it was necessary to negotiate a treaty with Colombia to vest the title of the Canal Zone in the United States. The Hay-Herran Treaty was negotiated and ratified by the U. S. Senate. Colombia refused to ratify. The residents of the Isthmus decided to revolt and actually did in November 1903. The U. S. recognized the Republic of Panama and the Hay-Bunau-Varilla Treaty was negotiated and ratified.

Father continued to be active in trial work. This and the pressure of other work caused a serious physical breakdown in 1902 to which he attributed his deafness. The deafness was complete for many years but after his retirement enough hearing returned so that he could use a hearing aid.

He was always interested in politics and for many years was active in the Democratic party. Before his marriage he joined the County Democracy and stumped Steuben County during the Hancock campaign. In New Jersey he organized Cleveland's 1886 campaign and went to the State Convention which instructed its delegates for Cleveland and thence to the National Convention. He organized the Sound Money Campaign in New Jersey in 1896 and went to the National Convention that nominated Palmer & Buckner in opposition to Bryan. He was one of the early supporters of Woodrow Wilson and in 1907 wrote a number of letters to friends in the west and south suggesting him as the next candidate for the Presidency.

In 1919 Father resigned from Sullivan & Cromwell and became counsel to (James F.) Curtis, Fosdick and Belknap and continued that relationship until his death in 1927.

The family lived in Summit until 1906 when Father sold the house and moved to New York. Almost all of his vacations were spent in Maine, after 1896 in Camden where he built a house in 1900.

He was a loyal son of Bowdoin all of his life giving generously of his time and money to the College, the latter always as "from the class of 1875". He served as Overseer, than Trustee and was for years Chairman of the Finance Committee; during his term the college finances were reorganized and a large endowment fund was raised.

The Library in Brunswick was given by Father in memory of his father and the garden around it in memory of his mother.

5 **GEORGE CARLTON COMSTOCK** (-1929) S&C 1880-1885

George Comstock, later a member of Olney & Comstock, was associated with Sullivan & Cromwell from 1880 to 1885. He died April 6, 1929.

6 **ALFRED JARETZKI, SR.** (1861-1925) S&C 1881-1925

Mr. Jaretzki Sr. was born on August 7, 1861, in New York City, the son of Gustave and Henrietta Jaretzki. He attended public school in New York and was graduated from the College of the City of New York in 1878 and with high honors from Harvard University in 1881. He attended Columbia Law School, from which he was graduated in 1884. In 1890 he married Tillie Shiro.

He entered S&C in 1881, its third year. In 1894 he was admitted to the firm. He became active managing partner of the firm around the turn of the century, and continued in this position until 1915, when he was succeeded by Royall Victor.

Mr. Jaretzki directed many large reorganizations, and under his guidance and advice many of the large corporations of this country were created. He was also counsel and executor and trustee in many large estates. Among these were the estates of Joseph R. De Lamar and Edmund C. Converse.

He had a large share in the Northern Pacific reorganization and the contest for control of the Illinois Central Railroad and the Wells Fargo Company. He had been Chairman of the Executive Committee of the Bar Association of the City of New York, and Vice President of the Association.

Mr. Jaretzki left not only a distinguished professional legacy, but a family one as well. His son, Alfred Jaretzki, Jr., was a partner of S&C for forty-four years; and his daughter, Maud, married Eustace R. Seligman, a partner from 1923 to 1971.

At the time of his death in New York at the age of 63, Mr. Jaretzki also left his wife, the former Tillie Shiro, and another daughter, Mrs. Alice Cooper.

Director: American Bank Note Company; Coronet Phosphate Company; Cuba Cane Sugar Corporation; D. Appleton - Co.; Detroit Edison Company; Great Falls Power Company; Hecker-Jones-Jewell Mining Company; Manati Sugar Company; Merck & Co.; Montana Power Company; Standard Milling Company.

Trustee of Mount Sinai Hospital; Director of Baron de Hirsch Fund and Jewish Agricultural and Industrial Aid Society. Member of Ethical Culture Society.

Member: Phi Beta Kappa; City Midday Club; St. Andrews Golf Club; The Century Country Club; The Westchester Biltmore Country Club; The Harmonie Club; The Harvard Club.

7 GEORGE HAMMOND SULLIVAN (1859-1956) S&C 1884-1918

George Hammond Sullivan was born in New York City on November 20, 1859. He was the son of Algernon Sydney Sullivan and the former Mary Mildred Hammond.

Mr. Sullivan attended Columbia College and Harvard College. He received an LL.B. degree from Columbia Law School in 1884. At this time, he was admitted to the bar and entered his father's office, becoming a partner a short time later.

George Sullivan worked with S&C for thirty-four years. In 1918, he retired and turned his attention to humanitarian work. Much of this work he did with his mother until she died in 1933. After her death, he continued those efforts alone.

Mr. Sullivan and his mother were concerned with spreading education in the South. Together they set up the Algernon Sydney Sullivan Foundation to provide scholarships and student aid to Southern universities and colleges. Since then, the New York Southern Society has sponsored the Sullivan Award. This award recognizes Southern students with outstanding characters.

Along with his mother, Mr. Sullivan involved himself with work for the New York Nursery and Child's Hospital. He continued this work alone after his mother's death, carrying it on for the hospital's successor, the New York Child's Foster Home Service. He kept up his interest in this as it joined with the Sheltering Arms and became the Sheltering Arms Children's Service.

Mr. Sullivan showed special interest in a number of Southern art schools, particularly the George Peabody College for Teachers in Nashville, Tennessee. He facilitated its expansion, and as a result it became a center of art instruction in the South. He donated a great deal of art work to the school, including paintings, sculpture, prints and books.

Mr. Sullivan was awarded the Decoration of Honor for distinguished service by Rollins College. In addition, the New York Southern Society conferred on him a citation for his great help to Southern education.

Mr. Sullivan died in 1956 at the age of 96 having lived a full and always active life. He served as chairman of the floor committee of the famous Charity Ball of New York for seventy-seven years. The year he died, he was honorary chairman of the Descendants Committee for the One Hundredth Anniversary of the Charity Ball.

Mr. Sullivan had belonged to the American Numismatic Society, the Harvard Club, the Columbia Alumni Association, the New York Southern Society, the New York Historical Society, the Virginians, the Association of the Bar of the City of New York, and the New York County Lawyers' Association.

8 WILLIAM L. STONE S&C 1884

William L. Stone received his LL.B. degree from Columbia in 1883. Later in life, he lived in White Plains, New York. He died before 1957.

9 ALEXANDER S. BACON (1854-1920) S&C 1884-1885

Alexander Bacon was born in Jackson, Michigan and was graduated from West Point with the class of "rebellious 76." After three years as an artillery lieutenant, he gave up the army and became a lawyer, moving to Brooklyn to practice in 1880. He was commanding officer of the 2nd Provisional Regiment N.b.N.Y. and was elected to the Legislature in 1887. There he headed the Bacon Investigating Committee that looked into political corruption in the city. In politics, Colonel Bacon was an independent Democrat. In 1906 he ran for Justice of the Supreme Court on the Independence League Ticket, and in 1915 he was nominated for the same office under the emblem of the American Party, founded by William Sulzer, whom he defended in the impeachment trial at Albany.

Colonel Bacon was a lecturer and a prolific writer on legal, military and Masonic subjects.

10 CANBY T. CHRISTENSEN S&C 1886-1887

Canby T. Christensen attended Columbia Law School before joining S&C. He continued to live in New York after leaving the firm.

11 MERRITT E. HAVILAND (1855-1932) S&C 1887-1890

Mr. Haviland, a graduate of Cornell, pursued his legal career in New York City after three years association with S&C. He was active in the improvement of the situation of Black Americans and served as Chairman of the Board of Advisors of the Colored Orphan Asylum and the Association for the Benefit of Colored Children in New York City.

He was a member of the State & City bar associations, the Theta Delta Chi Fraternity, the Barden City Golf Club, Seniors Golf Association, University Club, St. Nicholas Society and the New York Athletic Club. He was a director of the Maiden Lane Realty Company and vice president of the 665 Fifth Avenue Company.

[12] HECTOR H. TYNDALE (1855-1928) S&C 1887-1912

Hector Tyndale was born in Illinois in 1855, the son of Sharon and Mary Hilgard Tyndale. He practiced law in New York City for many years, twenty-five of them with S&C.

Mr. Tyndale and William J. Curtis were among those active in drafting the 1889 amendments to the New Jersey Statutes which permitted a New Jersey corporation to purchase the stock of other companies which manufactured materials necessary to its business. This was considered at the time to be a great breakthrough.

He lived at the Phi Gamma Delta Club on West Forty-fourth Street in New York City prior to his admission to the Murray Hill Sanitorium, where he died on February 25, 1928.

[13] CLARENCE M. LEWIS S&C 1890-1894

Regrettably, no information.

[14] GEORGE DOUGLAS S&C 1891-1899

Regrettably, no information.

[15] ROBERT GIBSON S&C 1891-1892

Regrettably, no information.

[16] WOLCOTT GRISWOLD LANE (1886-1979) S&C 1891-1893

Wolcott Griswold Lane was born in Sandusky, Ohio in 1866. He studied at St. Paul's School in Concord, New Hampshire before entering Yale as a member of the Class of 1888. He graduated from Columbia Law School in 1891 and practiced at Sullivan & Cromwell from 1891 until 1893. Mr. Lane left the firm to co-found the firm of King, Lane & Trafford. He enjoyed a long and successful career at the bar, much of it as a member of King, Lane & Trafford, and mainly in probate law in which he specialized.

Mr. Lane was also active in welfare work, particularly with the rehabilitation of youth. He served for 25 years as a trustee of the Children's Aid Society in New York, as president and trustee of the Berkshire Industrial Farm for Boys in Canaan, New York and, for 25 years, he provided a summer camp for boys at Blackhall, Connecticut. In addition to his deep commitment to such social concerns, Mr. Lane served as a vestryman of St. George's Protestant Episcopal Church of New York for 35 years.

Mr. Lane was also a member of the New York and the American Bar Associations, and of the Yale, Union, Downtown and University Clubs. He was married to the former Edith Greene Perkins of Old Lyne; she died in 1934. Mr. Lane died on November 11, 1979.

[17] EDWARD BRUCE HILL (1853-1930) S&C 1891-1917

A native of Worcester, Massachusetts, Mr. Hill was descended from New England colonists and became one of the Charter members of the Society of Mayflower Descendants. He graduated from Harvard College in 1874 and the law school two years later. From 1877 to 1883 he was an Assistant United States Attorney in New York City, after which he practiced alone for eight years. He worked for Sullivan & Cromwell from 1891 until his retirement in 1918. He died at the age of 77 at his home in Petersham, Massachusetts. He was survived by his second wife, Eliza Curri Tuck and by a daughter, Amelia.

[18] WILLIAM FARGO KIP (1855-1905) S&C 1893-1894

William F. Kip was born at East Aurora, New York in 1855, the great grandson of Samuel Kip of Kip's Bay, New York.

He first successfully established his law practice in Buffalo, New York, moving to New York City after 1890. After practicing at S&C, he pursued several other careers. A "gentleman of studious tastes, a linguist of unusual accomplishments, and a cultured lover of books", Mr. Kip was the first librarian of the Association of the Bar of the City of New York. Mr. Kip was also the private secretary of President Grover Cleveland in his first term. Highly respected, Mr. Kip was a member of the Holland Society and of the Century Association.

The victim of a brain disease, Mr. Kip died in a sanitarium in Provincetown, Massachusetts on July 5, 1905.

[19] EDWARD d'O. TITTMAN S&C 1893-1895

At the time of his death on February 8, 1957, Mr. Tittman was retired and living in Hillsboro, New Mexico.

[20] WILLIAM FREEMAN GOLDBECK S&C 1894-1896

Mr. Goldbeck was born in New York City on February 15, 1860. He was the son of Robert Goldbeck, musician and composer, and Mary, daughter of George Freeman, artist and portrait painter. Mary Freeman Goldbeck was also an artist.

After attending various schools and academies in this country, Mr. Goldbeck studied at the Frederick Gymnasium in Berlin, Germany (1874-1881), being graduated with first honors, and subsequently studied law and politics at the University of Berlin. Returning to New York, he continued the study of law with the firm of Dunning, Edsall, Hart & Fowler and was graduated from Columbia Law School in 1885. In the same year, he was admitted to the New York Bar.

He began to practice law in Brooklyn in 1886, but in 1888 moved his practice to Manhattan. First associated with Stem & Kingsbury and Stem & Rushmore between 1890 and 1893, he came to S&C in February 1894, the twentieth person to enter the office.

He also held a position as an instructor in ancient and modern languages and wrote a book on New York Practice.

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[21] **EDWARD T. McLAUGHLIN** (1872-1938) S&C 1894-1902

Edward T. McLaughlin was born in 1872 in Jersey City. He served in the Spanish-American War as a gun captain on the U.S.S. Yankee. He died in 1938.

He was survived by a brother, Dr. George G. McLaughlin; a niece, Elizabeth D. Lockwood; and a nephew, Harold E. Holmes.

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[22] **CLARK M. ROSENCRANTZ** (1869-1920) S&C 1894-96, 1914-20

Mr. Rosencrantz was born in Sparta, Wisconsin and after graduating from the University of Wisconsin studied law under the late United States Senator John Spooner. After completing his studies and spending two years as an associate of Sullivan & Cromwell, Mr. Rosencrantz took up the practice of law in Milwaukee and became one of the leaders of the Wisconsin bar. In July 1914 he returned to New York as a member of Sullivan & Cromwell and remained with the firm until his death in 1920.

He was a member of the University Club, Metropolitan Club, Bankers Club, and the Bar Association of the City of New York.

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[23] **CHARLES E. MAHONY** S&C 1895-1902

Mr. Mahony was a graduate of Columbia. He continued to live in New York after leaving S&C. He died before 1957.

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[24] **FREDERICK C. GLADDEN** S&C 1896-1897

Regrettably, no information.

[25] **ALBERT S. RIDLEY** (1869-1952) S&C 1896-1938

Albert Sidney Ridley was born in Bowdoin, Maine, on December 27, 1869. He attended Lewiston High School and graduated, a member of Phi Beta Kappa, from Bowdoin College in 1890. He spent a year following graduation with the U.S. Census Bureau in Washington, D.C., and then studied law with White and Carter of Lewiston, Maine. He was admitted to the Maine Bar in 1895. In January 1896 he entered the office of S&C, in 1898 was admitted to the New York Bar, and thereafter practiced mainly in the real estate field. After his retirement from practice on December 31, 1938, Mr. Ridley spent his winters in St. Petersburg, Florida, where he was very active in the Bowdoin Club. He also maintained a residence in New York City. He died August 8, 1952.

Mr. Ridley's wife was the former Agatha Dorothy Crouch; they had a daughter, Alberta Dorothy (Mrs. John) Berger.

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[26] **WILLIAM PORTER CHAPMAN, JR.** (1870-1947) S&C 1897-1906

William Porter Chapman was born in Norwich, New York in 1870, the son of William Porter Chapman and Mary E. Chapman. He received his A.B. from Cornell in 1895, was admitted to the Bar in 1896 and became associated with Sullivan & Cromwell the following year. He was with S&C until 1906 when he left to become a partner in the firm of Sackett, Chapman and Stevens. In 1920 the firm became Sackett, Chapman, Brown & Cross. He retired from that firm and active practice in 1943.

Mr. Chapman's law practice included service for many years as general counsel to the American La France and Foamite Corporations and to Brentano's Inc. He represented Cornell University in a suit brought by the Superintendent of Insurance against the stockholders of the Westchester Title & Trust Company. He was a Director of the New York Savings Bank.

In addition to a busy legal practice, Mr. Chapman was an enthusiastic scholar and collector of art. He was considered an authority on etchings and presented a large and valuable collection of them to Cornell University.

In 1902 Mr. Chapman married Madeleine Raymond who died in 1937. He died at Scarsdale, New York on March 21, 1947. At the time of his death he was a member of the Cornell University Club, the City Club of New York, the Association of the Bar of the City of New York, and the Scarsdale Art Association of which he had been president.

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[27] HARLAN FISKE STONE (1872-1946) S&C 1898-1899; 1923-1924

Although Harlan Fiske Stone spent only two brief periods with Sullivan & Cromwell, he had one of the most illustrious public careers of any lawyer in the firm's history, serving as Dean of the Columbia Law School, Attorney General of the United States, Associate Justice of the United States Supreme Court and Chief Justice of the United States.

Stone was born in Chesterfield, New Hampshire on October 11, 1872. He graduated from Amherst College in 1894, where he was a contemporary of Calvin Coolidge. After a brief period as a secondary school teacher, Stone attended Columbia Law School, where he received his law degree in 1898. Upon graduation from law school, he joined Sullivan & Cromwell but left after a year to practice with the firm of Wilmer & Canfield (later Wilmer, Canfield & Stone). During his early years at the bar, he was a part-time teacher at Columbia. In 1910 Stone was named Dean and Kent Professor of Law. He was an extremely successful law professor and dean and had a strong and loyal following among his students. Among those who studied under Stone was his future Supreme Court colleague, William O. Douglas, who considered him "one of the very best, if not the best law teachers we ever had."

After 13 years as dean, professor and part-time practicing lawyer, Stone left Columbia to return to Sullivan & Cromwell as partner in charge of the litigation group. His second stay with the firm was a short one, since he accepted an appointment by President Coolidge as Attorney General and took office April 7, 1924.

The new Attorney General took office at a time when public confidence in the administration of justice had been severely undermined following the scandals of the Harding administration, in which Stone's predecessor, the notorious Harry M. Daugherty, played a prominent part. President Coolidge gave Stone the broadest possible discretion to reorganize and reform the Department of Justice, which he proceeded to do with notable vigor and effectiveness. During his service as Attorney General, he was primarily responsible for two appointments which were to have lasting significance: the elevation of Judge Learned Hand from the U.S. District Court to the Court of Appeals for the Second Circuit, and the appointment of the 29-year-old J. Edgar Hoover as Director of the Federal Bureau of Investigation.

Stone had intended to return to the firm after his service as Attorney General. He never made it back but had the satisfaction of seeing his son Lauson join Sullivan & Cromwell in 1928. Early in 1925, Supreme Court Justice Joseph McKenna retired and President Coolidge persuaded Stone to accept appointment as McKenna's successor. Stone, who was Coolidge's only appointment to the Supreme Court,

served on the Court for the rest of his life, a period of more than 20 years. Before becoming Chief Justice, he had progressed to the top Associate Justice in seniority. He was the only man in history ever to occupy successively every seat on the nine-member bench.

On two occasions, Stone seriously considered resigning from the Court, first in 1932 when Cardozo's appointment was being opposed because of geographical imbalance and Stone offered to quit if that would make it easier for President Hoover to appoint Cardozo. This offer was not accepted, and Cardozo was nevertheless appointed. The following year, John Foster Dulles made a determined effort to get Stone to return to Sullivan & Cromwell, an offer which was declined with some reluctance.

During his early years on the Court, Stone was an effective but inconspicuous member. The early New Deal period brought him to prominence as a leader of the minority faction which emphasized judicial restraint in reviewing the constitutionality of legislation enacted for social and economic reform, as opposed to the traditional "substantive due process" view under which the Court could invalidate regulatory statutes considered to be unreasonable. By the late 1930s, his position had become the majority view as older judges left the Court. His general approach to constitutional questions was succinctly set forth in a footnote to the Court's decision in *U.S. v. Carolene Products Co.*, 304 U.S. 144, 152 (1938), which was one of the most famous footnotes ever written in a Supreme Court opinion. Stone believed that legislative enactments which regulated business or commerce were entitled to a presumption of constitutionality because they were a product of the normal political processes by which legislative judgments were made. Such validity, however, was not to be presumed in the case of legislation which tended to restrict or interfere with the political processes. The Court would scrutinize more closely statutes which appeared to be directed at particular religious, national or racial minorities or which might tend seriously to curtail civil liberties. This approach gained adherents among the new justices appointed by President Roosevelt, and substantive due process soon became an obsolete theory.

When Chief Justice Charles Evans Hughes retired in 1941, Stone was appointed to succeed him. The appointment of a Republican Chief Justice by a Democratic President was attributable in part to Roosevelt's desire to advance bi-partisanship in his administration on the eve of World War II. Stone served as Chief Justice during the war years, when the Court was called upon to decide numerous difficult cases involving utilization of emergency powers. Jealousy and divisions among some of the associate justices in this period, particularly the bitter feud between Justices Black and Jackson, tended to limit the Court's effective-

ness and, in the view of some, adversely affected Stone's health. On April 22, 1946, Stone collapsed on the bench while reading an opinion. He died later that day.

"He was," said Learned Hand, "a thorough craftsman as a judge. He steered a course at times very difficult and he had the right — absolutely right — measure of a Court's limitation on constitutional questions. . . He could take over and apply the best thought of his time, but he was not an originator and, to be frank, had not an original mind."

Although by most evaluations Stone ranked a step below the great figures in the history of the Supreme Court, he was one of the most influential actors at a critical point in the Court's history. His persuasive powers played an important part in convincing the Court to take a more moderate position toward the New Deal at a time when the Court as an institution was severely threatened by Roosevelt's "court packing" plan. As a practical jurist he was always respected, even by his philosophical opponents. As Chief Justice he was less effective, perhaps being too gentle a personality to succeed as a strong administrator.

In his private life and personal relations, Stone was a man of great warmth and charm, with wide-ranging cultural interests. According to his biographer, he "had dignity but not too much." He was a noted gourmet and connoissuer of wines as well as a dedicated patron of literature and the arts. He served for many years as a trustee of the Folger Shakespeare Library, near the Supreme Court building, and Felix Frankfurter once remarked that the library was an institution which Stone loved even more than the Court. Stone was a man who knew how to enjoy life. He commanded the strong personal loyalty of his colleagues, subordinates and social acquaintances and left an indelible impression upon all who knew him.

The standard biography is *Harlan Fiske Stone, Pillar of the Law,* by Alpheus Thomas Mason (1956). Mason was given access to Stone's complete papers, including many of his conference notes and draft opinions, and this raised questions of the propriety of the use of such material, a controversy which was revived with the recent publication of the Supreme Court study, *The Brethren* by Woodward and Armstrong (1979).

Stone received many honors, including numerous honorary degrees, awards from bar associations and recognition for his work in the arts and letters.

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28 **HENRY W. CLARK** S&C 1899-1904

Henry W. Clark was born in Castleton, Vermont in 1874. He graduated from the University of Vermont with honors in 1897. Two years later, he was admitted to the New York Bar after studying law at New York Law School.

Mr. Clark joined S&C in 1899 and worked here for five years. After an association with the law firm of O'Brien, Bordman & Platt, Mr. Clark joined the legal department of the Union Pacific and Southern Pacific Railroad Companies in 1909. In 1913, he became counsel and seven years later, general counsel of the Union Pacific. During his associations with the railroads, he became an active member of the law committee of the Association of American Railroads. At the time of his death in 1942, he had become vice president, general counsel and a director of the Union Pacific Railroad.

Mr. Clark had been a member of the law firm of Clark, Carr & Ellis. He was a member of Phi Beta Kappa, the Society of Mayflower Descendants and the Bankers Club of America. In 1922, twenty-five years after his graduation from college, he received an honorary Doctor of Laws degree from the University of Vermont.

One of Mr. Clark's favorite forms of relaxation was movie-going. He often returned to see a film a second time. In 1941 he bought the 50,000,000th ticket sold by Radio City Music Hall and as a result of this was honored at a special ceremony in the theater's lobby.

In 1904, Mr. Clark married Marion C. Logan, who died in 1936. At the time of his death, Mr. Clark was survived by his sister, Miss Alleyne Clark.

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29 **FRANCIS DEAN POLLAK** (1875-1916) S&C 1899-1916

Francis Dean Pollak was born in 1875. He attended Columbia University Law School and received his LL.B. degree in 1899, after which he was admitted to the New York Bar.

Also in 1899, Mr. Pollak began his successful but short career with S&C, becoming a partner in 1906. He participated in many trials, administrative hearings and appellate arguments while practicing law at S&C.

On October 5, 1916 in Baltimore, Maryland, Mr. Pollak died at the early age of 41.

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30 **JAMES C. CONVERSE** S&C 1900-1902

Regrettably, no information.

31 **GEORGE HARWOOD OLNEY** (1872-1950) S&C 1900-1903

George H. Olney was born in Milton, New Hampshire. He was the nephew of the Richard Olney who served as Secretary of State under

President Grover Cleveland. He was also the brother of Representative Richard Olney of Boston.

Mr. Olney graduated from Leicester (Massachusetts) Academy in 1891. He received a college degree from Brown University in 1895 and a law degree from Harvard Law School in 1898.

After graduating, he moved to New York to practice law with S&C. In 1913, he became vice president and general solicitor of the United Gas & Electric Company. By 1917, he was counsel for the Brooklyn Edison Company. He remained in this position until his retirement in 1936.

Mr. Olney died in 1950 at the age of 78. He was a member of the Century Association. He was survived by his wife, Ann; two sons, Robert S. and Daniel G.; a sister, Miss Catherine Olney; and two grandchildren.

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32 **WILLIAM VINCENT ROWE** (1861-1930) S&C 1902-1911

William Vincent Rowe, the son of Levi Smith and Mary (Fowle) Rowe, was born at Stoneham, Massachusetts on October 28, 1861. He received his LL.B. degree from Boston University in 1882 and in the same year travelled to New York where he began the practice of law with the office of Evarts, Choate & Beaman. While at that firm he was closely associated with Joseph H. Choate, and he was ultimately able to be of great assistance to Mr. Edward S. Marin, Mr. Choate's biographer.

When the Evarts, Choate firm was dissolved at the end of 1901, Mr. Rowe came to Sullivan & Cromwell where he remained until October of 1911. After leaving Sullivan & Cromwell, he practiced in New York City until 1922 and then returned to Massachusetts to retire in Newton Centre. In retirement he served as a lecturer on the subject of legal ethics at the Law School of Boston University until 1926.

In addition to being a member of the Association of the Bar of the City of New York and its Corresponding Secretary from 1919-1923, Mr. Rowe was a member of The Century Association, Downtown Association, Union League Club, of The City Club of Boston, of Five Bar Associations, of the New England Society (New York) and various other Societies. He died at his home in Newton Centre on April 28, 1930.

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33 **CARL R. GANTER** (1878-1963) S&C 1902-1910

Carl R. Ganter graduated from Kenyon College with the Class of 1899. In September of the same year he entered the Law School at Columbia where he was on the Board of Editors of the Law Review. After he

graduated from Columbia in 1902, Mr. Ganter came to Sullivan & Cromwell where he remained until 1910.

Thereafter, as a member of the New York firm of Glenn & Ganter he represented important banks and corporations. His practice was interrupted by a period of service during World War I in the legal division of the Chemical Warfare Service.

Mr. Ganter left Glenn & Ganter and the practice of law in 1929 to devote full time to his activities as a connoisseur of wine and food, an interest which he shared with his close friend, Mr. Justice Harlan Fiske Stone (*q.v.*). He became an adviser on wines to Colonial Williamsburg. He was a director of the Wine and Food Society of New York, commander of the Order of Bontemps de Medoc, chairman of the wine committee of the University Club of New York, and adviser to the wine committee of the Bohemian Club of San Francisco. In addition to his myriad activities as a wine expert, Mr. Ganter was a trustee of his alma mater, Kenyon College, for several decades and president of Alpha Delta Phi fraternity from 1939 to 1942.

Carl R. Ganter belonged to the Pilgrims and Players of New York. He died on 10 November 1963 at the age of 85.

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34 **WILLIAM FRIEND CORLISS** (1872-1960) S&C 1902-1952

Born in Gloucester, Massachusetts on August 28, 1872, William Friend Corliss graduated from Harvard College in 1894 and received his law degree from Harvard Law School in 1897. He was admitted to the New York bar in the same year. He began his association with Sullivan & Cromwell in 1902.

Mr. Corliss practiced law with Sullivan & Cromwell for more than 50 years. He specialized in estate matters and was an expert in Latin American law. With other lawyers in the office, Mr. Corliss frequently represented the firm in matters pertaining to the Cuban sugar industry.

Though he retired formally in 1939, Mr. Corliss continued to appear at his office daily until five years before his death on July 1, 1960 at his home in Freeport, Long Island.

Arthur Dean recounts that Mr. Corliss was a Harvard man and of the old school of scholarly lawyers who believed that a split infinitive was one of the unpardonable sins mentioned in the Bible. He recalls that: "After Charles G. Dawes was nominated on the Republican ticket in 1924 as President Coolidge's running mate, General Dawes used dozens of split infinitives in his acceptance speech. I sent Corliss a copy

of the Herald Tribune with red pencil marks around all of the split infinitives and asked how Corliss could reconcile his conscience if he voted for such a man as Vice President. Corliss' reply said that if I had gone to Harvard rather than to an agricultural college upstate, I would have known that one does not vote for President or Vice President but for Presidential and Vice Presidential electors, and that he would leave the matter to their consciences."

Mr. Corliss was a member of the Association of the Bar of the City of New York, New York County Lawyers' Association, New York State Bar Association and the Harvard Alumni Association. He was survived by his wife, Emma Louise James Corliss. They had no children.

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35 **EMERY H. SYKES** (1874-1956) S&C 1903-1950

Emery H. Sykes was born in Auburn, Maine on February 17, 1874. He graduated from Bowdoin College with the class of 1894. After graduation he taught school in Billerica, Massachusetts for a few years, but left to attend Columbia Law School, from which he graduated in the class of 1902. He played football with considerable ability for Bowdoin and then for Columbia while at the Law School.

After being admitted to the New York Bar in 1902, Mr. Sykes became associated with Sullivan & Cromwell on October 1, 1903. While with the firm, he practiced predominantly in the field of litigation, handling many important corporate and commercial cases. He worked with both John Foster and Allen W. Dulles, and with Harlan Fiske Stone. He was widely and affectionately known for his courteousness and helpfulness as well as for his legal skills. He retired from practice on December 31, 1949.

Mr. Sykes died November 7, 1956 at the age of 82 in Lafayette, California. He was survived by his wife, Mrs. Lillian Stenler Sykes; two daughters, Mrs. Janet Poulton and Mrs. Mildred Klitgaard; and six grandchildren.

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36 **ROYALL VICTOR** (1878-1926) S&C 1904-1926

Royall Victor was born in Brooklyn in 1878. His father, who was in railroad construction work, moved the family to California when Mr. Victor was still a child, and there he was educated through his college years.

Following his graduation from Stanford University at the turn of the century, Mr. Victor moved back to the East Coast to study law at Columbia, graduating in 1903. He came to Sullivan & Cromwell in 1904, and within seven years became a partner.

Royall Victor's leadership and administrative abilities were recognized by his selection in 1915, at age 38, to succeed Alfred Jaretzki as managing partner of the firm. He held the position until his untimely death in 1926.

Mr. Victor worked for the nomination of Herbert Hoover, a Stanford classmate, in the Republican Convention of 1920, and was an active supporter thereafter. Perhaps inspired by his younger partner's enthusiasm, William Nelson Cromwell was also a loyal advocate of Hoover as candidate and president.

On May 30, 1926, at the age of 48, Mr. Victor, a skillful sailor, died of a heart attack a mile-and-a-half from shore while sailing his yacht in the Oyster Bay Regatta. In its front-page obituary the following day, *The New York Times* mourned the early loss of "one of the ablest lawyers engaged in corporate practice in the city."

Mr. Victor was the progenitor of a distinguished legal family: his son, Martin Victor, began his career at S&C and went on to become general counsel and secretary of the Babcock & Wilcox Company. Another son, Royall Victor, has for many years been a partner of Cravath, Swaine & Moore; and David Victor, a member of the third generation, began practice with Davis, Polk and then, together with S&C alumnus Joseph P. Martori (*q.v.*), became a founding member of the Phoenix, Arizona law firm of Martori, Meyer, Henricks & Victor.

Vice-President and director of the American Agricultural Chemical Company and Anderson, Meyer and Company, Ltd.

Director of Gold Dust Corporation; Hecker-Jones-Jewell Mining Company; Manning, Maxwell and Moore, Inc.; Standard Mining Company; Detroit Edison Company; European Shares, Inc.

Member of the Union League Club, the Recess Club, The Nassau Country Club, the Greek Club, The University Club, and The Down Town Association.

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37 **WADDILL CATCHINGS** (1879-1968) S&C 1904-1908

Mr. Catchings was born in Sewanee, Tennessee on September 6, 1879. He received a bachelor's degree from Harvard in 1901 and three years later was graduated from the Harvard Law School. He then became associated with S&C, where he worked largely on the affairs of companies in receivership.

During World War I, Mr. Catchings was associated with the export department of J.P. Morgan & Co. He joined the banking firm of Goldman, Sachs & Co. in 1918 and resigned from that firm in 1930. With unfortunate timing, but with the pervasive optimism of the times, in the late '20s he had a leading role in creating the Goldman Sachs Trading Corporation, a holding and investment company which was said to

have had assets in excess of $250 million in 1929 on the eve of the great stock market crash.

In the late 1920's and early 1930's, Mr. Catchings served as a director of more than thirty corporations simultaneously, including Warner Brothers-Seven Arts and Chrysler. Until shortly before his death, he was president of the Concord Fund, Inc., a small mutual fund in New York.

A well-known practical economist and author, Mr. Catchings was a tall, slender, unassuming man with a full head of thick, white hair and a trace of a Southern accent.

He said that after his first experience in business he noticed a dichotomy between the economic ideals he had acquired as an undergraduate at Harvard University and real practices. Professors had "casually explained that their theories would hold true in the long run," he said. "But what people are interested in is the short, not the long, run. So I made up my mind that as soon as I had enough money I would set about reconciling these two phases of business — theory and practice."

Mr. Catchings and a Harvard classmate, William Trufant Foster, organized the Pollak Foundation for Economic Research, which published several books that advanced their ideas — "Profits," "Money," "Business Without a Buyer" and "The Road to Plenty." The books attracted much attention in the years following the painful recession of 1920-21, which was to be overshadowed by the Depression.

Central to Mr. Catchings' economic theory was the contention that this was a world of money and profit, rather than of exchange, as the classicists taught. "If business is to continue zooming, production must be kept at a high speed, whatever the circumstances," he said. Flexibility was one of the keystones of his theory — if production of one line proved unprofitable, a shift should be made quickly to another line. Mr. Catchings stressed the close interrelationships of a dynamic industrial economy. "What this amounts to is this," he said. "Production would bring about consumption. Consumers would find work and spend money which would eventually accrue to the producers."

Mr. Catchings died in Florida at the age of eighty-eight.

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[38] HENRY A. YEOMANS (1877-1976) S&C 1904-1909

Professor Yeomans was born in Ashtabula, Ohio on February 5, 1877, the son of William Andrew and Eva Mandane Nettleton Yeomans. He attended Spokane High School in Spokane, Washington and then entered Harvard, where he was a member of Phi Beta Kappa and a summa cum laude graduate with the class of 1900.

Before entering law school, Professor Yeomans spent a year studying and teaching at Harvard, as Austin Teaching Fellow in History and Government. He received the A.M. degree in 1901. In 1904, the year he graduated from Harvard Law School, Professor Yeomans began his five-year stay at S&C. He married Olive Livingston Gilbert in St. Paul, Minnesota on May 1, 1905.

Partly for reasons of health, Professor Yeomans went back to Harvard in 1910 as a lecturer in government. Two years later, he was appointed assistant dean of Harvard College. By 1917, he was a full professor of government and dean of Harvard College.

The academic year 1919-1920 was spent in France, lecturing on American Constitutional History at the Faculty of Law of the University of Paris.

In 1921 Professor Yeomans resigned as dean of Harvard College in order to devote himself full time to the teaching of American Constitutional Law and Modern European Government and Politics and to his own research in these areas. In the late twenties and early thirties he was well known to Wm. Ward Foshay (*q.v.*) who was one of his students and remembers him as a distinguished and warmly regarded member of the faculty of Government. By that time Professor Yeomans had become a very close friend and confidante of President Abbott Lawrence Lowell of Harvard. Mr. Foshay recalls often seeing them walking together in the Yard. Professor Yeomans continued teaching until 1943, when he retired and wrote his friend's biography, *Abbott Lawrence Lowell*, and edited Lowell's Baccalaureate sermons under the title *Facts and Visions*.

For many years, Professor and Mrs. Yeomans lived in Harvard, Massachusetts, where they owned an old farmhouse on one hundred acres of woodland, and often spent summers on Cape Cod with President Lowell. Ultimately, Professor Yeomans returned to Spokane, Washington, where he had spent much of his youth, and died there on September 6, 1976, at the age of ninety-nine.

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[39] HJALMAR H. BOYESEN (1879-1929) S&C 1904-1923

Hjalmar Boyesen was born in New York of Norwegian descent. His father, a distinguished writer and professor at Columbia, was instrumental in introducing Ibsen, Bjornson and other Scandinavian writers to American readers. Hjalmar Boyesen was also a graduate of Columbia, where he won high honors, particularly for his scholarship in Greek and Latin classics, an interest which he retained throughout his life. His first ambition was to be a writer. After graduation from Colum-

bia, he joined the staff of John Brisben Walker's *Cosmopolitan* magazine in Irvington-on-Hudson. Mr. Boyesen wrote essays for the magazine and played an active editorial role for several years before deciding to change direction and study law.

After many years with S&C in New York, Mr. Boyesen moved to Paris, where he continued to practice law for a few old clients and some members of the American colony in France. In association with Mr. Cromwell (also a devoted Francophile), he participated in contributing the monument to the Lafayette Escadrille in the park of St. Cloud.

Mr. Boyesen was an ardent tennis player and a connoisseur of boxing. An eager patron of horse racing, he was a familiar figure at Longchamp and Chantilly. He was also considered an authority on wine and an accomplished gourmet. Literature, particularly poetry, remained one of his greatest interests, and he would often read poetry aloud to his friends.

The Paris edition of the *Chicago Sunday Tribune* published a tribute to Mr. Boyesen shortly after his death in 1929. The memorial describes Mr. Boyesen's late ambition to combine his parallel interests in literature and the law:

> "Always a magnetic talker, he was particularly happy in recalling strange law cases which had come under his notice, for, while bating no jot of their reality, he was able so to transform the dry bones of legal procedure as to give them a genuine, human and dramatic interest. So he had conceived the idea of writing a series of such stories, which would be a sort of Arabian Nights' Entertainments of the law. . . . But, alas! the idea came too late."

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40 **GEORGE H. STOVER** (1880-1946) S&C 1906-1910

Mr. Stover was born in Brunswick, Maine and graduated from Bowdoin College and the Harvard Law School. In 1910, following his association with Sullivan & Cromwell, he joined the Bureau of Municipal Research. He was appointed assistant counsel to the New York State Public Service Commission in 1917. Later, Mr. Stover became counsel of the Transit Commission. He was selected as chief counsel in 1929. His retirement coincided with the abolition of the Commission in 1943.

He was survived by a widow, Mrs. Marion M. Stover; two daughters, Mrs. Rodney A. Curtiss and Mrs. H. M. Horn; and two grandchildren.

He was a member of the Richmond County Democratic Committee, the Rulimand County Bar Association and the Staten Island Institute of Arts & Sciences. He was long affiliated with the Citizens' Union.

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41 **HENRY HILL PIERCE** (1875-1940) S&C 1907-1928

Henry Hill Pierce was born in Portland, Maine on November 7, 1875, the son of Lewis and Mary Bellows Hill Pierce. His forebears were of old New England stock, having arrived in the Massachusetts Bay Colony in the early 1630's. Henry Pierce's great-grandfather, Josiah Pierce, after serving in the Revolutionary War, moved to Maine and in 1786 built the house in Baldwin, Maine, near Portland, which Henry Pierce owned at the time of his death. Both his grandfather and father practiced law in Maine, the former having been Judge of Probate for Cumberland County and the latter having acted for some time as a referee in bankruptcy. His mother was the daughter of the Reverend Thomas Hill, who was from 1859 to 1862 president of Antioch College and from 1862 to 1868 president of Harvard College.

Mr. Pierce prepared for college at the Portland High School and then entered Bowdoin in the class of 1896 before he was 17. While he was in College, he won various prizes in English. He was a member of Phi Beta Kappa, and of the Bowdoin Jury, the Student Government organization of those days. Throughout his life, he was one of Bowdoin's most loyal alumni, both in contributions and service. He wrote the College song, "Bowdoin Beata". He was an Overseer of the College from 1920 to 1922, and a Trustee from 1922 on. Bowdoin conferred upon him the degree of Doctor of Laws in 1926.

He studied law at the New York Law School at night, and worked during the day. He graduated and was admitted to the New York Bar in 1898. In May, 1899, having practiced for a short time in partnership with H. E. Lee and Arthur H. Longfellow, he joined the office of Alexander & Green, where his work was largely the preparation of briefs.

On May 29, 1905, Mr. Pierce married Katherine Robinson Curtis, the daughter of William J. Curtis (S&C 1880-1919). Mr. Pierce became associated with S&C in 1907, and was made a partner in 1910.

At S&C, he was very active in corporate and reorganization matters, particularly for public utility clients, including The North American Company, and American Water Works & Electric Company. He was one of the initiators of the open end mortgage system of financing, a significant step for the electric utility industry in this country. From 1922 to 1925 Mr. Pierce served as a member of the Executive Committee of the firm and played an important part in its administration. One of his particular talents was training young lawyers in the firm. Reuben Crispell and David R. Hawkins were among those for whom Mr. Pierce had been a teacher and mentor.

A long illness which he contracted in 1923 caused Mr. Pierce to retire from the firm in 1929. He moved to the farm in Baldwin, Maine,

which his great-grandfather had built in 1786. Deeply interested in genealogy, it was a source of considerable satisfaction to him to occupy the old family farm. Upon retiring from the firm, Mr. Pierce resigned most of his numerous directorships, but remained on the board of the Canal National Bank, one of the oldest banks in Maine, until his death. As the years went by, his health continued to fail, and Mr. Pierce died on March 18, 1940. He is buried in the family grave yard in Baldwin. He left his widow and their three sons: Henry H. Pierce, Jr., Benjamin T. Pierce, and William Curtis Pierce, who perpetuated the distinguished family tradition as a partner of S&C from 1941 to 1972.

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42 **ARTHUR STEDMAN HILLS** (1879-1970) S&C 1907-1909

Arthur Stedman Hills was born in Boston, Mass. on July 17, 1876, the son of Joseph Alden and Lydia Follensby (Stedman) Hills, and a descendant of Joseph Hills who came from Essex county, England, to Charlestown, Mass., in 1638, was a founder of Malden, Mass., and removed to Newbury in 1664. Arthur Hills was prepared at Boston Latin School and was graduated A.B. at Harvard University in 1900. He taught at Harvard as an instructor in the department of English in 1900 and taught public speaking from 1901 to 1905. One of his students was Franklin Delano Roosevelt, of whom Mr. Hills later commented:

> "I never could dissuade him from addressing his audiences as 'My Friends,' but his good enunciation and clear voice were, to some extent, products of my efforts."

Turning to the study of law, he received the LL.B. degree from Harvard University in 1905 and practiced one year in Boston. He became a member of the Massachusetts Bar in 1906, and of the New York Bar in 1908.

He became associated with S&C in 1907. "Yeomans [S&C: 1904-1909] and Catchings [S&C: 1904-08] helped me to meet Mr. Cromwell, who desired to have his firm known around the world. His clientele reflected that ambition." At S&C, Mr. Hills worked primarily on matters of public utility companies.

In 1909, he left S&C to become head of the public relations bureau of the American Telephone & Telegraph Co. He moved the bureau from Boston to New York City, combining it with the company's legal department as the bureau of commission research. He was head of the bureau until 1915, and in that period assembled a very complete library of commission reports; inaugurated (in 1911) and edited the "Commission Leaflets," the first published collection of commission decisions; compiled and edited four volumes of "Commission Telephone Cases,"

and supervised the preparation of other similar material.

In 1915 Mr. Hills became identified with "Public Utilities Reports, Annotated." In the World War period he served as executive secretary of the national committee on public utility conditions in Washington, D.C. In 1920 he became general manager, treasurer and attorney of Public Utilities Reports, Inc., with executive offices in Washington. He was an organizer in 1925 of George Washington Foundation, Inc., the purpose of which was to restore and preserve George Washington's boyhood home in Stafford county, Va. He was a member of the American Economic Association, Harvard Law School Association, District of Columbia chapter of the Founders and Patriots of America and Harvard clubs of Washington and New York City.

In 1934, he was elected president of Colonial Hotel Properties, Inc. in St. Petersburg, Florida. He wrote many articles on public utilities and public service commissions and in connection with utility publications and reports. For some fifteen years before he died in St. Petersburg on May 23, 1970 he made his home there and maintained an office in Washington, D.C.

Mr. Hills was twice married: (1) June 1, 1915, to Helen Mayo, daughter of Gersham Seixas, of New York City; she died in 1920; (2) February 24, 1925, to Edith, daughter of Frank Overman.

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43 **EDWARD H. GREEN** (1886-1963) S&C 1908-1963

Edward Henry Green was born in New York on August 2, 1886. He received an A.B. degree from Columbia College in 1905 and his law degree from Harvard three years later. After being admitted to the New York bar, he came to S&C as a law clerk. In 1920 he became a partner of the firm, and was active in its practice until his death. In the course of time he came to be looked to by his partners as their principal financial man, controller and treasurer, a role in which he was succeeded by John Raben and later by Richard Powell.

Prior to 1934, when John Foster Dulles asked Norris Darrell to devote his full time to developing a tax group in the office and establishing a first-rate reputation for the firm in this field, Mr. Green had also been looked to by his partners as their principal authority on matters of tax law. However, there were far too many demands on him, for general services, from his corporate and investment banking clients to enable him to specialize full time, and indeed he was very much a generalist, excelling not only in draftsmanship and legal and business counseling, but in writing briefs, arguing appeals and actively supervising any litigation involving the clients he served. Clients who re-

garded him as the captain of their S&C teams right up to the time of his death at age 77, included American Radiator and Standard Sanitary Corporation, Cluett Peabody & Co., Inc., National Dairy Products Company (now Kraftco), Goldman Sachs & Co., and the Merck family at whose behest he served as a Director of Merck & Co.

Against an historical trend, with its roots in England, that had regarded tax problems as matters for accountants rather than for lawyers, Mr. Green took strong positions on the activist roles that lawyers ought to play, on behalf of their own professional and civic interests and for the benefit of their clients, in the formation of governmental tax policy and the actual writing of the tax codes and regulations. Lawyers, he said, "must watch out so that organized government, in its necessity to get taxes, may not impinge upon the rights of the citizen." He told the New York County Lawyers Association in a talk in 1934, "I feel that lawyers should take their place in this important branch of the law and not allow it to become an activity of a government bureau".

In 1950, with his partners John Foster Dulles and Eustace Seligman, Mr. Green was executor of the estate of William Nelson Cromwell. They successfully defended against a claim by the Soviet Government that it was entitled to receive a $450,000 legacy which Mr. Cromwell had left to the Russian War Relief Inc. They won a ruling that the Relief group was "not functioning" when Mr. Cromwell died on July 19, 1948, and were allowed to "cy pres" the money to the Columbia University Law School which used it, together with a larger direct bequest under the will, for its splendid law library now named for Cromwell.

Not on that account alone, Mr. Green was the recipient of several honors from Columbia University, including the Alumni Medal. He died in New York on November 18, 1963, leaving his wife, the former A. Margaret Morgenstern, whom he married in 1913; a son, William M. Green; and four grandchildren.

In fields beyond his practice, he was prominent and active: From 1951 until his death, Mr. Green was a member of the Board of Trustees of The Riverside Church, Chairman of the Board's Law Committee and a member of its Finance and Long-Term Planning Committees.

At Columbia University, he served on the Development Plan Committee, the Advisory Council of the Graduate School of Business, the Affiliated Business Fellows for Research and the Columbia Associates. At Harvard, he was a member of the Associates of the Harvard Business School and served on the Committee to visit the law school. He was a Vice President of the New York County Lawyers Association and Chairman of its Finance Committee, and he was a member of the Association of the Bar of the City of New York, the New York State Bar Association and the American Bar Association.

Mr. Green served *gratis* as general counsel to several charitable foundations, and was a director of American Radiator and Standard Sanitary Corporation; Campbell Soup Company; Cluett, Peabody & Co., Inc.; Merck & Co., Inc.; and Orinoka Mills.

44 J. HAMPDEN DOUGHERTY, JR. (1886-1960) S&C 1909-1911

J. Hampden Dougherty graduated from Yale with the class of 1906 and went on to the Harvard Law School. Upon graduating from law school in 1909, Mr. Dougherty came to New York to begin practicing with Sullivan & Cromwell. He left the firm in 1911 to join the firm of McCook, Reed & Dougherty (later Lowe & Dougherty), becoming a partner there in 1916. He retired in 1957.

Mr. Dougherty was a brother of the actor Walter Hampden, and of Paul Dougherty, a Maine painter. He married Marie-Louise Bernard, and they had three daughters: Mrs. Hugh Chaplin, Mrs. Anne Dillman and Mrs. Jean-Claude Martin. He died on July 7, 1960 at the age of seventy-four.

Mr. Dougherty was a member of the Downtown Association, The Century Association, and the University Club.

45 WALTER HEILPRIN POLLAK (1882-1940) S&C 1910-1912

Walter Heilprin Pollak, born in Summit, New Jersey, attended the Summit Academy and then entered Harvard, where he received his A.B. in 1907 and his LL.B. in 1910. From 1910 he practiced in New York City, first with S&C and then for twenty years as a member of Eyelhand, Pollak, Pitcher, Stern & Marke; and from 1935 through 1940 as counsel to the firm of Cohen, Cole, Weiss & Wharton. His residence was at 94 Macdougal Street.

During World War I, Mr. Pollak served on the legal staff of the War Industries Board. From 1925 to 1939, he was counsel to the New York State Parks Commission and special assistant attorney general for the litigation involving Long Island Properties and Palisades Park. In 1929 he was counsel to Robert Moses, then Comissioner under the Sherman-Moreland Act for the investigation of the State Banking Department and the affairs of the City Trust Company. From 1929 to 1931 he was a consultant to the National Commission on Law Observance and Enforcement, and with Professor Zechariah Chafee of the Harvard Law School conducted a special inquiry for the Commission into lawlessness by government law enforcement officers. Mr. Pollak was also a member of the State Law Revision Commission and in 1935, by appointment of President Roosevelt, served as chairman of the Railroad Investigation Commission making a study of retirement, annuity and similar problems arising under the Railroad Retirement Act.

From 1936-37 he was special assistant to the United States Attorney General in railway pension litigation, and special counsel to the

Railroad Retirement Board. In 1936 he was special master in the receivership of the Interborough Rapid Transit Company.

Mr. Pollak gave of his time and talents in the defense of civil liberties as well. He argued the appeals of seven of the *Scottsboro Case* defendants before the United States Supreme Court, winning a new trial in 1932 and a second remand for defendant Patterson in 1935. When Earl Browder was convicted for using a fraudulently obtained passport, Mr. Pollak argued the Communist leader's appeal before the Second Circuit Court of Appeals.

On March 1, 1940, seven months before Mr. Pollak's death from pneumonia at age 58, Judge Vincent L. Leibell appointed him sole trustee for reorganization of the Associated Gas & Electric Company.

He was survived by his wife, Marion, and three children; Minna, Ann and Louis. He belonged to the leading bar associations and to the Harvard, City Midday and Lawyers Clubs.

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46 **RALPH L. COLLETT** S&C 1910-1917

Regrettably, no information.

47 **ROBERT McCURDY MARSH** (1878-1958) S&C 1910-1915

Robert McCurdy Marsh was graduated from Harvard College with the class of 1899, received his masters degree from Harvard one year later, and attended Columbia Law School. Upon graduating from the law school in 1903, he joined the Cravath firm and after three years of association with that firm, moved on to Howland, Murray & Prentice where he worked until coming to Sullivan & Cromwell in 1910.

In 1915 Mr. Marsh left Sullivan & Cromwell to practice alone for two years before service in World War I as a Captain in the field artillery. After the war he became a partner in the firm of Marsh, Embree & Pfeiffer and its successor, Marsh & Pfeiffer. He was a partner in the firm of Delafield, Marsh & Hope until his death on September 9, 1958 at the age of 80.

Mr. Marsh's active and varied civic career included service in 1916-17, after leaving S&C, as a member of the New York State Assembly. When he returned from the war he served on the War Department Claims Board and as Special Advisor to the Secretary of War. He held a commission in the U.S. Army Reserve until 1933.

In 1922, Governor Nathan L. Miller appointed Mr. Marsh a Justice of the Supreme Court of New York to fill a vacancy in the First District, but he lost his attempt to succeed himself in an election battle against Tammany Hall.

Mr. Marsh had been an active member of the New York County Lawyers Association (president 1940-42), the American Bar Association (member House of Delegates, 1942-46), the New York State Bar Association and the Association of the Bar of the City of New York (a member of its Executive Committee, 1942-46).

In addition Mr. Marsh was a trustee of the American School of Prehistoric Research, President of the Church Club of New York, Vice-President of the Protestant Council of the City of New York, President of the New York School for the Deaf, Vice-President of the Protestant Council of New York and a member of the board of the National Council of Churches, which post he held from 1944 until his death at his home in New London, Connecticut.

Mr. Marsh was survived by his wife, Charlotte Delafield Marsh, his daughter Mrs. Charlotte P. Eldredge, two sisters and four grandchildren. He was a member of the Union Club, the University Club, the Downtown Club and the Long Island Country Club.

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48 **JOHN FOSTER DULLES** (1888-1959) S&C 1911-18, 1919-49

John Foster Dulles was a major figure in the history of the firm and in United States diplomatic history.

He was born in Washington on February 25, 1888, the oldest of five children of Allen Macy Dulles, a Presbyterian clergyman, and Edith Foster Dulles. His brother, Allen Welsh Dulles, also became a Sullivan & Cromwell partner. His grandfather, John W. Foster, was Secretary of State under President Benjamin Harrison, and his uncle by marriage, Robert Lansing, held the same office under Woodrow Wilson.

Foster (as he was always called by his family and friends) grew up in Watertown, N.Y., where his father was minister. Later his father became Professor of Apologetics (the argumentative defense of Christianity) at a theological seminary in Auburn, N.Y., where Foster met his future wife, Janet Avery.

The Dulles family lived in modest circumstances, and Foster attended the local public schools. His impressive intellect was evident at an early age, and he finished high school at 15. After a year studying abroad, Dulles entered Princeton as the youngest member of his class, graduating with high honors in 1908. His family had assumed that he was destined to be a clergyman, and his mother was greatly disappointed by his decision not to enter the ministry. He combined with the law a lifetime dedication to active work as a Presbyterian layman.

Dulles enrolled at George Washington University Law School in 1909 and finished the three-year course in two years. Because of a three-year residence requirement, he did not receive his law degree until 25 years later. In 1911, "I decided to try my fortune in New York, preferably with a law firm which had an international practice which would give me an opportunity to work in the field of foreign affairs. This had been a tradition in my family which I wished to carry on." He

had great difficulty in getting a job, mainly because his legal education was not at a well-known law school. Finally his grandfather Foster gave him a letter of introduction to William Nelson Cromwell, stating that Mr. Foster had "read law" in the Cincinnati office of Algernon Sydney Sullivan in 1857. Cromwell hired Dulles on the spot, because "there is nothing I would not do for a former associate of beloved Algernon Sullivan."

In his early years with the firm, Dulles worked on a number of Latin American matters, and in April 1917 he went to Panama on a government mission to help bring about the alignment of the Central American states for the defense of the Panama Canal. During World War I his application for active military service was first rejected because of poor eyesight, but he eventually became a captain and then a major in the Army, attached to the General Staff. He attended the Versailles Peace Conference as an advisor to President Wilson and worked with Herbert Hoover on European war relief. On his return from Paris in 1919 he rejoined Sullivan & Cromwell, becoming a partner in 1920.

During the 1920s, Dulles was engaged in a wide variety of international and domestic legal work for clients and maintained a keen interest in worldwide economic and political affairs.

As a result of the deaths of Alfred Jaretzki in 1925 and Royall Victor in 1926, and the serious illness of Henry Hill Pierce, Dulles became the head of the firm in 1927, at the age of 39.

During the difficult depression years, Dulles was deeply involved in attempts to remedy international economic dislocations caused by defaults on governmental and private obligations. On the domestic scene, he counseled clients faced with the task of adapting to the regulatory legislation of the Roosevelt administration. Although he was more restrained than some of his colleagues at the bar in opposing New Deal reforms on constitutional grounds, he did lead an unsuccessful attempt to have the major provisions of the Public Utility Holding Company Act of 1935 declared unconstitutional by the Supreme Court.

Recognized within the firm as a man of great intellect and legal learning and as one possessed of high moral principles, Dulles was also a distant figure to many lawyers in the office. He was austere, even forbidding, a man with no gift for small talk. Yet when he took the trouble he could be a friendly, kindly man. If he did not immediately acknowledge your "Good Morning" when you met him in the elevator, it was because his eyes were over the horizon while his mind composed the letter, opinion, essay or appeal which he would dictate as soon as he reached his desk.

His personality did not hamper his relationships with clients, nor did it reflect an austere or conservative view of the law. He saw the law as a dynamic force and once described it as "a codification of what the community believes to be a sound and constructive way of living."

With the approach of World War II, Dulles became increasingly active in public affairs and worked closely with church groups in the quest for peace.

During the 1944 presidential campaign, Dulles was the principal foreign policy advisor to Republican candidate Thomas E. Dewey and cooperated with Secretary of State Cordell Hull to promote nonpartisanship in the development of foreign policy. He played an important part in the drafting of the United Nations Charter and, after the war, served as chief U.S. negotiator of the Japanese peace treaty. He was a U.S. delegate to the U.N. General Assembly and participated in many postwar international conferences.

Dulles resigned from the firm in July 1949 to accept an interim appointment by Governor Dewey as United States Senator from New York. He ran that fall for election to the Senate but lost to former Governor Herbert Lehman.

At the 1952 Republican National Convention, Dulles acted at the request of the leading candidates as foreign policy advisor to the platform committee. After General Eisenhower was nominated, Dulles campaigned actively on his behalf, and soon after the election Eisenhower chose Dulles as Secretary of State.

During his service as Secretary, Dulles dominated American foreign policy as few of his predecessors had done. He had the complete confidence of President Eisenhower, who almost always deferred to Dulles on matters of world affairs and global strategy. American prestige in the non-communist nations was high, and Dulles was widely recognized as the inspirational leader of the "Free World", as it was then called.

The Dulles policy was likened by some to a holy crusade against communism. It was a world view that by hindsight may seem simplistic but at the time commanded general support of all major political factions. Communism was to be contained and prevented from further expansion by an intricate system of military alliances which Dulles helped fashion. American "client states" were created or preserved in trouble spots such as Korea, Indo-China, Iran and Cuba. During the Dulles tenure, the system he devised and built helped preserve, for a time, a "Pax Americana".

Early in 1959, Dulles became seriously ill with cancer and entered Walter Reed hospital. In April the President reluctantly accepted his resignation as Secretary of State, and on May 24 he died. He was hon-

ored by a state funeral attended by many world leaders and was praised by President Eisenhower as "a champion of righteousness, strong for truth, a builder of good and noble purpose, whose eyes were fixed on the highest goals which men are given to see."

Surprisingly, there is no really first-rate biography of John Foster Dulles. *John Foster Dulles, a biography,* by Robinson Beal, with a foreword by Thomas E. Dewey, published by Harper in 1957 and republished in 1959 sub nom. *John Foster Dulles: 1888-1959,* is an enthusiastic treatment which, however, necessarily lacks the perspective of time. *Dulles,* by Leonard Mosley (1978), is a study of Foster, his brother Allen and his sister Eleanor; it contains so many factual errors that it is of little value.

Mr. Dulles's own voluminous writings included *War, Peace and Change* (Harper & Bros. 1939), *A New Foreign Policy* (Life Magazine Reprint, 1952) and *War or Peace* (MacMillan 1957). See also *The Spiritual Legacy of John Foster Dulles,* selections from his articles and addresses, edited and with an introduction by Henry P. Van Dusen (Westminster Press, 1960).

At Princeton University, the Dulles Library of Diplomatic History, established by the generosity of friends and admirers under the leadership of Arthur Dean and Clarence Dillon, houses Mr. Dulles's papers and the descriptively catalogued Dulles oral history collection: a series of memoirs concerning John Foster Dulles and his times, transcribed from tape-recorded interviews with the men and women who knew him and worked with him.

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49 **JOHN K. BYARD** (1886-1959) S&C 1911-1914

John Kenneth Byard, who was born in Fly Creek, Otsego County, New York in 1886, graduated from Williams College and the Columbia Law School. He was editor in chief of the Columbia Law Review in 1911. Upon graduation, Mr. Byard entered the practice of law with Sullivan & Cromwell. He was only associated with the firm until 1914 when he left to help establish the firm of Bell & Byard, specializing in real estate and mortgage law and corporate reorganization.

During World War I, Mr. Byard worked for the French High Commission in New York, procuring port and other facilities for munitions shipments. After the war, on the recommendation of the State Superintendent of Banks, he was director and president of the Eagle Savings and Loan Company. In the 1930s he continued to practice law but with the law and real estate departments of the Mutual Life Insurance Company of New York. Later he was in charge of of mortgage investments for the same company in New England and Westchester.

While practicing corporate law in 1920s, Mr. Byard began collecting fine old pieces of furniture, folk art and accessories. This eventually became his full-time interest, and he left the practice of law to devote his energies to collecting antiques. As an authority on antiques, his contributions to the field were numerous. He bought the Silvermine Tavern in Silvermine, Connecticut in 1929, restoring it and furnishing

it with Early American pieces. He also served as a consultant to Mrs. J. Watson Webb, founder of the Shelbourne Museum in Vermont, and to Henry N. Flynt, who was restoring Old Deerfield Village in Massachusetts.

In addition to his work on restorations, Mr. Byard was a founder of the Silvermine Artists Guild which presented him with a citation for his assistance. He was a trustee of the Early American Industries Association, a life member of the Potumtuck Valley Historical Society, and member of the Society for the Preservation of New England Antiquities, the Connecticut Landmarks Society, and the National Trust for Historic Preservations.

He also owned an antiques shop that specialized in seventeenth and eighteenth-century New England furniture and crafts, and his collection of nearly 100 American folk paintings was presented to Colby College in Waterville, Maine. The remainder of his Early American collection, which also included English antiques such as Carolean and Georgian brass, silver and Stuart and Georgian needlwork pictures, was disposed of in a three-day auction at the Parke-Bernet Galleries in 1960. One of the celebrated pieces in the collection was a rare cherrywood butterfly table, said to have belonged to Governor Joseph Talcott of Connecticut (1669-1741). Other items ranged from a Massachusetts Chippendale Mahogany block-front chest of drawers to a set of six Queen Anne walnut side chairs originally owned by General John Thomas, one of the eight Brigadier Generals of the Continental Army.

Mr. Byard was former president and director of Sutton Place House, an East Side settlement in New York. He was former chairman of the Norwalk World Service Seminars and also a former member of the Board of Missions and Church Extension of the New York East Conference of the Methodist Church.

He died at his home in Silvermine on September 3, 1959, and was survived by his wife, Mrs. Dorothy Lutwyche Randolph Byard and by a brother, Dr. Dever S. Byard of New York.

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50 **RALPH ROYALL** (-1954) S&C 1912-1928

A graduate of Williams College (1901) and Columbia Law School (1903), Mr. Royall practiced with the firm of Cummings & Lockwood in Stamford, Connecticut before joining S&C. After sixteen years with S&C, he left to become a senior member of Ehrich, Royall, Wheeler & Walter in New York. Mr. Royall remained with this firm and its successors for the rest of his life, becoming counsel in 1951.

Mr.Royall was well known in New York as a specialist in corporate law and Surrogate's Court practice. He was counsel in an action by the receiver of Duquesne Gas Co. of Pittsburgh against its promoters, which in 1935 resulted in a judgement of $2,440,000 after two appeals to the Supreme Court. Mr. Royall also prosecuted appeals to the Supreme Court in connection with the reorganization of National Radiator Corporation in 1936.

Mr. Royall was married to the former Jean Richards. They were living in Davis Wharf, Virginia at the time of his death at the age of 72.

Mr. Royall was a director of Godchaux Sugar, Inc.; Republic Investors Fund, Inc.; Sovereign Investors, Inc.; Bridgeport Rolling Mills, Inc.

He was also a director of Beekman Downtown Hospital, and a member of the City, State and American Bar Associations, the New York County Lawyers Association, and the Williams Club of New York.

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51 **REUBEN B. CRISPELL** (1889-1967) S&C 1913-17, 1919-42

Reuben Bernard Crispell was born in Kingston, New York on December 24, 1889. He graduated from the Peekskill Military Academy, from Yale in 1910, and in 1913 from the Columbia Law School, where he was secretary of the Law Review.

He joined S&C in 1913, became a partner in 1925, and retired from the firm in 1942. In his early years of practice, he helped develop modern forms of public corporate financing, including the open-end mortgage. Mr. Crispell was counsel for leading investment bankers and helped develop new techniques in financial markets and utility financing after World War I.

During the War he had served in the Department of State and as a captain in the United States Army. Afterwards, his old friend Herbert Hoover entrusted him with a special mission to Spain.

In the nineteen twenties, Mr. Crispell represented S&C in Germany in the financings that followed the Dawes Loan in 1923 and supervised work for the firm in foreign financings there and in Italy, Japan and South America. When the Securities Act became effective in 1933, Mr. Crispell took charge of legal work on a number of early issues under the Act.

In 1943, he became a director of the Detroit Edison Company, for which he had been a legal advisor for many years. He stayed on that board until 1955, when he resigned from business activities.

Mr. Crispell and his wife, the former Katherine Packard, lived in Bronxville, New York, where he was very active in civic affairs. He had served as chairman of the Building Ordinance Revision Committee in 1948, and on the boards of the Public Library and Lawrence Hospital. He was an ornithologist, maintaining a bird sanctuary for several years at his farm in Dorset, Vermont.

Mr. Crispell died in Bronxville on December 1, 1967.

Member: The Sons of the American Revolution; the Descendents of New Paltz (N.Y.) Patentees; the Huguenot and Holland Societies; The Downtown Association; the Yale and University Clubs; the Clove Valley Rod and Gun Club; the Blind Brook Club of Port Chester, N.Y.; the American Yacht Club of Rye, N.Y.; the Siwanoy Country and Field Clubs in Bronxville; the Ekwanok Club of Manchester, Vt.; and the Wianne Club on Cape Cod.

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52 **MAX SHOOP** (1887-1956) S&C 1913-19, 1923-1941

Max Shoop was born in Rochester, New York. He was a graduate of Amherst College, Class of 1910, and Harvard Law School, Class of 1913. He entered S&C as an associate directly from law school, left the office for other work or service at the time of World War I, and returned in 1923. In 1928, Mr. Shoop left New York for France, where he was managing head of S&C's Paris office for many years. When the Germans invaded France in 1940, Mr. Shoop went to Switzerland and stayed there during the war, giving aid to the French resistance movement. After the armistice, he was the first American to receive the French Legion of Honor.

Following his return from Switzerland, Mr. Shoop joined the Paris law firm of Coudert Brothers. He was an active member of the American Club in Paris, and served as its president.

Mr. Shoop died in Paris in October, 1956, leaving his wife, Odette Chaligny Shoop.

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53 **EUSTACE SELIGMAN** (1889-1976) S&C 1914-18, 1919-71

Eustace Seligman was a leading member of the bar and an important figure in the history of the firm. It would be a mistake, however, to think of him only as a lawyer. He was a man of remarkably diverse interests, whose influence was felt in many fields of endeavor.

He was born in New York on April 1, 1889, the son of Professor E.R.A. Seligman, who was a distinguished economist and a member of the faculty of Columbia University.

Seligman attended Amherst College, graduating in 1910 magna cum laude. Throughout his life, he was devoted to Amherst. He served as a trustee of the college from 1941 to 1964 and received an honorary degree of Doctor of Laws in 1965. He attended law school at both Harvard and Columbia, receiving his law degree from Columbia in 1914. He joined Sullivan & Cromwell in August 1914, just as war was breaking out in Europe. In 1917, he married Maud Jaretzki, daughter of S&C partner Alfred Jaretzki. During the First World War, he served as a captain in the field artillery. After the war he returned to the firm and became a partner in 1923. He was one of the committee of four which temporarily took over the management of the firm following the death of Royall Victor in 1926.

In a legal career that spanned sixty years, Eustace Seligman was the wise counsellor to major business concerns and financial institutions and to numerous individuals in their personal affairs. In an age of

specialists he remained a generalist. Although primarily a business lawyer, he followed intently the decisions and opinions of the courts on every subject and was always prepared to address thoughtfully any legal question. He enjoyed discussion, not just to express his own views but to hear receptively what others had to say. His ready availability to associates for consultation and aid in analysis and thinking through legal problems was of inestimable value.

He played an important role in the development of practices and procedures to meet the challenge of the new regulatory statutes of the 1930s, particularly in the field of securities regulation. He always was interested in the responsibility of the legal profession to the public and served for fifteen years as President of the Legal Aid Society.

Many of his outstanding contributions outside his law practice were in the field of foreign affairs. He joined the Foreign Policy Association shortly after it was formed in 1918 and remained an active member for the rest of his life. He was Chairman of the Board for ten years, and after his death a lectureship was established by the Association in his honor. In the period after World War II he was particularly interested in United States relations with China and was an early advocate of the admission of Communist China to the United Nations.

Mr. and Mrs. Seligman frequently entertained United Nations ambassadors and other representatives from foreign countries, to welcome them to the city and to familiarize them with the educational work of the Foreign Policy Association.

Seligman was a gregarious man, who endeared himself to several generations of Sullivan & Cromwell lawyers. The parties he gave at his Manhattan residence and at his country home near Greenwich, Connecticut were delightful occasions, where S&C lawyers often mingled with guests from outside the firm, among them many from remote foreign countries. He was particularly interested in young lawyers who came to New York without local friends or family connections, and he went out of his way to invite them to his home on weekends and holidays.

He was in the words of David W. Peck "a remarkable man, remarkable of mind and personality. The mind was agile, brilliant and wide-ranging, with endless interests. The personality was warm, outgoing and engaging. I have never known a man with a more generous spirit."

Director of American Motors Corporation, Marine Midland Bank-New York, Marine Midland Corporation, Allied Stores Corporation and Federal Home Loan Bank of New York. Trustee of American Foundation for the Blind; President, New York Law Institute.

We are indebted to Helen S. Manley, who worked with Mr. Seligman as his secretary from 1944 until his death, and whose abilities and assistance he valued most highly, for the following evocative memoir:

"Any discussion of Mr. Seligman should contain at least some reference to his social activities — which were both a part of and quite separate from his legal career. His social style and grace were, I think, a very important element of his personality.

"He attracted — and was attracted by — accomplished and distinguished people. For years he and Mrs. Seligman literally 'knew everyone' — not only in the legal profession and the business and financial world, but leaders in academic circles, publishing, journalism, the theatre — in fact, all the arts — government, politics (Republican), diplomatic circles.

"Most were well known and many, but not all, wealthy. He and Mrs. Seligman supported the arts — contributing to theatre, music and dance groups and to individual artists.

"Over the years their entertaining had an unchanging pattern: During the winter months a series of formal dinner parties (16 or 18) at their New York town house, a Christmas Day luncheon party for a larger group, and in the early spring a series of large cocktail parties. In the summer there were tennis weekends at their lovely estate in Greenwich — from May through June and July, until they went abroad for the month of August. Their country house could (and did) accommodate 18 over-night guests and others came for tennis, a swim and lunch or dinner.

"Even more than tennis and the company of pretty women, Mr. Seligman loved to discuss the important issues of the day, and the New York dinner parties were a special forum for these discussions. There were often guests of opposing points of view, and though Mr. Seligman never held the floor, he conducted the conversation as he would the orchestration of a symphony. Over the years I heard of only one sour note — a Dutch and an Indian gentleman almost flew at one another's throats over some issue, long forgotten. As the Indian was Krishna Mennon I think this was understandable but certainly not typical, for Mr. S. was so diplomatic himself that generally these conversations were not only fascinating but usually very amiable and often amusing.

"His performance (until well into his eighties) at their large cocktail parties was amazing. There were always around 150 people coming and going in the large high-ceilinged floor-through living room of their town house. He and Mrs. Seligman greeted arrivals at the door and introduced them to other guests; when not greeting, he circulated. People who had not met were introduced, not only by name but with reference to their interests and accomplishments. He never forgot a person's name — and I speak of a guest list in the series of three or four parties, of 200 or 250 persons each. Nor did any shy guest ever languish unnoticed in a corner — like it or not he soon found himself part of a group, joining in and enjoying the conversation.

"Very early on, soon after the arrival of the U.N. in New York, the Seligmans sought out and entertained its people. The Christmas Day party began as a means of hospitality to those who were far from home in the holiday season and to some of whom, perhaps, it was an unfamiliar celebration. There was a Christmas tree with presents, champagne, an elaborate buffet luncheon for 30 or more guests. Not only foreigners but others who were alone in the city — such as new S&C lawyers who perhaps had to work and couldn't get home for the holidays — were included in these very gay and warmhearted parties.

"Once a year, in Washington, during the Amherst trustees' weekend there, there was a dinner party at the Seligmans' hotel for their Washington friends — many and distinguished. Usually there was at least one cabinet member — Duncan Phillips, the Director of the National Gallery, head curator of the Smithsonian, the French Ambassador or if not the Ambassador at least, usually, the Washington correspondent of France Soir.

"Their friendship and hospitality for foreigners enhanced the Seligmans' already keen interest in travel. They covered the globe and were received by everyone from

Indira Gandhi to Hope Cooke. Before his last holiday in Southern France, where he became ill, he and Mrs. Seligman had arranged for a cruise the following spring which was to include extensive travel to China, the only terra incognita on their list. The cruise was cancelled, fortunately, so that he was spared the necessity of having to admit that he was no longer able to do one of the things he so much loved and did so well."

54 **DONALD D. DODGE** (Deceased) S&C 1914-1916

Regrettably, there is little information about Mr. Dodge, who left S&C to get married and take his wife to China. In 1971 it was learned that Mr. and Mrs. Dodge were living in Philadelphia and had another residence in Rockport, Maine. There was, undated, later information that he had died.

55 **WILLIAM T. QUINN** (Deceased) S&C 1915-1925

William T. Quinn was born and grew up in Williamstown, Massachusetts, the son of Sheriff Thomas M. Quinn, who had practiced law as a member of the Williamstown firm of Neyland & Quinn. He was graduated from Williams College with the Class of 1898. He received his law degree from Columbia Law School in 1902, and practiced in New York City until his death at the age of 50. Information is lacking as to his affiliations before and after his ten years with S&C as the firm's managing clerk.

He returned frequently to Williamstown, and in a Williams College memorial, his classmates noted that "Billy Quinn was a great mimic and was much in demand for after-dinner speaking. "He had a great fund of Williamstown stories. Mr. Quinn was survived by three sons, William T., Jr., Woodville and Coleman.

56 **WALTER G. WIECHMANN** (1890-1964) S&C 1915-17, 1919-57

Walter G. Wiechmann was born on November 14, 1890 in New York City. He was the son of Ferdinand G. Wiechmann, a chemist, and Marie Helen Damrosch Wiechmann. He was a nephew of Walter Damrosch, the conductor.

Mr. Wiechmann graduated from Harvard College in 1912. Three years later he received a law degree from Columbia University Law School where he was an editor of the Law Review. He was admitted to the New York Bar in November 1915, and to the U.S. Court Southern District of New York three months later.

In World War I Mr. Wiechmann, with the rank of captain, was an Army intelligence officer in France. After the war he was attached to the American Peace Mission at Versaille.

In his work with S&C, Mr. Wiechmann became an expert on corporate financing and reorganization law. He worked extensively in estates and with copyright law and was a member of the committee on copyrights of the New York City Bar Association.

Mr. Wiechmann died in 1964 after seven years of retirement, survived by his widow, Mrs. Ida Jerdone Wiechmann; two sons, Richard J. and Jack G.; a sister, Mrs. Marjorie Van Ryder; and five grandchildren.

57 **HENRY N. ARNOLD** (-1939) S&C 1916

Mr. Arnold was graduated from Harvard in 1896 and was a student at Columbia University Law School when the Spanish War broke out. He left Columbia to join the Rough Riders under Theodore Roosevelt.

After the war he returned to New York and practiced law. Later he lived in Arizona, where he represented mining interests. He was Assistant Attorney General of the United States under George W. Wickershaw, who was Attorney General in the Taft Administration. (In 1911, while investigating alleged sugar importation frauds in Philadelphia, Mr. Arnold was severely beaten with a pistol wielded by a man who escaped. Whether the attack was connected with the investigation was not determined.)

Mr. Arnold served as a major in the army in World War I. After the war he practiced law in New York for some years and was for a period a partner in the firm of Rumsey & Morgan. In the late 1920's Mr. Arnold became an attorney for a corporation seeking damages from Germany in connection with the 1916 Black Tom munitions explosion in Jersey City, and was involved with this case until his death in 1939.

58 **HENRY STARR GIDDINGS** (1879-1958) S&C 1916-1920

Like his father, Franklin H. Giddings, who was for many years professor of sociology at Columbia University, Henry Starr Giddings was a devoted Columbian throughout his life. After attending Haverford School in Pennsylvania and the Irving School in New York, he matriculated at Columbia in 1886, received his A.B. degree in 1900, an M.A. degree in 1902 and his law degree in 1903. In the college, he was a member of Phi Beta Kappa, president of the Barnard Literary Associa-

tion, editor of the *Spectator*, and editor-in-chief of the *Columbian*. In the law school, he received the Beck Prize Scholarship, and became editor-in-chief of the *Columbia Law Review*. In later years he served two terms as president of the Columbia Alumni Federation and was one of the founders of the Columbia Club.

His first employment after law school was with the Cravath firm. In 1908, Mr. Giddings joined in establishing Godfrey, Giddings & Read in Atlantic City, where at one time he served as President of the Atlantic County Board of Tax Appeals.

Mr. Giddings became associated with Sullivan & Cromwell in 1916. His five years with Sullivan & Cromwell spanned U.S. participation in World War I and the beginning of the postwar recovery. He spent considerable time in Paris working with Mr. Cromwell on European aspects of a number of corporate reorganizations.

He left Sullivan & Cromwell in 1921 to join Barber & Gibboney, later Barber, Fackenthal & Giddings, whose clients included many stock exchange firms and business corporations. In 1940 he formed Giddings & Wilkins, which later became Giddings, Keating & Reid. He remained with this firm, at 41 East 42nd Street, until his death on December 9th, 1958 at the age of 79 in his home town of Chappaqua, New York.

He was survived by his widow, Florence Fenton Giddings, two daughters and five grandchildren.

Member of the American Bar Association, the New York State Bar Association, Association of the Bar of the City of New York and the New York County Lawyers Association where he served for many years on the Committee on Ethics. Trustee and Chairman of the Law Committee of Knickerbocker Hospital. Member, at different times, of the Reform Club, Tennis Club, Lawyers' Club and the University Club.

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59 **PAUL W. McQUILLEN** (1893-1968) S&C 1916-17, 1919-68

Paul William McQuillen was born on October 22, 1893 in New York City. He attended Xavier High School, Georgetown College (A.B., 1913) and Columbia Law School (1916) where he served on the Law Review. He came to S&C from law school, and was admitted to partnership in 1944.

His law career was interrupted by his service in the United States Army. He volunteered in May 1917 and was sent to officers' training school at Fort Myer. After serving with the AEF as a First Lieutenant in the 320th Infantry, he was discharged from the Army on May 19, 1919 and returned to S&C a week later. He became a well rounded practitioner, equally at home in the office and before the bench. Clients of the

firm who called upon him for constant and energetic attention to their affairs included notably the Detroit Edison Company.

Mr. McQuillen died on October 2, 1968. Of him, Alfred Jaretzki, Jr. wrote: "Mr. McQuillen was a lawyer of high principle as well as outstanding ability. His ready wit (a product of his Irish heritage, of which he was very proud), his modesty of manner and generosity of his time in helping others 'outside the line of duty' endeared him to all his partners and associates as well as to his many friends and acquaintances."

Mr. McQuillen's first wife, the former Dorothy M. Moore, predeceased him by many years. He was survived by his second wife, Marjorie E. Lawrence Foster, and the four children of his first marriage, Mrs. William F. Weed, Mrs. John R. Conway, Mrs. Charles H. Watts 2nd and Dr. Michael P. McQuillen, and ten grandchildren.

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60 **ALFRED JARETZKI, JR.** (1892-1976) S&C 1916-18, 1919-69

Alfred Jaretzki, Jr. became known as one of the leading experts in investment company regulation, having helped draft the Investment Company Act of 1940.

He was born in New York on November 17, 1892, the son of Alfred Jaretzki, who was then an associate of the firm and was a partner from 1894 to 1925.

Alfred Jaretzki, Jr. graduated from Harvard College in 1913 and received his law degree cum laude from Harvard in 1916.

During World War I he left the firm temporarily to serve with the War Trade Board. He also had a period of public service during World War II as special consultant to the Secretary of War in connection with problems relating to Japanese-American civilians living on the West Coast.

In 1938, he served as an adviser to the U.S. delegation at the International Refugee Conference at Evian, France. Shortly thereafter he made four trips to Vienna, then under Nazi control, to help Jewish and other refugees who were trying to leave the country.

In addition to his work for investment companies, he represented a wide range of other clients, particularly firms engaged in the sugar business, many of which had also been represented by his father.

He was a friendly man with a subtle sense of humor and wide-ranging interests outside the law. He was a noted art collector and donated much of his collection to various charities.

Married to Priscilla Bruce, who survived him. Children by a previous marriage: Mrs. Henry T. Lipman, Dr. Alfred Jaretzki, III, Paul Jaretzki and Mrs. Gerald Eisner.

President of St. John's Guild; Honorary Trustee, Public Education Association of New York City; Member, Council on Foreign Relations.

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61 **PHILIP L. MILLER** ((1877-1945) S&C 1917-1945

Philip Lee Miller was born in New York on April 9, 1877, the son of Grace Rumrill Miller and Charles Philip Miller, a well-known New York Lawyer who helped to break the Tweed ring.

Because of his parents' early deaths, Philip Miller left New York while still a boy to live with his grandparents in Springfield, Massachusetts. He attended public schools in Springfield and entered Harvard in 1895.

Following his college graduation in 1899, Mr. Miller stayed on at Harvard for two years as an instructor in English. He spent the next two years working on the staff of *McClure's* Magazine and then entered Harvard Law School, where he was an honor student and an editor of the Harvard Law Review.

After graduation in 1906, Mr. Miller moved to Decatur, Illinois, where he practiced law as a member of the firm of LeForgee, Vail & Miller. While in Illinois, Mr. Miller played championship tennis, an interest that remained with him all his life.

Ten years later, Mr. Miller came back to New York to join Sullivan & Cromwell. Soon after his arrival in New York, he married Rose Shimberg.

At Sullivan & Cromwell, Mr. Miller's specialty was writing briefs. In the 1920's, as counsel to the children of the Duchess of Talleyrand, formerly Anna Gould, Mr. Miller worked on the long legal contest over the estate of Jay Gould.

Towards the end of his life, Mr. Miller suffered from a heart ailment, but he continued to work and, on November 3, 1945, at the age of 68, died at his desk at 48 Wall Street. Mr. Miller left his wife and two sons, Charles Philip Miller and Nathan Miller.

Member of the Association of the Bar of the City of New York, the New York County Lawyers Association, and the Harvard Club.

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62 **LAURENCE A. CROSBY** (1892-1980) S&C 1917, 1919-1946

Mr. Crosby was born in Bangor, Maine on December 20, 1892. After graduation from Bowdoin College (B.A. 1913), he attended Oxford University, where he received his B.C.L. and M.A. degrees in 1916. He joined S&C as an associate on August 1, 1917, and left on November 30 of that year to serve in the U.S. Army, becoming a First Lieutenant. He returned to S&C on September 1, 1919, the same year he received his LL.B. from Columbia. Mr. Crosby was a partner of the firm from January 1, 1927 until he retired from the firm in 1946 to live in Cuba and devote full time to his sugar company interests and responsibilities.

He was President of Cuban Atlantic Sugar Co. and Compañia Atlántica del Golfo from 1931 to 1959. From 1936 to 1958 he was Vice-President of Compañia Azucarera Violeta. He was Vice-Chairman of Atlántica del Golfo Sugar Co. in 1959. From 1946 to 1959 he was President of Hershey Corporation of Cuba, and from 1954 to 1960 he was Chairman of the U.S. Cuban Sugar Council.

Mr. Crosby, with a good settlement of his personal claims, resigned his corporate offices after a proxy contest which gave a Pyrrhic victory to his opponents, represented by Thomas E. Dewey, who shortly had their conquest nationalized by Castro.

Mr. Crosby died on January 27, 1980 in Santa Fe, New Mexico, where he was living in retirement, and where his son John is the founder-impresario of the renowned Santa Fe Opera. His son James is Professor of Spanish Language and Literature at Dartmouth College.

Author (with Frank Aydelotte and Alan Valentine), "Oxford of Today", 1922 (2nd Edition 1927).

Decorations: Commander, Cuban Order of Carlos Manuel del Cespedes; Grand Cross Cuban Order Agrl. Ind. Member, American Chamber of Commerce of Cuba, president 1949-1953.

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63 **MINER W. TUTTLE** (1893-1957) S&C 1917-18, 1919-32

Miner Worthington Tuttle was born in Amherst in March 1893, the son of Charles Augustus and Affa Sophia (Miner) Tuttle. Though he left Massachusetts to attend Wabash College from 1909 to 1911, he returned to receive his B.A. degree from Amherst College in 1913. From 1914-1917 he attended Columbia Law School where he was an editor of the *Columbia Law Review* for two years.

Mr. Tuttle began practice with Sullivan & Cromwell in 1917. He left the firm to serve in the Army during World War I, but returned to general practice with the firm in 1919, remaining until 1932. For the years 1933 and 1934 he was associated with Blake & Voorhees, leaving in 1935 to join Jay Scandrett and Walter Chalaire, fellow S&C alumni, in the firm of Scandrett, Tuttle & Chalaire. From 1949 until his death in 1957 at the age of 64, Mr. Tuttle practiced alone in New York City, becoming noted for his work in the field of trusts and estates.

In June of 1927 Mr. Tuttle married Margaret Palliser of New York City. They had two sons, Miner W. and George P., and four grandsons.

Mr. Tuttle was a Mason, and a member of The Association of the Bar of the City of New York, the Lawyers Club, and the Shutesbury and Norwattuk Fish and Game Clubs in Amherst.

STODDARD M. STEVENS S&C 1917-

Stoddard More Stevens was born at Rome, N.Y. on October 19, 1891, the son of Stoddard M. and Katharine May. He married Marion Olney of Rome August 24, 1918. They have three daughters, all graduates of Vassar College (the eldest also of the Columbia College of Physicians and Surgeons). They are Adele Olney (Mrs. William D. Vail), Katharine More (Mrs. Roger Coursen Ward) and Marion Hoyt (Mrs. Edward M. Harris, Jr.). There are eight grandchildren. Mr. and Mrs. Stevens have residences in Short Hills, New Jersey and Siasconset, Nantucket Island, Mass.

Mr. Stevens entered the office in July 1917, became a partner January 1, 1929, and retired to Of Counsel status in October 1975. He maintains an office with the firm and continues to practice law on a reduced basis.

"I entered the office via Cornell (A.B. 1914) and Columbia (LL.B. 1917) with the background of father and grandfather being practicing lawyers in Rome, N.Y., the former having graduated from Union College in 1854 and the latter from Cornell in 1885.

"I had intended returning to Rome to practice upon graduation from Columbia but Harlan Stone, then dean of the Law School, strongly advised me to start in New York as my grandfather had done. In March of 1917, he took me downtown and introduced me to three S&C partners — Royall Victor, Alfred Jaretzki and Henry H. Pierce. (If that sounds too impressive, Mr. Stone in company with most professors of law at that time was a member of a law firm. His was Satterlee, Canfield & Stone, two doors away from the S&C office on Wall Street.)

"As the war approached, most of us at the Law School chose the officers training camp at Plattsburg, N.Y. Two of us had enough clerkship credits to qualify for the Bar Examinations. So, with a lift from Harold Medina's cram course given evenings downtown and a special dispensation from the Appellate Division, we took and passed the exams and I was sworn in to the Bar in early June before receiving my diploma from Columbia. I was turned down for military service on examination by Dr. Derby, President Roosevelt's son-in-law at the hospital on West 58th Street, and entered the S&C office in early July.

"The office was in the Atlantic Mutual Insurance Building at 49 Wall Street. It ran along William Street to Exchange Place. As I recall it, we occupied all of the 12th floor and parts of the 11th and 14th floors (there was no 13th floor.)

"The partners were Cromwell, Victor, Jaretzki, Pierce and, I believe, George Sullivan. William J. Curtis and Mr. Hill were retiring and Francis D. Pollak had recently died. there were approximately twenty

associates or clerks that come to mind. They were Green, J.F. Dulles, Corliss, Sykes, Miller, Ridley, Royall, Boyesen, Crispell, Shoop, Seligman, Giddings, Wiechmann, Jaretzki, Jr., Crosby, Tuttle, McQuillen, Quinn the managing clerk, and myself. Luke Cutter was the cashier.

"It is difficult to look back to those days and to compare the present. The dollar went a long, long way. The five cent subway and elevated fare and the three cent newspaper were at hand; New Jersey was reached only by ferry; a wholesome lunch cost twenty-five cents and you could get a free lunch by ordering a large glass of beer in one of the innumerable bars.

"The office was a fine place to work — a sort of happy family with few jealousies. Our principal weakness was in litigation, and nearly all of us participated in will and trust work in some way.

"In the famous *Eno* will case, we retained Max Steuer, and Charles Evans Hughes was retained to make the argument in the Court of Appeals in Chicago in the *Chicago & Eastern Illinois Railroad* case (I had the honor of carrying his briefcase). Our Sykes and Miller were good men but did not develop the necessary prestige. This department of the office took new life with the advent of Peck, Wyatt, Dooling and Piel.

"In 1917, we worked six days a week and were on the threshold of the Saturday half holiday. On Saturdays, Victor would walk through the office about 4 o'clock and the younger men then felt it wise to be seen at work. Vacations were short and there were lots of evenings when we worked until or after midnight.

"The office was always busy and there was a lack of specialization. You pitched in where needed and the associates were given a great deal of responsibility and a variety of problems.

"A short resume of my own practice will illustrate: At outset answered calendars at courthouse; looked up law; was assigned to assist Victor with client owning steamship lines and Mexican oil properties; long trips to Mexico in 1921 and thereafter, and also trips to Canada regarding Anaconda American Brass and pulp wood clients; attended corporation annual meetings in Maine, Maryland and Delaware and also New Jersey; worked on securities issues with Grant Keehn of Goldman Sachs and Paul Mazur of Lehman Brothers; reorganization of Munson Line, and also of small railroads in middle west; utility work for American Water Works and Electric Company and later North American Company; worked with Victor on corporation proxy contests; tried cases before local commissions for Potomac Edison Co., Washington Gas Light and other utilities, in Richmond, Cleveland, Pittsburgh, St. Louis and Charleston, West Virginia; also proceedings before Federal Power, Interstate Commerce and Securities and Ex-

change Commissions, involving arguments in U.S. Courts of Appeal, U.S. Supreme Court and various state courts.

"In the middle 1920's I began work with Mr. Cromwell in Converse Estate matters and succeeded him as trustee in the late forties. During the war, worked on Babcock & Wilcox matters with J.F. Dulles, also on Sanderson & Porter matters (more or less on my own). These included the building and operation of two huge ammunition plants, one at Joliet, III. and the other at Pine Bluff, Ark. Upon retirement of J.F. Dulles in 1949, I was elected and remained for 19 years a director of The Babcock & Wilcox Co., involving many trips to plants in U.S. and in the U.K., Germany, France, and Spain. I was counsel for Chester Dale and his wife Maud Dale. Dale became a trustee and later President of the National Gallery of Art; I was appointed Special Counsel to the Gallery and was later elected a Trustee. In early 1950's I met and worked on Gallery matters with Paul Mellon and in 1955 was elected trustee of the Avalon Foundation founded and supported by Ailsa Mellon Bruce. In the following year, I was elected trustee of Old Dominion Foundation founded by Mr. Mellon who then became a client of the office. I was later elected a trustee of The Andrew W. Mellon Foundation, which resulted from mergers of the two foundations above named, and which now has assets of some $825 million and annual income of more than $58 million. I was elected trustee of the Mellon Institute and after some years, worked on plans resulting in formation of Carnegie Mellon University. I also worked on Bollingen Foundation affairs, and was a member with Mr. Mellon and Mr. John Hay Whitney of the Building Committee for the new East Building of the National Gallery. Carter Brown, the Gallery Director, was a member ex officio. We had some 67 half-day meetings over a period of nine years and at the end were joined by John R. Stevenson who succeeded me as trustee in 1975.

During this period of some sixty-three years, I have advised or acted as trustee or executor or both for a large number of individual clients."

Member, Bar of the United States Supreme Court and of various bar associations, including Association of the Bar of the City of N.Y. (since 1921); American Bar Association (formerly chairman, Public Utilities Section; formerly member, House of Delegates).

Trustee, governor or director (present or past) of Babcock & Wilcox Co.; Babcock & Wilcox Tube Co.; Bailey Meter Co.; Avalon Foundation (1955-1969); Old Dominion Foundation (1956-1969); The Andrew W. Mellon Foundation (1969-1975, now honorary trustee); National Gallery of Art; Mellon Institute (Pittsburgh); President and Trustee, Stevens Kingsley Foundation; Trustee, Center for Hellenic Studies (Advisory Committee since founding).

LL.D. (honoris causa), St. Lawrence University.

Member of Board of Short Hills Country Day School (President three years); Trustee, St. Barnabas Hospital (now Emeritus Trustee); Trustee, New Jersey Historical Society; Trustee, Abbot Academy, Andover, Mass. and emeritus until merger with Phillips Academy; Presidential Councillor of Cornell; Member, Cornell Council; Psi Upsilon; Roman Lodge No. 223 F&AM (since 1915).

Clubs: Short Hills Club; Baltusrol Golf Club (board of governors; president 1947-1952); in New York City, the Knickerbocker Club, India House, Anglers' Club of New York; in Washington, The Metropolitan Club; in Siasconset, Mass., the Sankaty Head Golf Club; U. S. Seniors' Golf Association.

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65 **LAWRENCE E. SHERWOOD** (Deceased) S&C 1917-1919

Mr. Sherwood was born on August 31, 1883. He attended New York Law School and was admitted to the New York Bar in 1911. He died at some time before 1957.

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66 **WILBUR L. CUMMINGS** (1881-1941) S&C 1918-1941

Wilbur Love Cummings was born on May 19, 1881 in Springfield, Ohio, the son of Finley Odgen and Racheal Litler Cummings. His early education was in the public schools in Springfield. He earned his Ph.D. at Kenyon College in 1902 and his law degree from Columbia University Law School in 1905. Following graduation Mr. Cummings was admitted to the New York bar but shortly thereafter moved to Seattle, Washington, where he practiced law and became a leader of the bar. He returned to New York City in 1918 to practice with Sullivan & Cromwell, becoming a member of the firm in 1923. Three years later he was one of the four partners who, as a committee, assumed leadership of the firm upon the untimely death of Royall Victor, and who shortly turned over the reins to John Foster Dulles as active managing partner (Arthur H. Dean, *William Nelson Cromwell 1894-1948*, 42, 48, 172).

During his 23 years of practice with Sullivan & Cromwell, Mr. Cummings made notable contributions to the development of law and practice in the fields of industrial organization, corporate reorganizations, public utilities, and corporate finance and administration, and the forms of many instruments and documents used in connection therewith still reflect his handiwork. Among the many particular engagements in which he was active were the receivership of the National Railroad of Haiti, the reorganization of Goodyear Tire & Rubber Company, the development of the Niagara Hudson Power Company system, the merger and reorganization of a number of companies including United Cigar Stores of America, Tobacco Products Corporation and Whalen Drug Company, and the reorganization of numerous Cuban sugar companies including Cuban Cane, Manati, Eastern Cuba, Warner, Beatty and Francisco.

Mr. Cummings was an active and enthusiastic sportsman, a good golfer and a fine horseman. The vacations of his later years were spent either in riding the range in Montana or in traveling. He made annual trips to foreign countries, mostly to Cuba, South America and Mexico; but his travels also took him to Europe, the Near East, Hawaii and Central America. He took great pleasure in flying, became a licensed pilot, and made many of his trips by airplane long before that was common. Devoted to Kenyon College, his alma mater, he was one of its trustees, kept in close touch with its affairs, and rendered important service to it, including the donation to the college in 1934 of an airport, hangars, laboratory equipment, and two planes. He cooperated with the college in developing extracurricular flight training and a physics course on flight. The aviation activities which were established at the college as a result of his vision and generosity were credited with having an important influence on the later development of the Civilian Pilot Training Program by the Civil Aeronautics Authority.

Mr. Cummings married Marion Engle in 1912. They had two children, a son, Wilbur Love Cummings, Jr. and a daughter, Mrs. William G. Minot. The senior Cummings was killed by a stallion at his son's ranch near Ennis, Montana on July 26, 1941.

At the time of his death he was a director of Hecker Products Corporation, The Best Foods, Inc., Manati Sugar Company, United Stores Corporation, McCrory Stores Corporation, McLellan Stores Company, Ward Baking Company, American Surety Company, American Water Works and Electric Company, Incorporated, West Penn Power Company, Monongahela West Penn Public Service Company and other corporations.

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67 **CHARLES P. STEWART** (1885-1959) S&C 1918-1919

Born on June 30, 1885, Mr. Stewart grew up in Kingston, New York. He received his LL.B. degree in June 1908 from the Albany Law School, Union University. At law school, he was vice-president of his class and a member of the Parker Debating Society which was organized by the class of 1908.

After his year with S&C, Mr. Stewart practiced in New York and opened a law office in Far Rockaway, New York in 1926. He died on September 2, 1959.

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68 **WILLIAM W. WORTHINGTON** S&C 1918-1920

Born on April 27, 1890, Mr. Worthington earned his law degree from the University of Virginia in 1913. After leaving S&C, he established a private law practice in San Diego, California.

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69 **ROBERT THOMPSON WOODRUFF** (1885-1948) S&C 1918

Robert T. Woodruff was born at Andover, Massachusetts on May 26, 1885, the son of Frank Edward and Ellen Eliza (Hamilton) Woodruff. His father was an educator.

After attending public school in Brunswick, Maine, Mr. Woodruff was graduated A.B. at Bowdoin College in 1906 and LL.B. at Harvard University in 1910. Admitted to the bar of Massachusetts in the latter year, he began practice in Lynn with the firm of Niles, Stevens, Underwood & Mayo. He left that firm in 1915 and for two years was a partner in the firm of Barney & Woodruff in the same town.

Moving to New York City in 1918, he became associated with S&C, but only for one year. Subsequently he was associated with Simpson, Thatcher & Bartlett during 1919-1920. For the next two years he served as vice president of the Kinsley Steamship Co., New York City. He then practiced as a member of the law firm of Walker & Redmond during 1922-1930, Woodruff & Grill from 1930 to 1933, and Lawrence Gordon during 1935-1941. From the latter year until his retirement in 1947 he served as associate counsel of the Worthington Pump & Machinery Corp., Harrison, New Jersey.

He was a member of the American, New York State, and Massachusetts bar associations, Phi Beta Kappa, Theta Delta Chi, the Precancel Stamp Society, and the New York Precancel, Harvard and University Clubs of New York City. His religious affiliation was with the Congregational Church, and politically he was a Republican. Golf was his chief recreation and philately was his hobby.

Mr. Woodruff was married in Wellesley, Massachusetts, May 24, 1911, to Alice Doty, daughter of Benjamin Hyde Sanborn of that place, a publisher, and they had a son, Robert Thompson. Mr. Woodruff's death occurred in Sarasota, Florida on May 18, 1948.

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70 **WALTER CHALAIRE** (1895-1971) S&C 1919-21, 1927-32

The son of Alcide and Gertrude Chalaire, Walter Chalaire was born in New Orleans on March 7, 1895. While at New York University studying law, Mr. Chalaire doubled as a reporter for the old *New York Herald*. After receiving his LL.B. in 1916, he signed on with the Royal Air Force, in which he served for three years. During a flight over Belgium, Mr. Chalaire downed two German planes.

When he returned from England in 1919, Mr. Chalaire came to Sullivan & Cromwell. While associated with the firm, he worked in international law and spent two and a half years in the Philippines. Mr. Chalaire left Sullivan & Cromwell in 1921 to become a partner in Chalaire, Franklin in Shanghai. In addition to practicing law in Shanghai, he became a trophy-winning polo player. Upon returning from his tour

of duty in Shanghai, Mr. Chalaire once again joined Sullivan & Cromwell and remained with the firm until 1932. In 1935 he joined Jay Scandrett and Miner Tuttle, fellow S&C alumni, in Scandrett, Tuttle & Chalaire, where he practiced international corporation and estate law until 1958. He served as counsel to the law firm of Sullivan, Donovan, Hanrahan, McGovern & Lane from 1958 until his death on November 5, 1971 at his home in Great Neck, Long Island.

Mr. Chalaire devoted much time to civic organizations and his alma mater. He served for many years as an officer of the James Gordan Bennett Memorial Corporation, a fund established by Mr. Bennett to care for the families of indigent journalists. He had also served as President and director of the New York University Law Alumni Association and Vice-President and director of the N.Y.U. Alumni Federation. As a member of both the Manila Club and the Shanghai Club, Mr. Chalaire kept in touch with affairs in the Far East.

Mr. Chalaire was survived by his wife, Eva Eitingon Chalaire; three sons, Walter Snawden, Robert, and James; two daughters, Mrs. John Simon and Mrs. Robert Griek; and 11 grandchildren.

He was president and director of Neland Building Corp., Valstream Building Corp., Professional Res. Products Corp.; and he served as Vice-President and director of Smith, Bell & Co., Inc. Mr. Chalaire was a member of the American Bar Association, the New York State Bar Association, the New York County Lawyers Association, India House, Wings Club, Manila Club, and the Shanghai Club.

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71 **LEE R. FRANCIS** (1892-1945) S&C 1919-1945

Mr. Francis was born in New York on May 18, 1892. He graduated from Columbia College (A.B. 1915) and Columbia Law School (LL.B. 1917). He joined S&C on April 21, 1919 and was an associate in the real estate group until April 1945. He was a member of the Columbia University Club and Phi Sigma Kappa.

While he was at S&C, Mr. Francis married Agnes Dorothea McDonald. They had a son, Warren William Francis.

Mr. Francis died on August 16, 1945 at his home in Larchmont, N.Y.

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72 **CHARLES MacGREGOR** (1892-1976) S&C 1919-1922

Charles MacGregor received his A.B. from Miami University, Oxford, Ohio in 1914, and his law degree from Western Reserve University in

1917. He was a member of the Beta Theta Pi and Phi Delta Phi fraternities. After leaving S&C, he lived and practiced in New York City until 1942, when he moved to Scarsdale, N.Y. to continue his practice there. Mr. MacGregor died on August 10, 1976 in Ventnor City, New Jersey.

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73 **HERMAN H. HOSS** (1894-1970) S&C 1920-1922

Herman H. Hoss came to S&C from Stanford University, where he received his undergraduate degree in 1917 and his J.D. in 1920. He was a member of Phi Beta Kappa, Order of the Coif, Phi Alpha Delta and the Theta Chi fraternity.

Upon leaving the office, he moved west to live in Yosemite National Park, serving as U.S. Commissioner there from 1923 to 1927. In 1927, he became Secretary-Treasurer of Yosemite Park and Curry Company, a position he held until 1942. As counsel to this company, he negotiated concessionaire — National Park legal problems.

Mr. Hoss moved to Palo Alto in 1942, and became a vice-president and counsel of Schwabacher-Frey Company in San Francisco. During this period he was active in drafting state election laws. Following retirement from Schwabacher-Frey in 1965, he became a senior member of the law firm of Trepel, Gingerich and Hoss.

Mr. Hoss died in 1970. He is survived by his wife, Della Taylor Hoss, who lives in Palo Alto, and their son, Peter T. Hoss, an attorney in the firm of Noland, Hammerley, Etienne and Hoss in Salinas, California.

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74 **HORACE G. REED** (1884-1967) S&C 1920-1946

Horace Garner Reed was born in the Dakota Territory on November 15, 1884. In the early nineteen-hundreds, he received a Bachelor of Philosophy degree from the University of Chicago and a B.A. from the University of Minnesota. Mr. Reed also attended the Harvard Law School but received his law degree from the University of Chicago in 1908.

Mr. Reed spent the next year touring China with a Rockefeller Commission on Education from the University of Chicago. He founded a law firm in Manila with Edward Bruce and spent a number of years practicing law throughout eastern Asia.

Mr. Reed joined S&C in 1920 and became a partner in 1925, remaining until he left the firm in 1946 for government service. As a partner, he dealt chiefly with corporate finance and spent considerable time in Europe on behalf of the firm.

World War II started Mr. Reed on a new career. He became active in the Departments of Commerce and State, working in Washington and Europe, initially as special assistant, and later, as acting chief of mission in Paris for the Economic Cooperation Administration and successor agencies.

Commencing in 1955, Mr. Reed worked in Washington for seven years as special assistant and consultant in the office of the general counsel of the Agency for International Development, specializing in African and European operations and international agreements.

Mr. Reed, who died in 1967 at the age of 83, was survived by his wife, the former Florence Garfield Hofflin; four daughters, Mrs. Phillips Brooks Keller, Jr., Mrs. Frederick R. H. Witherby, Mrs. Richard B. Veit and Mrs. Joseph S. Clark, Jr.; a sister, Mrs. John Brandt; and eleven grandchildren.

He was a member of the New York City Bar Association, the New York County Lawyers Association and the New York State Bar Association. In addition, he was a member of the Institute of Foreign Affairs and the Metropolitan Club of Washington.

75 ORVILLE W. WOOD

S&C 1920-1922

Born in St. Paul, Minnesota on December 8, 1892, Orville Wallace Wood attended public schools and graduated from the State Normal School in Moorehead, Minnesota in 1911. From 1911 to 1913 he was a school principal in Minnesota. Mr. Wood then moved to Texas where he received his B.A. from the University of Texas in 1916. There he was a member of Phi Beta Kappa, the Friar Society and the Debating Team. He was also president of his senior class and on the editorial staff of "The Daily Texan".

At Columbia University in 1919 he received his LL.B. from the Law School and his A.M. degree from the Graduate School of Political Science. His major subject was international law, and he did further graduate work in 1919-1920 while assisting Dr. John Bassett Moore in editing the manuscript reports of the United States and Mexican Claims Commission of 1868.

Mr. Wood began his association with S&C in 1920. "I had my interview with Royall Victor (then Managing Partner) early in the summer of 1920. I was employed then, but I did not report until September in order that I might complete my work with Dr. John Bassett Moore. I did some work with John Foster Dulles (then a new partner) on matters arising under the Versailles peace treaties. Later, I was assigned to the business of Pacific Development Corporation and its subsidiaries, working under Horace G. Reed.

"In 1922, I was assigned to the Havana office, working under

Laurence A. Crosby, chiefly in the legal department of Cuba Cane Sugar Company. When Crosby returned to New York, I continued to work in the legal department of Cuba Cane, and I also was made legal advisor to Manati Sugar Company. I returned to New York in October, 1924, but I did not return to S&C.

"On January 26, 1926, I entered the office of Murray, Prentice and Aldrich (now Milbank, Tweed, Hadley and McCloy), after an interview with the Managing Partner, William Dean Embre, who had received a letter of recommendation from my former Law School dean, Harlan F. Stone, then United States Attorney General. I remained with this firm until my retirement in 1954."

At Milbank, Tweed, Mr. Wood worked principally with the corporate trust departments of the Equitable Trust Company and its successor, the Chase National Bank, and also with the railroad loan department of the Chase. Between 1936 and 1945, most of his time was devoted to railroad reorganizations, most importantly that of the Western Pacific, which provided one of the first test cases to reach the United States Supreme Court under Section 77 of the Bankruptcy Act.

When Mr. Wood retired, he moved to Texas where, now coping with some physical handicaps, he resides at 601 Water Street, Kerrville, 78028.

From the vantage point of his nearly ninety years, Mr. Wood shares with us some "outstanding memories" from his days at S&C: "One is of the dinner for partners and associates which Mr. Cromwell gave at his home (in 1920 or 1921, I believe). Another is of an incident which occurred the day I went to see Royall Victor for my interview. While I was sitting in the reception room, the door to Mr. Cromwell's office opened, and a little Latin-American gentleman, dressed in striped trousers and a cutaway coat, came out and then disappeared down a hallway. 'John,' the receptionist said to me, 'That is the President of Panama.' Then he added, 'Did you know that the constitution of the Republic of Panama was drawn in this office?' Finally, I remembered the 'Wall Street explosion' in 1920. Just before noon, I was sitting in Dulles' office which faced William Street. We heard a loud explosion and a shattering of glass in the Stenographic Department, which faced Wall Street. We rushed in and looked out. In the street below, crowds of people were rushing through showers of broken glass toward the scene of the explosion. It was a ghastly sight."

Always active in the Presbyterian Church, Mr. Wood has served as Associate Secretary on the staff of the National Council of Presbyterian Men (1954-1958), as Elder and Member of Session of the Larchmont Avenue Church in New York and of the First Presbyterian Church of Kerrville, Texas, as a member of the Executive Council of John Knox Presbytery and as Moderator and Commissioner to the General Assembly of the John Knox Presbytery. He has been a member and chairman of various Boy Scout Troop Committees in Larchmont and New Rochelle, New York. He has been a member since 1967, and chairman for a year, of the Advisory Board of Butt-Holdsworth Memorial Library, Kerrville, Texas. In 1977, he was elected by the City Council as an Honorary Life Member. Mr. Wood wrote a teaching manual, *What It Means To Be A Presbyterian*, primarily for use by the First Presbyterian Church of Kerrville, Texas.

HORACE R. LAMB (1892-1977) S&C 1920-1926

Horace Rand Lamb, one of the founders of the firm now known as LeBoeuf, Lamb, Leiby & Macrae, was born in Sandusky, Ohio on July 7, 1892. One of eight children, he could not afford to undertake higher education after high school. Instead, he entered a work-study program at the Telluride Power Company in Colorado. The young Mr. Lamb so distinguished himself that at age nineteen the Telluride Association awarded him its scholarship to Cornell University, from which he was graduated with the class of 1916. Mr. Lamb then joined the regular United States Army, serving in the infantry in France and later in occupied Germany. He returned to the United States to attend Cornell Law School where he was an editor of the Law Review before being graduated in 1920.

Mr. Lamb entered the office of Sullivan & Cromwell in 1920 and practiced with the firm until 1926. He spent the next three years as special assistant to William J. Donovan, who was at that time the Assistant Attorney General of the United States in charge of antitrust law enforcement. During his Washington service, Mr. Lamb participated in the prosecution of the "Teapot Dome" scandals and other major cases.

In 1929, he joined Mr. Donovan's newly formed law office in New York, today Donovan, Leisure, Newton & Irvine. In 1934 he resigned from the Donovan office and, along with another S&C alumnus, Earle J. Machold, joined the firm of LeBoeuf & Winston as partners, so that the name became LeBoeuf, Winston, Machold & Lamb until Winston died in 1937, when his name was dropped.

Mr. Lamb intensively specialized in corporate law and litigation, and was an active participant in a number of landmark cases such as the *Madison Oil* case, *Radiant Burners vs. American Gas Association*, and for some of the utility company plaintiffs in the electric equipment antitrust cases of the early 1960's. He was general counsel to the St. Regis Paper Company and served on its Board of Directors for more than 25 years.

Mr. Lamb served on numerous bar associations with great zeal, was active in the civic affairs and town meetings of New Canaan, and was a member of its First Presbyterian Church.

He continued his vigorous practice until his retirement from LeBoeuf, Lamb, Leiby & MacRae in 1967 at age 75. He died on November 11, 1977.

Mr. Lamb was survived by his wife, Beatrice Pitney Lamb, and two daughters, Barbara and Dorothy, now Mrs. Tristan B. Johnson and Mrs. John C. Crawford.

His memberships included the Metropolitan Opera Company's National Council, the Council on Foreign Relations, the Union League Club and the Cornell Club of New York City, as well as the Noraton Yacht Club and the Country Club of New Canaan.

DeLANO ANDREWS (1894-1958) S&C 1921-1955

DeLano Andrews was born in New York City in March of 1894, the son of General Avery DeLano Andrews and of Mary Schofield Andrews who was the daughter of Lt. General Joseph M. Schofield. He attended St. Paul's School in Concord, New Hampshire, graduating in 1911. He received his B.A. from Harvard College in 1916 and entered the University of Pennsylvania Law School that year.

With America's intervention in World War I, Mr. Andrews left the law school to serve overseas for nearly two years, fighting with the First Division in France. Commissioned a Second Lieutenant, he was promoted to Captain. He was appointed Aide-de-Camp to Major General Summerall and accompanied the General in his Brigade, Division and Corps Commands, and on many important missions, including that to the Inter-Allied Military Commission at Fiume, on which Mr. Andrews acted as Secretary. He was awarded the French Croix de Guerre.

On his return from the war, Mr. Andrews completed his study of law in New York, graduating from Columbia Law School in 1921. He was admitted to the New York Bar in the same year and commenced his practice of law with Sullivan & Cromwell, becoming a member of the firm in 1928.

A specialist in general corporate and financial law and outstanding for his legal ingenuity and success in reorganizations of large enterprises, Mr. Andrews worked on many matters for the St. Regis Paper Company, American Water Works and Electric Company, in addition to work with Arthur Dean and John Foster Dulles on the reorganization of the Seaboard Railroad Company. At the firm's Berlin office in 1935, representing New York bankers, he worked out the intricate legal arrangements for some of the first German bond financing in the United States. He later served for a year as head of the firm's office in Paris. For many years he was a director of J. Henry Schroder Banking Corporation and of Schroder Trust Company.

After having to undergo severe surgery, Mr. Andrews retired from Sullivan & Cromwell in June of 1955 to Columbia, South Carolina where he died suddenly on January 30, 1958 at the age of 63.

Mr. Andrews married Adeline Lovell Hatch of New York City in 1926. They had four children: Avery DeLano Andrews II of Villanova, Pa.; Adeline (Mrs. Theodore Hamm, Jr.) of Livermore, Calif., Mary (Mrs. William T. Powers) of Chicago, Ill., and Denison Andrews of Concord, Mass.; and six grandchildren.

Mr. Andrews was a member of the Association of the Bar of the City of New York (Committee on Bankruptcy Law), New York County and State Bar Associations, the American Bar Association, The Union Club of New York City, Downtown Association, Racquet and Tennis Club, Rockaway Hunting Club, The Harvard Club of New York City, The Iroquois Club of Cambridge, Mass., The Tuxedo Club of Tuxedo Park, The International Sportsmen's Club of London and The American Club of Paris.

78 **LORING W. POST** (1896-1973) S&C 1921-28, 1929

The son of Loring and Harriet Post, Loring Whiting Post was born in Wilmette, Illinois in 1896. He received his A.A. from the Illinois Institute of Technology in 1915, his B.A. from Columbia University in 1918 and his LL.B. from Columbia Law School in 1921. Mr. Post was a member of Phi Beta Kappa, the Sons of the American Revolution, and the Society of Colonial Wars.

Information is lacking as to his affiliation immediately after his departure from S&C, but it is understood that for many years Mr. Post practiced as an attorney with the Department of Justice in its Tax Division, Appellate Section, in Washington, D.C.

79 **DAVID R. HAWKINS** S&C 1921-1964

David R. Hawkins achieved distinction as a practicing lawyer and in addition became a much-consulted authority on law firm administration.

He was born on March 27, 1892 in Kansas City, Missouri and graduated from Princeton in 1914. After graduation he held a fellowship at Princeton and received an M.A. in 1915. He then went to the Harvard Law School. From 1917 to 1919, he was in the Army, serving as a captain and later as a major, commanding the 2nd Battalion, 325th Infantry, 82nd Division in the AEF in France. After the armistice, he was a member of the American Mission to Poland, stationed in Warsaw.

After leaving the service, he re-entered Harvard Law School, graduating in 1921. He joined S&C the same year and became a partner in 1927. He retired as a partner on December 31, 1964 but remained active in an Of Counsel capacity until 1976.

One of the major clients he served during his time in the office was the North American Company, a public utility holding company. With John Foster Dulles he supervised the litigation seeking to have major provisions of the Public Utility Holding Company Act of 1935 declared unconstitutional. This effort was unsuccessful, and the North American Company was eventually dissolved.

As Hawkins relates it, his work in the administrative management of the firm began early in his career in the office, when he complained to Royall Victor of the inadequacy of the stenographic service. Victor invited him to try his hand at managing the stenographers, and this was the beginning of his lifelong involvement in firm administration. Most of his work in this field was done prior to the age of computers, but this was no great handicap, since that part of his mind engaged on this part of his work functioned anyway as a super-abacus. He had an extraordinary ability to analyze figures and prepare statistical studies on almost every conceivable aspect of the firm's operations. He also had a remarkable facility for human relations and, when necessary, preserved harmony in the office by applying cool reasoning to overheated feelings of temporarily disgruntled lawyers or employees. He helped develop many of the recruitment and personnel policies for lawyers and non-lawyers which are still followed today.

Hawkins now lives in Hightstown, New Jersey with his wife, the former Phoebe Armistead Helmer. For many years he was a resident of New Canaan, Connecticut. His first wife, Mary Margaret Landon, died in 1972. His children are Anne Gatti, John Hawkins and Elizabeth Roistacher.

80 **JOHN H. LAMBERT** S&C 1921-1924

Mr. Lambert was born on September 1, 1892. He practiced with S&C for three years following his graduation from New York University Law School in 1921.

81 **HAROLD F. BUTLER** (1893-1978) S&C 1922-1935

Harold F. Butler was born in Reedsville, Pennsylvania. He graduated from Pennsylvania State University in 1918, after having had his college studies interrupted for twenty months while he served in World War I as a captain of infantry assigned to division intelligence work. Mr. Butler received the Distinguished Alumnus Award from Penn State in 1963.

In 1922, Mr. Butler received his law degree from the University of Pennsylvania, where he was first in his class for all three years.

During Mr. Butler's thirteen years with S&C, his practice related primarily to corporate organization, reorganization and financing, and also to rate and general regulatory matters relating to public utilities. He was an active participant in the first registration of an important corporate security issue under the Federal Securities Act of 1933, and devised the plan followed in obtaining the release and satisfaction of the first "gold clause" corporate mortgage after the adoption of the gold clause resolution in 1933. He also participated in the first corporate merger under the present Federal Power Act and in the first com-

prehensive reorganization plan filed with the Securities and Exchange Commission under the so-called holding company "death sentence clause."

Mr. Butler retired from the active practice of law in 1935 to become a vice president of the American Water Works and Electric Company, a holding company with extensive water, gas, and electric company properties. His special fields were policy, relations with general counsel and state counsel, and corporate financing and regulatory matters. He was made a director of the company in 1939.

When the holding company was reorganized in 1946, Mr. Butler became a director and a vice president of the successor to the electric generating and distribution portion of the business, which was designated West Penn Electric Company and later Allegheny Power System, Inc.

On his retirement from active business in 1958, he was vice chairman of the board of the Allegheny Power System, Inc. He continued as a director and member of the executive committee of that company, and as a director of Allegheny's two principal subsidiaries, West Penn Power Company and Monongahela Power Company.

In addition to holding membership in various bar associations and other organizations, Mr. Butler was a trustee and a member of the finance committee of Overlook Hospital in Summit, New Jersey.

Mr. Butler married the former Miriam Myer in 1922. They had three daughters, Miriam Dayhoff, Virginia Lohse and Constance Butler; six grandchildren; and four great-grandchildren. Mr. Butler died in November 1978, leaving the legal tradition alive in his family: his daughter, Constance M. Butler, and his granddaughter, Constance Butler Lohse, are both practicing lawyers.

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82 **KENNETH M. BIXLER** (1896-1941) S&C 1922-1924

Born in Easton, Pennsylvania in 1896, Mr. Bixler was graduated in 1917 from Lafayette College, where he was President of the Debating Union. After serving in the 320th Infantry during World War I, he entered Harvard Law School and received his law degree in 1922.

Mr. Bixler left S&C after two years to join the legal staff of American Waterworks and Electric Company in New York City. He was with American Waterworks until 1932, when he moved to Pittsburgh to join West End Electric Company as staff attorney.

He married Margaret Davison on September 1, 1928. They had two children: Katherine and Kenneth. Mr. Bixler died on May 23, 1941.

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83 **ALLEN VAN WYCK** (1896-1976) S&C 1922-1933

Mr. Van Wyck graduated from Princeton University in 1917 and the Columbia Law School in 1922. Following his association with Sullivan & Cromwell, he was employed by the North American Company and subsequently became Chairman of the Board and chief executive of Illinois Power Company. He was living in Tulsa, Oklahoma at the time of his death on April 16, 1976.

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84 **GEORGE CLOUGH SHARP** (1897-1972) S&C 1922-1943, 1945-1970

George C. Sharp was born on September 19, 1897 in Elyria, Ohio. His father, William Graves Sharp, was Ambassador to France during World War I. His mother, Hallie Clough Sharp, initiated the effort to provide recreational facilities for American soldiers in France. George Sharp attended Ecole Libre des Sciences Politiques in Paris, and graduated from Columbia Law School in 1922. He served as private secretary to the American Ambassador to France from 1914 to 1919.

After law school, Mr. Sharp entered S&C as an associate. Seven years later, he was made a partner; he remained with the firm until his retirement in 1970.

During World War II, Mr. Sharp was commissioned as Major, AUS, and subsequently as Lieutenant Colonel. He served first with OSS at Washington, D.C. and then, from September 1944 to July 1945, as legal advisor to the SHAEF Mission to France.

Mr. Sharp married Ruth Baldwin on April 30, 1936. They had two children: Anna and George. They lived in Katonah, New York.

Mr. Sharp died on December 31, 1972 at the age of seventy-five.

Decorated Order of Pologna Restitute (Poland); Legion of Honor, Croix de Guerre (France).

Director, International Nickel Company of Canada, Ltd. and International Nickel Company.

Trustee, French Institute; Director and Vice President, American Friends of France, Inc.; Member of Executive Committee, Paris American Club. Member Association of the Bar of the City of New York; New York County Lawyers Association; ABA; NYSBA; American Judicature Society; Council Foreign Relations, Inc.; Sigma Chi.

Clubs: Knickerbocker, Downtown Association, Bedford (N.Y.) Golf & Tennis.

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85 **FREDERICK C. BANGS** (1886-1964) S&C 1926-1933

Mr. Bangs graduated from Yale University in 1913 and from the Columbia Law School in 1918. After leaving Sullivan & Cromwell, he

practiced alone in New York City, specializing in estate and trust matters. He died at the age of 77, survived by his widow, Lillian; a daughter, Mrs. Arch Russell; and three grandchildren.

86 ARTHUR H. DEAN S&C 1923-

The following account of Mr. Dean's career is contributed by Carole I. Manzo, who has worked with Mr. Dean as one of his secretaries since 1960.

Arthur H. Dean joined Sullivan & Cromwell in 1923, became a partner in 1930, and succeeded John Foster Dulles as head of the firm in 1949. He continued in that capacity until 1972, when he was succeeded by William Ward Foshay. In 1976, Mr. Dean retired as a partner and is presently Of Counsel to the firm.

During the 22 years when Mr. Dean was the senior partner, the firm experienced growth and change. The needs of the firm's clients became considerably more complex due to the technological development, urbanization, growth of government, and intense specialization in many forms of endeavor that followed World War II. The firm's response to those needs is reflected in the development of each of its practice groups during that period. By January 1972, the firm had grown to 154 lawyers from 85 lawyers in 1949, and there were more than 85 lawyers in the General Practice Group alone.

Throughout his career, Mr. Dean has been both an office lawyer and a trial lawyer. As an office lawyer he has had a broad experience in advising individuals, industrial corporations, commercial bankers, public utilities, railroads, universities, hospitals, and other organizations on daily problems and on a wide variety of matters. Widely respected for his business judgment, he has served as general counsel and a director of numerous companies, a trustee of many nonprofit organizations, and a fiduciary for many individuals.

As a trial lawyer, he has represented corporate clients in suits brought against them by the government, stockholder derivative actions, take-over attempts, and many other kinds of actions. Perhaps the best known litigation handled by Mr. Dean was the Investment Bankers antitrust case, *United States* v. *Morgan*, in which he acted as lead counsel for the 17 defendants. During the same period Mr. Dean undertook the first of a long series of representations for Exxon Corporation (then Standard Oil Company of New Jersey) in the so-called "international oil cartel" investigation. After a grand jury investigation was halted by direct order of President Truman, Mr. Dean rejected as "blackmail" a threat by the Attorney General to re-invoke criminal

processes unless the industry yielded its right to due process in a civil proceeding.

Mr. Dean has long believed that the firm, by reason of the variety of its relationships and personalities and the consequent necessity for adjustment, offers to its members an exceptional opportunity to acquire a liberal education in modern government and society. At the same time, outside pursuits of a lawyer frequently lead to the development of skills and the acquisition of knowledge useful in private practice.

As a young partner in 1933, at the request of President Roosevelt, he worked on the drafting of the Securities Act of 1933. He was appointed by the President as a member of the Dickinson Committee which recommended the creation of the Securities and Exchange Commission, the enactment of the Securities Exchange Act of 1934, the enactment of the Maloney Act, and the organization of the National Association of Securities Dealers. He was also active in the drafting of the Bankruptcy Act of 1938, the Trust Indenture Act of 1939 and the Investment Company Act of 1940. He participated in the reorganization of the Seaboard Air Line Railroad and the Baltimore & Ohio Railroad and of numerous other corporations.

Mr. Dean's skill as a negotiator developed from the very start of his legal career in 1923, when he joined the firm as an associate upon graduation from Cornell Law School, where in his senior year he had been Managing Editor of the *Cornell Law Quarterly*. Almost at once he was plunged into assisting Foster Dulles in negotiations in Paris, Berlin, Rome, Milan and London on the security issues and business transactions which followed the Dawes Plan loans to alleviate Germany's financial plight. In 1927 and 1928, he went to Japan where he worked out the first open-end mortgage in that country by the indenture of a $9 million bond issue for Nippon Electric Power Company. While in Japan he was retained by Webb Wilson, Vice President of Guaranty Company of New York and Frederick Sales, representative in Japan of Lazard Freres et Cie, to act as co-counsel with Davis Polk Wardwell Gardiner & Reed on a $130 million Tokyo Electric Light issue, and in cooperation with Japanese counsel Dr. S. Kisi and Dr. G. Matsumoto developed a completely open-end mortgage in three tranches, U.S., British and French.

The afternoon of a lovely summer day in June 1928 found him having tea in a beautiful Japanese garden with Sir Kengo Mori, financial advisor to the Japanese government, Baron Shidehara, former Japanese Ambassador to the United States and later Foreign Minister, the Swedish Ambassador to Japan, and Boris A. Bakhmetieff, the last am-

bassador from pre-Soviet Russia to the United States. The Counselor and First Secretary of the American Embassy in Tokyo had asked Mr. Dean to say a good word for the Kellogg-Briand Pact just proposed by Secretary of State Frank Kellogg and the Foreign Minister of France, Aristide Briand, which provided for the "renunciation of war as an instrument of national policy."

Incidents of this nature proliferated beyond enumeration in the course of Mr. Dean's career, and his range of acquaintance among leaders throughout the world in government, business and finance, and academia became unsurpassed. Throughout his years of practice he undertook representation of clients and service to the government in many nations, and took a leading role in international forums such as the Association Internationale Pour La Promotion et La Protection des Investissements Privées en Territoires Etrangers ("APPI").

In 1953, when Foster Dulles was Secretary of State, President Eisenhower called upon Mr. Dean to serve as chief representative of the United States and the 16 other nations which had contributed troops to the United Nations Command in Korea at the post-armistice negotiations for the release of prisoners and in an effort to set up the political conference envisaged by the Armistice Agreement. High in the mountains of Korea, in a tent placed athwart the 38th parallel of latitude, during the endless hours of discussions, Mr. Dean displayed patience, persistence, calm toughness of mind, a nature impervious to insults, a constantly creative and resourceful mind, and unwillingness to be discouraged.

He served President Eisenhower again in 1958 and in 1960 as Chief of Delegation at an 88-nation conference on the Law of the Sea. Although no agreement was reached on the breadth of the territorial sea, a very wide number of agreements were reached with respect to the high seas, fisheries, the continental shelf and the right of land-locked countries to have access to the sea. (At the next Law of the Sea conference in 1973 to 1975, John R. Stevenson (*q.v.*), served as Chief of the United States delegation.)

President Kennedy appointed Mr. Dean chief negotiator at the nuclear test ban negotiations and the 18-nation disarmament conference at Geneva in 1961 and 1962. The nuclear test ban negotiations led to the signing of the partial nuclear test ban treaty in Moscow on August 5, 1963.

Adlai Stevenson, then Ambassador to the United Nations, observed at a Cornell University Dinner held in January 1963, "I'm inclined to think Arthur Dean practices law and diplomacy about right — in alternate short doses." President Kennedy said in a telegram for that occasion: "I have had a chance to see and value the qualities you

[Cornellians] have known for so long: the sharp intelligence, the wise counsel, the imperturbable patience and dignity in public, the amiable — if sometimes exasperated — tolerance of human frailty in private. He has served this nation nobly as chief negotiator. Much of the progress we have made in the negotiations has been due to his strength and wisdom . . ."

At that time, Mr. Dean was Chairman of the Board of Trustees of Cornell University. He was a Cornell Trustee from 1945 to 1976 and served as Chairman of the Board's Executive Committee from 1948 to 1958 and as Chairman of the Board from 1958 to 1968.

His interest in the Cornell University Libraries is evident from the various historical collections, rare books, art objects and memorabilia which he made it possible for Cornell to obtain. The Arthur H. and Mary Marden Dean Lafayette Collection is a vast archive of manuscript and printed material which deals with every aspect of the public career of the Marquis de Lafayette, who served as a Major General of the United States under George Washington. In April 1978, documents from the Dean collection were exhibited at the National Archives in Washington, D.C.

Mr. Dean's public service under Presidents Roosevelt, Eisenhower and Kennedy was continued under President Johnson, who asked Mr. Dean to become founding co-chairman of the Lawyers' Committee for Equal Rights Under Law and who increasingly relied upon Mr. Dean's counsel in the darkest days of his concern with the war in Vietnam. Mr. Dean's relentless cross-examination of high military and intelligence officers on behalf of his "client," the President, played a significant role in Johnson's decision in 1968 to cease the bombing of North Vietnam and to retire without seeking reelection that year.

Like his mother, the late Maud J. J. Dean, Mr. Dean is a horticulturist and gardener who recognizes plants by their proper Latin names and orders great quantities of seeds, bulbs and plants from various catalogs each year. His Oyster Bay residence in spring displays thousands of daffodils, numerous azaleas and flowering trees, and rhododendron high as a house. He serves as a Trustee of Planting Fields Foundation, which maintains the well-known arboretum, situated on the former estate of the late William R. Coe which is presently a New York State park.

At Siasconset, on the island of Nantucket off the coast of Massachusetts, where he has for many years been a summer resident, he has fought erosion of the bluff atop which his house was built by the diligent planting of rugosa roses, bayberry, beach grass and other species with roots which spread out in width, thereby reinforcing the bluff. He has created a wildlife sanctuary around Sesachacha Pond which, after

acquisitions by purchase or gift, now contains almost 900 acres known as the Nantucket Ornithological Association.

While in a life so full and a career so distinguished it is difficult to select any particular achievement as noteworthy above others, Mr. Dean's influence may be most widely and enduringly felt through the hundreds of men and women who joined Sullivan & Cromwell as young associates during his decades of leadership. To a greater or lesser extent, whether they remained with the firm or went on to other careers, all were taught and inspired by his integrity, energy, rigor, tenacity, breadth of vision, and respect for human dignity.

Born, Ithaca, N.Y., October 16, 1898, son of William Cameron and Maud J. J. Dean. A.B. Cornell University, 1921; J.D. 1923. Honorary Doctorates: Hamilton College, Allegheny College, Rutgers-The State University, Washington University, Hofstra College, Brown University, Dartmouth College, Bowdoin College, C.W. Post College (L.I.U.), Washington College, Adelphi University, Otterbein College, Lafayette College. Married Mary Marden, June 25, 1932; children — Nicholas Brice Marden Dean and Patricia Dean Manolis. Eight grandchildren and one great-grandchild.

Diplomatic assignments include: U.S. Representative, post-armistice negotiations, Panmunjom, Korea; Special Ambassador to Korea, 1953-54; U.S. Representative, United Nations Conferences on Law of the Sea, 1958, 1960; U.S. Representative, Conference on Discontinuance of Nuclear Weapon Tests, 1961-62, 18-Nation Disarmament Conference, 1962; Delegate to 16th and 17th General Assemblies of the United Nations, 1961, 1962; Consultant to Director of U.S. Arms Control & Disarmament Agency, 1963-69; Member, President Johnson's Panel of Consultants on major international problems; Member, President Johnson's Task Force on Nuclear Proliferation; Chairman, National Citizens Committee for Community Relations, by appointment of President Johnson. Personal rank of Ambassador.

Director or former director of ADELA Investment Company, S.A., AMAX, Inc., American Agricultural Chemical Company, American Bank Note Company, Inc., The Bank of New York, The Bank of New York Company, Inc., Bank of New York International Corporation, Campbell Soup Company, Crown Zellerbach Corporation, Cornell Aeronautical Laboratory, Inc., Henry L. Crowley & Company, Inc., Crowley-Republic Steel Corporation, El Paso Company, El Paso Natural Gas Company, El Paso Products Company, Emerson Radio & Phonograph Corporation, Lazard Fund, Inc., Mexican Light & Power Co., Ltd., National Union Electric Corporation, North American Solvay, Inc., Northwest Production Corporation, Pacific Northwest Pipeline Corporation.

Director or former director or trustee of Adirondack Historical Association, American Foundation for Cultural Popular Action (Chairman), The Asia Foundation, Inc., The Asia Society, Association for the Aid of Crippled Children, Carnegie Foundation for the Advancement of Teaching, Cornell University (Trustee, 1945-76, Chairman 1958-68), Cornell Law School Advisory Board, Council on Foreign Relations, Inc., Educational Television & Radio Center (of Ford Fund), English-Speaking Union of the United States (Chairman), Fordham University Law School Advisory Board, Fund for Peaceful Atomic Development, Inc., Green Vale School (Chairman), Hochschild Foundation, U.S. Council of International Chamber of Commerce, International Law Fund, International House, Japan Society, Inc., Lafayette Fellowship Foundation, Inc., Netherland-America Foundation, New York Hospital-Cornell Medical Center (Mem., Joint Administrative Board), New York Hospital-Cornell Medical Center Fund, Inc., North Country Community Hospital of Glen Cove, Long Island, N.Y., Planting Fields Foundation, The Spanish

Institute, Inc., (Chairman), Teagle Foundation, Incorporated, United Nations Association, Village of Upper Brookville, Long Island, N.Y. (Trustee, later Mayor), Sloan Kettering Division of Cornell Medical College, State University of New York (Vice Chairman), Visiting Nurse Service.

Member various bar associations, including American Society of International Law (President, 1961-62, Honorary Vice President).

Awards include: Officer, National Order of French Legion of Honor; Knight, American Society of Most Venerable Order of Hospital of St. John of Jerusalem; New York State Bar Association Gold Medal; Theodore Roosevelt Association Distinguished Service Medal; Nassau County Bar Association Distinguished Service Medal; National Institute of Social Sciences Gold Medal for distinguished service to humanity.

Present or former club memberships include: Century Association, The Links, Lunch Club, Metropolitan Club (Washington, D.C.), Mill Reef Club (Antigua, W.I.), Piping Rock Club, The Recess (mem., Board of Governors), University Club.

Author of *Test Ban and Disarmament: The Path of Negotiation* (1966); *William Nelson Cromwell, 1854-1948* (1957).

Residence: Mill River Road, Oyster Bay, New York 11771.

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87 **WILLIAM K. LAWS** S&C 1923-1933

William K. Laws was born in 1897. He was a graduate of Syracuse University and received his law degree from Columbia in 1923. During his ten-year association with S&C, he practiced litigation, real estate, trusts and probate. He died before 1957.

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88 **EMERY J. WOODALL** (1891-1963) S&C 1924-1928

Mr. Woodall received the A.M. degree from Columbia in 1921, and the LL.B. degree from Yale in 1924. He practiced with S&C for four years. For many years thereafter he was an Examiner with the Federal Power Commission. Mr. Woodall was Director of the Department of Justice of Korea during the first year of occupation, reorganizing courts and prisons and drafting all governing ordinances and regulations. He died on February 3, 1963.

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89 **ROGERS S. LAMONT** (1899-1940) S&C 1924-1939

Rogers Sullivan Lamont, believed to be the first American to die in combat as an officer in the Second World War, was born in Catskill, New York in 1899. His father, William S. Lamont, was a member of the Newark Academy faculty for many years and his mother, Laura D.S. Lamont, was the former headmistress of the Prospect Country Day

School in Newark, N.J. (He was a nephew of Thomas S. Lamont, a leading partner in J.P. Morgan & Co.)

Mr. Lamont had his undergraduate education at Newark Academy and at Princeton University, where he was captain of the varsity crew of 1920. Former Princeton oarsmen have installed a plaque in his memory in the 1887 Boat House on Lake Carnegie, and Sullivan & Cromwell founded a University scholarship in his memory which is awarded annually to "that member of the sophomore or junior class who has maintained good scholastic standing and in addition has exhibited qualities which characterized the life" of Captain Lamont.

After graduating from Princeton with the Class of 1921 and from the Columbia Law School in 1924, he began his association with Sullivan & Cromwell, becoming a partner ten years later. He was, as Arthur Dean recalls, "a first-class mind, an avid student, and a perfectionist in everything that he undertook". He was, besides, a darkly handsome man, the very model of the proverbial most eligible bachelor, but he managed to escape from a surfeit of eager hostesses and ambitious mothers of debutantes by devoting his spare time to fly-fishing for trout and salmon and attending performances at the Metropolitan Opera and symphony concerts, to which he was a regular subscriber.

After enactment of the Dawes Plan, setting up the method for the payment of reparations to the Allies after World War I, Sullivan & Cromwell was retained by several American investment banking firms to represent them in the purchase and sale in the United States of securities of German issuers, the proceeds of which were deposited with the Dawes Plan. Mr. Lamont in the late 1920s went to the firm's Berlin office to work on these matters, applied himself diligently to learning German, and made many friends in the professional and business circles of Berlin.

Despite his many German acquaintanceships formed while representing his firm in Berlin, in September 1939, because he "believed so strongly in the preservation of democratic institutions", Mr. Lamont declared his personal war on Hitler. He resigned his partnership, made his way to Canada and thence to England, and in October 1939 enlisted in the British Army, ultimately becoming a Captain in the 10th British Field Artillery Regiment. In the fast-moving and catastrophic events of the British Army's retreat and evacuation at Dunkerque, the circumstances of his death are not entirely clear, but the best evidence is that he gave his life while on an observation mission in front of the British lines that were shielding the retreat.

Brigadier H. J. Parham, CBE, DSO later reported, "So far as I remember somewhere about 27 May 1940, during that very rough-house there was near Merville, he went out with an armoured OP up a road and his OP was knocked out by several hits from an Anti

Tank gun at very short range. . . . The most definite part of the whole story of Rogers Lamont is that he was a most magnificent officer who set us all a most tremendous example." In May 1945 General W.D. Morgan, CB, DSO, MC, of Allied Force Headquarters, wrote to Allen Dulles at the American Legation in Berne, "You may like to know that shortly before the Battle of France began in May 1940, I got Field Marshal Alexander, then commanding 1st British Division in France, to ask for Lamont to be posted to his Divisional staff. Unfortunately his request was refused. I have always regretted this very deeply, because I feel that he would have become a very big figure during this war and an immense asset to our two nations."

A stirring "Appreciation of Rogers Lamont", written by Viscount Castlerosse for the Sunday Express (London) of October 27, 1940, is quoted in full at pages 50-2 of Arthur Dean's biography of *William Nelson Cromwell*.

90 **JAY J.M. SCANDRETT** (1895-1963) S&C 1924-1925

Jay Johnson M. Scandrett was born in Allegheny, Pennsylvania on October 16, 1895, the son of Agnes (Morrow) and Richard Brown Scandrett. Following his graduation from Amherst in 1917, he taught at the Kiskiminetas School in Saltsburg, Pennsylvania, where he had prepared for college. He then entered Columbia Law School, and joined S&C as an associate in 1924, the year he graduated.

Upon leaving S&C, Mr. Scandrett practiced with the firm of Hawkins, Cadwalader & Longfellow in New York until he decided to go back to teaching. He taught law in Georgia in 1929, and then moved to California, where he was a teacher in the junior college at La Crescenta for a number of years.

During World War I, he served overseas as a 2nd Lieutenant in the Infantry.

Mr. Scandrett married Marion Satterthwaite of Morrisville, Pennsylvania in June 1928. He died in Savannah, Georgia on April 9, 1963.

91 **WILLIAM LEO MULRY** (1888-1953) S&C 1924-1947

Mr. Mulry's biographical synopsis is contributed by Mrs. Mary Devlin, his secretary for sixteen years.

Mr. Mulry was a man very much devoted to his family, his work, and his religion. He was a very distinguished looking gentlemen with a fine looking family: his wife, his daughter Jane, who was a school teacher, and his son, Thomas, who I believe is living in the mid-west. When Mr. Mulry wanted to talk to Mrs. Mulry on the telephone he always asked me to get "the queen of them all."

He was a man of habit: practically every day at just about 11:45

A.M. he went to lunch and was back in about half an hour. He almost always went to lunch at Hargus Restaurant on New Street. He went there by way of Morgan's on the corner of Wall and Broad Street and was frequently greeted by a man whose name he did not know who called him Mr. Morgan, which seemed to please him.

He usually had two or three law clerks working for him who did a lot of foot work at the courts, etc. I think he trained them very well, and when the opportunity allowed, they had discussions in regard to the law. I don't think any one of his law clerks ever failed the practice part of the law examinations, although occasionally one might slip on the substantive part.

He had a good sense of humor and liked to pass on a joke now and then (always funny and clean). Though sometimes repetitious, they nevertheless brought him a polite smile. He was kindly but not too easy to work for. He wanted everything done "as of yesterday". There was usually a list of things to be done, which was revised now and then for priorities. There were few dull moments. His dictation was fast. In my experience, there was only one person who dictated faster than Mr. Mulry and I believe Mr. Dean would have won the race.

To Mrs. Devlin's reminiscences, William Piel, Jr. adds: "For the 23 years of his work with the firm Mr. Mulry was our Managing Clerk, the administrative adjutant to the litigation group, in charge of seeing that court calendars were answered and pleadings, briefs and motion papers timely served and filed in proper form, and in myriad other ways assisting and smoothing the tasks of the litigators. Mastering the Code of Civil Procedure (and later the Civil Practice Act) and other procedural rules and tools, he was immensely helpful to the new associates entering the office for litigation work and, fiercely proud of his young barristers, coined the title 'Green Goods Department' for the 'solicitors' on the general practice side of the office. He was a large man who set off his handsome good looks with stylish dress, and it was said that on more than one occasion when he came to work on a morning when the directors of the Bank of New York were meeting, the ushers sent down to greet the directors would try to guide him into the elevator reserved for the directors".

Born New York, N.Y., January 9, 1888. Graduated from St. Francis Xavier College and Fordham University School of Law (LL.B. 1910). Died May 14, 1953.

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92 **NORRIS DARRELL** S&C 1925-

Reminiscences of Norris Darrell

The senior partner was Royall Victor when I came to Sullivan & Cromwell in 1925. He was a relatively young senior partner. He died relatively young. But he was by all means the senior partner if we exclude, of course, Mr. Cromwell, who was not in the office very much. Victor died in 1926.

He was the one who employed me. I had worked as a clerk for Mr. Justice Pierce Butler of the United States Supreme Court. I was a clerk for Justice Butler for two terms of court. I started working for him in Washington in the Fall of 1923, the year I was graduated from law school, and continued working for him until the Midsummer of 1925.

I had not originally intended to come to New York. But one of my former Minnesota law professors, named Noel Dowling, had come to Columbia University Law School. He got hold of me and said I really should look at New York, and he said he thought I ought to come here. He was an intimate friend of Harlan Fiske Stone who was then a United States Supreme Court Justice. Through him Mr. Stone became interested and as a result I had an appointment with him.

Justice Stone urged that I come to New York and that I see his old friend Royall Victor, then head of Sullivan & Cromwell. Stone had joined Sullivan & Cromwell and left only when he decided to teach. And after he retired as a teacher and Dean of Columbia Law School, he came back to Sullivan & Cromwell as head litigator. But he did not remain long. He was soon appointed United States Attorney General and in due course he was appointed to the United States Supreme Court.

I did come to New York to see Mr. Victor. But I was urged by some of the other law clerks to visit some other New York firms. I went to two other firms — each of which offered me a job. I was perplexed as to what to do. So when I went home to Minneapolis to get married I called on Dean Everett Fraser, the Dean of the Minnesota Law School, who had recommended me to Butler, to get his advice. The Dean asked me to show him the letterheads of the three firms which had made me offers. After examining them he slapped one down and said, "That's where I recommend that you go."

I was hoping it would be Sullivan & Cromwell, and it was Sullivan & Cromwell. But I said to him, "Dean, how did you happen to pick that firm and be so sure about it?" He said, "It was because of that name far down on the letterhead," pointing to it. "Well," I said, "I didn't meet that man. I never heard of him. What is there about him that you like?" He replied, "He is the most brilliant man that I have ever taught and, moreover, he is very ambitious. Any firm he is with is likely to do very well. I would go there." His name? John Foster Dulles.

Dulles had been a student at George Washington Law School in Washington. There Fraser taught one of the most complicated subjects — Future Interests in Property. He had a fixed rule that, because of the difficulty of the subject, every student taking the course must keep a notebook. He said, "Dulles always turned in his notebook for my examination as required, but nothing ever appeared on it except doodles. Nevertheless, he always had the highest marks in the class."

When I joined Sullivan & Cromwell, I was asked by Mr. Victor to work on one of our biggest client's affairs, The North American Company, the public utility company. I said ,"Mr. Stone had insisted that I go into litigation." He shook his head but said, "Okay". So he assigned me to litigation. I worked in that area for one year. And I then left it because it was obvious that there was no future in it for me, as things then stood.

We then had no partner in litigation. We had two brilliant gentlemen, Emery H. Sykes and Philip L. Miller, who were highly regarded by the courts. They no doubt were very good, but they did not know how to use young lawyers except to file papers and look up law. They never knew how to share any real responsibility. So the men assigned to them all had transferred to other work or left. I saw that quickly and followed suit. But being the only one around most of that year, I did get a lot of experience in arguing a motion, sitting in on trials and watching what happened in the courts.

After that year, I was assigned to work largely with American Water Works and Electric Company. The work consisted largely of financing subsidiaries around the country and drawing indentures, plus miscellaneous work in the public utility area. Public utilities were a substantial part of our business. We had a number of utilities as clients. We had to draw up indentures, issue bonds, and reorganize companies, in addition to giving advice on utility matters. It was similar to general corporate work and finance work such as we do a lot of today, except that we did not have a Securities and Exchange Commission and all the rules we have today.

In the Fall of 1928, I was sent abroad. I was sent first to our Paris office to spend about three months in organizing the files, getting the right precedent files established, and that sort of thing. We had had an office there but it had been closed for a time and had recently been reopened. This office was on Rue Cambon, just across from the bar of the Ritz Hotel. At the end of the year, I was sent to the firm's office in Berlin, Germany, first as assistant to Paul W. McQuillen and, when he returned home, as head of the Berlin office. I served there one and a half years, returning in the Summer of 1930. My eldest son, Norris, Jr., was born there.

We represented a number of American clients and others, especially those interested in foreign financing. The period I was there was a relatively quiet one; clients were making municipal loans, and short-term loans, and taking options to issue bonds if the right time ever came. I worked primarily in Germany, but to some extent in other European countries including Finland and Poland. We of course had local German counsel in Berlin. I had to go to Warsaw, Poland,

quite frequently — about every fortnight for quite a while. Averell Harriman was trying to acquire a franchise to electrify about four-fifths of Poland. His representatives in Poland, with whom I was sent to consult, secured passage through the Polish legislature of a favorable bill. But eventually the bill was vetoed by Pilsudski.

I returned to the United States in the middle of 1930. I engaged in general corporate practice, including visiting clients' offices and consulting with them on anything that came up. But it was not long before one of these clients, United Stores Corporation, which had a lot of subsidiaries, decided to put some of them through the bankruptcy wringer to get their burdensome leases revised. I will never forget the Friday of a Labor Day weekend when I was summoned to Wilbur Cummings' room. He was the principal lawyer for United Stores and its subsidiaries. He announced that it was decided as a first step to put the subsidsidiary, Neve Drug Stores, through the wringer, and that the bankruptcy petition should be filed on the following Tuesday. Having no prior knowledge of bankruptcy law, I took all the volumes of Remington on Bankruptcy home with me and spent the entire weekend studying them. I spent most of my time during the next few years either supervising the client's subsidiaries in bankruptcy or representing the client in its efforts to acquire the assets of other chain stores in bankruptcy. All this involved a good deal of court work, as well as working and negotiating with other lawyers.

One day during the Summer of 1934 — I had been made a partner January 1, 1934 — while I was visiting the Proskauer law firm that represented a bankrupt chain store our client desired to acquire, I got a phone call from John Foster Dulles asking me to ride home with him that evening. I of course did so. Mr. Dulles said, "We've got an emergency on our hands." Our one full-time tax lawyer (who used to be with Price Waterhouse), upset because he had not been made a partner on January 1, had just announced on short notice that he was leaving the office. Dulles asked me to turn over at once all the matters on which I had been working to another partner and to become at once the firm's tax partner. He said there was to be a meeting the next day with one of our big clients which had eighteen subsidiaries to discuss with the client and a non-lawyer tax expert a program he had devised for simplifying the corporate structure. Dulles wished me to attend as the firm's tax partner. I said I would go to the meeting but wanted time to consider the question of becoming a full-time tax partner.

The meeting with the client went very well. I listened to the proposal and it sounded interesting but I said I would like to study it more carefully. The client said that was quite agreeable. (The matter involved a new section of the Internal Revenue Code which I studied very care-

fully before I approved the plan.) Shortly thereafter I decided to become our tax partner. I recalled what Mr. Justice Butler had said to me one day when we were working on a so-called tax case. He said that taxation would inevitably be an increasingly important field, affording a great opportunity for young lawyers who became expert in it. Influenced in part by this, I told Mr. Dulles I would do as he requested but only on the following conditions: (1) that I would not be bound to take as assistants all those whom our employment committee should assign to me, and (2) that I would be free to choose my own assistants, whether from lawyers in the office or from law schools without going through the usual office procedures. He agreed with these conditions. So I switched overnight and became our tax partner. Thereafter I devoted all my time to mastering this new subject assigned to me.

Mr. Dulles also gave me the following advice. I should do more than simply become a tax expert. I should do what I can to build for our firm an established reputation in the tax field. I must learn how to train and develop assistants so that I could find time to write, lecture and do whatever I could to establish the firm's tax reputation.

Needless to say, I took this advice seriously. I worked very hard, devoting evenings, holidays and weekends to learning and writing about taxes. I hired and trained young men and let them take over as much of the tax work as they could. I became in time close friends of two leaders of the tax bar, Randolph Paul and Roswell Magill. In time I lectured at various gatherings throughout the country, and wrote articles, particularly for the Harvard Law Review, primarily on the intricate subject of corporate reorganizations and liquidations and to some extent on other subjects including ethics in tax practice. In due course I served on state tax committees for Governor Dewey. He wanted me to be his first State Tax Commissioner. While I turned that down, I recommended to him the man he appointed and later his next two successors. I served on Treasury tax advisory committees and on other tax committees appointed by the Ways and Means Committee and by the Joint Congressional Committee on Internal Revenue Taxation, from the days of Franklin Roosevelt to the days of Eisenhower. With the aid of Foster Dulles, Edward Green and Sidney Weinberg, I happily avoided a meeting with the incoming Secretary of the Treasury to discuss my becoming Under Secretary of the Treasury under Eisenhower.

Meanwhile I became involved in handling in the courts a substantial number of tax cases. Some of these were for regular clients, some came to me on the recommendation of my friend, Dean Erwin N. Griswold of the Harvard Law School, and some cases came to me on recommendation of others or for reasons unexplained. I handled cases in The Tax Court of the United States, in the United States Court of

Claims, in the United States Circuit Court of Appeals for the Second, Sixth and Tenth Circuits, in the United States District Court, Southern District, New York, and in the United States Supreme Court. I also handled in the New York State Court of Appeals an important non-tax case of a client of another New York law firm, which had handled the case below, involving a critically important tax factor overlooked in the arguments in the courts below, which happily turned out to be controlling in winning a reversal. This kind of work — especially in the higher courts — I thoroughly enjoyed. In all these important cases, I had the full assistance of brilliant young men in our tax group.

For years I was very active in the work of the Tax Section of the American Bar Association. In due course I was asked to agree to my nomination as Chairman of that Section. But I refused because I was soon to become president of a distinguished, scholarly organization referred to in the next paragraph and I felt I should not attempt to do both.

When Harrison Tweed retired as President of The American Law Institute in 1961, I became his successor — the fourth President since 1923. And then after serving fifteen years, one year longer than anybody else, I refused reelection in 1976 and became Chairman of the Council.*

It may be of interest to explain how my long connection with The American Law Institute arose. Some time in the mid-1940's, at a meeting in Washington of an outside Treasury Advisory Committee of which I was one of five members, the committee was handed for consideration a list of highly technical and relatively unimportant tax questions to consider. As Chairman of the committee, I expressed concern over their using us to deal with relatively unimportant matters, which the government tehnicians were quite competent to handle, when there were so many more important things that needed attention. To illustrate this, I mentioned that in several very important areas there were highly significant judge-made rules not reflected in the Internal Revenue Code and that, as a result, a taxpayer could easily make a costly mistake in following the Code literally. I said that the Code ought to be brought up to date to reflect the existing law and be simplified as far as possible. As an illustration I mentioned what The American Law Institute had done for the common law in its various Restatements.

*Harry Tweed was a very creative person. He was responsible for the organization of what is now called the American Law Institute-American Bar Association Committee on Continuing Professional Education. I was involved with it almost from the beginning, and I have served as its Chairman or Vice Chairman since 1966. From 1965 through 1977 I also served as The American Law Institute's representative in the House of Delegates of the American Bar Association. (I might add that I have also been long involved with the Practising Law Institute in New York, having served first as writer and lecturer and then as a Trustee from 1941 to 1975 when I became Trustee Emeritus.)

At the close of the meeting Mr. Colin Stam, the influential Chief of Staff of the Joint Congressional Committee on Internal Revenue Taxation, who had attended the meeting, asked if he could have a talk with me before I left Washington. I invited him to dine with me at the Metropolitan Club where I planned to spend the night.

During the evening he said he had been intrigued by what I had said about revision of the Internal Revenue Code and by my reference to The American Law Institute. He said that Congress was unlikely to appoint a commission or committee to recommend Code revisions for fear politics would intrude in making appointments to it. He thought the idea of the ALI undertaking such a project would be welcomed by Congress and he asked whether I thought the Institute would take it on. I replied that I was not a member of the Institute and had no idea whether or not it would be interested but that, if he wished, I would take it up with William Draper Lewis, the Director of the Institute. Stam urged me to do so and said he would do all he could to help. I accordingly prepared a memorandum outlining the proposed project and gave it to Director Lewis and discussed it with him during the summer which we both were spending in Northeast Harbor, Mount Desert Island, Maine.

I heard nothing further in the matter until there was a change in top management. Harrison Tweed succeeded George Wharton Pepper as President and Herbert F. Goodrich succeeded William Draper Lewis as Director. The new officers were anxious to find new projects for the Institute and they asked me to develop my proposal more fully in writing and to present it orally at an upcoming Council meeting. I did so, with the advice and suggestions of Colin Stam, but unfortunately I failed to deal adequately with the potential political aspects, for the Council's committee on new projects, which incidentally was headed by my father-in-law, Judge Learned Hand, rejected the proposal as being too political.

Following this upset, the new top management asked me whether I could not elaborate upon and clarify the proposal so as to meet the objection. I told them I would try with the aid of Colin Stam. When by telephone I alerted Colin to the problem, he said he would call me back. Shortly he did so and asked me to meet him at the Capitol on the following Wednesday. I did so. The Senate was in session. He placed me in an anteroom and separately brought each of the three most influential Senators out from the Senate floor to talk with me briefly. Each of them, after hearing what I had to say, asked me questions such as: Does it cost us anything? Are we bound by anything? Upon being assured in the negative, they responded enthusiastically in the affirmative. Because each used different forms of enthusiastic expression, I wrote down after each one left precisely what he had said; and I quoted them in my subsequent report to the ALI Council. The same procedure was followed with similar results on my visits to three leading members of the House whom I met with Colin in their Chambers, the House not being in session.

The "political" fears of the Council being met by the reaction of these six members of Congress and by our assurance that the project would be limited to improvements in the law on the more technical level, the Council voted to undertake the project provided the necessary financing could be obtained. I was sent to Pittsburgh to dine with and explain the project to the trustees of a foundation which had indicated an interest. In due time it decided to provide the necessary funds. So the project was on its way.

Shortly after the Council undertook the project, I was invited to attend the ALI Annual Meeting in Washington and I did so. At an ALI reception held at one of the Foreign Embassies during the period of the meeting, Director Goodrich came up to me and casually informed me that I had been elected a member of the Institute. This surprised me because formal proposals on a printed form and supporting letters are required by the rules. Director Goodrich noted my surprise and said they needed me in the Institute to supervise the carrying out of the tax project, and for that reason they had also elected me to the Council. This was in May 1947.

For the next several years the Institute's tax project occupied considerable time. The Council's selection of a small Supervisory Committee, of a Reporter and his assistants and of a larger group of consultants other than myself, was based largely on my recommendations. I was Chairman of the Supervisory Committee and usually the presiding officer at committee and group meetings (including, later, some discussions at Institute Annual Meetings). This work lasted for several years and was finally completed and approved by the Institute just before the Eisenhower administration took office. I believe our work influenced the new administration to undertake preparation of the Revenue Code of 1954. While we believed the 1954 Code could not have been enacted without the benefit of our early work, we were disappointed that many of our policy decisions were not followed.

But perhaps it is not appropriate for me to make any critical comment concerning the 1954 Federal Income Tax Code, since I might have had an opportunity to play an important part in its preparation. Shortly after President Eisenhower took office, my friend, Herbert Brownell, the new Attorney General, consulted Harry J. Rudick, the very able senior tax partner of his old firm, Lord, Day & Lord, for ad-

vice concerning the selection of the senior tax specialist for appointment as senior tax officer in the Treasury Department. They agreed that I should be offered the position and, as an inducement to me, that they should offer me the high office of Under Secretary of the Treasury. The information came to me from Rudick with whom I had worked closely at his request in helping develop Beardsley Ruml's Pay-As-You-Go income tax plan that was ultimately adopted and with whom I had also worked closely when we were both special tax advisors to the Committee for Economic Development in connection with their income tax projects. Shortly after receiving the above information from Rudick, I received a call from the office of the incoming Secretary of the Treasury, Mr. Humphrey of Ohio, informing me that the latter expected to be in New York in a week or so and requesting me to hold myself open to meet with him then. This gave me much concern for I feared that he might pressure me in such a way that I would feel compelled to join the Treasury as a matter of public duty, whereas I really did not want to do so. I had had my fill of technical tax statutory work after the many years of involvement in such matters in Washington and for The American Law Institute. Moreover, I had just been promoted to membership in the small top committee of Sullivan & Cromwell and had just purchased, decorated and moved into a cooperative apartment at 92nd Street and Fifth Avenue which my wife and I hated to leave. Hence, in the hope of avoiding the confrontation with Mr. Humphrey, I explained the situation to John Foster Dulles and Edward H. Green and asked them to help me avoid the Humphrey meeting. Ed Green got hold of Sidney Weinberg of Goldman, Sachs & Co., who contributed by suggesting the name of Marion Folsom, former Treasurer of Eastman Kodak and an active participant in the affairs of the Committee for Economic Development. Foster Dulles helped in another way. He was about to join the President on a trip to Hawaii. On the return trip Eisenhower expressed to him his concern over the delay in filling the post of Under Secretary of the Treasury and urged him to persuade me to accept the position. Foster demurred and asked the President whether he did not think it unfair to request more than two senior partners of a single law firm (he and his brother, Allen) to join his team in Washington at the same time. This apparently persuaded Eisenhower and the meeting with Humphrey was never pursued. In explaining the above to me, Foster Dulles seemed a little nervous for fear I might really have wanted the Treasury post. He seemed much relieved when I assured him I did not and thanked him for doing what he had done.

Meanwhile I gradually became less active in pure tax work. I became more and more involved in corporate and trust work and in advising individual clients, and I served on several Boards of Directors

both in New York and London. In October 1976, after fifty-one years with Sullivan & Cromwell, I retired as a partner and became Of Counsel. Yet I still am regularly at my office every working day.

Mr. Cromwell had been an extremely effective business getter, because he had quite a reputation as a new kind of lawyer, one who knew accounting and knew business. He was not the old-fashioned type lawyer, who simply handled lawsuits. And he brought to this office a great many distinguished clients, who were the buccaneers of American industry. I remember in his old age seeing a lot of him at Saturday lunches at his home. He loved to talk about his involvement with those distinguished men.

He was very visible in the firm in the sense that his name was there and we respected him, but he rarely was in the office and he no longer attended to much law work. Indeed, for many years he spent most of his time in Paris.

I will never forget one episode, within a short time after I entered the office. Mr. Cromwell decided to put on a big reception in the Waldorf for Queen Marie of Rumania, a very attractive woman he knew abroad and who was visiting here. It was just after I came into the office and I was tapped, among other newcomers, to be of service at that reception. My particular assignment was to wear a white carnation, and stand at the head of the reaceiving line, and say in a quiet voice to everyone passing by: "There shall be no curtsy to the Queen — just a slight handclasp." That was my assigned duty, even to the words used and the manner of their delivery, and at the time I resented it.

As I say, in my time Mr. Cromwell never really practiced law. If I told you the detail of my contact with him, you'd be amazed. I remember the first time I met him was at a wedding reception for one of the lawyers in the office, at one of the hotels. My wife had never met him, and I had not really met him. So I went up to him and said, "Mr. Cromwell, I'm one of the young men in the office and I'd like to introduce my wife, whom I've told about you." And he was very gracious. We talked for about fifteen minutes. Then I got a little embarrassed, thinking I was using too much of his time. So I excused myself. As I started to leave, he said, "Young man, tell me, are you practicing law with your father?" I had no father lawyer. He just did not realize I was one of the young men in the firm.

Years later after I was a partner I told that story at a partners' lunch, and I indicated that Mr. Cromwell didn't know me at all. Allen Dulles was there and said, "Look, Mr. Cromwell is giving a luncheon reception at his home for a diplomat who is just returning from the Arab countries. I'll arrange for you to attend." So I did. We assembled in the big front hall where the pipe organ was. I was deeply embar-

rassed when in the presence of other guests I heard him say loudly to Allen Dulles, pointing at me, "Who's that man?" I was a partner — had been for some years.

Then, at the dining room table — a big, round table —, during a quiet moment between courses, he turned to Mr. Green, sitting beside him, and said loudly, "Who's that?" Well, I was again much embarrassed. But many years later things changed. He was not very well. He was confined to the third floor of his house on 49th Street just west of Fifth Avenue, and rarely could get out. He was not allowed to drink, except at lunch. When I was made a member of the top management committee by Foster Dulles, my percentage interest rose substantially. Mr. Cromwell became aware of this for very shortly thereafter he asked me to come and have lunch with him. I did so and we had a pleasant time. That led to regular Saturday luncheons with him, always in the third floor sitting room. It was at a time when I was also a widower. I enjoyed these lunches. He told me a great deal about the powerful industry builders he had known. In due course he asked me to help him on a new Will. We worked very hard revising and revising the new Will, which he never executed and may not really have intended to. What he was proposing in the one I was preparing was to leave large sums of money to a trust to finance, at the rate of $10,000 to $15,000 a year, the training of promising young Americans to become diplomats in the foreign service.

I had some very amusing experiences in relation to those Cromwell meetings, including one with the Duchess de Talleyrand, whom he regarded as one of his wards. She was Anna Gould, the daughter of the famous Jay Gould. And she was an odd person. I was doing some work for her particularly on a new Will. She called him up one day and asked him to help her with the new Will. He was so pleased that he just couldn't get over it. I went over the proposed Will with him and in the end the Will was formally executed in his presence in the élegant library on the second floor of his home which he had not been allowed to visit for years. I greatly enjoyed my weekly visits with Mr. Cromwell. I proudly wear a set of his evening buttons that he gave me and my sons may still have the wristwatches he gave them one Christmas.

I shall not attempt here to cover my other professional activities which are in general reflected in the material from Who's Who set forth below.

Darrell, Norris, lawyer; born St. Kitts, B.W.I. January 30, 1899; brought to U.S. 1900, naturalized 1910; son of Rev. Norris de Mouilpied and Maria Arabella MacDonald (Pandt) Darrell; served in Infantry, U.S. Army, 1917-19; LL.B. University of Minnesota 1923; married Doris Clare Williams June 24, 1925 (died 1943); children — Norris, Jr. (christened Norris Williams), Richard Wheeler; married 2nd, Mary Hand Churchill June 28, 1945; 1 stepson, Jonathan Hand Churchill; 2 grandsons, Andrew Haid Darrell and Trevor Jackson Darrell. Admitted to bar, Minnesota, 1923, New York, 1927; legal secretary to Honorable Pierce Butler, Associate Justice United States Supreme Court 1923-25; associated with law firm of Sullivan & Cromwell, N.Y.C., since 1925, Paris and Berlin representative 1928-30, member firm 1934-76, of counsel 1976—. Director J. Henry Schroder Banking Corporation 1955-73, Schroder Trust Company 1955-73, Schroders Limited (London) 1959-70, Schroders Incorporated 1968-73, Harper & Row Publishers, Inc. 1962-74, Oxford Paper Company 1963-67, A. Johnson & Co., Inc. 1968—. Member Council The American Law Institute 1947—, President 1961-76, Chairman of the Council 1976—; Chairman of the Joint Committee on Continuing Legal Education of the American Law Institute and American Bar Association 1966-74 and alternating Chairman or Vice Chairman of its successor the American Law Institute-American Bar Association Committee on Continuing Professional Education 1975-79. Recipient of University of Minnesota Law Alumni Association Award of Merit 1962 and University of Minnesota Outstanding Achievement Award 1965; first recipient (1967) of annual Marshall-Wythe Medallion Award of the College of William and Mary, Marshall-Wythe School of Law; 1978 recipient of New York State Bar Association's Gold Medal Award for Distinguished Service in the Law; recipient of Association of Continuing Legal Education Administrators Harrison Tweed Award for 1977-1978. Director Goodwill Industries of Greater New York, Inc., 1943-74; director 1950-61, President 1953-61, The Public Health Research Institute of the City of New York; trustee Practising Law Institute 1941-75, trustee emeritus 1975—, Vice President 1960-61; technical advisor to Fiscal, Monetary and Debt Management Subcommittee of Committee for Economic Development 1947-65; trustee Tax Foundation, Inc. 1963—, member Executive Committee 1978—; trustee Christ Church United Methodist 1968—, member Executive Committee 1971—, Vice President 1976—; member advisory board International Bureau of Fiscal Documentation 1964-71; former member various tax advisory committees, New York State and U.S. governmental bodies; former participant many lawyers institutes and lecture courses and contributor numerous articles legal publications. Member American (Member House of Delegates 1965-77), and New York State Bar Associations, New York County Lawyers' Association and Association of the Bar of the City of New York (Vice President 1956-58), International Bar Association, American Society of International Law. Fellow American Bar Foundation; fellow New York State Bar Foundation; member of American Judicature Society, National Legal Aid and Defender Association, International Legal Aid Association, Lawyers' Committee for Civil Rights Under Law, Council on Foreign Relations, Inc., National Institute of Social Sciences, Institute of Judicial Administration, Inc., Pilgrims of the United States, Newcomen Society of North America, Scribes, Grey Friars, Order of Coif, Alpha Tau Omega, Phi Delta Phi. Clubs: Century, River (member Board of Governors 1959-77), University, Down Town (N.Y.C.); Metropolital (Washington) 1942-76; Bedford Golf and Tennis (N.Y.). Home: 1107 Fifth Avenue, New York N.Y. 10028; also Long Ridge Road, Bedford, N.Y. 10506. Office: 125 Broad Street, New York, N.Y. 10004.

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SAMUEL PEARCE BROWNING, JR. (1900-1961) S&C 1925-1961

Samuel Pearce Browning was born in Maysville, Kentucky on October 24, 1900. He attended the public schools in his hometown until going to Mercersburg Academy. Though a "Kentuckian by birth and tradi-

tion," Mr. Browning left Maysville for Princeton in 1918 and thereafter attended Harvard Law School, graduating in the class of 1925. In September 1925, Mr. Browning joined Sullivan & Cromwell. He became a partner in 1934.

Early in his career, Mr. Browning was active in the field of International Law. In 1930, he represented the First National Bank of Boston in financing the first public bond issue in the Argentine. He also represented a number of public utilities in proceedings before the Securities and Exchange Commission and in the State and Federal courts. In addition, he participated in the preparation for trial of the Sugar Institute case. After 1933, Mr. Browning specialized in the field of trusts and estates, an area in which he became an admired expert.

Mr. Browning was deeply interested and active in bar, civic and educational affairs. He was a member of the Executive Committee of the Association of the Bar of the City of New York (1955-59), Chairman of its Surrogates' Courts Committee, and Chairman of its Joint Committee on Legal Referral Service. He served on the Professional Ethics Committee of the New York County Lawyers Association, as Chairman of the Lawyers' Committee for the American Red Cross, as a member of the Citizens Advisory Committee of the New York Public Library, and was a trustee of the William Nelson Cromwell Foundation.

Mr. Browning gave much of his time to Princeton, as a member of the 1922 Class Council, as an unfailing participant in the college's annual giving, and as a devoted alumnus. He was a trustee, a vice-president, of the Harvard Law School Association of New York City, and he served as Chairman of the Law School Fund and as a member of the Council of the Harvard Law School Association.

Mr. Browning and his wife, Mary Dorothea, had two children, S. Pearce Browning III and William Earle Strain Browning; Bill also practiced at Sullivan & Cromwell. His other memberships included the Down Town Association, The University Club, the Century Association, the New Canaan Historical Society (President), the New Canaan Library, and the New Canaan Bird Protective Society.

The Trustees of the William Nelson Cromwell Foundation at their annual meeting following his death, adopted a minute which, after summarizing the events and services of his lifetime, recorded that:

> . . . these few words will not do to describe our friend and colleague. They can only suggest the services and qualities for which we shall remember him always: A fine career as lawyer and citizen — immensely able, witty and debonair, kind and brave — every inch a gentleman.

94 **JAMES P. GIFFORD** (1893-1961) S&C 1925-1929

James Parsons Gifford devoted most of his life to teaching the law at his alma mater; for thirty-one years he was a professor of law at Columbia University Law School.

Mr. Gifford was born in 1893 at Greenfield, Massachusetts. He was a graduate of Andover Academy and, in 1914, of Harvard College. He began law school at Harvard. He left law school at the end of his first year to enlist in the National Guard; in 1917 he was promoted to first lieutenant.

At the end of World War I, he entered Columbia to continue his law studies and was an editor of The Law Review. He received his Bachelor of Law degree in 1925 and then became associated with Sullivan & Cromwell for the next four years. After leaving the firm, he became resident counsel and secretary of Hahn Department Stores, Inc.

Mr. Gifford's teaching career at Columbia began with his appointment as Assistant Professor of Law and assistant to the dean of the school in 1930. He taught chiefly in the fields of torts and municipal corporations. He became an Associate Professor of Law in 1942 and served as Associate Dean from 1946 to 1952. Shortly thereafter he was named adviser to students, a position which he held until his retirement in June of 1961.

Mr. Gifford was one of the founders of the Anglo-American — Hellenic Bureau of Education in 1941 and was treasurer of this organization at his death. Greece awarded him the gold medal of the Royal Order of Phoenix in 1957. Mr. Gifford died on September 15, 1961 at age 68. His wife, the former Margaret E. Cronin, their son John, and a granddaughter survived him.

95 **ROBERT S. GORDON** (1898-1979) S&C 1925-1934

"After graduation from Oklahoma University in 1920 with AB and BS in Chemical Engineering degrees, a stint learning shorthand and typing and work as a court clerk, Robert S. Gordon enrolled at George Washington University Law School in 1922, intending to return to Oklahoma to practice law. Perhaps because Allen Dulles was a law school classmate, he was interviewed by John Foster Dulles and entered Sullivan & Cromwell in September, 1925.

"Gordon was born in Kansas City, Missouri, August 1, 1898. Honors at Oklahoma University included the Presidency of the All-Senior Class, Phi Beta Kappa, Pe-et, the Senior honor society, and the Letzeiser medal.

"While in law school in Washington, D.C. he worked as an examiner at the Civil Service Commission, taught chemistry and mathematics at Western High School and married Dorothy L. Dodson, a Washingtonian graduated from Wellesley College. He received the degree of Juris Doctor (then LL.B.) with highest honors and was recipient of the John B. Larner medal. Admission to the Bar of the State of New York followed in 1926; subsequently, admission to practice before Federal Courts and the Supreme Court of the United States.

"First assignments at Sullivan & Cromwell were with corporate clients, banking firms and public utilities. Mentors, well remembered, included David Hawkins and Rueben Crispell. In June, 1926 came assignments for National Dairy Products Corporation under Edward H. Green, whose legal wisdom and sagacity were unfailing and unbounded. National Dairy was a Delaware holding company embarked on a program of expansion by acquisitions under the leadership of Thomas H. McInnerney, whose dream of a nation-wide dairy products company became a reality during his lifetime. For several years acquisitions by National Dairy absorbed a great part of Gordon's time but there were other ongoing assignments including International Nickel and Babcock & Wilcox under John Foster Dulles and in 1934 the matter of the Rehabilitation of Globe and Rutgers Fire Insurance Company.

"In 1930 National Dairy acquired Kraft-Phoenix Cheese Company which had major foreign as well as domestic operations. McInnerney invited Gordon to become a member of the Board of Directors of the Corporation in 1932 and in 1934 asked him to join the Corporation as its General Counsel and head of its budding law department. Gordon resigned from Sullivan & Cromwell at the close of 1934 with deep regret but with keen anticipation of a challenging career as General Counsel of a major industrial corporation. William E. Nuessle, a younger Sullivan & Cromwell lawyer, joined Gordon in the move to National Dairy.

"There followed more than twenty-eight years of constantly varying always interesting work, with participation in business decisions and supervision of legal matters of ever changing patterns. Gordon served as member of the Board of Directors, General Counsel, Vice President, member of the Operating Committee and later member of the Executive Committee. As the work load inexorably increased additional lawyers joined the law department and teams were organized to cover the emerging divisional system of operation. Activities proliferated before governmental departments and agencies at both Federal and State levels. Outside counsel were relied on for local matters in various centers of operation in the United States and abroad and outside trial attorneys handled court cases and governmental proceedings, particularly in anti-trust matters of moment, ultimate responsibility resting at all times on the law department. Sullivan & Cromwell continued throughout as Counsel to the Corporation.

"In 1956 Gordon prompted and chaired the committee of the Board of Directors which initiated the decision to take over the business and properties of the domestic subsidiaries and become an operating company. This was a major move in the continued growth and progress of the Corporation, now Kraft, Inc. (by change of name), the world's largest processor of dairy and packaged food products.

"Upon retirement at age 65, Gordon was succeeded as Vice President and General Counsel by William E. Nuessle, his principal associate through all the National Dairy years. Ten years later, Nuessle in turn was succeeded by John M. Richman, also an early member of the law department, who presently serves as Senior Vice President-Administration and General Counsel and has now been designated to become Chairman and Chief Executive Officer in June, 1979.

"During his professional career, Gordon participated actively in civic affairs of the Village of Scarsdale, serving on many local committees, member of the Board of Education (President for two years), member of the Village Board of Trustees, and President of the Town Club. He was the 1958 recipient of the Scarsdale Bowl.

"In 1960 Gordon was elected to the Board of Governors of the White Plains Hospital (now White Plains Hospital Medical Center), an activity which ripened into a second career after retirement. He served as President of the Hospital for six years and as a member of the Board of Governors until April, 1978, when he joined the Honorary Board, with active service continuing on several hospital committees.

"Gordon is a member of the parish of St. Joseph of Arimathea (Episcopal), having been a warden and vestryman for many years. He is a member of the American Bar Association, the Association of the Bar of the City of New York, the New York State Bar Association (until 1964), the Association of General Counsel, The University Club, Saint Andrew's Golf Club (Hastings-on-Hudson), Scarsdale Golf Club, Fox Meadow Tennis Club and Shenorock Shore Club; also a member of Phi Beta Kappa, Phi Delta Phi, other professional fraternities, and Phi Kappa Psi.

"The Gordons have two sons, Robert S. Gordon, Jr., M.D., Assistant to the Director of the National Institutes of Health, Bethesda, Maryland, and James P. Gordon, Ph.D., Department Head Quantum Electronics Research, Bell Telephone Laboratories, Holmdel, New Jersey, and seven grandchildren."

Robert Gordon died at his home in Scarsdale, New York, on December 17, 1979.

96 **BALDWIN MAULL** S&C 1925-1935

"I was born in Wilmington, Delaware, in 1900, attended Friends School there for thirteen years, graduated from Princeton in 1922 and from the University of Pennsylvania Law School (on the Law Review there) in 1925, and began work with Sullivan & Cromwell in 1925, being admitted to the New York Bar in the next year.

"Stock market pressures put me, and other young lawyers, early into corporate and securities work. I was lucky enough to spend eight months in 1927 in Italy, France and Germany assisting Arthur Dean and Allen Van Wyck on foreign bond flotations. Returning, I worked on utility and other financing, then liquidations and reorganizations, and other corporate matters, under various partners.

"In 1931 S&C began its representation of The Marine Midland Trust Company of New York — the former Fidelity Trust Company of New York — which had been purchased by Marine Midland Corporation (now Marine Midland Banks, Inc.), a bank holding company headquartered in Buffalo, New York. I then spent a great deal of time on its affairs, under Dave Hawkins and Eustace Seligman. In 1935 I was invited to become a Vice President of this bank, in charge of its trust department, and left S&C for that position. Meantime I had married Flora Davis: we had two children Baldwin, Jr. and Diana, and lived in a brownstone house on Ninety-Third Street.

"Both the bank and its trust department grew and prospered. In the 1940's I began to take a more active interest in general banking matters, and in the trust activities of the Marine Midland group of banks. In 1946 I and my family moved to Princeton, and I commuted from there to New York.

"In 1952 I was invited to become Executive Vice President of Marine Midland Corporation, the holding company, and to fulfill this position moved to Buffalo. I went on to become President in 1955, Chairman in 1966, and Vice Chairman in charge of international investments from 1968 to 1970, when I retired at the age of seventy and moved back to Princeton where I now live. In fulfilling my duties I travelled extensively, in the later years about 60,000 miles each year, covering New York State, in other parts of the United States, and in Europe. When I became Executive Vice President the corporation represented $1,600,441,000 consolidated assets and had 117 offices in New York State. When I retired it had $7,351,296,000 in assets, 261 offices in New York State and offices or investments in 7 countries. Many banks had been bought and combined operations extended.

"In addition to various Marine Midland directorships, I was at various times a director of many corporations including Graphic Arts Mutual Insurance Company, American Re-Insurance Corporation, Niagara Mohawk Power Corporation and St. Regis Paper Company, for all of which I continue as a director. I was President of the Association of Bank Holding Companies 1964-66 and am an honorary member of that organization.

"For many years I took an active part in charitable and public affairs both in New York and Buffalo and I am now on the board of State Communities Aid Society.

"In public welfare I was a member of a Moreland Act Commission on welfare in 1961; chairman of a Governor's Committee on Welfare Costs in 1965; a member of the Arden House Conference on Welfare, and its Steering Committee in 1967; a member of the New York State Board of Social Welfare 1969 to 1979, and chairman 1969 to 1976. Over the years I have also served in one way or another Berry College, the University of Pennsylvania and Princeton University. For many years I collected ancient Greek coins and am a member (former First Vice President) of the council of the American Numismatic Society, a Trustee of the Archaeological Institute of America and a member of the advisory committee of the Classics Department of Princeton University. I am the author of a number of genealogical books and articles. I am also an enthusiastic bridge player. I have honorary degrees from Niagara University and the University of Delaware."

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97 **JACOB I. BERGEN** (1876-1944) S&C 1925-1929

Jacob I. Bergen was born in 1876, the descendant of Hans Hansen Bergen, who emigrated to America in 1633.

A graduate of Brooklyn Polytechnic Institute and the New York Law School, Mr. Bergen was admitted to the New York bar in 1900. He was associated with S&C for four years. Later, he practiced law in Brooklyn until his death in 1944.

Mr. Bergen was a member of the Holland Society of New York, the St. Nicholas Society and a charter member of the Clove Valley Rod and Gun Club.

He was survived by his widow, the former Janet Barrett, and a stepson, Robert Beresford.

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98 **JOHN C. HIGGINS** (1878-1965) S&C 1926-1938

John C. Higgins, President Emeritus of the Medical Research Foundation of Oregon at the time of his death on March 18, 1965, was a man of many interests.

Born in Kent City, Michigan, September 12, 1878, Mr. Higgins moved to Oregon in 1890 at the age of 12 with his parents. His father was a newspaper editor. When his father purchased the newspaper in Monmouth, John enrolled at the then Monmouth Normal School and was graduated from the two-year course in 1895 as valedictorian of his class at the age of 16.

Continuing his education at the University of Oregon he graduated Summa Cum Laude in 1897, after which he served as principal of the public school in Elma, Washington for two years. He enrolled in the School of Law at the University of Washington and received his degree in 1902. He later served for 5 years on the Board of Regents at the University of Washington, including 2 years (1912-1914) as its President.

Because of the comparatively few lawyers at that time engaged in mining litigation in relation to the increasing number of cases occurring in the active mining areas of the west, he specialized in this field during the next 22 years. His courtroom successes gained such prominence that the partners of S&C, who had decided to take steps to develope a full, first-rate "in house" litigation capability, invited him to join the firm. His association began as a senior partner with the firm in 1924 and continued for 14 years, during which he handled a number of major litigations, including notably the landmark *Sugar Institute* antitrust case.

In 1938, upon the advice of his physician, he retired from the active practice of law and returned to Oregon. He was soon engrossed in the principal interests which he pursued throughout the remaining quarter century of his life. He participated energetically in politics, but never sought political office. In 1948, he was Oregon chairman of the Thomas E. Dewey Republican Presidential campaign. Although his wealth made it unnecessary for him to engage actively in an income-producing activity, he nevertheless launched a business enterprise of international proportion at the age of 60.

He pursued his lifelong commitment to progress in medical science and patient care through participation in the program of the Medical Research Foundation of Oregon from 1946 to 1965, serving as its president through eight important years in the Foundation's development.

Many have said that it was the tragic loss of his only son, who died in 1929 at the age of 15 after receiving the best care that the medical profession could then provide, that motivated his intense interest in the improvement of medical care.

He was survived by his wife, Lorene Higgins.

99 **HYMAN ZETTLER** S&C 1926-1934

Regrettably, no information.

100 **ROBERT E. GOLDSBY** S&C 1926-1930

A Kentuckian by birth, Mr. Goldsby is a graduate of Mississippi College (B.S. 1920) and Columbia Law School (LL.B. 1923). After law school, he worked for half a year as Assistant to the Acting Dean of Columbia Law School, then joined the Office of the Legislative Counsel of the U.S. House of Representatives. Mr. Goldsby thereafter spent a year with the State Department as Assistant to the Solicitor.

During his four years with S&C, his general practice engagements included assisting John Foster Dulles and David Hawkins on The Grand Union Company organization and early acquisitions, working with Edward H. Green on the organization of American Radiator & Standard Sanitary Corporation and its early acquisitions, and assisting Eustace Seligman with matters for the National Association of Book Publishers.

Upon leaving S&C at the end of 1930, Mr. Goldsby joined The Public Utility Holding Corporation of America as Secretary-Treasurer. In 1934, he became associated with The First Boston Corporation. Mr. Goldsby has served as Chairman of the Bondholders' Protective Committee for Lincoln Mortgage and Title Guaranty Company in Newark. He was elected President of Jersey Mortgage Company in Elizabeth, New Jersey in 1939, continued as Chairman of the Board from 1958 through 1969, and has served as Honorary Chairman since.

At present, in addition to his position as Honorary Chairman of Jersey Mortgage Company, Mr. Goldsby is First Vice-President and member of the Board of Managers and Executive Committee of the Union County Savings Bank in Elizabeth and President of the Evergreen Cemetery of Elizabeth, Hillside and Newark, New Jersey.

Mr. Goldsby married Winifred Wailes of Memphis in 1925. The Goldsbys, who have two children and six grandchildren, now live in Maplewood, New Jersey. Their son, Robert Weddington Goldsby, is Chairman of the Department of Dramatic Art at the University of California at Berkeley. Their daughter, Joan Ellen Goldsby Wolfe, and her family live in Vienna, Virginia.

Professional affiliations: American Bar Association; Association of Bar of City of New York (Committee on Arbitration, 1934-35); New York County Lawyers Association; Mortgage Bankers Association of New Jersey (President, 1947-48): Mortgage Bankers Association of America (Co-Chairman, Education Committee, 1947; Chairman, Pension Fund Committee, 1953-55).

Other memberships: Community Welfare Council, Eastern Union County (Director and President, 1961-64); United Fund (Director and President); First Presbyterian and Trinity Church of South Orange, N.J. (Elder; President, Board of Trustees).

101 **JEROME W. THOMPSON** (Deceased) S&C 1926-1927

Jerome Wilber Thompson was born in Washington, D.C. on August 27, 1902, the son of Howard N. and Louise Dresel Thompson, both of Columbus, Ohio. He attended Pawling School in Pawling, New York before going on to Cornell, where he received his A.B. degree in 1924 and his LL.B. in 1926. A member of Phi Beta Kappa, he was Editor-in-chief of the *Cornell Daily Sun* while an undergraduate.

Mr. Thompson came to S&C after law school, and then moved to France. He died in Paris in the 1930's.

102 **ALLEN W. DULLES** (1893-1969) S&C 1926-45, 1945-51

When Allen Dulles received his law degree from George Washington University and joined Sullivan & Cromwell in 1926, he was already 33 and had over a decade of varied experience as a teacher and Foreign Service Officer. He had taught in India, visited China and Japan, served as a diplomat in Vienna, Berne, Paris, Berlin and Istanbul, and had spent his last four years as Chief of the Near Eastern desk at the State Department.

Allen Dulles had been preceded at S&C by his older brother, John Foster Dulles, who had been a partner since 1920. Allen himself became a partner in 1930.

During his early years at Sullivan & Cromwell, Allen Dulles worked on a number of financial matters for the clients of the firm here and abroad, and became a director of J. Henry Schroder Banking Corporation.

He was often called from his law practice to serve the United States Government. In 1927, he served as legal adviser to the Three-Power Naval Conference. In 1932 and 1933, he was adviser to the United States delegation at the Geneva Disarmament Conference. When the Office of Strategic Services was created during World War II, Mr. Dulles was enlisted by his old friend Major General William S. Donovan who sent him to Berne, Switzerland, in December 1942, to establish an intelligence center inside Europe. For three years he fed a constant stream of information back to Washington, reporting on air-raid damage inside Germany, the locations of Nazi rocket bomb installations and the existence of a new rocket laboratory at Peenemunde.

In the closing weeks of the war, Mr. Dulles helped to direct the operation that resulted in the surrender of enemy forces in Italy before V-E day. In his book, "Germany's Underground," published in 1947, he wrote the history of the anti-Hitler underground movement that had begun even before World War II.

After the war, Mr. Dulles returned to Sullivan & Cromwell. But before long, at President Truman's request, he helped to draft the legislation which set up the Central Intelligence Agency. He joined the CIA in 1950 as Deputy Director, and on January 24, 1953 was appointed by President Eisenhower as Director. After serving in that post for eight years, he retired on November 29, 1961 and was awarded the National Security Medal by President Kennedy.

Following his retirement from the CIA, he returned to Sullivan & Cromwell as Counsel to the firm. Two years later, upon the death of President Kennedy, he served on the President's Commission on the Assassination of President Kennedy, which was headed by Chief Justice Earl Warren. During this period he also wrote "The Craft of Intelligence" in 1963, "The Secret Surrender" in 1966, and edited "Great True Spy Stories" in 1968.

In addition to the National Security Medal, Allen Dulles received the Medal for Merit and the Medal of Freedom (1946), the Annual Citation of the Salvation Army (1960), the Golden Rule Award of the St. George Association, and the Bernard Baruch Gold Medal of the Veterans of Foreign Wars. He was an Officer in the French Legion of Honor since 1947 and a member of the Order of S. S. Maurizio e Lazzaro, Italy, since 1946. He received the Belgian Cross of Officer in the Order of Leopold in 1948.

Among his clubs were the Century Association, Piping Rock Club, Alibi Club (Washington, D.C.) and the Metropolitan Club (Washington, D.C.). He was a Director of the Council on Foreign Relations, and in collaboration with Hamilton Fish Armstrong, editor of the Council's quarterly review *Foreign Affairs*, he wrote two books, "Can We Be Neutral?" in 1935, and "Can America Stay Neutral?" in 1938.

He married the former Clover Todd of Baltimore, Maryland on October 16, 1920. They had two daughters, Joan Buresch Dulles and Clover Todd Dulles (Mrs. Jens Jebsen), a son, Allen Macy Dulles, and six grandchildren.

103 **JOHN DERN** (1903-1958) S&C 1927-1929

John Dern was born on July 26, 1903 in Salt Lake City, Utah. His father, George H. Dern, was Secretary of War from 1933 to 1936 and previously had been Governor of Utah.

Mr. Dern attended East High School in Salt Lake City, and then entered the University of Pennsylvania's Wharton School of Finance and Commerce, where he became captain of the freshman football team, member of the freshman crew, senior advisor, and member of numerous other university organizations. As an undergraduate, he

won national recognition as a quarterback on the football team. He received his B.S. Econ. in 1924 and went on to the University of Pennsylvania Law School where he was a member of the Shanswood Law Club and Phi Delta Phi, a recipient of the Coif Key, and an honors graduate (LL.B. 1927).

Mr. Dern spent the two years following law school with Sullivan & Cromwell, and then moved to Chicago to join the firm of Sidley and Austin. As a partner of Sidley & Austin, where he remained for the rest of his life, he was a specialist in the public utilities field. He was president of United Light and Railways Company and the Continental Gas and Electric Corporations in 1949 and 1950. He was general counsel and a director of American Natural Gas Company and American Natural Gas Service Company. He also was a director of many other companies, including Carson Pirie Scott & Company.

Mr. Dern was a trustee of the University of Pennsylvania and a director of the Chicago Hearing Society.

He was married to Jean MacLeish Dern. They had two sons, John, Jr. and Bruce MacLeish Dern, and a daughter, Jean E. Dern. At the time of his death, on May 22, 1958, Mr. Dern lived with his family in Winnetka, Illinois.

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104 **JOHN A. WOODBRIDGE** (1903-1971) S&C 1927-1939

Mr. Woodbridge, a graduate of Amherst College and Columbia University Law School, joined Union Electric Company of St. Louis in 1940 and remained with it until his retirement as general counsel in 1970. He died in St. Louis at the age of 68.

He was survived by his wife, the former Frances Long; a daughter, Mrs. Cecil Overck; two sons, James O. and Thomas, and 13 grandchildren.

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105 **CARTER M. BRAXTON** S&C 1927-1935

Principal, Braxton & Company, corporate mergers.

After earning B.A. (1922) and M.A. (1923) degrees at the University of Virginia, Mr. Braxton taught for a year as an Instructor at the Harvard Graduate School. For the next three years, as a Rhodes Scholar, he studied law at Christ Church, Oxford, receiving his B.C.L. in 1927 and being admitted as a Barrister at the Inner Temple.

With S&C he was in the general practice group and was with the firm's Buenos Aires office 1930-1933.

He left the office to become Assistant General Counsel of the Securities & Exchange Commission in its cradle days, and afterwards worked in investment banking with Mellon Securities Corporation before establishing his own business. Mr. Braxton has negotiated for his clients over one hundred consummated mergers. He offers this advice to those starting in the law: "Go with S&C, if possible."

Born July 12, 1902, Key West, Florida. Married Frances Douglas Clark; they have two daughters and eight grandchildren. Braxton & Company, 6 Sherbrooke Road, Scarsdale, N.Y. 10583.

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106 **FRANKLIN S. POLLAK** S&C 1927-1933

Franklin S. Pollak was born in New York City on July 11, 1902. He graduated from Harvard College as a member of Phi Beta Kappa in 1923. In 1927, he received his LL.B. from Columbia Law School, where he was Articles Editor of the *Columbia Law Review*.

He was with S&C from 1927 to 1933, and afterwards worked in the Federal Government, "doing my bit to make major functions of the Executive Branch work. In an interdepartmental group, drafting and shepherding through Congress a bill continuing specified emergency powers of the entire Executive Branch. Eighty agencies were consulted. Working with foreign government agencies in matters relating to World War II, here and abroad."

Mr. Pollak, now retired, describes some of the other highlights of his career: "Working for civil liberties, as spokesman and teacher and expositor and as initiating party and directing lawyer on behalf of the captive audience in *Public Utilities Commission v. Pollak*, 343 U.S. 451 (radio broadcasts in Washington, D.C. buses). Working in court and through administrative agencies to assert and protect the rights of the poor. Presidential commendation. Officer of the Order of Orange-Nassau (Netherlands). Edited, with introduction, *Resources Development: Frontiers for Research — Papers of the Western Resources Conference 1959* (University of Colorado Press, 1960).

"I believe that at times I have helped make the world a little better and fairer. Along the way I have had the excitement of seeing quite a bit of law and government and academia and the good fortune of meeting and working with some remarkable men and women. And I believe that hard days economically lie ahead for the country, when the defense of human freedoms will lie with lawyers more than ever."

Mr. Pollak married Ruth S. Cherin in 1949. They have one son, Antony C. Cherin and a grandson, Antony M. Cherin. The Pollaks now reside at 350 Ponca Place, Boulder, Colorado 80303.

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107 **FREDERICK L. STRONG** S&C 1927-1931

"A solo trip around the world in 1922 while a Harvard junior plus International Law courses at Law School led to a lifelong interest in on-and-off-the-beaten-track foreign travel, indulged in over the years whenever opportunity availed. After my periods of corporate and administrative law practice at S&C and National Dairy Products, I became engaged in municipal affairs and, while Deputy Commissioner of Investigation, was appointed a City Magistrate by Mayor LaGuardia. Although a registered Republican, I was reappointed by Democratic Mayors Impelliteri and Wagner, retiring for age, after thirty absorbing years, as a Judge of the Criminal Court. Since then, taking advantage of my interest and experience in travel, I have been associated with a travel agency as consultant and have kept my judicial hand in by presiding over disciplinary proceedings at the Board of Education."

Wife: Kathleen H. Clark, m. June 16, 1924.Children: Eleanor Longfellow (m. Karl B. Graf), St. Legier, Switzerland; Barbara Pierce (m. Walter Lorenz), New York, N.Y. Grandchildren: Angelia L. Graf, translator, Progress Publishing, Moscow, USSR; Frederick B. Graf, senior, University of Bridgeport, Conn.; Kathleen E. Graf, Chinese Language Program, Cambridge University, England; Anne E. Lorenz, student, Nightingale-Bamford School, New York, N.Y.

Offices and Memberships (present or past): Trustee and Vice-President, The Allen-Stevenson School; Chairman, Executive Committee, and President, the North-Eastern Dispensary in the City of New York; Trustee, The Northern Dispensary; Trustee, The Brooklyn Hospital; Member, Advisory Council, Odyssey House; Member, Board of Directors, the New York Port Society; Member, Committee on Group Activities and Youth Services, Federation of Protestant Welfare Agencies; National Vice-Chairman, American Christian Palestine Committee; Member-at-large, World Service Committee, Y.M.C.A.; Member, Board of Directors, LaGuardia Memorial Association; Deacon, Trustee and Vice-President, All Souls' Unitarian Church; National Councillor and Chapter Vice-President, Unitarian Laymen's League; Member, National Unitarian-Universalist Committee on Scouting; Delegate, Protestant Council of the City of New York; Member, Harvard Law School Association of New York City; Member, Association of the Bar of the City of New York, and of its Committee to Cooperate with the International Commission of Jurists; Member, Explorers Club.

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108 **ROBERT E. OLDS** (1875-1932) S&C 1928-1932

Mr. Olds was born on October 22, 1875 in Duluth, Minnesota, the son of James Edwin and Lillian May Goodrich Olds. Following graduation from Harvard (A.B. summa cum laude 1897; LL.B. 1900), he spent seventeen years practicing in the St. Paul, Minnesota firm of Davis, Kellogg and Severance, later called Davis, Severance and Olds.

The year 1918 was spent as counselor to the American Red Cross Commission to France. From 1919 to 1921, Mr. Olds was European Commissioner in charge of American Red Cross operations abroad. In 1923, he became the member for North America of a commission appointed by the 12th International Conference at Geneva to report the plan of world organization for the Red Cross.

From 1923 to 1925, he was the American member of the Arbitration Tribunal to adjust pecuniary claims between the U.S. and Great Britain under the Treaty of 1910, and in 1924 and 1925 he was a member of a commission appointed by the League of Nations to report the plan of international cooperation for disaster relief.

Mr. Olds was appointed Assistant Secretary of State on October 1, 1925, and became Undersecretary of State on July 1, 1927. He joined S&C as a partner on June 30, 1928. He remained with the firm, based primarily in the Paris office, until his death in 1932, while continuing his public service: he was a member of the Permanent Court of Arbitration at the Hague (from 1931); member of the Reparation Committee under the Treaty of Versailles (from 1929); American member of the Permanent International Commission provided by the Treaty of Conciliation between the United States and Finland, and member of the Economic Consultative Committee of the League of Nations (from 1929).

Married Rose Wilhelmina Nabersberg of St. Paul, Minnesota on 9/16/02.

1924-1925: President, Board of Trustees of the American Library in Paris. 1927-1928: Member, Central Committee and Executive Committee of the American National Red Cross. Trustee, Carnegie Endowment for International Peace.

Member N.Y. State Bar Association; Association of the Bar of the City of New York; American Society of International Law; Council on Foreign Relations; American Academy of Political Science; Phi Beta Kappa; Delta Upsilon.

Clubs: Metropolitan (Washington, D.C.); Century; Broad Street (N.Y.); Cercle Interallié; Cercle de la Renaissance Francaise (Paris).

Member of the following organizations at Paris: Council of International Chamber of Commerce; Board of Governors, American Hospital; Board of Directors, American Library; Vice president, American Memorial Day Association.

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109 **JOHN G. LAYLIN** (1903-1979) S&C 1928-1933

John Gallup Laylin was a distinguished senior partner of the Washington, D.C. law firm of Covington & Burling for many years prior to his death on February 16, 1979.

Mr. Laylin left S&C to serve as Special Assistant to the Undersecretary of the Treasury from 1933 to 1935. In that post he helped draft the gold clause resolution that was adopted by Congress to take the United States off the gold standard.

After leaving the Treasury, he joined Covington & Burling and specialized in international law. During 1940 and early 1941, he advised governments in exile, including the Netherlands and Czechoslovakia, on protecting their citizens' property in this country from being seized by the German government.

During the late 1940's, he did legal work for the Iranian government which won a vote in the U.N. Security Council that helped lead to an end of Soviet wartime occupation of northern Iran. Mr. Laylin also negotiated the Indus Waters Treaty of 1960 between India and Pakistan. He had worked as an adviser on fiscal matters to a number of foreign governments, and was a member of the State Department's advisory committee on the law of the sea. He was a principal founder of the Washington Institute of Foreign Affairs, and president of the Telluride Association from 1927 to 1928.

Mr. Laylin was a native of Norwalk, Ohio. A 1925 graduate of Cornell University, where he was elected to Phi Beta Kappa, he earned his law degree at Harvard University.

He belonged to the Metropolitan and the 1925 F Street clubs in Washington and the Century in New York City.

He is survived by his wife, the former Diana S. Morgan; two sons, John G. Jr. and David L.; and two daughters, Laura and Princess Louise Firouz.

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110 **FRANCIS X. DOWNEY** (1902-1960) S&C 1928-1937

Mr. Downey was born on March 2, 1902 in New York City. He obtained his bachelor's degree from Fordham University in 1924 and his law degree in 1927 from Columbia Law School, where he was editor-in-chief of the *Columbia Law Review*.

After a year's service as law clerk to Mr. Justice Harlan Fiske Stone, Francis Downey joined Sullivan & Cromwell, remaining until 1937. In that year, he became a member of the firm of Hodges, Reavis, McGrath & Downey, and continued as such until his death in 1960, except for service as a Naval officer and a special assistant to Secretary Forrestal during World War II. He was survived by his wife, the former Isabelle Menick.

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111 **WALTER C. LUNDGREN** S&C 1928-1938

Walter Lundgren was born on December 21, 1904 in Duluth, Minnesota. He received his A.B. in 1925 from the University of Minnesota and his LL.B. in 1928 from Harvard Law School. He married Louise McAnally of Richmond, Virginia on May 16, 1936.

While practicing with Sullivan & Cromwell, Mr. Lundgren specialized in litigation "and enjoyed the opportunity to argue cases of increasing importance in court as a young lawyer. After six years of success I handled the trial and arguments on appeals for the defense in the test case of *Fosdick v. Investors Syndicate*, in which the Court of Appeals of the State of New York denied rescission to purchasers of investment certificates despite the Company's failure to have a license as an investment company. This was contrary to all precedents, but the Court of Appeals as the Court of last resort was free to follow the equities which we proved were in favor of the Company. This was vital because the proceeds of the sale of the certificates had been invested in mortgages which could not be liquidated at the time, in the depths of the depression, without disastrous losses. Therefore if recission was allowed only relatively few certificate holders would be paid in full while if rescission was denied we showed that the Company could fulfill all its contracts to all certificate holders. It was a fifty million dollar company at the time, and now is Investors Diversified Services, one of the leading investment companies in the U.S. Thus, this test case reversed a tide of summary judgments which were being granted against the Company when represented by another law firm and which resulted in Sullivan & Cromwell being retained. We gave the Company a new lease on life.

"In 1938 I became a partner in Scandrett Tuttle & Chalaire (Tuttle and Chalaire were former S&C men). Shortly after I was asked by S&C to represent Union Electric Company of St. Louis, Missouri, in the first big S.E.C. investigation under the Public Utility Holding Company Act of 1935 which prohibited political contributions by public utility companies. Some of the executives of Union Electric Company were found guilty of perjury in denying the allegations, and they were removed from office by the parent of Union Electric, North American Company. The guilty then claimed that they were doing the bidding of the parent company, and a Grand Jury took testimony in Springfield, Illinois. In this connection all our files as attorneys were subpoenaed and turned over without objection. When we questioned anyone in the matter we had made a complete record of everything that was said from "hello" to "goodbye" by the equivalent of a court reporter, and this demonstrated that we were not trying to cover up any wrongdoing. The Grand Jury concluded that there was no basis for indictment of anyone in the parent company.

"In 1941 I formed a firm to practice law at 63 Wall Street. It continued for 24 years at that address. One of the first clients to come in was West Publishing Company, recommended by S&C, with reference to the defense of an anti-trust case by the Federal Trade Commission. Two former S&C men were important partners, Franklin B. Lincoln, Jr. and

Glen McDaniel. The firm started under the name Lundgren & Lincoln and became Lundgren Bartels & Lincoln. Later Bartels became a judge so the name changed again. It finally became Lundgren & McDaniel. McDaniel, while a partner, started Litton Industries and later became one of the chief executives of the Company. Litton was one of the good clients of the firm.

"In 1965 I moved uptown to 250 Park Avenue to join Houston Wasson, a former S&C man. The firm was named Lovejoy Wasson Lundgren & Ashton; it is still practicing law but has become a professional corporation. I retired as a partner in 1973 to become counsel, and retired as counsel at the end of 1978.

"Now 74, I am enjoying good health, but time marches on, so I have purchased a place in Delray Beach, Florida, which is congenial to my wife, and where I can enjoy golf even in the Winter time. I have always been busy and there are so many interesting things I'd like to do there shouldn't be a dull moment.

"Looking back, I think Sullivan & Cromwell was a great influence in my life. I hope that its influence will continue to grow in the years ahead for the good of our country and the World."

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112 LAUSON H. STONE — S&C 1928-1933

Mr. Stone, born November 28, 1904 in New York City, graduated from Harvard University in 1925 and from Columbia Law School in 1928. He is the son of another S&C lawyer, Chief Justice Harlan Fiske Stone.

His S&C experience was gained principally as a member of the general practice teams for National Dairy (now Kraftco), American Water Works and Electric, and International Nickel. It also fell to his lot to review and prepare written opinions for the transfer agents of three corporate clients as to the legal sufficiency of the documentation of stock transfers by assignors other than individuals (*e.g.*, corporations, trusts, estates). In the wake of the stock market crash there was a tremendous volume of this work; on a single day Mr. Stone gave written opinions with respect to the transfers of over $20,000,000 market-worth of securities. While the professional responsibility was awesome, the work was also tedious, "so with the encouragement of Harold Butler and George Sharp, I devised some multiple forms which the transfer agent could fill out and which were sent to us with the various securities and documents; with these forms all I had to do was approve the transfer or check off any added requirements. The result was that eventually the time needed for such work was reduced to only a

fraction of that previously required." This system was thereafter widely adopted by transfer agents and their counsel.

"After leaving Sullivan & Cromwell, I joined a small firm which in 1938 became Ignatius & Stone. Early in 1942 I joined the war effort and served in the Army until Summer, 1945 with the ranks of Major, Lt. Colonel and Colonel. Most of my work involved liaison between the War Department and the General Accounting Office of which I was in charge the last two years. One highlight of a different character was my assignment in 1942 to assist in the defense of the eight saboteurs who came ashore here from German U-Boats. While our 'clients' paid the penalty, I received a written testimonial for my efforts.

"After the war I joined with three other ex-service men to start a new firm. In 1953 I accepted a partnership in the predecessor firm of Rogers & Wells. I withdrew from that firm in late 1976, but still serve as a consultant to it.

"Over the years, I have devoted much time to various non-profit endeavors, mostly involving educational and medical institutions. A highlight there was my contacts with Mayor La Guardia — the best mayor New York ever had. I have travelled a lot especially in recent years, and have had the good fortune of visiting many out of the way and exotic corners of the world, and look forward to further travels. This year my wife and I look forward to celebrating our 50th wedding anniversary."

Mr. Stone and his wife have two sons, Harlan F. Stone II and Peter H. Stone, and two grandchildren.

Member and Chairman, Board of Trustees, Parker School of Comparative & Foreign Law, Columbia University 1946 to date; Member, N.Y. City Board of Higher Education 1938-42; Member & Chairman, Board of Trustees, Long Island College of Medicine 1937-50; Member, Board of Regents, Long Island College Hospital 1950 to date; Major, Lt. Col. & Col., Army of U.S. (Legion of Merit), 1942-5.

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113 EMMET McCAFFERY — S&C 1928-1938

Emmet McCaffery was born on April 21, 1904 in Salinas, California. He received his A.B. from Stanford University in 1924, and from Harvard Law School his LL.B. in 1927 and his S.J.D. in 1928.

While associated with S&C's general practice group, Mr. McCaffery spent a year and a half in the Paris office and returned to continue work on estates and our railroad and other corporate reorganizations.

Since leaving S&C in 1938, Mr. McCaffery has been, successively, Special Attorney for the Office of Chief Counsel for the Bureau of Internal Revenue, Counsel for the Reconstruction Finance Corporation, a partner in the Dorr, Hand firm under its successive names, and a part-

ner in Mudge, Stern, Baldwin & Todd and successor firms, including Mudge, Rose, Guthrie & Alexander. After retiring from Mudge, Rose he worked for several years for the Penn Central Trustees in their New York office. He is now living, "largely retired," in Larchmont, New York.

Mr. McCaffery's publications include "The Franco-American Double Tax Treaty" (*Franco-American Trade*; Publication of the American Chamber of Commerce in France), "The Franco-American Convention on Double Taxation" (*Columbia Law Review*), and "Corporations and the Chandler Bankruptcy Act" (*California Law Review*).

He was married to the late Florence Glennan. He has three daughters: Florence Josephine, Maureen Remara, and Patricia Deirdre.

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114 JOSEPH A. GRAZIER — S&C 1928-1937

In 1968 Joseph A. Grazier reached mandatory retirement from the post which he had held for many years as Chairman and chief executive officer of American Standard, Inc.

He remains very active, however, as Consultant and Vice-Chairman of the Economic Development Council of New York City, Inc., as a member of the Variable Account B Committee of Metropolitan Life Insurance Company, as Life Councillor of the Conference Board, as Chairman and Director of the Arthritis Foundation (New York Chapter), as a member of the Public Policy Committee of the Advertising Council, and as a member of the President's Council for Tulane University.

Mr. Grazier was a general practitioner during his years with S&C, and shared in aid and counsel for clients reeling from the shocks and aftereffects of the Crash of '29. Among those experiences he particularly recalls working on the informal reorganization of McKesson & Robbins beginning in 1932, and "the horrible day when Eddie Cantor (Podell) sequestered all stock in the name of Goldman Sachs."

From late 1933 to late 1935 he was in charge of S&C's Berlin office. He worked with John Foster Dulles in the representation of American long-term creditors of Germany, and participated in the first foreign securities registration with the S.E.C., an issue of Konversionskasse Scrip.

Mr. Grazier was born on September 10, 1903 to John Howard and Olive Maude (Grammer) Grazier at Tyrone, Pennsylvania. He has been married since 1930 to the former Marian Messner. He attended Lafayette College (A.B., 1925; L.H.D., 1956) and the University of

Pennsylvania Law School (J.D., 1928). His honors include Order of Coif, Phi Delta Phi, Phi Beta Kappa, the George Wharton Pepper Prize (1925), and Grand Officer, Order of Merit of the Italian Republic, attributable to economic assistance by American Standard to that economically depressed area. During World War II he served as a Lieutenant Colonel in the Transportation Corps, AUS (1942-1945). Possibly this accounts for the fact, which he has not otherwise elucidated for us, that he is an honorary citizen of Autun, France.

His directorships, apart from American Standard, have included: Atlantic Richfield (1970-1976), Johns-Manville Corporation (1956-1976), National Cash Register Co. (1959-1974, Director Emeritus since 1974), First National City Bank (1961-1970), First National City Corporation (1968-1970), Bristol Myers Company (1963-1971), Export-Import Bank (Advisory Committee — 1965-1969), Sinclair Oil Corporation (1966-1970). His public service positions have included: Trustee Emeritus of Lafayette College, Director of International Center of New York (1970-1976), Director of the Advertising Council (1960-1963).

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115 JOSEPH F. GILLIS — S&C 1929-1930

In 1976, Mr. Gillis sold the twenty-six-story office building on Madison Avenue that he had owned for over fifteen years, and retired to the Campus of Dartmouth College, where he now resides with his wife, Elsa.

A native of Wilkes-Barre, Pennsylvania, Mr. Gillis graduated from the University of Pennsylvania, Wharton School of Commerce and Finance, with a B.S. in economics, in 1924. He received his LL.B. from the Unviersity of Pennsylvania Law School in 1927.

Following his stay with S&C, where he was a corporate practitioner, Mr. Gillis became associated with several firms in the practice of general corporation law. He has handled many reorganizations in the State and Federal Courts in New York, New Jersey and Pennsylvania and has argued appeals in all the State and Federal Courts in New York as well as the State courts in the Commonwealth of Massachusetts.

In the midst of his reorganization work, Mr. Gillis edited, compiled and double indexed The Bankruptcy Act and General Orders and Forms in Bankruptcy following the adoption of the Chandler Act. This was widely distributed by Pandick Press for the use of State and Federal Judges and members of the bar handling reorganization matters.

Mr. Gillis has devoted much of his time to civic matters, appearing pro bono publico, in zoning matters, before Planning Boards and Board of Appeal. He has also handled the trial of cases, on behalf of non-paying clients, in the Justice of Peace Courts and the Supreme Court of the State of New York.

Joe and Elsa Gillis's daughter, Nancy Ann Sheridan, is in the real estate management division of Rockefeller Center, Inc. She has one daughter, a senior at Taft, and one son, an Exeter graduate who is a freshman at Yale.

Mr. Gillis's older son, Gerrit Gillis, a graduate of Northwestern and Harvard Law School, is a partner in the firm of Mudge, Rose, Guthrie & Alexander. He has presented his parents with four grandchildren.

John E. Gillis, Mr. Gillis's younger son, received an A.B. from the University of Pennsylvania and an M.A. and Ph.D from Colorado State University. He is a professor of evolutionary biology at Alleghany College in Meadville, Pennsylvania.

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116 **W. ARTHUR ROSEBOROUGH** (1900-1979) S&C 1929-1940*

William Arthur Roseborough was born in Beaverton, Oregon on April 2, 1900. He received his B.A. in Economics from the Unviersity of Oregon in 1923, and his J.D. from the University of Oregon in 1924. A Rhodes Scholar, he received his B.C.L. from Oxford University in 1926.

From 1927 to 1929 Mr. Roseborough practiced law in Philadelphia, and then joined S&C, where he worked for most of the time in the Paris office. "My years in the Paris office, though perhaps not brilliant professionally, were among the happiest of my life. Being one of the small group in Paris gave me a chance to know Cromwell and the Dulles brothers as few in my lowly status in the office could have."

Mr. Roseborough thereafter was legal secretary to Frank B. Kellogg during two sessions of the World Court in the Hague. From 1940 to 1942, he was Legal Advisor to the British Air Commission in New York and Washington. During the years 1942 to 1944, Mr. Roseborough served in Military Intelligence in Washington, North Africa and Europe as Lieutenant Colonel, Air Corps. He was awarded the French Legion of Honor.

Following his military service, Mr. Roseborough spent five years practicing law in New York and Paris. In 1945, he was legal advisor to the U.N. Site Commission.

Mr. Roseborough was Trust Officer and Vice President of the Durham Bank and Trust Co. in North Carolina from 1950 to 1952, but went back to practicing law in 1952, representing Charles Wrightsman and J. Paul Getty in negotiations for oil drilling concessions in Lebanon and Sicily.

In 1955 Mr. Roseborough retired from his professional activities, and operated a farm in North Carolina until his sudden death on February 28, 1979, survived by his widow, Elsie Barrett Roseborough, who resides at 2330 Hilton Avenue, Durham, N.C. 27707.

**Compilers' note*: We have no reason to doubt these dates, which are supplied by Mr. Roseborough's answer to our questionnaire. They are different from those shown in the table at the front of this volume, which was based on N.Y. office records, possibly mis-recording his move to the Paris office.

117 **JOHN C. HOVER** (1901-1971) S&C 1929-1944

John Curry Hover was born in Bellefontaine, Ohio on July 28, 1901. He graduated from Harvard College (A.B. 1923) and Yale Law School (LL.B. 1927). After two years with the firm of Kirlin, Campbell, Hickox & Keating, and two more on the legal staff of the National City Bank of New York, he joined S&C as an associate. He left S&C in 1944 to become an associate, and later a partner, in the firm of Davis, Polk & Wardwell. Mr. Hover was a specialist in fiduciary law, wills and the settlement of estates.

Mr. Hover was a resident of Short Hills, New Jersey. He died on November 9, 1971, leaving his wife, the former Edith Margaret Hopkins; a son, John Calvin 2nd; two daughters, Mrs. Emery W. Harper and Mrs. Kert F. Lundell; and two grandchildren.

He was a member of the Sons of the American Revolution, the Society of Colonial Wars, the St. Nicholas Society and the St. Andrews Society.

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118 **OLIVER B. MERRILL** S&C 1929-1974

Mr. Merrill retired on December 31, 1974 after forty-five years with S&C, thirty-eight of those as a partner of the firm. He was a member of the general practice group, active in connection with the affairs of the Allegheny Power Systems (originally American Water Works and Electric Company), the Detroit Edison Company and other public utility clients, and had a broad experience in financial matters, including revenue bond issues.

Born in Summit, New Jersey, Mr. Merrill was graduated from Phillips Academy (Andover, Mass.) in 1921, from Amherst College in 1925 and from Columbia Law School in 1928. At Columbia, he was a member of the Board of Editors of the *Columbia Law Review* and was awarded the Ordroneaux prize for general proficiency in the study of law.

Upon graduation from law school, he became a law clerk for Mr. Justice Harlan F. Stone of the U.S. Supreme Court.

He has long been active in Amherst affairs, and has served as a member of the Board of Trustees of the College since 1953. From 1969 through 1973, he was Chairman of the Board. Amherst awarded Mr. Merrill an Honorary LL.D. Degree in 1976.

Mr. Merrill is a director of the Peoples Symphony Concerts. Mr. Merrill married Laura Gardner Provost in 1928. They live in New York City and Waccabuc, New York. The Merrills have one daughter, Mrs. Kenneth R. Huggins, and four grandchildren: Melissa, Mark, Elise, and Jennifer.

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119 **SAMUEL WINOKUR** S&C 1929-1931

Mr. Winokur is the President of Culligan Water Conditioning of Connecticut, Inc. and of Winokur Water Systems, Inc. with his principal office in East Hartford, Connecticut.

He was born in 1906 in New Haven, Connecticut. He received both his undergraduate and law degrees from Yale University (A.B. 1927, Phi Beta Kappa; LL.B. 1929, summa cum laude; Editor-in-Chief, *Yale Law Journal*).

After graduation, he began practice of law with S&C, but in 1931 "left S&C (probably unwisely but unable to resist the lure of higher salary — an important factor in 1932 when S&C's starting salary was $2,400 per year) to join a client, the Grand Union Company, as Treasurer." Mr. Winokur later became Executive Vice President of Seeman Brothers, Inc. in New York City.

In 1951 Mr. Winokur was designated Mount Vernon, New York's "Distinguished Citizen." He was a resident of Mount Vernon for thirty-one years, heavily involved in community affairs. At various times an elected member and president of the Board of Education there, Mr. Winokur was also Vice President of Mt. Vernon Hospital, President of Sinai Temple and of Camp Willoway, and co-chairman of the Community Chest.

Mr. Winokur's first wife, Dorothy Hershman, is deceased. He has been married since 1957 to Catherine S. Neidle. He has four sons, a stepdaughter and eight grandchildren.

120 **RAYMOND B. GOODELL** (1886-1958) S&C 1929-1934

Raymond Batchelder Goodell was born at Salem, Massachusetts on July 30, 1886, the son of George Zina and Esther Frances (Chase) Goodell. After graduation from Salem High School, Mr. Goodell entered Harvard, where he received his A.B. in 1908, and his LL.B. in 1911. He spent a year after law school studying accounting at Harvard Business School. In 1912, he came to New York to join the legal department of American Telephone & Telegraph Company. After several years there, he went to work as a junior accountant for Price Waterhouse & Co. "Here I was fortunate," he later wrote, "in being put in charge of federal tax problems, which became my life work, and has led to substantial prosperity."

In August 1929, Mr. Goodell resigned from Price Waterhouse in order to join the taxation group at S&C. He left in 1934 to establish his own practice in tax law.

His main outside interest was "reading without specialization in any particular field"; he had accumulated over 10,000 volumes in his library, as well as an impressive postage stamp collection.

Mr. Goodell lived in Westchester, and continued his private practice in New York until his death on October 2, 1958.

Married Grace A. Price in 1918 (divorced in 1931); two daughters of that marriage, Jeanne Amory and Patricia Barbara Flynn. Married in 1932 Dorothy Marie McDonald (who died in 1957); two children, James McDonald and Melisande Roberta.

121 **KNIGHT G. AULSBROOK** (1903-1975) S&C 1929-1932

Mr. Aulsbrock graduated from Princeton University and Harvard Law School. He joined Sullivan & Cromwell in 1929 and was assigned to the reorganization of American Express in Paris. Four years later, he was made special counsel to Harold L. Ickes, Federal Emergency Administrator of Public Works. He was special counsel to Joseph P. Kennedy when Mr. Kennedy was Chairman of the Maritime Commission, and then became an Assistant Commissioner of the Commission from 1938 to 1940. He had charge of the reorganization of the Munson Line, and participated in the reorganization of the Dollar Line.

From 1940-1950, Mr. Aulsbrook devoted himself to private practice in New York and Washington, principally in the field of international corporate finance, development and reorganizations, trade and monetary restrictions and currency convertibility controls.

When Congress in 1950 created Guam as an organized Territory, Aulsbrook was appointed to the President's commission to determine what federal laws should be applicable to the island. Subsequently, he became the first Attorney General for the Territory of Guam.

From 1954 until his death in 1975 he practiced law in St. Petersburg, Florida. He was survived by a daughter, Chandna.

122 **JAMES P. GRANVILLE** (d. 1931) S&C 1929-1931

James P. Granville came to S&C following graduation from Knox College in 1926 and Harvard Law School in 1929. He died on January 31, 1931, while still an associate with the firm.

123 **WILLIAM FREDERICK TREIBER** S&C 1929-1931

Mr. Treiber, a banker and lawyer, was born to William Frederick and Charlotte (Drennan) Treiber on Mar 4, 1908 in New York City. He attended Columbia University (A.B. 1927; LL.B. 1929).

After two years of general practice with S&C, Mr. Treiber left to work at the firm of Robb, Clark & Bennitt. Three years later he began his career with the Federal Reserve Bank of New York. During the period 1934 to 1952, he rose from assistant counsel to first vice president (chief administrative officer), holding the latter position until 1973 when he became a consultant for the Federal Reserve Bank serving through 1976.

Other affiliations and activities include Mr. Treiber's alternate membership on the Federal Open Market Committee (1952-1973), his

presidency of the Annuity Fund for Ministers, his former presidency of the Federation of Protestant Welfare Agencies, and his study of Puerto Rico's finances as a member of a committee appointed by the governor of Puerto Rico. In 1978, Mr. Treiber was advisor to the Central Bank of Oman, Sultanate of Oman, and now in 1979 he is advisor to the Board of Directors of the Nippon Credit Bank, Tokyo.

Mr. Treiber and his wife, Elizabeth Stewart Treiber, have two children, Betty Jane Treiber Ahrens and William Frederick, and three grandchildren. The Treibers now reside in Winchester Center, Connecticut 06094.

124 **JOSEPH PRENDERGAST** S&C 1929-1933

"The year 1979 is also an anniversary for me. It has been just fifty years since I first became associated with S&C and was well launched upon what turned out to be a varied career, sometimes far away from the practice of law."

Mr. Prendergast was born in Chicago, Illinois on March 27, 1904. He received his B.A. degree from Princeton University in 1923 and subsequently read law at Balliol College, Oxford (1927-1929). Since 1927, he has been a Barrister-at-Law, Inner Temple, London.

His association with S&C began in 1929; he was engaged in international law, corporate law, and trial work. "The highlights of my S&C association were one year in Berlin, Germany with Norris Darrell and Rogers Lamont and sharing an office in New York with Lauson Stone."

In his subsequent position, as Assistant U.S. Attorney for the Southern District of New York, Mr. Prendergast was in charge of the *Morro Castle* case in which the ship officers, the corporate owner of the ship, and the corporate executive officer were convicted of criminal acts resulting in the death of 134 passengers and crew. He also served as Administrative Assistant to U.S. Attorney Francis W.H. Adams.

In the years 1937 to 1942, Mr. Prendergast held several notable positions: he was Special Assistant to four U.S. Attorneys General (Cummings, Murphy, Jackson, and Biddle); he served in the Office of the Deputy Attorney General, and as Assistant to Mr. Justice Stanley Reed as Chairman of the President's Committee on Civil Service Improvement. While acting as Assistant Chief of the Special Neutrality, National Defense, and War Policies Units of the Attorney General's Office, Mr. Prendergast handled neutrality, alien enemies, espionage, sedition, and sabotage matters. Also during this period, he was an Associate Professor at New York University's Graduate School of Public Law and Administration.

From 1942 to 1945, Mr. Prendergast served in the U.S. Army, rising from the rank of private to Major. An Assistant G-2 of the 12th Armored Division, he was wounded, captured and then escaped in Germany, thereafter spending several months in Army hospitals.

In the period 1946-1949, Mr. Prendergast extended his formal education at the New York School of Social Work at Columbia University (M.S., 1948) and was an Associate Professor at that school. For the New York State Charities Aid Association, he was Executive Secretary of the Social Welfare Legislative Information Service.

From 1950 to 1966, Mr. Prendergast was the Executive Director of the National Recreation Association and International Recreation Association. He merged the leading national professional and lay recreation organizations of the country into the National Recreation and Park Association and served as its Executive Vice President.

His work as Executive Vice President of the National Trust for Historic Preservation was during an important and successful transition from a deficit ridden budget and small membership to an adequate budget and large membership (1967-1974).

Mr. Prendergast has, since 1971, shifted even further away from the practice of law. He has been farming 1,200 acres of corn and cattle in Virginia. The land he farms was originally purchased by President James Monroe in 1794, and on it President Monroe in 1820 built the present house in which he wrote, in 1823, the message to Congress setting out the Monroe Doctrine.

Mr. Prendergast has no children. His first wife, Amalya C. Sartorelli of New York City, died in 1969. Since 1971 he has been married to Eugenie L. Reed of Virginia.

125 **CHARLES H. SAROLEA** (Deceased) S&C 1929-1934

Charles H. Sarolea was born on October 1, 1899. He received his undergraduate and law degrees at the University of Edinburgh, Scotland in 1916 and 1923 respectively. Mr. Sarolea died before 1949.

126 **HENRY S. WINGATE** S&C 1929-1935

Mr. Wingate was born in 1905 in Talas, Turkey, the son of American missionaries. His mother also was born in Turkey, where her father had been a missionary before her.

At Carleton College, where he was a member of the class of 1927, Mr. Wingate excelled in forensics. He won the Minnesota State Oratorical Contest, and placed second in the National Collegiate Oratorical Contest which William Jennings Bryan had won in an earlier era.

Following an accelerated course at the University of Michigan, Mr. Wingate joined S&C in October 1929. Because of his interest in international affairs and S&C's extensive involvement in such affairs, he had been advised by a Michigan friend to seek employment with S&C if possible. His S&C experience was varied, encompassing work on stock transfers, investment banking firm matters on which he worked closely with Horace G. Reed, a broad spectrum of problems for American Waterworks and other public utility clients, the affairs of National Dairy Products, and tax matters for many clients.

Mr. Wingate also worked on the affairs of International Nickel, which led to the next step in his career: Robert C. Stanley, then president of Inco, offered him a position as Assistant to the President. Mr. Wingate, who had enjoyed his work on Inco matters, accepted the offer. He was particularly intrigued, considering his own background abroad, by the opportunities the job would afford for international work.

Thus began a long and distinguished association with Inco which continues to this day. From 1935 to 1960, Mr. Wingate held positions ranging from Assistant Secretary to President. He was Chairman and Chief Executive Officer from 1960 to 1972, and Chairman of the Advisory Committee from 1972 through 1975. He was a Director of the company from 1942 through 1975. Currently, Mr. Wingate is a member of the Advisory Committee of Inco Limited, in addition to other professional activities, and remains involved in civic and community affairs.

Mr. Wingate married Ardis Adeline Swenson in 1929.* They live in New York City and Lloyd Neck, Huntington, New York. The Wingates have two children, Henry Knowles Wingate (married, with two sons) and William Peter Wingate (married, with one son).

Mr. Wingate says he "would advise young practitioners at S&C to adopt the following doctrine which Harold Butler and others of my mentors at S&C tried to instill in me: 'Always get your facts absolutely straight. From there on your mind, training and research will take care of the law.'"

Mr. Wingate's exposure to historical evidence confirms for him the impression that William Nelson Cromwell, who was on the first board of directors of International Nickel, was involved in the original organization of the company, or a merger of various Canadian and American companies, resulting in the creation of International Nickel in 1902. In a letter to Arthur Dean in October 1976, he summarized the long client relationship:

Compilers' note: It is not irrelevant that Mr. Wingate is a lover of music. For a number of years, in the annual appearances of the renowned Blue Hill Troupe, Ardis was a melodious, enchanting prima donna in leading Gilbert & Sullivan roles, in productions in which Harry had ardint Gilbert & Sullivan & Cromwell supporting roles.

"Over this long period the greatest contributions to Inco from S&C were made by its partners who carried responsibilities as directors of Inco: William Nelson Cromwell from 1904 to 1948; Alfred Jaretzki, Sr. from 1910 to 1925; Foster Dulles from 1925 to 1949 and again from January 1950 to May 1950; George C. Sharp from 1950 to 1971; and now, since 1971, Ward Foshay.

"I will not mention the long list of present partners who have shaped and guided the Company and are well known to all at S&C and Inco, but I do wish to mention some of the former partners who were very active in Inco affairs: Alfred Jaretzki, Jr., Horace Reed, Allen Dulles and Rogers Lamont . . .

"S&C has contributed many key executives and administrators to Inco: Richard A. Cabell who wound up his career at Inco Ltd. as Vice President and Secretary; William F. Kennedy who became Secretary of Inco Ltd.; and now, Dean Ramstad, presently Vice President of Inco Ltd. now in charge of all Japanese affairs; and Ashby Sutherland who is currently a Director and Senior Vice President of Inco Ltd."

In an interview on another occasion, Mr. Wingate said:

"Now, what distinguishes one [law firm] from another. I've been on many audit committees, and I've worked with many outside law firms, and I think the breadth of exposure of the law firms and its reputation for hard work and integrity are the most important considerations. Sullivan & Cromwell always brought me up with the doctrine, 'Never let your client call you. Always call your client first, if you've been asked a question. It's a disgrace if they call you and say, 'How does this matter stand?', [a question you would not get if] you had kept on your job every minute, to be sure that you were doing your work and you were doing it with rapidity, not the rapidity which the job necessarily required, but which the management of the corporation client may think it required. There are other great law firms in this town. They may have the qualities of Sullivan & Cromwell, but to me . . . its standing is pre-eminent . . .

"Sullivan & Cromwell always tried to give young lawyers responsibility very early. I don't know how that compares with other firms. Sullivan & Cromwell, I always thought, tried very quickly to shove the young fellow right into associations with the key elements of his clients, as they did with me into the utility companies, so that I felt I had close relations, just as a youngster, with great men, presidents and vice presidents of the clients that I was working for. I'm sure that this multiplied my usefulness. I regard Sullivan & Cromwell as the source of my education.

"I've always been brought up to believe — and I've been a trustee of a college — that the purpose of a good college or university is to put you on the road to learn afterward. I had the great good fortune to have gotten my education at Sullivan & Cromwell."

Mr. Wingate is currently a member of the Council on Foreign Relations, N.Y.C.; Pilgrims of U.S.A.; The Council of the Foundation for Child Development; The Association of the Bar of the City of New York; and Honorary member, Business Council, Washington, D.C.; Foreign Policy Association, N.Y.C.; Canadian-American Committee sponsored by the National Planning Association (Washington, D.C.); and the C.D. Howe Research Institute, Montreal. Senior member, The Conference Board. Trustee Emeritus, Carleton College. Also a Director, People's Symphony Concerts Inc., N.Y.C.; Vice President and Director, American Friends of Canada Committee.

Member of: Advisory Committee of Inco Limited; Directors Advisory Council of Morgan Guaranty Trust Company of New York; Chairman's Advisory Committee on Navajo Nation Affairs, Window Rock, Arizona. Trustee of the Seamen's Bank for Savings, New York City.

Former corporate directorships: 1955-1972, Bank of Montreal; 1955-1957, American Standard Inc.; 1953-1977, J.P. Morgan & Co., Inc. and Morgan Guaranty Trust Company

of New York; 1959-1976, Canadian Pacific Limited, Montreal; 1962-1978, United States Steel Corporation.

Former civic and community activities: Member: National Panel of Arbitrators of the American Arbitration Association; National Copper Research Association of the American Bureau of Metal Statistics; Grand Central Arts Galleries. Trustee: The Legal Aid Society, N.Y.C.; United States Committee of the International Chamber of Commerce; Council of the Americas; The Council for Latin America; Carleton College. President and Director of the American Committee for the Institute of Advanced Studies Europe Inc. Director: American Hospital of Istanbul, Inc. in Turkey; Manhattan Eye, Ear and Throat Hospital, N.Y.C.; Health Research Institute of the City of New York. Trustee of the Annuity Fund for Congregational Ministers and of the Retirement Fund for Congregational Lay Workers.

Honors: Alumni Achievement Award, Carleton College; Sesquicentennial Citation as a Distinguished Alumnus of the University of Michigan; the International Palladium Medal of the American Section of the Societe de Chimie Industrielle; a Distinguished Public Service Award from the United States Treasury in 1968 for service as a member of the Treasury Advisory Committee of the Business Council.

Honorary Degrees: University of Manitoba; York University, Canada; Marshall University, Huntington, W. Va.; Laurentian University, Canada; Colby College, Maine; Carleton College.

Clubs: Lloyd Harbor Bath; Huntington Country; and Union, University and Recess in N.Y.C.

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127 **EARLE J. MACHOLD** (1903-1973) S&C 1929-1930

Earle J. Machold was a native upstate New Yorker and a graduate of both Syracuse University and its law school. He had a brief period of training with S&C before returning upstate to practice in Watertown, New York.

Upon joining LeBoeuf & Winston in 1934, along with another S&C alumnus, Horace R. Lamb, he came back to New York City to engage principally in corporate and securities matters. He continued to serve that firm's utility clients until 1942, when he became president of the Niagara Power Corporation, a forerunner of Niagara Mohawk Power Corporation. His father, H. Edmund Machold, was at that time Chairman of the utility company, and when the elder Machold died in 1967 the son was named to succeed him and thereafter served in that post until his own death in 1973, in Syracuse, New York, survived by his widow, the former Alice Coonley, a son, John, and a daughter, Anne M. Rooks.

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128 **DAVID W. PECK** S&C 1930-1943, 1958-

"If my biography were ever written, which thankfully will not happen, the author might amuse himself with the question of whether I was born to be a lawyer, or was psyched into it by a grandfather, or tricked

into it by my own trick. An itinerant phrenologist examining my baby head purported to find a lawyer in the making. My maternal grandfather always said I would be a lawyer. But I have said that I became a lawyer because my mother made me take violin lessons. That is where the trick comes in.

"My mother believed in lessons for children and selected the violin for me. I had no aptitude for the instrument, had no interest in playing it, and hated the lessons. I saw no escape, however, until a local lady started giving elocution lessons. That gave me an idea, and my initial assay at advocacy was to satisfy my mother's requirement of lessons, but relieve myself of the violin, by trading it in for speech. It worked, and led in natural progression to oratory and debate in high school and college and thence to law school. It probably should be said, however, that the violin had an assist in the lawyer-making from my father's store. I clerked there as a boy and through undergraduate years wrote the advertising, both being by nature advocacy.

"Three years in the United States Attorney's office following law school were a concentrated course in trial and appellate advocacy, which I never got away from, despite a two-year sojourn at the International Telephone and Telegraph Company in corporation and international work. The last assignment there brought me back to advocacy and cast the die. Several interesting and pleasant months were spent in London working with Raymond Evershed, then a junior but highly regarded barrister destined to become Master of the Rolls, on an important arbitration over breach of a cartel agreement. Happily, on returning home a determination I had made to return to litigation was quickly realized by Sullivan & Cromwell's looking for a trial man of my generation to start building a litigation department, which at the time consisted of only one partner, two associates of advanced years, and one just out of law school. In due time I became a partner, with the distinction now of having been one of only two partners taken into the firm in the past fifty years who did not start his professional career with the firm.

"As bench years should be mentioned — August 1943 to December 31, 1957 — a brief account of how they came about might be of some interest. This goes back to United States Attorney days and the then United States Attorney, Emory R. Buckner (who, not so incidentally, put Sullivan & Cromwell in touch with me at the time I entered the office.)

"The United States Attorney's office pre-Buckner had fallen into some disrepute because over a period of time the assistants had been chosen more out of political considerations than professional consider-

ations. The judges of the Court of Appeals were sufficiently concerned as to inspire a move to persuade the Attorney General to have Buckner appointed United States Attorney. He cleaned house and appointed a lot of young assistants, mostly just out of law school, to replace the political hacks, attracting them through the promise of valuable experience, thus procuring ability that could not be procured in experienced lawyers with the stingy salaries permitted. Buckner mollified the Republican County Chairman by saying he would make the office a recruiting ground rather than a dumping ground of politicians, and arranging for the purpose a luncheon attended by all his young assistants and the County Chairman, at which the dormant Young Republican Club was revived with the nucleus of Buckner's "bright young men", as they were called more or less disdainfully.

"Some became really active politically, both in the club and outside of it, and they were joined by other young men, in law firms and business houses, making up what became a force in the Republican party. One of those young men was Thomas E. Dewey who became chairman of the Board of Governors of the club at the time I became president, and out of that association grew a lifelong political association and close friendship. Shortly after Dewey became Governor in 1943, a vacancy occurred on the Supreme Court, to which I was appointed, and elected that fall by the sheerest political luck. The previous occupant of the position was the only Republican on the court and the Democratic leaders were persuaded that the Republicans should continue to be allowed such representation and so gave me their nomination.

"I had no intention of staying on the bench for more than five years and so advised the Governor before accepting the appointment. Fate decreed otherwise, however; I was assigned to the Appellate Division after two years on the trial bench and two years later was designated Presiding Justice. I then felt obliged to serve out my term, but not to take another one. So January 1, 1958 found me back at Sullivan & Cromwell."

David Warner Peck was born in Crawfordsville, Ind., Dec. 3, 1902; s. Dumont M. and Juliet (Warner) P.; A.B. with distinguished honors, Wabash Coll. 1922, LL.D., 1954; LL.B. Harvard, 1925; D.J.S. Suffolk U., 1952; LL.D. Union Coll. Albany, 1953; m. Elizabeth Saville, Jan. 30, 1929; children — David W., Morgan Scott; Asst. U.S. atty. 1925-28; partner Sullivan & Cromwell, N.Y.C. 1934-43; 58-77; of counsel 1977-; justice Supreme Ct. N.Y. 1943-45; asso. justice appellate div. Supreme Ct., 1st dep. 1945-47, presiding justice, 1947-57; mem. Permanent Internat. Ct. Arbitration, 1957-63. Trustee Greenwich Savings Bank; Mem. task force on legal services and procedure 2d Hoover Commn.; chmn. N.Y. State Commn. on Revision and Simplification of Constn., 1959-61. Trustee Vincent Astor Found., Wabash Coll, N.Y.U. Law Center Found. Mem. N.Y. (pres. 1962) bar assns. Am. Judicature Soc., Am. Law Inst., Assn. Bar City N.Y., Am. Arbitration Assn. (chmn. bd. dirs. 1965-69), Chevaliers du Tastevin, Commanderie de Bordeaux,

N.Y. County Lawyers Assn. Clubs: Quaker Hill Country (Pawling, N.Y.); Recess, Century Assn. (N.Y.C.); Metropolitan (Washington); Muirfield (Scotland). Author: The Greer Case, 1955; Decision at Law, 1961. Home: 580 Park Ave., New York City, N.Y. 10021.

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129 **ALEXANDER M. GREAN, JR.** (1906-1968) S&C 1930-1938

Mr. Grean was born in New York City on January 19, 1906. He graduated from Columbia College in 1928 and from the Columbia Law School in 1930, following which he joined Sullivan & Cromwell. After leaving S&C in 1938, he became chief counsel on the staff of the Ward Baking Co. in New York City. Mr. Grean died in Rye, New York on October 21, 1968, survived by his wife, Muriel Goodliffe; three children, Linda Curtis, Laura, and Michael; and four grandchildren.

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130 **INZER B. WYATT** S&C 1930-1942, 1946-1962

United States District Judge Inzer B. Wyatt was born on March 29, 1907 in Huntsville, Alabama. After graduating from the University of Alabama in 1927, he entered Harvard Law School from which he graduated *cum laude* in 1930. He brought with him from the South a distinctive courtly charm which he combined effectively with vivid imagery in his speech and eloquence in his style to make himself a premier advocate.

Inzer Wyatt joined Sullivan & Cromwell in September 1930, became a partner in 1940, and left in July 1942 to serve in the U.S. Army in World War II. He was first in the Judge Advocate General's Department and then in the General Staff for Intelligence, briefly in Europe and thereafter in the Far East, principally in India, Burma, Ceylon and China. He dealt with the highest level of intelligence derived from deciphering German and Japanese military and diplomatic messages, as described in Winterbotham, *The Ultra Secret* (London 1975) and Lewin, *Ultra Goes to War* (New York 1978).

Before leaving the firm for military service Inzer Wyatt's busy and varied litigation practice with the firm had included the successful protection from infringement of the trademark "Spun-lo" on fabrics for industrial rayon (reported in *Industrial Rayon* v. *Duchess Underwear*, 92 F.2d 33 (2d Cir. 1937), *cert. denied* 303 U.S. 640 (1937)) and working with John Foster Dulles in the *Bank of Spain* case with its important and fascinating questions reaching into the realms of international law and polity arising from purchases of $6,500,000 of silver by the U.S. Secretary of the Treasury from persons purporting to act as the Government of

Spain (*Banco de Espana* v. *Federal Reserve Bank of New York*, 28 F.Supp. 958 (S.D.N.Y. 1939), *aff'd* 114 F.2d 438 (2d Cir. 1940)).

Following his service in World War II, Inzer Wyatt rejoined the firm in March 1946 and over the next sixteen years won wide recognition as an outstanding advocate and creative counsellor. Before leaving the firm at the end of 1962 to take his place on the United States District Court for the Southern District of New York, he appeared in litigation throughout the United States and on occasion abroad. He successfully established that there was no liability under Section 16(b) of the Securities Act for short-swing profits of a partnership whose member was a director, that such liability was limited only to the share of the profits inuring to the individual director-partner (*Rattner* v. *Lehman*, 98 F.Supp. 1009 (S.D.N.Y. 1951), *aff'd* 193 F.2d 564 (2d Cir. 1952)). He prevailed with the defense of *in pari delicto* in an important federal antitrust case between two public utilities (*Pennsylvania Water & Power Company* v. *Consolidated Gas, Electric Light and Power Company of Baltimore*, 209 F.2d 131 (4th Cir. 1953), *cert. denied* 347 U.S. 960 (1954)).

From 1947 to 1962 Inzer Wyatt was virtually continuously engaged in the far-flung litigation between members of the Bata family, which ultimately led to successfully establishing the rights of Thomas Bata and his mother to the world-wide shoe enterprise founded by Mr. Bata's father in Czechoslovakia. (Key decisions are reported in New York in *Bata* v. *Bata*, 306 N.Y. 96 (1953) and in Delaware in *Bata* v. *Hill*, 170 A.2d 711 (Supreme Court of Delaware, 1961).)

For the Government of Canada he successfully filed a suggestion of no jurisdiction where personal jurisdiction had been sought to be obtained over Canada by delivery of papers to its Consul General (*Clay* v. *Dominion of Canada*, 144 F.Supp. 746 (N.D.N.Y. 1956), *aff'd without opinion* 238 F.2d 400 (2d Cir. 1956), *cert. denied* 353 U.S. 936 (1957)). His corporate litigation efforts included a successful defense of Babcock & Wilcox against a claim of breach of contract to develop and exploit a continuous casting process (*Williams* v. *The Babcock & Wilcox Company*, 262 F.2d 253 (3rd Cir. 1959), *cert. denied* 359 U.S. 969 (1959)) and the representation of International Railways of Central America in extended stockholder litigation charging United Fruit Company with dominating and controlling the Railways to secure unfairly low freight rates for its banana traffic in Guatamala (*Ripley* v. *International Railways of Central America*, 8 A.D. 2d 310, *aff'd* 8 N.Y. 2d 430 (1960)). Before the Italian-United States Conciliation Commission he presented an important claim in the case of *United States of America ex rel. Albert Flegenheimer* v. *The Italian Republic*, decided September 20, 1958 and noted in 53 Am. J. Int'l L. 944-58 (1959).

Over the years Inzer Wyatt was with the firm he had a continuing close association with American Radiator Company and Standard Sanitary Manufacturing Company, which were merged during the period to form American Radiator & Standard Sanitary Corporation, more recently known as American Standard, Inc. In the late 1950s and continuing until he left the firm, he was engaged on The Lamco Joint Venture, in which Swedish interests, Bethlehem Steel and the Republic of Liberia united to develop iron ore deposits in the Nimba Mountains in Liberia.

Judge Wyatt took senior status in the U.S. District Court in 1977, in which Court he has continued to serve both in the Southern District of New York and in the Northern District of Alabama. He married Hope Johnston on February 27, 1936. They reside in New York City.

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ELVIN R. LATTY

S&C 1930-1933

Citation read by President Roger Howell, Jr. of Bowdoin College on the occasion of Professor Elvin R. ("Jack") Latty's honorary LL.D. in 1975:

"Elvin Remus Latty, of the Class of 1923, educator, scholar, and distinguished member of the legal profession. Though born in Hopkinton, Mass., he, in his own words, 'took one look, yelled, and the family at once moved to Maine.' With degrees from Bowdoin, Michigan, and Columbia, he has demonstrated the versatility of the liberal arts tradition by serving as an instructor in Romance Languages and track coach at the University of Vermont, by engaging Wall Street's legal world as associate of the firm of Sullivan and Cromwell, and by a distinguished 36-year career at the Duke University Law School. He has likewise managed to fit into this active career numerous visiting appointments at institutions in this country and abroad, as well as serving as special assistant to the American Ambassador in Caracas and as Assistant Chief of the State Department's Foreign Funds Control Division. From a rocky island in the fog of eastern lower Penobscot Bay to the southern climes of Durham may seem a long way, but as William R. Perkins Professor of Law and Dean, he brought Yankee drive, humor and wisdom as the weapon in his arsenal, and his triumph has been complete. Under his leadership, Duke Law School achieved true national prominence and was blessed with a splendid new law school building affectionately and appropriately referred to as 'the house that Jack built.' The establishment there of the World Rule of Law Center reflects his abiding awareness of the international dimension. His students stand as a monument to his work, and to each of them he has sought to con-

vey the realization that the last word on any subject has not yet been said."

Professor Latty's advice to students and young practitioners of the law is: "Determination is laudable and necessary; rigid determination can stand in the way of the satisfying life; ask yourself, Young One, where lies your best prospect for that life."

Born May 12, 1903. J.D., University of Michigan, 1930; J.Sc.D., Columbia, 1936. Professor of Law at the University of Kansas, 1934-1935, at University of Missouri 1935-1937 and at Duke University in 1937. At various dates after 1937, visiting Professor of Law at George Washington University, Stanford University, University of North Carolina, University of Texas, University of Puerto Rico, University of Florida, and Fulbright lecturer at Pavia in Italy. Acting Dean, then Dean of the Duke University Law School, 1957-1966. Now residing in Durham, N.C. as William R. Perkins, Professor of Law Emeritus and Dean Emeritus.

Married Ruth Kenyon Wagner, January 25, 1932; one daughter, Joan Sabin.

Member of American and North Carolina Bar Associations; Order of Coif; Phi Beta Kappa; Sigma Nu; Phi Delta Phi.

Author: *Subsidiaries and Affiliated Corporations*, 1936; *Introduction to Business Associations*, 1951; *Basic Business Associations*, 1963; Editor, *Law and Contemporary Problems*, 1943-46. Contributor of articles to professional journals.

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132 **WILLIAM FRITZ KENNEDY** S&C 1930-1945

Born in Philadelphia, Pennsylvania on July 11, 1906 to William John and Bertha Kennedy, William F. Kennedy remained in Pennsylvania for his preparatory and legal educations. After receiving his B.A., cum laude, in 1926 from the University of Pennsylvania, Mr. Kennedy matriculated at the same university's law school, becoming a member of the Board of Editors of the *University of Pennsylvania Law Review* and of Phi Beta Kappa, Delta Sigma Rho, and the Order of the Coif. He graduated, cum laude in 1929, and in 1930 was a Gowen fellow and then an associate at Sullivan & Cromwell. He joined International Nickel in 1945 as general solicitor, later becoming secretary of both Inco, Limited and Inco, Inc. and a director of Inco, Inc. as well. Mr. Kennedy retired from Inco in 1971.

Mr. Kennedy is now a member of Dimmock & Kennedy, P.C., at 100 Park Avenue which he formed in 1974 with Clarence O. Dimmock, a fellow S&C alumnus, and is also counsel to Wormser, Kiely, Alessandroni & McCann.

Mr. Kennedy has been married to Ruth Bennett Maris since 1928; they have one son, William John.

Member: Association of the Bar of the City of New York, New York State and American Bar Associations, University Club, India House.

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133 **FREDERIC W. COLCLOUGH, JR.** (1905-1976) S&C 1930-1940

A native of Elkland, Pennsylvania born July 10, 1905, Mr. Colclough was a Phi Beta Kappa graduate of Lehigh University (A.B., 1925), which he later served as a trustee. He received a law degree in 1930 from the University of Pennsylvania, where he was on the law review.

He was associated with S&C from 1930 to 1940, when he joined the American Bank Note Company, renowned printer of currency, travelers checks and stock certificates, as assistant to the president. After army service in World War II in the China theatre, he returned to the company and was named its secretary in 1945, vice president in 1949, president in 1952 and chairman in 1957. He remained chairman until his retirement in 1970.

Mr. Colclough died in September 1976.

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134 **CLARENCE O. DIMMOCK, JR.** S&C 1930-1940

Mr. Dimmock is currently practicing in New York as a member of Dimmock & Kennedy, P.C., and as Counsel to Wormser, Kiely, Alessandroni, Hyde & McCann.

Born in Hiawatha, Kansas in 1906, he graduated from the University of Kansas (A.B. 1928), where he was a member of Phi Beta Kappa, and Yale Law School (LL.B. 1930) where he was on the Yale Law Journal, a member of Corbey Court, and the Order of the Coif.

Mr. Dimmock practiced in the corporate group while at S&C. He counts as a memorable professional experience his participating in the first registration of a common stock offering under the Securities Act of 1933.

Mr. Dimmock married Jane Williams Machon in 1969. (His first wife was Eleanor Waste, whom he married in 1930.) He has one son, John O. Dimmock, and four grandchildren.

Director of Munson Line, Inc.; Huyler's Griswold & Company, Inc.; Diamond Expansion Bolt Co. Inc.; Property Owners Association of Garden City Estates; Society for the Prevention of Crime. Police Justice of Garden City, N.Y.; School Attorney for Garden City, N.Y.

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135 **ELIZABETH BEAM OSBORNE** S&C 1930-1939, 1942-1944

Elizabeth Beam Osborne, now residing in Fairfield, Conn., was born in East Orange, N.J. on October 13, 1905, daughter of Victor S. and Ethel McElroy Beam. She graduated, cum laude, from Smith College in 1926, from Yale Law School in 1930 and was admitted to the New York bar in

1931. In 1936 she married John Stuart Osborne. They had two sons: John S. Osborne, Jr., now practicing law in New York, and David B. Osborne, a teacher in a school in Massachusetts. She has one grandchild, Elizabeth Beam Osborne. In 1949 the family moved to the Chicago area where they lived until after Mr. Osborne's death in 1965.

Mrs. Osborne was Sullivan & Cromwell's first woman lawyer. Although she refers to her hiring as an "experiment", there seems obviously to have been a settled intention on the part of the firm to welcome women to the practice, since Madeline Smyth also became an associate in 1930 and Lois Rodgers and Ruth Hall the next year. Mrs. Osborne was with the firm, in her "first hitch", until 1939 and left to devote herself to her family.

During the first few years her assignments were varied: "passing on the legality of certain stock transfers, legal research, writing memoranda and even, for one interval, spending hours in the Custom House investigating records of sugar imports." Ultimately her concerns were "more or less concentrated on the drafting of wills and trusts (under the ever-critical and sometimes approving eyes of the redoubtable William F. Corliss, Esq.) and the administration of estates with the wise and patient counsel of Pearce Browning."

In October of 1942 Mrs. Osborne, then living in New Canaan, returned to S&C on a part-time basis to help the firm cope with the wartime drain of personnel, but in April of 1944 "had to retreat to the home front because of the difficulty in wartime of making satisfactory arrangements for the care of the children."

After 1944 she had no professional connections with the legal community until a few years ago when she made a modest re-entry as a part-time volunteer with Connecticut Legal Services in Bridgeport. "It is an interesting job with considerable appeal but one for which my Wall Street experience provided little relevant preparation. Other than this particular job, my most rewarding volunteer work, over the years, was in connection with The New Canaan Library, The Art Institute of Chicago, The Metropolitan Housing and Planning Council (Chicago), a term as a member of the school board of Geneva, Illinois, and, presently, the Pequot Library in Southport."

Asked for reminiscences, Mrs. Osborne generously responded:

"One of my favorites is from early in my job — maybe the first week. I was required to have my stock transfer opinions checked by a young associate (perhaps all of 26 years of age) of whose wisdom I was in great awe. I showed him a will that appeared to me to have been written on black paper in white typing. I commented brightly that it seemed a peculiarly morbid way in which to have one's will prepared. I can still feel the disdain of his 'That is a *negative* of a photostat, Miss Beam.' How much I had to learn! . . . .

"I remember, just before the Gift Tax became effective, *cabling* two complete trust agreements (air mail being too risky) to Europe, waiting for confirmation, word for word, by return cable, then cabling exact instructions for execution (a two or three day exercise) . . . .

"My years at Sullivan & Cromwell represent only a small portion of my life but they were enormously important ones and added flavor and interest to all that followed. I have always been grateful for having had the opportunity to work with people of trained intellect whose standards of performance were high and whose varied interests were bound to extend one's horizons.

"I want, also, to comment on the kind consideration I was shown as a young and none too confident 'lady lawyer'. I joined the office at a time when women lawyers were not numerous, and although a bit guarded at first, my reception was really very cordial. At the risk of appearing either too noble or too naive, I have to confess that I was not conscious of discrimination, though I suspect that there may have been instances of sex differentiation that might be so interpreted today. For example, I was given my job on the understanding that I would be paid a weekly cash salary from October until the first of the year so that the senior partner who signed the monthly checks for the associates (all male) would not be upset by the experiment involved in hiring me. After January 1 I was, indeed, paid monthly at the same rate as the men in my beginning 'class' and, I am glad to say, that same senior partner became a good friend for whom I worked on many matters."

136 **MADELINE SMYTH** (-1963) S&C 1930-1931

Madeline Smyth, of Bronxville, New York, graduated from Mount Holyoke College (A.B. 1919). After college, she worked as personal secretary to the Honorable Isaac N. Mills, Justice of the New York Supreme Court. She then entered New York University Law School, while continuing in her secretarial position. In October 1924, Miss Smyth was awarded a university prize scholarship at NYU, for a full year's tuition, which was given to the two second year students passing the best examinations of that year. She received her J.D. degree from New York University Law School in 1925.

Miss Smyth's professional career included a year of trusts and probate work at S&C. At her retirement she lived in Palo Alto, California and was active in numerous community affairs, including Girl Scouts, the Community Chest Board, Red Cross, and Air Craft Warning Observation Post. She also traveled extensively.

Miss Smyth died in Palo Alto on March 23, 1963.

137 **LOIS S. RODGERS** (1900-1973) S&C 1931-1934, 1942-1964

Mrs. Rodgers was born on February 12, 1900 in Elgin, Illinois. She attended the University of Southern California and read law with Randell, Bartlett & White in Los Angeles, gaining admission to the California bar in August 1923. She practiced in the S&C estates group from

1931 to 1934, and then returned to the firm in 1942 to begin a continuous twenty-two year association with the firm, ending with her retirement. While at S&C, she was a member of the American Bar Association and the New York County Lawyers' Association.

A resident of Short Hills, New Jersey for thirty-seven years, Mrs. Rodgers was active in community affairs. She was a member of the First Church of Christ, Scientist, the Millburn Business & Professional Women's Club, and the Lake Valhalla Club. She was the widow of Theodore R. Rodgers, and had one son, Theodore S. Rodgers.

Mrs. Rodgers died on December 7, 1973.

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138 **RUTH AUSTIN HALL** S&C 1931-1937

Ruth Austin Hall came to Sullivan & Cromwell after graduating from Vassar in 1925 and from the Yale Law School in 1929. "S&C already had three women lawyers when I went there. It was really a leader among the big firms in opening the doors to women — at a time when many would not even interview a woman lawyer."

Miss Hall's principal engagements in the office were for the firm's commercial banking clients, mainly giving advice and solving problems for the corporate trust and stock transfer departments. She particularly recalls her interesting work with Marine Midland on its problems as the successor trustee under a Kreuger & Toll debenture agreement, when the collateral consisted of defaulted bonds of foreign governments and central banks.

In 1937 Miss Hall returned to her home town, Kansas City, Missouri, where she practiced for the next nine years as an associate of Warrick, Koontz & Hazard. In 1946 she opened her own office there and practiced alone until 1958 when she became Trust Counsel to the City National Bank & Trust Company. In 1970 she returned to private practice, but continued as legal consultant to the trust department of the same bank (now United Missouri Bank of Kansas City).

Miss Hall retired in October 1978 and resides at 3800 Baltimore Avenue, Kansas City, Missouri 64111.

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139 **EDWARD J. McGRATTY, JR.** S&C 1931-1937

Edward McGratty served as Director of General Litigation for General Motors Corporation from 1956 until his retirement in 1972.

Mr. McGratty graduated magna cum laude from The College of

Holy Cross in 1928. At Harvard Law School, he was on the Law Review before graduating cum laude in 1931. He then practiced in the litigation group at S&C until 1937, much of his time being devoted to working with John C. Higgins and others on *United States v. The Sugar Institute*, "all the way from the 1931 complaint to the entry of final judgment after the Supreme Court's affirmance of the judgment of the District Court in 1936".

During the years 1938-1940 he argued 210 appeals as Assistant Corporation Counsel of the City of New York, Appeals Division. From 1941 to 1956 he was associated as a litigator with Davis Polk, except for a three-year hiatus as a Lieutenant Commander, U.S.N.R., lawyering in the Industrial Incentive Division and Office of Counsel, Bureau of Aeronautics.

He married Catherine Dillon; they have three children and ten grandchildren; they now divide their time between 755 Waddington Road, Birmingham, Michigan 48009 and visits to New York City: "Since Kay indulges my love of gardening by staying in Michigan for nine months each year the least I can do is to take her back to New York for three months each winter".

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140 **WILLIAM ELLSWORTH NUESSLE** S&C 1931-1934

Born on January 18, 1906 in Washburn, North Dakota, Mr. Nuessle graduated from the University of North Dakota (A.B. 1927) and Harvard Law School (LL.B. 1931). Among fond recollections of S&C is "working with Rogers Lamont (and by cable with Joe Grazier in Berlin) on the registration of the pre-Hitler German Republic's Reichmark bond issue in early S.E.C. days."

On January 1, 1935, Mr. Nuessle joined the legal staff of National Dairy Products Corporation, now Kraft, Inc., to serve with Robert S. Gordon (*q.v.*), who had just left S&C to become that company's General Counsel. Mr. Nuessle practiced there, barring his military service years, as Assistant General Counsel and, after Mr. Gordon's retirement in 1963, as General Counsel, Director and Senior Vice-president until his own retirement on January 31, 1971.

During World War II, Mr. Nuessle served on the legal staff of the Renegotiation Board, Office of the Undersecretary of War, and separated as Major, AUS, in August 1946.

Since his retirement Mr. Nuessle's activities have been "primarily agricultural". He is presently a member of the Industrial Development Board of the City of Florence, Alabama, where he resides at 221 West Tuscaloosa Avenue (35630).

Mr. Nuessle's first wife, Amelia Browne O'Neal, died in 1973. He married Jennie Richardson Norvell in 1974.

Member of American Bar Association, Harvard Club of New York City, Florence Exchange Club, and Turtle Point Golf Club of Florence.

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141 **J. EDWARD MOUNT** S&C 1931-1937

Mr. Mount was born in Damascus, Maryland in 1904. He is a graduate of Johns Hopkins University (B.E. in M.E. 1924) and the University of Virginia Law School (LL.B. 1931). After leaving S&C, he became associated with Hecker Products Corporation which became, by merger, Best Foods, Inc. (now a division of Corn Products). In September 1943, he joined Bethlehem Steel Corporation, where he remained until his retirement in 1969.

Mr. Mount and his wife, Dorothey Hutton Mount, live at 557 West Third Street, Bethlehem, Pennsylvania 18015. They have one daughter, Eleanor Alexander Mount Simmers, and two grandsons, Kyle Evan Simmers and Sean Eliot Simmers.

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142 **WILLIAM CURTIS PIERCE** S&C 1931-1942, 1945-1972

Curtis Pierce is the son of Henry Hill Pierce (*q.v.*) and Katherine Robinson (Curtis) Pierce, and the grandson of William J. Curtis (*q.v.*). Mr. Pierce retired as a partner of the firm on December 31, 1972.

"I was born in New York City March 18, 1906. My father had been born and brought up in Portland, Maine. My mother had been born and brought up in Summit, New Jersey. Her father had been born and brought up in Brunswick, Maine. Both sides of the family had deep roots in Maine.

"In 1913, my father first rented, and then later bought from another branch of the family the house and farm in Baldwin, Maine, to which my great-great-grandfather, Josiah Pierce, had brought his bride, Phebe Thompson, in 1787. From then until I went to college, I spent most of my summer vacations and some of my winter ones in Baldwin.

"I went to Bowdoin College, which many of my family had attended, and graduated in 1928. I graduated from Harvard Law School in 1931.

"Meanwhile, I was married to Elizabeth Neall Gay in June of 1929, a week after she had graduated from Barnard. She is a native of New York, but also has a New England background.

"On October 1, 1931, I went to work for Sullivan & Cromwell. There I was assigned to some rayon companies and to The North American Company, a public utility holding company which for years had been a major client of the Office.

"Not long after I came to the Office, Dave Hawkins summoned me. A client of ours was bursting out of its files, and had asked Dave when they could throw things away. I went to the library and diligently looked up statutes of limitations and their tolling, and came up with what I considered a learned dissertation of eight or ten pages. Months afterwards, I happened to be looking through that client's correspondence files. I ran across a copy of a letter from Dave. It read somewhat as follows: 'You have asked our opinion on when you can dispose of papers in your files. In our opinion, you may dispose of papers in your files when you feel you no longer have a need for them.'

"Arthur Dean handled the first security issue of any consequence after the enactment of the Securities Act of 1933, a debt refunding issue for our client, American Waterworks and Electric Company. Then, in the summer of 1934, I was sent out to Chicago to work on refunding issues for two subsidiaries of Standard Gas & Electric Company, to be underwritten by our client, First Boston. The Securities Act was all very new and terrifying. The forms to be filled out required vast quantities of even less relevant detail than are now required. Accounting practices in those days were not nearly as developed as they have been since. I spent most of my time in Chicago from then until the following March working on the two issues, at times feeling quite abandoned. The second of the two was closed March 29. My first child, Martin Gay Pierce, was born the next day, March 30, 1935.

"Soon after that Mr. Cromwell arrived on one of his periodic visits from Paris. He decided he wanted to meet the young lawyers. So, his secretary, Mrs. Kerr, summoned us one by one. When my turn came, she introduced me. He asked me if I were living in my parents' house uptown. I said, 'No, I have an apartment on 82nd Street.' He wagged his finger in my face and said, 'Aha, I know you young fellows.' Fortunately for me, Mrs. Kerr was hovering in the background, and fortunately she was up on the office gossip. She came to my rescue, saying, 'Why, Mr. Pierce is married, and his wife has just had a nice big baby boy.'

"From my stay in Chicago until the late spring of 1940, it was just one security issue after another. There was one for a water company, fortunately a private sale, where local counsel telephoned me, the evening before the closing was scheduled, to inquire how we wanted him to cover the enormous Federal tax lien in his opinion. That night, we all went to bed early. There was a public issue for an electric utility com-

pany that we closed in the Custom House because of the Federal tax lien. I was convinced that local counsel for a western electric utility would give me any opinion I wanted on any subject, secure in the knowledge that he could lick any other lawyer in his state either in the courtroom or behind the courthouse, and he didn't care one bit which. I had no status with him. It wasn't more than twenty minutes after I first met him that I had had to admit that I had never defended a man on a capital charge, the *sine qua non* for professional standing in his state.

"Then, in about April of 1940, Foster Dulles summoned me and asked me to change over to trusts and estates. I said I would like to think about it. I went back to my room on the eighteenth floor. As I went in the door, the telephone rang. It was counsel for the insurance company buying some bonds on a private placement. We represented the issuer. For the next forty-five minutes we argued commas and semicolons. As soon as I hung up, I went back up to Foster Dulles's room and said I would be glad to make the change.

"So, I got rid of my corporate and securities jobs and immersed myself in estates, trusts and personal problems. I was made a partner January 1, 1941.

"Then came Pearl Harbor. I applied for a commission in the Navy and was ordered to report at the Naval Training Station at Quonset Point, Rhode Island August 17, 1942, less than a week after my third child, Bessie (Elizabeth Gay Pierce) was born.

"Most of my naval service was divided about evenly between the Naval Air Station at Atlantic City, New Jersey, and the U.S.S. Admiralty Islands (CVE-99), a baby flat top, in the Pacific.

"My family joined me at the Naval Air Station in the late spring of 1943, after Martin's school was over. A few days later, at supper, our second son, Billy (William Curtis Pierce, Jr.) complained of a sore throat. He died the next day of a streptococcus infection.

"My service at sea was a quiet cruise of the Western Pacific. Nothing ever quite happened just where we were when we were there, although plenty was going on at various times nearby. I left the ship in October, 1945, and returned to the East Coast. Our youngest child, Josiah, was born the following summer.

"I returned to S&C December 1, 1945. A week before I had seen Dick Storrs at a cocktail party at Foster Dulles's. Dick told me that at the last firm lunch, I had been elected the office expert on commercial banking. That December 1 was a Saturday. Before I had gone away, everybody except Reub Crispell always went to the office on Saturday. But times had changed. That Saturday, Paul McQuillen and Howard Dausch and a few of the clerical staff were the only ones there. I had

pleasant conversations with both Paul and Howard, but did not find myself overwhelmed by my new banking clients.

"Perhaps the most interesting work I did in the banking field was for The New York Clearing House Association, an unincorporated association, which, on that Saturday morning, was made up of twenty-four of New York's leading banks. By the time I retired, it had been reduced by mergers and acquisitions to ten. My work for the Clearing House involved a good deal of sitting around the table discussing interesting legal and economic problems with the leaders of New York banking, and with their counsel.

"One of the more interesting jobs I did for the Clearing House resulted from a letter written to the Chairman of the Clearing House Committee in about 1957 or 1958 by the then Superintendant of Banks, asking us to undertake a study of the New York Banking Law with a view to suggesting changes. G. Russell Clark, then Executive Director of the Clearing House, and I acted to coordinate the work of committees of bankers and their counsel on various aspects of the Banking Law, then cleared suggested changes with representatives of the Banking Department, and, occasionally, of the Federal Reserve Bank. We then found ourselves with the job of getting our bills actually passed. At that time, none of us who were working on the project had had any experience with the legislative process, but we learned, and got a surprising number of our bills passed that first year.

"Once, Russ was reporting to a meeting of the Clearing House Committee on a trip he and I had taken to Albany, when one of the members of the Committee asked if we hadn't had to run up quite an expense account for entertainment. 'Yes', Russ said, 'two chocolate sodas.'

"After most of this work had been successfully completed, I found myself presiding at the midwinter meeting of the Banking Law Section of the New York State Bar Association. That same Superintendant of Banks was the guest of honor. About half way throught the luncheon, he turned to me and said something to the effect: 'You were dopes to take that letter of mine seriously. I only wrote it to needle Leo Dorsey' (counsel to the New York State Bankers Association), 'and he knew better than to pay any attention to it.'

"Interesting matters I worked on for other clients included the organization of two banking corporations, originally Belgian-American Banking Corporation and Belgian-American Bank & Trust Company, first owned by Belgian interests, but greatly expanded in 1968 by the inclusion of shareholder banks in England, France, Germany, the Netherlands and Austria and renamed "European-American." My service on the American Express Creditors Committee in connection

with the "Salad Oil Scandal" was interesting and absorbing. The R. Hoe proxy fight, and the defense of Smith-Corona from a take-over attempt also spring to mind as frantic and fascinating bits of my career at the Bar.

"Early in 1972, I decided to retire at the end of that year. I had had an interesting and varied law practice which I thoroughly enjoyed, but it seemed to me that there might be other things in the world that it might be fun to do, and I wanted to do them while I was still strong enough to enjoy them. I had inherited the old family farm in Maine on my mother's death in 1970, and I wanted to spend more time there in its woods and neighboring hills away from the ever more confining steel and concrete canyons of the city. There was a big chest full of old family letters, papers and diaries I wanted to go over more thoroughly than I had been able to during summer vacations. I had become fascinated by the Mayas and their pre-Columbian neighbors, and I hoped to see more of their leavings.

"So, I retired at the end of 1972. We then had three places: the old farm, together with some timberland I had picked up in the early 1960s, the New York apartment and the place in Huntington, Long Island, which we had bought in 1936. I had never had the courage to commute from Huntington all year round, but we had spent our summers there with our children, and it had been a welcome refuge from the confining canyons of the city. We were very fond of the place. A good deal of it was as it was because my two hands had made it that way, but three places are too many, so we sadly sold the Huntington place in the summer of 1973.

"Since then, I have been spending an ever-increasing amount of my time in Maine. It has been several years since a month has passed without my being there for at least a few days, perhaps to attend a meeting, or just to get out in the snow, and I have regularly abandoned the apartment from before the time I start to get the garden ready in April until after Election Day.

"Outside of looking after my bees, my garden and my timberland, my principal activity has been as Vice President of the Board of Trustees of Bowdoin College, which, under our quaint way of doing things, is equivalent to Chairman of the Board at most other places. I am a member of the Standing and Executive Committees of the Maine Historical Society, and am Chairman of its Finance Committee. I am also on the Board of the Sacopee Valley Health Center, trying to improve the quality of health care in our very rural area. I am Treasurer of the East Baldwin Library, an alternate member of the Town Planning Board, and have a tenuous involvement with the Maine Medical Center in Portland. I have even held elected office. On two occasions, I

have been elected Moderator of special Town Meetings of the Town of Baldwin. Since in each case the measure the Town Meeting was called to consider was decided by a one-vote margin, neither meeting was particularly dull.

"All of our children are married. Martin has one son, Curtis, now sixteen. Martin and his wife, Margaret, live in Atlanta, where they have a thriving business teaching yoga.

"Bessie's husband, Joseph S. Stout, Jr., is a partner in Winthrop, Stimson, Putnam & Roberts. They live in Huntington, not far from our old house. They have two sons, Joseph S. Stout III, 11, and William Curtis Stout, 9.

"Josiah is manager of a jewelry factory in Providence. He and his wife, Charlotte, have two sons, Josiah, Jr., 8, and Daniel Vernon, 6.

"All of them are kind enough to give the impression that they enjoy the old farm."

Bowdoin honored Mr. Pierce with an LL.D. in 1973 and an Alumni Service Award in 1975. Among his services to his alma mater were, during 1967-1969, chairmanship of a Committee on Student Environment, organized to look into fraternities, but which recommended and got coeducation; in 1974 and 1975, chairmanship of a commission to look into admissions, which was also very successful in results; and, in 1977, chairmanship of a search committee to find a new president: "Everybody except those he fired thinks the new president is just great".

Mr. Pierce's advice to practitioners of the law: "Retire while you are still strong enough to enjoy it and have plenty of outside interests."

Mr. Pierce is a member or former member of the American Law Institute, the Association of the Bar of the City of New York, New York State Bar Association and American Bar Association.

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THOMAS W. CHILDS

S&C 1932-1940

Born in 1906 in Butler, Missouri, Mr. Childs graduated summa cum laude from Princeton University in 1928 and Yale Law School in 1932, in which year he joined S&C. He is presently Chairman of Inco Projects Ltd. in Bermuda and a Director of Schroeders Ltd., Bank of N.T. Butterfield & Son Ltd. and Bank of Butterfield Executor & Trustee Ltd. He was Governor and Member of Council of Ditebly Foundation (England) from 1963 to 1968. In 1944, he was made a Commander of the Order of the British Empire in recognition of his services to the British Purchasing Commission during World War II. He is married to Isabel E. Lockwand and they have three sons and three grandchildren.

"I attend no reunion — Princeton, Oxford or Yale Law — with more genuine pleasure than a Sullivan & Cromwell gathering. That reflects my first and enduring recollection of S&C — a first class crew in a happy ship. And yet from 1932 to 1937 there are

hardly any specifics to recall. We all worked so hard that memories of one task were swiftly erased by the sweat of the next. But it was good healthy happy sweat.

"Then came the euphoria of an assignment to the Paris office. In that 1937-38 period in Paris I saw at close hand so much of the Nazis (including the Munich conferences) that I was convinced that war was only a matter of time. On my return to New York I could not shake that conviction and could not recapture my old interest in underwritings, etc. and, of course, I must have showed it. Foster Dulles put me on some secret war-related work with Jean Monnet for the French; later I acted for Douglas and Boeing opposite the French and British war supply missions; and towards the end of 1939 when Arthur Purvis, head of the British mission, came to Foster to ask for a lawyer for his mission, I was suggested and accepted. I'm afraid that S&C were relieved to see me go (and rightly so). I was distinctly not happy to go — but this was what I wanted, and it proved to be the job of my lifetime. From here on, my recollections of S&C became distinct and vivid.

"My new chief, Arthur Purvis, was one of the truly great civilians of the war — the most important single link between the British and Americans until his death in an air crash in August 1941. I was general counsel to the mission he headed, the British Purchasing Commission, and later the British Supply Council in North America which brought together all the supply missions in North America of the entire Empire (ex Canada, which went it alone).

"In those early days all supply problems were hedged about by legal problems and money problems — the Neutrality Act, the Johnson Act, the Alabama case and numberless less well remembered statutes, copies of which I still have bound together on my desk. Therefore, as counsel I was in the middle of most transactions. I thought I had worked to the limit at S&C, but now the limits were boundless.

"The first critical action worth recalling came with the fall of France in June 1940. The British and French (whose mission was adjacent to ours at 15 Broad St.) had so knit together their major procurement programs in the U.S. — especially for the all important aircraft purchases — that they in effect constituted a single package, every part of which was vital. If the French portion of that package collapsed, so did the whole. Late Saturday afternoon, June 15, 1940, Arthur Purvis called me in to discuss a cable just received from London. Sir Henry Self, head of the air mission was present. The cable said simply the French were apt to capitulate at any time — far sooner than we had thought possible — and we should be ready for instant action. But what action, for none was stipulated? Purvis and Self were clear that we must be ready to take over all French contracts and material in the U.S.A., even if it bankrupted us (as it did). Could it be done, and quickly? That question they put to me. With sweating palms, I said yes. But we had no authority, so Purvis composed and despatched to London a cable requesting full authority to act in his own discretion. We three were the only ones this side of the Atlantic who had any knowledge of this demarche. It was to be revealed to no one unless and until events dictated otherwise.

"I was instructed to prepare for the takeover. I could consult no one. Purvis then revealed that he would be occupied 'elsewhere' (with the President, but he could not say so) for the next 24 hours and charged me with the responsibility of following events in France by radio (there was no other way) and to initiate action with the French mission if things were clearly falling apart in Europe. At this stage, of course, the French knew nothing of our intentions, nor we of their willingness to cooperate. So, swiftly I went home (uptown) to my radio, my thoughts and plans and pen and paper.

"By early Sunday morning, June 16 (mid-day in France) the radio bulletins called for action and I acted. The French mission heads were splendid and never hesitated to accept our proposal, but, as Jacquin, head of air mission, said to me 'Now that we are down, you may not pick our bones. You must take everything or nothing.' All that Sunday we laboured in the French air mission's quarters uptown (aircraft mattered so much more than all the rest) until 6 P.M. when Purvis had returned and we all moved to his apartment at the top of the Hampshire House to continue. About 10 P.M. Purvis showed me the reply to his cable of last evening requesting discretion to act. London granted it saying roughly, 'Do as you think best'. (It was only much later that I heard that the Cabinet had previously declared that money, or lack of it, was not to be allowed to cripple North American procurement). Purvis asked me if that were adequate authority. 'It could not be better' was my reply, for the cable came from the permanent head of the U.K. Treasury, 'but we shall have perhaps some problem proving it to the American manufacturers on the French contracts. That problem can wait for tomorrow.' Then Purvis very sensibly, and in the kindest manner, asked whether I wouldn't like a second opinion on this transaction — didn't I think I was a little young (I was 33) to deal alone on a matter of such great importance? Oddly enough, I had felt no concern after the initial shock of yesterday when I was told I must consult no one. My instant reply to Purvis was that I would like nothing better than the opinion of John Foster Dulles. I knew Foster was likely to be in Cold Spring Harbour, and asleep at 10:00 P.M. of a Sunday, Purvis promptly agreed that I telephone Dulles which I did. Foster was asleep, but promptly awake and alert. My briefing was short. Without hesitation Foster said he would dress and come to Hampshire House where he joined us about midnight. Often since then I have wondered why, with a dozen or so partners within walking distance of Hampshire House, he did not refer me to one of them. But he didn't — he came himself and swiftly.

"I should explain our urgency. Our transaction with the French had to be complete before 9:00 A.M. the following (Monday) morning when we felt certain the U.S. Treasury would freeze all French assets in the U.S. (as they did), following the pattern of other invaded countries. Furthermore we could not be certain how long the French heads of mission would retain their posts, and there would be no possibility of our dealing with any replacements.

"By the time Dulles arrived at Purvis' apartment, we were crowded with officials, British, French and American, and we had talked by telephone with many others. Dulles reviewed our papers and plans, and his approval was a source of great relief to all of us. He urged me to run over the whole matter with Ed Green at S&C the following morning, which I did (no change). As Dulles was leaving, he reminded me to obtain promptly the certificates of the British (Lord Lothian) and French (Comte de St. Quentin) ambassadors authenticating the authority of the signing officials. Without such certificates no American contractor could have safely accepted the French assignment as duly authorized — and with such certificates no one could successfully attack the transaction or the authority of the signatories (even though the French clearly had no authority at all to do what they did). All this Dulles had taught me before I left S&C, and I already had Lothian's assurance that he would deliver what I wanted. But under the stress of events I had forgotten the French Ambassador — that it was up to me to get his certificate. Dulles' reminder put that right, and St. Quentin did all I wanted from him, and cheerfully.

"About 3:30 on Monday morning we completed the assignments (including one for official French gold in the U.S., which Bloch-Laini, overall head of the French missions, intrusted to my keeping on condition that it be returned to him later if circumstances so dictated — as they did). At 9:00 A.M. the freezing order came out of the Treasury covering all French assets in the U.S. — but there were no French military assets or contracts — they were British. This was no surprise to Morgenthau (Sec. of the Treasury) for we had talked to him the night before. Lothian and St. Quentin delivered their vital ambassadorial certificates, and the day after, St. Quentin was relieved of his post. Every American contractor with the French accepted the assignment without question after Douglas Aircraft blazed the way. Military production continued without a ripple.

"And so was effected the largest single financial commitment by the British in the war. It was Arthur Purvis' decision, and his greatest.

"No writer on this period of whom I am aware has commented on the dreadful consequences that would have flowed from a failure by the British to take over the French contracts. Almost every plant in the U.S. producing war materiel (outside the U.S. arsenals) would have been reduced to confusion, or stoppage, for with the cancellation of the French contracts the major British programs, especially for air, would have been crippled and cancelled, and the U.S. government was not yet a major contractor for war materiel. Since 1938 the British and French almost alone had built up and paid for American production (plant, equipment and product) of war supplies. Furthermore, the effect on British morale of the collapse of their North American arsenal would have been grave.

"Foster Dulles sent no bill for his services. Indeed for the five and one quarter years that I served the British, he authorized me to draw freely on S&C whenever I needed help (which was often) and never did S&C ask to be paid. No one else was as generous.

"Later on I felt this great act of generosity should be known in higher British quarters. In 1943 when Col. (later Lord) Llewellin came to succeed to the Purvis post (the first head of missions of Cabinet Minister rank) I told him the story and he readily agreed to pass it to Churchill and Eden, which I learned in 1944, when Eden gave me a C.B.E. in London, he had done.

"Following the fall of France and the British assumption of the total cost of allied procurement in North America, British reserves of gold and hard currency fell swiftly towards zero. Purvis directed me to focus all attention on this problem — 'to think up something'. For nations joined in conflict, there was adequate precedent, as in Britain's aid to allies in the Marlborough wars and the Napoleonic wars. But for financial support by a neutral of a belligerent on the grand scale now required, the problem was more difficult. We were at that time in the early autumn of 1940. Isolationism was a powerful force in the U.S., but as the year wore on and the famous 'Fireside Chats' came steadily out of the White House, the national sentiment changed — gradually. For Purvis I came up with 'something' on two and one half sheets of paper, discussed my 'something' with friends in the U.S. Treasury and then learned they were working on the same lines, towards Lend-Lease. This two and one half page memo (except the original which I left at the Treasury), all copies of it, were carefully destroyed by Jean Monnet as too dangerous to be in British files. Monnet had joined us in Washington after the fall of France. I was the only one in the British missions who had known him before. It was the first hint of Lend-Lease that the British had. A cable went to London. Later came the 'Garden Hose' Fireside Chat. Lend-Lease gained momentum. Unaccountably and wholly incorrectly it was bruited about Washington in muted whispers that I had been the author of the idea. Once Eustace Seligman in New York said he had heard the rumour. In fact Lend-Lease was no more than a courageous political act — the 'most unsordid act' of all.

"As Lend-Lease approached reality, pressure mounted on the British to accelerate the liquidation of British owned American securities to demonstrate to Congress that they had stripped themselves bare to pay for American arms and materiel. Morgenthau in particular urged, then demanded, that something spectacular be done to impress the faint hearts and win support in Congress. American Viscose, the largest single British investment in the U.S., loomed large as the obvious victim of this spectacular sacrifice. The market was weak and T.J. Carlyle Gifford (in charge of liquidation of British owned investments) had done his best to postpone the sale for better times, but Morgenthau pressed. Gifford did not yield easily, and determined to find an escape, called me urgently for a meeting on Sunday, February 16, 1941. Together we found a way out — a private U.S. dollar loan to the parent of American Viscose secured by the American Viscose shares. To me who had long lived with all the relevant statutes, it was clear that, while they prohibited an American loan to the U.K. government, they did not prohibit a loan to a private U.K. borrower. Substantially the same amount of dollars would be realized by the U.K. as by sale of the shares and in due course the loan would be repaid and the shares preserved in British ownership (as occurred with the large remaining packet of British owned securities later pledged against the well known R.F.C. loan to Britain). Gifford called George Whitney of Morgans, who asked us both to dine that Sunday evening with Wardwell of Davis Polk. Gifford and I convinced them — I remember wondering at the time why they had not thought of this simple escape hatch themselves — but they hadn't. George Whitney asked me if Sullivan & Cromwell would back me up in my opinion (he knew my origins) — I didn't know, I replied, as I was only in the service of H.M.G. now. In any event no more convincing was required.

"But it all came to nothing. I took the proposal to the Treasury the next morning. In the absence of Morgenthau, Foley heard me out. He raised no questions — there were none — but simply replied that American Viscose must be sold to satisfy 'the Hill', and it must be sold quickly. Lend Lease had become law on March 11 but hearings on the first appropriation bill were to begin in a matter of days and Morgenthau wanted the sale of American Viscose an accomplished fact before he had to testify for the bill. There was now a desperate urgency on the British side to do the sale in record time, and it was done, thanks to the generalship of Gifford. I have a great number of Gifford's war time papers now in which these events are all vividly detailed. It was a most stirring period.

"S&C almost came into this ploy (this story I have never told to anyone and the only other two who knew it are long since dead). Once the sale was decided, Morgans (Morgan Stanley) was named underwriters with their obvious counsel, Davis Polk. Sir Edward Peacock (head of Barings, and associated with Gifford in this matter) and Gifford telephoned me (both on linked telephones) to say they were thinking of asking S&C to act for H.M.G. as principal in the Viscose sale — what did I think? Obviously they could not do better — an easy reply. I was delighted. Peacock then referred to the highly charged atmosphere — at times almost hysterical — in Morgenthau's office, the extremely delicate position of the British at this most crucial time, sensitive to attack by any disaffected Congressman on the most trivial grounds. Peacock asked if an association with S&C could in any way conceivable be prejudicial to H.M.G. (he was no doubt thinking of politics). I could think of nothing. But then I could, and I felt bound to mention it if only to dispose of it. Westrick from Berlin! In Hitler's peace offensive of not long before, Hitler had sent out respectable high ranking German citizens to the neutral world to declare blindly that the war was over — there was nothing more to fight about — let's get back to normal relations. Westrick, who had long acted for S&C in Germany, called uninvited on Foster Dulles, the press tailed Westrick and made headlines of the event. I mentioned this to Peacock, only to dispose of it. But Gifford thought otherwise. He recalled the Westrick ploy then, and thought it serious enough to turn away from S&C. I regretted having mentioned the matter, but I could not assert with any confidence that Gifford was wrong, for in those tense days anything could happen. The air was rife with suspicions and rumours, and the meanest triviality could be blown up to a devastating attack. Gifford's papers and my own from those days are sad reminders.

"To give a measure of the desperate financial straits of the U.K. at that time, and the importance of Lend-Lease, I cite two figures. Some five weeks after the Lend Lease Act was passed, namely April 17, 1941, the U.K. reserves in gold and dollars were twelve million (repeat *million*) dollars. The first Lend Lease Appropriation bill, mainly for the British, was seven billion (repeat *billion*) dollars. It passed without a hitch.

"My remaining recollections relating to S&C make simple anecdotes. When I talked

to Eden in London in July 1944 (as related above) I told him that if Dewey were elected president in the November elections (a real possibility, many of us thought) Foster Dulles would undoubtedly become Eden's opposite number as Secretary of State. He was very interested. I attempted to elicit his views but he would not be drawn on American politics. F.D.R. had given such total and wholehearted cooperation to the British that Eden and others naturally had some misgivings about a possible change in the U.S. government that would bring in Republicans of unknown sympathies just at the time that the British were planning their financial transition back to peace and needed U.S. help and understanding on a grand scale. Indeed, my trip to London in 1944 was for the express purpose of ensuring that we (the British) made the best possible use of Lend Lease as long as it lasted (I was chairman of the British Lend Lease committee in Washington on which every member of the Empire — ex Canada — was represented and I had brought to London a plan I had elaborated over the preceding months for what we called Stage Two of Lend Lease. The plan without alteration was approved by the Cabinet, High Commissioners and Heads of Departments and became our blue print for the duration of Lend Lease — but that is another long story).

"On returning to Washington in August 1944 I spoke to Bob Brand (later Lord Brand) about the British concern over a possible change in the U.S. government and asked if it would not be worth while to discuss privately and secretly the British financial problems with some Republican leaders (I suggested Dulles), just in case the election in November went their way. I should explain that Brand (head of Lazards, London) was to be the last head of British Missions in the U.S. and had already put me on some financial problems beyond Lend Lease. He readily agreed, if Dulles would agree. I called Art Dean, who liked the idea, and in due course he set up the meeting at Dulles' town house in New York. Brand and I left our taxi a block away from Dulles' house (secrecy was essential as Morgenthau — if no others — would have blown his top had he known), and, like characters in a war time thriller, we surveyed Dulles' block as we approached on the other side of the street to ensure the street was empty, then moved quickly across to Dulles' entry and so to a meeting where I was only the introducer and an observer. Dulles was, as always, splendid and Brand was pleased.

"Some years after the war comes my last anecdote. Lord Salter who was first head of the British shipping mission in Washington (as Sir Arthur Salter), then in Churchill's war cabinet and later in Churchill's cabinet formed in 1951, had become a great friend with whom I lunched alone on each trip I made to London after the war. He had been constantly in public service since he left Oxford in 1904. On one occasion when we were due to lunch, the London morning papers carried large headlines 'Salter Resigns from Cabinet'. I was disappointed for I felt sure this presaged a large party instead of just the two of us over the lunch table. I was wary and we two were alone. As he sat with his martini, he suddenly rose and exclaimed 'I have just realized that this is the first time since 1904 that I can freely speak my mind and I'm going to begin with your friend John Foster Dulles.' He proceeded to castigate Foster at length over the Japanese treaty. I listened and smiled and said nothing — I wasn't going to spoil his first day of liberation. Some time later my old friend Sir George Clutton, with whom I had rowed three years at Oxford, and who had been on the British team negotiating the Japanese treaty, visited us. I told him Salter's story. His response was firm with conviction. Foster, said Clutton, was without any doubt the finest and ablest man he had ever encountered in the foreign service of any country. I saw Foster after that and told him Clutton's story. Foster laughed, with that hearty laugh no one could forget, and said he would never have thought it possible that an Englishman had a good word to say of him (Suez had come and gone). That was the last time I saw Foster."

144 **JOHN C. BRUTON** (1907-1969) S&C 1932-1947

John Calvin Bruton was born in Troy, North Carolina, the son of John C. and Elizabeth Arnold Bruton. He was educated in the public schools of Columbia, South Carolina, at the University of the South (Sewanee) and at the University of Pennsylvania Law School.

He played football at Columbia High School and at Sewanee was captain of the football team, a member of the basketball team, and a gymnast. At the law school, from which he graduated in 1932, he was Editor in Chief of the Pennsylvania Law Review. He later taught at Temple University and in the Graduate School of Economics of the University of Pennsylvania.

At S&C, David R. Hawkins later wrote, Mr. Bruton "exhibited high traits of character and leadership, as well as sound professional abilities, and made many friends at the bar." In mid-career, however, his activity as an energetic trial and appellate lawyer (and, incidentally, as a superb athlete in several sports) was dealt a blow by his having to undergo the excision of a benign tumor impinging on the *medulla oblongata*. This had no effect on his higher cerebral functions (nor on his unquenchable good humor), but it seriously impaired locomotor coordination of speech, gait and hand motion. By courage and willpower he forced the rerouting of motor neural paths and effected considerable recovery, but total recovery eluded him. He returned to full-time practice in the office, devoting himself to tax and estate matters. He became a contributor to the Commerce Clearing House tax magazine and to the South Carolina Law Review.

In 1942, Mary Louise Winn (*q.v.*), from Clayton, Alabama, entered the office as an associate, worked with Mr. Bruton and subsequently married him. In 1948 the Brutons moved to Columbia, South Carolina where they started their own law firm, Bruton & Bruton. They later merged their firm with another firm to form Boyd, Bruton, Knowlton & Tate, of which Mr. Bruton continued as a senior member until his death at the age of 62 in 1969. He left, besides his widow, two children, John C. Bruton, Jr. and Mary Elizabeth Bruton.

Mr. Bruton was a member of the First Presbyterian Church. His clubs were the Palmetto, the Forest Lake Country Club and the Columbia Ball.

145 **C. HAROLD TAYLOR** S&C 1932-1940

"After leaving Sullivan & Cromwell I became counsel to Sanderson & Porter who were constructing and operating armament plants in Illinois, Arkansas and North Carolina. On December 18, 1943 I was in-

ducted into the army as a private. After basic training I went to the Judge Advocate School in Ann Arbor, Michigan from which I graduated September 8, 1944. I served in France and Germany as a Judge Advocate beginning in December 1944. I became a Captain and finally returned to the United States a year later, being discharged January 7, 1946. While in Germany I worked with Leon Jaworski in the first War Crimes Trial.

"I joined the firm of Hall, Cunningham & Haywood, becoming a partner on January 1, 1947. The name was later changed to Hall, Haywood, Patterson & Taylor. I retired in 1973 when I was 65 and since have lived all year round in Nantucket, Mass. where I am very active in civic and environmental affairs. I also travel a lot with my wife, Juliet, whom I married in 1936. We have three children and four grandchildren. Our son, Willard, is now a partner in Sullivan & Cromwell, our older son is a doctor, and our only daughter is a successful casting director for motion pictures and television."

Born April 22, 1908, River Falls, Wisconsin. Yale College (1929) and Law School (1932). P.O. Box 1317, Nantucket, Ma. 02554.

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146 **EVELYN E. WEST** S&C 1932-1933

Born in Oklahoma in 1908, Miss West first attended the University of Colorado (A.B., 1928) and then Columbia University Law School (1932). She was with S&C for one year, during which, she recalls, she worked on "the banker's rescue agreement which Mr. Hyman Zettler was drafting on Easter Sunday. . ." Her post-S&C career involved predominantly governmental work. She lives in New York City.

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147 **MALCOLM A. MacINTYRE** S&C 1934-1942

Malcolm A. MacIntyre was born in Boston on January 28, 1908, the son of Martha Eloise (Alden) and Charles H. MacIntyre, a lawyer.

He was graduated with honors from Yale in 1929, and went on to Oxford as a Rhodes Scholar (B.A. 1931; B.C.L. 1932). He then returned to Yale Law School (J.S.D. 1933).

Following his association with S&C, Mr. MacIntyre served with the Air Transport Command in World War II and was demobilized as a colonel in 1946. He practiced law in Washington from 1946 to 1948 as a member of the firm of Douglas, Proctor, MacIntyre & Gates. In 1948, he joined Debevoise, Plimpton & McLean and, while continuing as a member of that firm, became vice-president and general counsel of American Airlines.

In June, 1957, Mr. MacIntyre became Under-Secretary of the Air Force, in the Eisenhower Administration, resigning in July, 1959. From 1959 to 1963, he was president of Eastern Air Lines, Inc., where he was responsible for the initiation of several new services, including the highly successful "air-shuttle", and added to his reputation as "a scrapper who fights his battles in a quiet, gentlemanly way" (N.Y. Times 7/27/62). He was Chairman of the Bunker Ramo Corp. from 1969 to 1971, and president of the chemical division of Martin Marietta Corp. in New York City from 1965 to 1972.

Mr. MacIntyre is now of counsel to Messrs. Hale, Russell, Gray, Seaman & Birkett in New York City.

Mr. MacIntyre married Clara Bishop in 1933. They have three children: Bruce Bishop, Clara Alden and Pamela Ames Pattison. They live in Scarsdale, New York.

Director of J. Henry Schroder, Banking Corp., Schroder Trust Co., Paul Revere Investors Inc., 20th Century Fox Film Corp.

Trustee of Village of Scarsdale, 1965-66, mayor, 1966-69. Served from 1st lieutenant to colonel Air Transport Command, USAAF, 1942-46. Decorated Legion of Merit. Admitted to Lacrossse Hall of Fame.

Member: American, New York State, D. C., Virginia bar associations.

Clubs: Scarsdale Town (pres. 1956-57); American Yacht; Chevy Chase (Washington); University (NYC).

Author: "Competitive Private Enterprise Under Government Regulation." Contributor of articles to legal journals.

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148 **RICHARD G. PETTINGILL** (1907-1974) S&C 1933-1946

Richard Guthrie Pettingill was born in Memphis, Tennessee on February 3, 1907, the son of Edgar Alfred Pettingill, of early American ancestry, and Janet Brown Guthrie, of Scottish origins.

He was educated at Fond du Lac High School in Wisconsin; Carroll College (B.A. with honors 1928), and Harvard Law School (LL.B. magna cum laude 1933). The two years between college and law school were spent as a history teacher and debate coach at Fort Atkinson High School, Fort Atkinson, Wisconsin.

His association with S&C in the general practice group was interrupted by three years of service in the Navy during World War II, during which he rose to the rank of Commander. His wartime experience included administrative duties in Naval Aviation and service in the office of General Counsel, Department of the Navy. Mr. Pettingill remained active in the United States Naval Reserve until his retirement as of July 1, 1964 with the permanent rank of Commander.

In May 1946, Mr. Pettingill left S&C to become a member of the

New York firm of Dunnington, Bartholow & Miller, where he specialized in the practice of corporate, securities, financial and oil and gas law.

Mr. Pettingill was active in the civic affairs of Montclair, New Jersey, where he lived for nearly thirty years. He served as Commissioner of Public Safety from 1964 through 1972. He was noted for his well-articulated statements on various subjects, including his philosophy of government and its role in society. He also contributed his time and energy to St. James' Church, Upper Montclair, New Jersey, serving as Vestryman and Clerk from 1954 to 1960, Warden from 1960 to 1964, Chairman of the Finance Committee from 1964 to 1965, and Warden from 1972 until his death.

He married Anna Luise Eales, of Fort Atkinson, Wisconsin, in 1935. They had one daughter, Susan Pettingill Woods, born in 1946, and one grandson.

Mr. Pettingill died on August 9, 1974 from injuries suffered in a bicycle accident on Cape Cod.

Director, North American Royalties, Inc., Howard Paper Mills. Inc., Pacific Inland Navigation Company, Inc., Alaska Barge and Transport, Inc., Seapac, Inc., B&R Tug and Barge, Inc., and various privately owned corporations.

Member of the American Bar Association; Federal Bar Association; New York State Bar Association; Wisconsin State Bar, and Association of the Bar of the City of New York.

Member of Montclair Golf Club; the Cloud Club; The Broad Street Club.

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ROBERT E. HOUSTON, JR. S&C 1933-1942

Born March 7, 1908 in Greenville, South Carolina, Robert E. Houston attended Yale College where he received his B.A. in 1929. Following graduation from Yale, he went to Oxford to study law and received his B.A. in 1931 and B.C.L. in 1932. In 1933 he received his J.S.D. from Yale.

In October 1933 Mr. Houston commenced practice with Sullivan & Cromwell. While associated with the firm he worked mostly on personal and corporate trust matters, but he also worked from time to time with the litigation group and tried several cases. An outstanding experience was assisting John Foster Dulles in the "most interesting and exciting" *Bank of Belgium Gold Case*. He also represented Marine Midland, as trustee for bondholders, in the first (and, in dollar amount involved, the largest) proceeding ever to be brought under New York's Burchill Act: a "reorganization" of the mortgage on the 40 Wall Street tower, in lieu of foreclosure and sale, transferring the equity to the bondholders in an economically restructured corporation.

It was an unfortunate coincidence that after several years with the firm Mr. Houston suffered a neural accident much like the one that had just previously afflicted John Bruton. Again, as did John Bruton, he made a determined and courageous recovery, but was left in the end with a partial motor reflex disability. Largely on that account he decided in 1942 to return to South Carolina to take up an individual law practice and to live in a style more accommodating to his physical handicap than was possible in the traffic and turmoil of a big city.

Mr. Houston's practice in Greenville, S.C., has consisted mainly of estate planning work and running Lowndes Hill Realty Co., a family-owned corporation, which involves miscellaneous legal work. "The most gratifying accomplishment in my real estate work was the straightening out and development of a well-located piece of family property which had become snarled up with title problems, conflicting ownership claims and arguments between owners as to payment of any compensation for development work. These efforts required over two years of extended negotiations and settlement of litigated cases. But when finally straightened out, the developed property turned out to be a very helpful source of income, which ultimately replaced my estate planning work when minimized by health problems."

In February 1937 Mr. Houston married Elizabeth W. Houston. They have two daughters, Caroline H. McMillan and Harriet W. Shaffer; and five grandchildren, Elizabeth and John McMillan and Charles, Caroline, and Harriet Shaffer. In addition to his family and work, Mr. Houston's interests and activities include service on several real estate and Trust Company Board of Directors, and participation in community matters affecting the growth and development of City and County real estate.

"In view of my own many varied phases of legal practice," says Mr. Houston, "I would like to stress to students and young practitioners the importance of getting as broad an experience as possible in the various fields of legal practice. The wide scope of training I was fortunate enough to get in S&C certainly turned out to be a tremendous help when circumstances made it necessary to establish my own individual law business in matters that were not seriously jeopardized by my disability problems."

"The most unique reminiscence involves Alex Grean's buoyant sense of humor: In 1937, when I returned from my wedding trip, I found a note on my desk (with personnel form attached) from Mrs. Mills, S&C's able and conscientious Library head. The note was addressed to me in long-hand and read 'Mr. Hawkins tells me that you have consummated your marriage — please fill in the blanks.' Alex Grean (whose office was next to mine) scribbled on the note 'Dave Hawkins *sure does* keep close tab on everybody in the office'."

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150 **GORDON B. TWEEDY** S&C 1933-1939

After a varied and distinguished career, Mr. Tweedy has retired and is now Honorary Director of American International Group.

A graduate of Yale College and Yale Law School (B.A., 1929; LL.B, *cum laude*, 1932, Order of Coif), Mr. Tweedy first entered S&C's offices in 1933. He spent several years in S&C's Paris office — "Memories of champagne, a gold organ, and a long white-haired Cromwell; also of Max Shoop, George Sharp, George Woods, and Art Dean with a broken leg — The first SEC cases: L.A. Gas & Electric, Pacific Gas & Electric."

After leaving S&C, Mr. Tweedy held positions as Special Counsel to the SEC, Special Assistant to Assistant Attorney General for Tax, including a stint as head of the Criminal and Compromise Division, in which role he became involved in several celebrated tax prosecutions. He also argued several cases before the Supreme Court. Mr. Tweedy thereafter was named the Far East Representative for Lend Lease for China, and was based in India and Chungking. He then became a Director and Vice President of the China National Aviation Company — "flying the hump" — and after the war became involved in reopening commercial aviation in China with headquarters in Shanghai.

After his return to the U.S., he became General Counsel of C.V. Starr & Company, the world-wide insurance enterprise which became the American International Group, rising to Executive Vice President, and finally Chairman of the Board.

Mr. Tweedy is a member of the New York, Connecticut and Vermont bars. He has been a Director or Member of the China Institute, Council on Foreign Relations and the Foreign Policy Association. In his retirement he continues to practice as Counsel to Lee, Muldering and Celentano in Vermont.

Mr. Tweedy has been married since 1944 to Mary Johnson Tweedy, who is a retired former Director of Education for Time Life, Inc. They have three daughters: Clare Bradford Tweedy (a lawyer), Ann Tweedy Remp (an editor at Time) and Margot Martin Tweedy (a post-graduate student at the University of California at Irvine).

151 **MAX K. TANNENBAUM** S&C 1934-1948

An exception to prove a rule, Max K. Tannenbaum, who was introduced to the firm by his college and law school classmate David W. Peck (*q.v.*), had a unique relationship with S&C. He liked to concentrate on one project at a time, and much preferred the tranquility of a quiet practice in Crawfordsville, Indiana, to the hustle bustle of the big city. At the same time he welcomed the challenges and retainers involved in an occasional foray to New York to give his friends at S&C the assistance of his great capacity for hard work over long hours and his

excellent legal scholarship and research skills.

The first such engagement was from 1934 to 1936, when Mr. Tannenbaum joined the S&C team, led by John C. Higgins (*q.v.*), which was preparing the appeal to the Supreme Court in the landmark *Sugar Institute Case*. Later, he returned east to assist David Peck in an S.E.C. proceeding involving alleged manipulation of the market for Atlas Tack securities. In 1940-1941 he spent several months in New York taking part in S&C's examination and analysis of records of American Agricultural Chemical Co., under investigation by the Department of Justice vis-à-vis the antitrust laws. Next, he accepted an assignment with Arthur Dean, preparing a study comparing the United States securities legislation of 1933 and 1934 with the corresponding legislation in Great Britain. Finally, in the period 1944-1948, he was in New York for several protracted stays, working at 48 Wall Street and at the client's offices with William F. Kennedy (*q.v.*) on the preparation for trial of *United States v. International Nickel Co.*, an antitrust case which was ultimately disposed of by compromise before trial.

Born August 12, 1899 in Crawfordsville, Indiana. Wabash College, 1922; Harvard Law School 1925. Now resides at 604 East Wabash Avenue, Crawfordsville 47933.

152 **T. ROSS CISSEL, JR.** (1910-1954) S&C 1934-1942

Truman Ross Cissel, Jr. was born on July 13, 1910 in Colesville, Maryland, son of Truman Ross Cissel and Helen Fawcett Cissel. He attended George Washington University, graduating with the Class of 1930. He earned his way through Harvard Law School by working as a night stenographer at the Ropes Gray firm in Boston, and received his LL.B. degree in 1934.

With the advent of World War II, Mr. Cissel left S&C in 1942 for a position with the State Department, and from 1944 to 1946 was in the Army as an enlisted man. He served with the occupation forces in Europe. In 1950 he joined the International Hudson Corporation of New York, importers and exporters, as a foreign trade consultant.

At his untimely death on April 30, 1954, Mr. Cissel was a partner in Lambert & Company, investment bankers.

153 **FRANKLIN BENJAMIN LINCOLN, JR.** S&C 1934-1939

Franklin Benjamin Lincoln, Jr. was born in Brooklyn, New York on January 18, 1908, the son of Franklin Benjamin and Anna (Ellensberg) Lincoln. His father was an architect and builder. Mr. Lincoln, Jr. graduated

in 1931 from Colgate University and received his law degree in 1934 from Columbia University. Meanwhile, he worked as a law clerk with the firm of Mudge, Stern, Williams & Tucker during the summer of 1932 and the firm of Hughes, Schurman & Dwight the following summer. In 1934 he joined Sullivan & Cromwell. While associated with the firm, he assisted in the formation and reorganization of large industrial enterprises. He worked on hearings in Washington in connection with the Securities and Exchange Commission case against the brokerage firm of W.E. Hutton & Co., and later represented the Union Electric Company of Missouri during its investigation by the Securities and Exchange Commission and later in criminal prosecution and civil litigations. Mr. Lincoln left Sullivan & Cromwell and, in 1941, joined another S&C alumnus, Walter Lundgren, to practice as the law firm of Lundgren & Lincoln in New York City, continuing to represent the Union Electric Company of Missouri. That partnership continued until 1959.

Mr. Lincoln has served in the U.S. Government in various capacities over the years. During the Second World War he was a civilian member of the Washington staff of the commanding general of the Army Services Forces in 1943, assigned to investigations and reports on Army procedures. Later that year he was commissioned a lieutenant in the U.S. Navy, assigned as personal counsel to the chief disbursing officer of the Navy, who was spending over $3 billion per month to rebuild and maintain the wartime Navy. He remained in that post until 1946 and was responsible for updating Navy fiscal policy and improving budget procedures and expenditure controls.

Appointed Assistant Secretary of Defense by Dwight D. Eisenhower in 1959, he served in that capacity for two years, supervising the preparation, presentation, and execution of an annual military budget of over $40 billion. He organized and chaired a committee on military matters for Richard M. Nixon during the 1968 presidential campaign. He was designated Nixon's personal representative in the transition of the government from the Johnson Administration to the Nixon Administration and spent three months in Washington working out the details of the transfer of the reins of government. In 1969 Mr. Lincoln was appointed a member of Nixon's Foreign Intelligence Advisory Board and served in that capacity until 1973.

Between his governmental services and during 1961-64 he was vice-president of Litton Industries, Inc., Beverly Hills, Calif., and president of Monroe International, Orange, N.J., and several of its subsidiaries. Monroe was the world's largest manufacturer of rotary calculators and the largest company in the Litton business machine group.

Mr. Lincoln returned to the practice of law in New York City in

1964 as a partner in the firm of Seward & Kissel. In 1966, he became a partner in the New York City firm of Nixon, Mudge, Rose, Guthrie, Alexander and Mitchell (now Mudge, Rose, Guthrie & Alexander). Mr. Lincoln has since been active in representing a number of major American corporations.

Mr. Lincoln was the recipient of the Distinguished Public Service Medal of the U.S. Department of Defense in 1961. An honorary degree of LL.D. was conferred upon him in 1960 by Colgate. In 1973 he became Chairman of the Board of Directors of the Federal Home Loan Bank of New York and served in that capacity for four years and as a Director until December 1978.

Mr. Lincoln married Helen Benz, daughter of William Benz of Brooklyn, in New York City on October 8, 1938. Mr. and Mrs. Lincoln have two children, Carol Ann, who married Gary Jan Concors, and Franklin Benjamin III.

Director of Itel Corporation and of Pacific Tin Consolidated Corp.

A Trustee of Colgate University since 1967, and Chairman of the Board since 1975.

Member of the American and New York State Bar Associations, Association of the Bar of the City of New York, The Down Town Association of New York, Phi Beta Kappa, Delta Upsilon, Delta Sigma Rho, Phi Delta Phi, Gorgon's Head, Phi Society, the Army and Navy and the Metropolitan clubs of Washington, D.C., and the Canoe Brook Country Club of Summit, N.J.

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JOHN F. DOOLING, JR. S&C 1934-1961

United States District Judge John F. Dooling, Jr. was described by the New York Times, in a man-in-the-news biography, as "working for the love of the law". This aptly characterizes his entire professional life, as does the further reported observation of those who had appeared in his court, that he is one who "does his duty in a very fair way".

John Dooling was born in Brooklyn June 13, 1908 where he has lived throughout his life. After graduating from St. Francis College in 1929 with a bachelor of arts degree, he entered St. John's University Law School. Late in the summer of 1930, about to begin his second year at St. John's, he was hired for Sullivan & Cromwell by Jesse Sansom, the firm's long-time office manager, to work in the managing clerk's office. By the summer of 1931 he had so impressed members of the firm that they had taken a special interest in his further legal training and encouraged him to transfer to the Harvard Law School. His record at Harvard following the transfer promptly qualified him for membership on the Harvard Law Review, but owing to his unusual transfer status it required the personal intervention of Dean Pound to assure him this appropriate recognition.

Notwithstanding his progress at Harvard Law School, John Dooling took advantage of the summer of 1932 to complete the requirements for his law degree from St. John's. Returning to Harvard in the fall of 1932, he continued his law studies until the summer of 1933 when he returned to Sullivan & Cromwell and became one of the firm's first summer associates before returning for his third and final year at Harvard. In June 1934 he graduated from Harvard *magna cum laude* and following a relaxing summer joined the firm in September. He became a partner January 1, 1945.

His work in the office, spanning the years 1934 to 1961, while principally focused on matters in active litigation involved substantial transactional counseling and embraced an extraordinary breadth of subject matter with apparently no special discipline beyond John Dooling's grasp. A consummate student of fresh areas of learning, John Dooling continually studied and mastered not only the law but the relevant technical background for understanding further fields.

The wide-ranging tax cases which he handled reflected his enormous capacity to embrace new subject areas and to bring to them the disciplined application of legal principles. Thus in *American Bemberg Corp.*, 10 T.C. 361 (1948), aff'd 177 F.2d 200 (6th Cir. 1949), he successfully established as ordinary and necessary business expenses the costs of a complex engineering program of drilling and grouting employed to sustain the subsoil of a large rayon plant which had suffered successive cave-ins of sections of the plant; while in *Babcock & Wilcox Co.* v. *Pedrick*, 212 F.2d (2nd Cir. 1954), *cert. denied* 348 U.S. 936 (1955), he dealt with the intricacies of computing World War II corporate excess profits taxes for purposes of the post-war statutory refunds and successfully argued for a novel method of computing interest on refunds. In another case, on behalf of American Metal he urged that in determining the nature of a foreign mining production tax for purposes of foreign tax credit the theory of income and its realization must be examined and the issues not resolved simply by nominal characterization of a tax. (*American Metal Co. Ltd.* v. *Commissioner*, 221 F.2d 134 (2nd Cir. 1955), *cert. denied* 350 U.S. 829 (1955).) In a further tax case, he addressed the issue of whether payments received by a corporation as "insider profits" under Section 16(b) of the Securities Exchange Act should be included in the corporation's "gross income" (*General American Investors Co. Inc.* v. *Commissioner*, 348 U.S. 434 (1955)); while for Kennecott Copper he joined with Norris Darrell and Bernard Aidinoff in successfully establishing that the costs incurred in acquiring mine stripping rights included expenditures for facilities for adjoining landowners made necessary by the acquisition and were properly treated

as ordinary and necessary expenses of the mining business. (See *Kennecott Copper Corp.* v. *United States*, 347 F.2d 275 (Ct. Claims 1965) in which the earlier opinion of the trial Commissioner was substantially adopted by the Court.) For Kraft Foods he litigated in the Tax Court the value for purposes of amortization of patents and patent applications and whether interest on debentures issued to its parent were deductible. (*Kraft Foods Co.*, 21 T.C. 513 (1954), reversed in part 232 F.2d 118 (2nd Cir. 1956).)

Another matter took John Dooling into what the court subsequently described as the "metallurgical subleties" of ductile cast iron (also referred to as modular iron), sharing with patent counsel in the successful prosecution of a patent action on behalf of International Nickel for infringement of its patent, which the court found to involve "a novel invention of broad pioneering dimensions". The trial before a Master included a visit to a licensed foundry to observe the product in manufacture and microscopic study of the internal structure of the metals involved. *International Nickel Company Inc.* v. *Ford Motor Co. and Caswell Motor Co.*, 166 F.Supp. 551 (SDNY 1958).

John Dooling also found time to participate in contests for corporate control including the successful defense of Smith-Corona (now SCM Corporation) and its management in an exhausting case compacted into six weeks during the summer of 1956 during which there were various motions at Special Term, an intermediate application in the Appellate Division, a three-week trial before a Special Master in a conference room on the 13th floor of 48 Wall Street and a 110-page post-trial brief. (*Riklis* v. *Smith and Smith-Corona Inc.* (Sup. Ct. N.Y. Co. Index No. 7507-1956).) Earlier he had, with less success, opposed an action to rescind a sale of a substantial block of shares in National Union Radio Corporation. (*Ripka* v. *Philco Corporation*, 154 F.2d 501 (2nd Cir. 1946).) He had some participation in a bankruptcy action in which the Supreme Court, over the vigorous dissent of Mr. Justice Frankfurter and Mr. Justice Jackson, worked a profound redistribution of judicial power as between United States courts and State courts to hear plenary suits in bankruptcy. (*Williams* v. *Austrian*, 331 U.S. 642 (1947).) He was a member of the defense team who won vindication for the individual directors in *Turner* v. *American Metal Co.*, 268 App. Div. 239 (1st Dept. 1944).

The dispute between Commonwealth Oil Refining Company and The Lummus Company over the construction of Commonwealth's Puerto Rican refinery led John Dooling into not only the intricacies of refinery design and operation but into an extended controversy over whether a claim for damages for fraudulent inducement of a contract

was arbitrable under the contract. (See *Commonwealth Oil Refining Co. Inc.* v. *The Lummus Co.*, 280 F.2d 915 (1st Cir. 1960), *cert. denied* 364 U.S. 911 (1960); *The Lummus Co.* v. *Commonwealth Oil Refining Co. Inc.*, 297 F.2d 80 (2nd Cir. 1961).

Among his antitrust cases, John Dooling in 1961 represented the American Society of Composers, Authors and Publishers ("ASCAP") in the Supreme Court of the United States, successfully resisting the intervention of a musical publisher in the proceedings begun by the United States in 1959, further to modify the 1941 consent decree relating to the operations of ASCAP (which acted for some 6,400 writers and publishers of musical compositions, licensing public performances of compositions for its members and distributing revenues among them). (See *Sam Fox Publishing Co.* v. *United States*, 366 U.S. 683 (1961).)

In 1959 on behalf of General Foods, he unsuccessfully sought review in the Supreme Court of an earlier entered cease and desist order, under Section 2(d) of the Clayton Act, barring payments to broadcasting companies providing broadcast time to General Foods' customers for their advertising. (See *P. Lorillard Company* v. *FTC, etc.*, 267 F.2d 439 (3rd Cir. 1959), *cert. denied* 361 U.S. 932 (1959).) During the same period, he shared with George Kern and William Piel the defense of Northern Natural Gas Company and its president in a unique criminal antitrust action where novel issues of individual corporate officer liability under the Sherman Act and of primary jurisdiction for regulated natural gas companies were presented. (See *United States* v. *American Natural Gas Company, et al.*, 206 F.Supp. 908 (N.D. Ill. 1962).)

While in practice John Dooling was active in professional activities including various projects for improvements of the law with the American Law Institute and with bar association committees. From 1949 to 1952 he served as chairman of the City Bar's extraordinarily hard-working Committee on State Legislation.

John Dooling remained in practice with the firm until his appointment in 1961 as a United States District Judge for the Eastern District of New York. He took senior status on that bench during 1978. While on the bench he has won such acclaim as one having "the perfect judicial mind" with "a durable brilliance" and has been publicly acknowledged by his judicial colleagues as an "intellectual force" among them.

John Dooling and his wife, Dorothea Elizabeth Bernard, continue to live at 621 Second Street, Brooklyn. They have five children: Mrs. Julienne Nann, Mrs. Deborah Fitzgerald, Peter Dooling, Anna Dooling and Marie Dooling.

155 **ROBERT T. KIMBERLIN** S&C 1934-1938

Mr. Kimberlin, born on November 6, 1908 in Terre Haute, Indiana, attended De Pauw College (A.B., 1930), Harvard Graduate School of Arts and Sciences (M.A., 1932) and Harvard Law School (LL.B., 1934). Immediately following graduation, Mr. Kimberlin entered S&C's corporate practice group. He left S&C to work for the Crown Zellerbach Corporation in 1938 and remained there until his retirement in 1973 from the positions of Vice President, Secretary and Treasurer.

Now residents of Piedmont, California, Mr. Kimberlin and his wife since 1935, the former Naomi Ruth Hall, have three children and six grandchildren.

156 **ROBERT L. LINGELBACH** S&C 1934-1941

A Philadelphian by birth, Mr. Lingelbach is a graduate of the University of Pennsylvania (B.S. 1929 and LL.B. 1934). In addition to his professional experiences in the corporate group at S&C, he recalls "the many wonderful dinners at The University Club" and the "Patek/Phillippe/Tiffany watch given me by John Foster Dulles at dinner on leave-taking in October 1941."

Upon leaving S&C, Mr. Lingelbach spent several years practicing in Dayton, Ohio, and then returned to New York City to practice with Milbank Tweed. He then joined Rayonier Inc. While he was there, "West Coast interests took control with S&C as General Counsel. Shortly after, another change in control, and finally I.T.& T. bought Rayonier. I feel that surviving three changes in control was only possible because of the fine training at S&C." Mr. Lingelbach was Corporate Secretary and House Counsel at Rayonier for over twenty years.

In 1968, Mr. Lingelbach married Dorothy Minty, a concert violinist. Mr. and Mrs. Lingelbach have retired from their respective working careers, and are living in New York City. He has five children and four grandchildren by two prior marriages.

157 **HOWARD S. McMORRIS** S&C 1934-1937

Born in Philadelphia, Mr. McMorris received his A.B. degree from the University of Pennsylvania in 1931, and his LL.B. degree from the University of Pennsylvania Law School in 1934. He came to S&C as an associate in the general practice group.

In 1938, Mr. McMorris returned to Philadelphia to join the law firm of Schnader and Lewis. He practiced there until 1943, when he became

a partner of Reavis and McGrath in New York City. He remained with Reavis and McGrath until 1966.

Mr. McMorris now lives in retirement in Princeton, New Jersey, with his wife, the former Elizabeth Hill Runk, whom he married in 1935. "About 1965 my vision began to deteriorate and by 1972 I was totally blind. My activities since then have been somewhat circumscribed but my principal interest over the past few years has been the Westminster Choir College in Princeton, where I am one of the more active trustees, and this has been both stimulating and rewarding."

The McMorrises have two children: Mary Amelia McMorris, born in 1941, and Howard McMorris II, born in 1945.

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158 **HARMAR BRERETON** S&C 1934-1941

Currently retired from active practice since June 1974, Harmar Brereton was employed from 1941 to June 1974 in the Legal Department of Eastman Kodak Co. in Rochester, New York. "The 'brightest light' of my career was filling the office of Vice-President and General Counsel for Eastman Kodak — a perfectly fascinating job from 1957 to 1974." He is presently Chairman of Security Trust Co. of Rochester.

Prior to joining the staff of Eastman Kodak, Mr. Brereton was associated with Sullivan and Cromwell for seven years. He worked closely on corporate matters with Arthur Dean, Allen Dulles, Eustace Seligman and Paul McQuillen. According to Mr. Brereton, an early memorable experience occurred in the Probate Court in Bridgeport, Connecticut in January, 1935; he notes the exchange between himself (H.B.) and the Judge:

H.B.: "I present here, with the Petition to admit the L.W.&T. of John Doe for probate, together with Renunciation of Co-Executor."

J.: "Papers refer to S&C of Wall Street, N.Y.C. You associated with that firm?"

H.B.: "Yes, your honor."

J.: "You admitted to practice law in the State of Connecticut?"

H.B.: "No, Sir." (avoiding further elucidation that I was not yet admitted in N.Y. either!)

J.: "In that case, young man, we've heard the last word from you!"

In former years Mr. Brereton was President of the Association of General Counsel, Chairman of the Board of Highland Hospital, Chairman of the Board of Trustees of The Taft School, President of Allendale School, President of Travelers' Aid, Treasurer and

Senior Warden of St. Paul's Episcopal Church, a director of Security New York State Corp., and a director of Eastman Kodak Co.

Born May 31, 1909 in Lake George, New York, Mr. Brereton received his Ph.B. in 1931 from Yale University and his LL.B. from the Law School there. On July 7, 1934 he married Eleanor Collins. They have four children, Constance Brereton, Linda Brereton Wirts, Harmar D. Brereton M.D., and Derek P. Brereton and ten grandchildren. Mr. and Mrs. Brereton currently reside at 5407 Coy Road, Canandaigua, New York.

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159 **HAMILTON ROBINSON** S&C 1934-1940

Mr. Robinson was born in New Haven, Connecticut, the son of Professor and Mrs. Chalfant Robinson of Princeton University. He attended The Taft School, Princeton University, Queen's College, Oxford, and Yale Law School, where he was on the Yale Law Journal.

After six years with S&C as an associate in the corporate group, Mr. Robinson joined the staff of the British Purchasing Commission. In 1941, he was Washington Counsel to the Commission. He then joined the U.S. Lend Lease Administration as Assistant to the Deputy Lend Lease Administrator.

During his term with the U.S. Army, from 1942 to 1946, Mr. Robinson served in the Control Division, the Office of the Commanding General, the Army Service Forces, and Joint Chiefs of Staff — Committee for Reorganization of the National Defense. He was promoted to the rank of Colonel, and was awarded the Legion of Merit.

Mr. Robinson joined the State Department in 1946 as Director of the Office of Economic Security Policy (Economic Aspects of Occupied Areas). He subsequently became Director of Office Controls, which encompassed the areas of internal security, passports, visas, and consular affairs.

From 1949 to 1952, Mr. Robinson was Vice President and Treasurer of the Foreign Service Educational Foundation, supporter of the School of Advanced International Studies.

After four years spent working on private investments, he joined the investment banking firm of Alex Brown & Sons, located in Baltimore and Washington. He worked on special situations with the firm, and was a Limited Partner from 1958 through 1961.

Since 1961, Mr. Robinson has been working on private investments and charitable interests. Mr. Robinson and his wife, Nancy Brereton Robinson, live at 84 Kalorama Circle, N.W., Washington, D.C. 20008. They have six children and ten grandchildren. Their children are: Hamilton Robinson, Jr. of New York, who was an S&C associate from 1961 to 1967; C. David Robinson of San Francisco; Anne R. Righter of New Haven, Connecticut; Nancy M.R. Peltz of Chevy

Chase, Maryland; Agnes Randle Robinson of New York; and William G.B. Robinson of Washington, D.C.

Member D. C. and New York Bars. Charities—At various times Board member of: Visiting Nurse Association, D. C.; Columbia Hospital for Women, D. C. (President of Board 1964-1966); Hillcrest Children's Hearing and Speech Center, D. C.; and English-Speaking Union (D. C. Branch). Clubs: Century (New York City); Metropolitan and Chevy Chase (D. C.); Queen City (Cincinnati, Ohio); and Mill Reef (Antigua).

160 **BERNARD C. HEMMER** S&C 1935-1942, 1946-1949, 1950-1952

Born in Brooklyn, New York on August 5, 1911, Bernard C. Hemmer attended Fordham University both as undergraduate and law student. Upon graduating with his LL.B. in 1935, he began the practice of law with Sullivan & Cromwell, remaining associated with the firm off and on for the next 17 years. While working at S&C, Mr. Hemmer practiced in the area of real estate law and corporate finance.

In 1952, Mr. Hemmer left Sullivan & Cromwell to serve in various capacities, including President, at River Brand Rice Mills (Riviana Foods). And in 1958 he went to Rotan Mosle, Inc. in Houston where he has held various offices for the last 21 years — director, general counsel, manager, and initiator of the firm's corporate finance department. He is currently a legal advisor and Senior Vice-President of Rotan Mosle, Inc.

Mr. Hemmer and his wife, Kathlyn have one daughter, Suzanne Stovall, who is also an attorney and mother of two children, Kathlyn and Richard. Mr. and Mrs. Hemmer now live at 707 Pinehaven Drive in Houston, Texas.

Mr. Hemmer is presently a member of the Fordham University Council and on the Board of Directors of St. Joseph's Hospital Foundation (Houston) and of American Medical Services (Milwaukee). In addition he is an advisory director (and a former director) of Strake Jesuit Preparatory School in Houston. He has been a director of a number of companies and has been a past president of various Houston Societies, including the Sierra Club of Houston and the Vocational Guidance Service.

161 **WILLIAM PIEL, JR.** S&C 1935-1944, 1945-

"My earliest recollection of my father is his requiring my opinion on the matter of title to the apples on the boughs overhanging the boundary wall of Blackacre. In those father-son consultations I was deprived of any doubt about my future calling, while he consoled his frustration at having been dragooned out of his own (post-Columbia Law School) budding law practice into running the family business so that his immigrant father (who had brought him in 1880, a babe in arms, through Ellis Island to grow up with the beginnings of a brewery in a Brooklyn stable) could (in this land of opportunity for the enterprising) shoot bear in Maine and take his yacht down the Intercoastal for winter fishing in Florida. Briefly, at college, where I was Chairman of the Nassau Literary Magazine and an Editor of The Daily Princetonian, I asserted my independence and my own dream of literary fame. A boxful of prompt rejection slips ("the mails were faster in those days") adjusted that ambition, and redirected me in 1932 to Harvard Law School. There, with the engrossing distractions of becoming President of the Harvard Legal Aid Society, President of Scott Law Club, a Vice President of Lincoln's Inn, and Class Marshal, — and starting a family, — I eked out some gentlemanly cum laude grades.

"In the fall of 1934 Felix Frankfurter, teacher and encouraging friend, offered me a hot dog assignment in FDR's swelling apparati. Having a child on the way, I thought I'd first quickly check out my chance for a perhaps more financially adequate ($2,100 per annum, in fact) employment with Davis Polk Wardwell Gardiner & Reed where, under the patronage of W.C. Cannon, I had worked as a managing clerk's assistant for the summer of 1933. By what in those parlous days was the cheapest transport, the Fall River Line night boat from Boston, I came upwest at Thanksgiving only to be told by the toplofty hiring partner that in downtown New York one interviews at Christmas time; please to return later. Crestfallen and out on the street before noon, I sought consolation at lunch with Ross Cissel and John Dooling before the return boat downeast. They took me back with them to 48 Wall to meet David R. Hawkins; Mr. Hawkins hired me on the spot. On return to Cambridge, there was a letter from Mr. Hawkins — "My dear Piel" —inviting me to nominate those of my classmates with whom I thought I would most like to practice law in future.

"Since 1935, with such auxiliary diversions and refreshments as indicated in fine print below, and except for two miserable but fascinating years in the bottom bowels of the Pentagon during World War II, I have hugely enjoyed the stretching, muscle-building, chock-a-block life of S&C,'s litigating 3-ring circus. Most of my years have been spent — not by choice but by partners' blandishments and clients' demands — scrubbing and feeding the antitrust elephants.

"Not that I should complain; a causal connection was never mentioned, but the fact is that the invitation unexpectedly received to return from Washington as a partner in the firm coincided with the lumbering into the tent of that massive pachyderm, the *Investment Bankers* antitrust case, for which mahout Dean was in need of a trainer-feeder handy with broom and bucket. Moreover, a better way than antitrust

practice for plumbing the multicolored depths of industry, finance and economics is not to be imagined.

"The youthful literary dream had its happy sublimation when at Lynchburg, Virginia on December 1, 1951, this first-generation-born-dis-country roustabout married a talented Colonial Dame from Milwaukee, Eleanor Green, a novelist and short-story writer. My school-days marriage with Mary King Loomis of Sharon, Ct., had been bombed out in side effects of World War II, but it gave me my three sons: Michael (b. 1935) who, after years as a wandering minstrel, is now Ringmaster, Ringling Brothers and Barnum & Bailey Circus World, Barnum City, Florida; Anthony (b. 1936) who, after Princeton, the Army in Germany, Harvard Law School and Citicorp's Paris office, is now a chief aide to the Director General of the World Health Organization in Geneva, living over the French border in Crassy (Divonne) with his wife, Liz Halliday, and their two children, Lili (b. 1966) and Billy (b. 1968); and Joel Thomas (b. 1939) who, after Claremont and Parsons, entered the Army as a private, trained as a Ranger and helicopter gun ship pilot, served two horrendous tours of duty in Viet Nam, and came out a bemedaled Major and operations officer of an aviation battalion, to find in the ungrateful civilian world a job selling blue jeans, — from which, however, he has happily progressed to his present occupation as a junior executive of Pacific Gas & Electric Company in California.

"Now on the eve of my departure from the firm I gladly pass along to our recently joined colleagues a few of the many words of wisdom and comfort which we early litigators received from our first managing clerk, Bill Mulry. (a) If you want a favor from your opponent, 'Don't ask him in the morning when he's feisty, nor late in the day when he's cranky for his dinner; ask him right after he gets back from lunch.' (b) For subduing a certain sort of obnoxiously aggressive adversary, 'Knock him down, kick his teeth in, then pick him up, dust him off, and kiss him.' (c) Finally, the words of comfort, 'You must learn to say No, to save your health and sanity; but if it is your habit generally not to use that word, you will find that somehow there is time for everything.' When in a hurry, this was rendered, 'Things will be somehow!' "

Born November 28, 1909, in New York City, to William (born in Verviers, Belgium, of German parents) and Loretto Benedictine Scott (of an Ottowa, Canada, family). Childhood, in Woodmere, Long Island, shared with five surviving of eight brothers and sisters.

Education: St. Joachim's Parochial School, Cedarhurst, Long Island; St. Francis Xavier College, West 16th Street, Manhattan; Kelvin School, West 70s, Manhattan; Princeton University, B.A., 1932, with honors; Harvard Law School, LL.B., 1935, cum laude.

Admissions to practice: Courts of New York, May 11, 1936. Also, the United States Supreme Court; the United States Courts of Appeal for the Second, Seventh, Tenth and District of Columbia Circuits; the United States District Courts for the Southern and Eastern Districts of New York and the Central District of California; the Tax Court of the United States; the United States Customs Court; the Treasury Department; the Interstate Commerce Commission; the Securities and Exchange Commission; and admitted *pro haec vice* in several other courts.

Some principal litigation engagements as lead counsel at trial and on appeal: for plaintiff in *Nichols Copper v. United States* (tariffs on Cuba copper concentrates); for defendant Flintkote in *United States v. Masonite* (del credere agency and hardboard patents); for defendant in *Sachs v. Cluett Peabody* (Sanforizing patents); for defendant in *United Stores Corporation* stockholders' derivative actions; for plaintiff in *Marine Midland v. Iselin & Co.* (trustee in possession suing for escalated rents); for petitioner-appellee Netherlands Purchasing Commission in *Kingdom of the Netherlands v. American Armament Corporation* (appeal from confirmation of arbitration award); for defendant in *Frederick E. Moskovics v. Arthur W. Harrington* (alleged joint venture to exploit Harrington's four-wheel-drive patents); for Bank of New York in *Mergenthaler* check fraud cases; for (as deputy for Mr. Dean, and with Mr. Steyer) five defendants in the *Investment Bankers Antitrust Case;* for domestic producers in *Potash Antidumping Case* (muriate of potash from Soviet Zone of Germany); for defendant in *Kazaras v. Manufacturers Trust* (to set aside, as induced by fraud and undue influence, an *inter vivos* trust drawn for the settlor by Edward H. Green); for defendants in *Arrow Mutual v. American Re-Insurance* (whether and how treaty covered industrial berylliosis disease in Sylvania plants); for defendant Duncan Menzies in *Duane v. Menzies, et al.* (stockholder derivative attack on Servel Inc. executive compensation); for defendantAllied Laboratories in the *Polio Vaccine Antitrust Case* (criminal jury); for plaintiff in *National Dairy Products v. Ryan and Ideal Mutual Insurance Co.* (to recover a "hijacked" captive company); for petitioner in *Grand Union v. F.T.C.* (the "Broadway sign" discriminatory allowances case); for defendant FMC Corporation in the *Chlor-Alkali Antitrust Cases;* for defendants in *O'Neill v. Maytag* (Exchange Act §§ 10 (b) and 16 (b), National Airlines); for defendants in *U.S. v. USAU and USAIG* (aviation insurance: grand jury investigation and consent decree); with Frederick Terry, for Phillips Petroleum in *U.S. v. Phillips Petroleum and Union Oil* (Clayton § 7); for Inzer B. Wyatt as executor in *Wyatt v. Fulrath* (application of Spanish community property law to bank accounts in New York of the Duke and Duchess of Arion); with John Warden, for Phillips Petroleum in *F.T.C. Docket No. C-1080* (breakup of Phillips-National Distillers joint venture); with Michael Cooper, for Chase Brass Co. in *Brass Mills Antitrust Cases;* for plaintiff in *Sullivan & Cromwell v. Hudson & Manhattan Company* (one of the firm's rather rare suits for a fee, in this case masterfully earned by Judge Peck and Mr. Cooper in recovering an enormous award for the Port Authority's condemnation of the Hudson Tubes properties); with John Dickey and Richard Carlton, for Phillips Petroleum in *U.S. v. Phillips Petroleum and Tidewater Oil* (Clayton § 7); with Donald Christ, for defendant in *U.S. v. General Electric* (lamp agency antitrust); with Mr. Carlton, for Ford Motor Company in the *Fleet Discount Cases* (U.S. criminal jury and civil trials and consolidated treble damage actions); with Michael Maney, for Goldman, Sachs in the *Penn Central Commercial Paper Cases;* with John Warden, for defendent in *Berkey Photo, Inc. v. Eastman Kodak Company* (appeal from treble damage jury verdicts).

Professional affiliations: Chairman, Board of Trustees, Federal Bar Council. Fellow, American College of Trial Lawyers. Fellow, New York State Bar Foundation. Member, Association of the Bar of the City of New York (Committees: Judiciary 1975- (Chairman 1977-); Requirements of the Courts 1977-; Council on Criminal Justice 1978-; Grievances 1961-1967 (Chairman 1964-1967); also, formerly, Committees on Junior Bar Activities, Law Reform, Increase of Membership, Broadcasting, Admissions, Courts of Superior Ju-

risdiction). Member of New York County Lawyers Association, New York State Bar Association, American Bar Association, American Judicature Association; life member, New York Law Institute.

Formerly Chairman of the Coordinating Committee on Discipline of the three Bar Associations in the First Judicial Department, New York. Presently a member of the Planning and Program Committee of the Judicial Conference of the Second Circuit of the United States.

Corporate directorships: American Re-Insurance Company, 1958-1979; Campbell Soup Company, 1971-; Phillips Petroleum, 1965-. Served as chairman of the Phillips audit committee for many years, and on audit committees of AM-RE and Campbell Soup. Also Vice President and Director of Phillips Petroleum Investment Company and Phillips Petroleum International Investment Company.

Educational: Member, and former Chairman, Advisory Council, Department of Romance Languages and Literatures of Princeton University; formerly Chairman of the Advisory Council to the Department of Germanic Languages; formerly Co-Chairman (with Judge Harold R. Medina), and then Chairman, of the Advisory Council to the Department of Modern Languages and Literatures. Organized, incorporated, funded and counseled, with Whitney North Seymour, Jr., the artistically successful but financially failed Greenwich Village Symphony Orchestra; at least, before we gave up the ghost, we had launched Jorge Mester, now Musical Director of the St. Louis Symphony, and Peter Pastreich, now General Manager of the San Francisco Symphony.

Occasional lecturer and debater in law-related seminars and conferences.

Other community service: During Korean conflict, served as Department of Justice Hearing Officer for Conscientious Objector Appeals. Formerly Trustee, now Honorary Trustee, Cancer Research Institute, Inc. Formerly Trustee of Knickerbocker Hospital. Formerly Trustee and Treasurer of the Neighborhood House of the City of New York.

Military: Xavier Cadet Regiment (Captain, Drum Major). Enrolled in summer camps program of Citizens Military Training Corps and completed White Course in horse-drawn field artillery (Section Chief; expert rifle). After 1935, in footsteps of Dean, Merrill and Wyatt (by whom recruited), two enlistments in Troop E, Squadron A, 101st Cavalry, N.Y.N.G. (Corporal; expert mounted pistol). After Pearl Harbor, the New York City Patrol Corp, an auxiliary police force, commanded by aged U.S. Army officers, for wartime nighttime guarding against sabotage of bridges, tunnels, piers, reservoirs, watergates and city parks in the five boroughs (2nd Lieutenant, HQ Staff G-3).

March 1944-August 1945, served as a civilian (so I could talk to Generals without standing at attention) with title of Expert Consultant to the Secretary of War, Office of the Chief of Staff, War Department General Staff, assigned to the Military Intelligence Service, and directing (as Co-Chief, with Ben Shute of Cravath's) the 24-hour a day operations of the Order of Battle Section of Colonel Alfred McCormack's Special Branch. From sources of highest security classification we prepared daily summaries for the information of the White House and the Joint Chiefs and for discreet use in the field by such special field liaison officers as Colonel Inzer B. Wyatt and Captain Richard G. Powell. My work was described in the citation accompanying award of the War Department Medal for Exceptional Civilian Service: "His outstanding performance and judgment in the preparation of daily reports on the strength and activities of the enemy ground and air forces in Europe and, after V-E Day, his role in the reorganization and direction of research and reporting of political and economic intelligence concerning Japan and in achieving the efficient dispatch of such intelligence to Pacific Theater commands constituted a significant contribution to the mission of the Military Intelligence Service."

Clubs: The Century Association; the Coffee House; the Downtown Association; the Downtown Athletic Club; the Association of Ex-Members of Squadron "A"; the Princeton Club of New York; Newcomen Society; and, as a swimmin' hole for grandchildren, the Lake Mauweehoo Club.

Residence: Tree House, Briggs Hill Road, Sherman, Connecticut 06784. Pied à terre: 36 Sutton Place South, New York, N.Y. 10022.

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162 **WILLIAM WARD FOSHAY** S&C 1935-1941, 1945-

"Pondering the factors responsible for S&C's remarkable history has been an interesting and possibly useful by-product of the centennial. The caliber of the lawyers has been fundamental, but something more has been needed to weld the generations into a great legal institution. Serving that purpose have been the principles and practices which have evolved to guide those lawyers in working together. One lawyer's experiences may illustrate.

"In the depression years the New York firms did not send recruiters to Cambridge. Along with a host of other third year students, I spent the Christmas vacation 1934-35 making the rounds with no introductions and little information. At S&C I received the full interview treatment, Browning to Darrell to Hawkins, but no further encouragement; three classmates had already been hired and my law school average was B. Days later word reached me, while awaiting a second interview at Root Clark, that Mr. Hawkins wished to see me again. About two minutes later, in Dave's office, I received an offer to start in General Practice, the litigation assignment for which a preference had been expressed having already gone to Bill Piel. I accepted on the spot recognizing the challenge but somehow aware that for each lawyer the starting line is the same.

"Soon it became apparent that at S&C the rising young lawyer is very much on his own, expected to accept responsibility and develop by doing. In an early assignment to a pioneering revenue bond financing weeks passed without comment of any sort from the partner in charge, Eustace Seligman. In response to an eleventh hour request for word of some sort he asked simply whether the circular disclosed everything unfavorable, and on being assured that it did, gave the order to go ahead. Years later, a considerably more experienced associate who was in the process of moving to another downtown firm was amazed to be asked whether he had worked directly with any of our clients. After recovering from the shock, he told them that at S&C associates seemed to be 'thrown to the wolves' on arrival.

"Shortly after Pearl Harbor, Foster Dulles sent around a memorandum admonishing lawyers considering leaving for military service not to assume that they could return to the firm after the war. One could

not be sure, it was explained, that business would still be done at the same stand. While later misinterpreted for opposing political purposes, the missile was accepted as a straightforward facts-of-life statement characteristic of the firm and the man.

"The four years of World War II were spent in the Office of Counsel to the Bureau of Ships of the Navy Department in Washington. Throughout the period Art Dean kept in touch through visits and occasional letters, and the resulting sense of continuing connection proved invaluable when post-war career alternatives came to be discussed. The organization of which the Office was a part had developed an esprit de corps of its own and some favored the establishment of a new firm after the war. In one of his visits Art told me that contemporaries were being made partners and offered me the privilege of being included following completion of my war service. Acceptance, needless to say, was immediate.

"The weekly partners' lunch has served a number of useful purposes. At one of my earliest, Foster Dulles reported that Mr. Cromwell, then in his nineties, was not expected to survive the week, and the rest of the proceedings were devoted to plans for the funeral. A week later Foster announced that he had lunched with the old gentleman the day before and that together they had 'killed a bottle of champagne'. Mr. Cromwell lived on for several years.

"Explicit definition of one of the guiding principles was featured at a luncheon discussion a few years later. Through Art Dean the firm had been invited to become general counsel to a corporation which was preeminent in one of the then great growth industries. While the prestige and the fees to be expected made acceptance appear irresistible, another side emerged when it was learned that we would be required to take in two partners and several associates from the predecessor. The debate ended when Ed Green dryly observed that: 'When you start taking in partners to get business you've had it.' In fact, the firm's growth has been from within, all but a few of the partners having started here and not a single merger or acquisition. Incidentally, while there are detailed provisions for the governance of the firm, in fact decisions are reached by consensus.

"As the first were Cromwell's, the second fifty have been the Dean years. Art has been the business lawyer par excellence. Lessons he has preached and practiced include the art of anticipating effects of change, approaching problems with imagination sufficient to accomplish the impossible, as in calling a non-callable preferred stock, and serving clients with complete dedication. Within the firm Art's concern has been for the welfare of the whole. Delegation and sharing, not hoarding or other attributes similar to those of the 'me decade', have

been his way. I am grateful for having had for over thirty years the great good fortune to occupy a room next to Art's.

"Norris Darrell has been Art Dean's counterpart in tax and estate practice. He is the prime example of the younger but already experienced lawyer who, at the request and in the interests of the firm, enters a new field, in this case taxes, and through his practice, writings and other pursuits makes himself the country's most widely recognized expert. Also worthy of emulation have been Norris' years of service in the American Law Institute and other activities of the organized bar.

"More 'Lamplighters' there have been, but far too many to mention. The story would not be complete, however, without adding the name of one who was taken from us much too soon, John Raben.

"The factors responsible for the first hundred years will be at least as important in the second. As to the lawyers, the standards must be maintained. Other principles are to be adhered to but with flexibility sufficient to meet the demands of changing times."

Born September 14, 1910, Port Chester, N. Y.

Higher education: Harvard College, AB, 1931 Summa Cum Laude, Phi Beta Kappa; Oxford University (Merton College) 1931, Henry Fellowship; Harvard Law School, LLB, 1935.

Career: Private practice of the law began in September, 1935 as associate of Sullivan & Cromwell assigned to General Practice. Military service December, 1941 to November, 1945, initially as a reserve officer deputy and later as civilian Counsel for the Bureau of Ships of the Navy Department, and as such, head of an office of thirty-five lawyers; awarded in respect of such service the Legion of Merit. Resumed practice in late 1945 as partner of Sullivan & Cromwell specializing in corporation and securities law, with increasing administrative responsibilities culminating in service from January 1, 1972 as Chairman of the Committee and chief executive.

Corporate Directorships: Currently — INCO Limited and Marine Midland Banks, Inc.; formerly — Pitney-Bowes Inc., Russell, Burdsall & Ward, Inc., Kaiser Aluminum & Chemical Corporation, Commercial Credit Company, Congoleum Corporation, Greeff Fabrics, Inc., and Old Town Corporation.

Related Activities: The Bar — Organizer and first chairman of the Securities Law Committee and former member of the Ethics and Grievance Committees of the Association of the Bar of the City of New York; and former member of the Committee on Corporation Law of the New York State Bar Association. Government — Occasional advisor to securities industry task forces and committees concerning legislative and administrative affairs, including amendments of federal securities laws; formulation of SEC trading rules and authorship of article "Market Activities of Participants in Securities Distributions", Virginia Law Review, Vol. 45, No. 6, 1959; chairman SEC advisory committee on integration of block trading in central securities market; tendered but declined posts of Chairman of SEC and Chairman of the The New York Stock Exchange.

Extra-curricular: Military — Former member Board of Advisors to President of Naval War College. Private — Trustee The New York Public Library; former President and other offices United States Golf Association and United States Seniors' Golf Association, and Governor The Arthritis Foundation.

Family: Married Ella Dunlevy Milbank February 24, 1938. Children and Grandchildren — William Ward Foshay, Jr. (William Ward Foshay, III, Thomasin Alida Foshay and

Alexander Dormer Foshay); Katharine F. Horn (Fraser MacPherson Horn, II; Andrew Foshay Horn and Geoffrey Milbank Horn); Barbara F. Duke (Anthony Drexel Duke, III); and Ella F. Rothfeld.

Residence: One East 66th Street, New York, N. Y. 10021, probably changing to Hobe Sound, Florida 33455.

163 **CHARLES S. HAMILTON, JR.** S&C 1935-1958

Charles S. Hamilton, born November 25, 1910 in Chicago, Illinois, attended Princeton where he received his A.B. in 1932. He went on to the law school at Yale and graduated in 1935. In September of the same year he joined Sullivan & Cromwell as an associate in the general practice group, becoming a partner in 1945. He had become an outstanding expert in labor law, and traveled the country on behalf of the firm's clients to compose and adjudicate disputes and to negotiate labor agreements. He retired from the firm in 1958 to devote himself primarily to the management of his personal investments and to eleemosynary and community pursuits.

Mr. Hamilton is presently a Director of the European American Banking Corporation and European American Bank & Trust Company. From 1947 to 1961, he was a Commissioner of the Port Authority of New York. He was a member of the Westchester County Park Commission from 1952 to 1959. And in 1956 he was the Moreland Act Commissioner to investigate the costs of Workmen's Compensation in New York.

Mr. Hamilton married Ellen Kate Malone of Memphis, Tennessee in 1939. They have four children and four grandchildren and now reside at 166 Stockton Street, Princeton, New Jersey.

164 **JAMES B. HOFFMAN** S&C 1935-1944

Mr. Hoffman was born on August 17, 1910 in Baltimore, Maryland. He is a *magna cum laude* graduate of Johns Hopkins (A.B. 1932), where he was elected to Phi Beta Kappa. At Harvard Law School (LL.B 1935), he was on the *Harvard Law Review* from 1933 to 1935, and was its treasurer in 1934-1935.

At S&C Mr. Hoffman practiced in the corporate and tax groups. He left the firm in 1944 to join American Machine & Metals (now Ametek) as Secretary and Finance Officer. From 1946 to 1950, he was a Senior Vice President of Marine Midland Trust Company. He conducted a private practice in Los Angeles from 1953 until his retirement in 1976.

Mr. Hoffman married Julia M. Garret in February 1978. They live in Fort Bragg, California. He has four children by previous marriages and eight grandchildren.

165 **CORNELIUS MEANS** S&C 1935-1950

"Torny" Means was born in Indiana on December 27, 1910, but grew up in Brooklyn. After prep school, he went to Williams College from which he graduated *cum laude* in 1932. "Because (i) jobs were very scarce, (ii) my mother had always excused my argumentative habit by saying I was going to be a lawyer, and (iii) it was easy to get into," he then went to Harvard Law School, where he received his degree, *cum laude* in 1935. In September of that year he came to work at Sullivan & Cromwell in the general practice group where, after being admitted to the bar the following May, he practiced until early 1941 when he was "caught in the army's draft."

"One job that stands out in my memory was Southern California Edison's $108,000,000 refunding bond issue of 1939, for which S&C represented the underwriters. I believe it was S&C's first Trust Indenture Act issue involving the reshaping of an old indenture, the Company's first 1933 Act issue and one of the largest issues registered under the 1933 Act up to that time."

In the army, he was assigned to the field artillery at Fort Bragg. He served for about a year as a regimental court martial clerk. Then, in March 1942, he went to the Adjutant General's officer candidate school from which he received his second lieutenant's commission in June. ("Not to be confused", he says, "with the Judge Advocate General, who is the army's chief law officer; the Adjutant General is its secretarial officer who keeps its files and records, and processes and publishes its orders."). He was immediately assigned to the army's Services of Supply headquarters in London, in which headquarters he served as an assistant adjutant general until his separation from the service in late 1945. During his service he advanced from second lieutenant to major and was awarded a U.S. Bronze Star Medal and the French Medaille de la Reconnaisance Française. Later, following his retirement from active duty, he was promoted to lieutenant colonel.

While still a second lieutenant he was one of two officers from the Adjutant General's office selected to be among the guests at a 1942 Thanksgiving Day reception for the American forces at Buckingham Palace. While large, it was a very informal affair where he met and chatted with the late King George VI and his Queen Elizabeth (now the Queen Mother) and also with their two young daughters, the then

Princess Elizabeth (now Queen Elizabeth II) and the Princess Margaret Rose.

When in 1942 he reported for duty in London one of the first persons he met was an English girl, Renee Moore, who had been directed by the British Labor Ministry to work for the American forces. She was initially assigned to the same AG staff section as he was and after an eighteen month courtship they were married, in London, in January 1944. In September of that year, when the headquarters moved to the continent, he and she (who by then had become one of the Adjutant General's personal secretaries) accompanied the HQ to Paris, where the Meanses lived in quarters provided by the army until they came to the States in October 1945 for his release from active duty.

In January 1946, he returned to Sullivan & Cromwell where he remained until 1950 when he went to Potomac Electric Power Company in Washington as its general counsel. There he spent the rest of his professional career, getting, in his words, "much pleasure and satisfaction from the diversity of the problems presented by my single client and from the people, both within and without the Company, with whom my job brought me in contact".

In June of 1971 he took early retirement and with his wife moved to a house in Ivy, Virginia (a few miles west of Charlottesville), located on land once part of the plantation where Meriwether Lewis (of Lewis & Clark exploring fame) was born. "My principal activity is taking life easy and doing chores around our place (fifty acres of mostly beat up woodland)."

Now, in 1979, his "only bow to the law" is to read law books for Recording for the Blind, Inc. three mornings each week. His two children and two grandchildren also live in the Charlottesville area.

Reflecting on his experiences Mr. Means writes, "I think that the training that S&C gave its young lawyers in my day was tremedous. It was of inestimable value regardless of what field of the law became their ultimate area. I also feel that in my own case I was happier practicing in a corporate law department than I would have been in a law firm. But that all depends on the personal equation of the individual lawyer."

Mr. Means is a member of the bars of New York, Maryland and the District of Columbia; he was also admitted to practice before the U.S. Supreme Court, the Courts of Appeals for the Fourth and D.C. Circuits, and the U.S. District Court for the District of Maryland.

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166 **RICHARD S. STORRS** S&C 1935-

Richard Salter Storrs was born in Orange, N.J. in 1910, the only child of Richard S. and Anna Kingsbury Storrs. He entered the office after graduation from Yale (B.A. 1932), where he was a member of Phi Beta Kappa and Alpha Delta Phi, and from Harvard Law School (LL.B. 1935), where he was a member of the Legal Aid Bureau.

Mr. Storrs started with the firm in the trusts and personal affairs group and after three years transferred to corporate trust work, primarily in connection with large railroad and other corporate reorganizations. He then became a member of the general practice group in which he has spent most of his years with the firm. He has been a partner since 1945.

He married Frances Rousmaniere on April 27, 1938. They have five children: Richard, Ayer (Bellermann), David, Virginia (Akabane) and Nancy; and seven grandchildren. The Storrs live in Oyster Bay, Long Island, New York.

Corporate Directorships: Panhandle Eastern Pipe Line Company, Trunkline Gas Company, Transatlantic Fund, Inc., deVegh Mutual Fund, MassMutual Income Investors Inc.

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167 **MARSHALL W. MacDUFFIE** (1909-1967) S&C 1936-1941

Marshall MacDuffie was born in New York on January 19, 1909. He graduated from Yale College (A.B. 1931) and Yale Law School (LL.B. 1936). He joined S&C as a general practice associate shortly after graduation from law school, and left in 1941 to serve on several key wartime commissions and boards in Washington, including the Board of Economic Warfare in the Middle East.

He also was director of the European branch of the Foreign Economic Administration. Later he served in the State Department as Deputy Foreign Liquidation Commissioner.

As director of the United Nations Relief and Rehabilitation Administration in 1946, Mr. MacDuffie supervised the distribution of $180 million worth of relief supplies in the Ukraine. In that connection he met and dealt with Nikita S. Khrushchev, at that time the political boss who controlled the Ukraine.

Seven years later, when Mr. Khrushchev became chief of the Soviet Communist Party, Mr. MacDuffie sent him a cable requesting permission to revisit the territories where he had served on his relief mission. The request was granted and Mr. MacDuffie made a 65-day trip from Leningrad to the Chinese border. He talked for four hours with Mr. Khrushchev in Moscow, and visited more than 1,000 Soviet citizens during his tour, which took him through the Ukraine, Byelorusia, Transcaucasia and Central Asia. Mr. Khrushchev and Mr. MacDuffie met again the following January, and the Soviet leader used the 3-hour meeting as a forum for a plea for closer accord among the great powers.

Mr. MacDuffie wrote a book, *The Red Carpet*, and a prizewinning magazine series about his trip which attracted much attention.

In 1956 Mr. MacDuffie established his own law office. His well-known friendship with Khrushchev attracted numerous appeals for assistance from persons caught in the cruel snarls of Soviet emigration policy (although U.S. laws permitted entry of Soviet relatives of American citizens, postwar Russia relentlessly barred emigration that would reunite families). All told, he took on 41 cases involving the freedom of 65 men, women and children. On their behalf, his Scotch-Irish persuasiveness mobilized support from such diverse sources as Richard Nixon, Eleanor Roosevelt, Milton Eisenhower, Adlai Stevenson — and from Nikita Khrushchev himself, who gave MacDuffie a personal promise of cooperation.

At the time of his death Mr. MacDuffie was either an official or director of several major international concerns. He was a vice-president of the National Waste Conservation Corporation and an assistant to the chairman and president of Merck & Co.

Mr. MacDuffie died on July 8, 1967. He was survived by his widow, Rose Keane MacDuffie, whom he married in 1953. The MacDuffies lived in New York City.

168 **EDWIN S. COHEN** S&C 1936-1949

Mr. Cohen is a partner in Covington & Burling in Washington, D.C. and, at the same time, Joseph M. Hartfield Professor of Law at the University of Virginia Law School.

He was Under Secretary of the Treasury from 1972 to 1973, and Assistant Secretary of the Treasury for Tax Policy from 1969 to 1972. From 1949 to 1965 he had been a partner of Root, Barrett, Cohen, Knapp & Smith in New York (now Barrett Smith Schapiro Simon & Armstrong). He was Counsel to that firm from 1965 to 1969.

Born in Richmond, Virginia, Mr. Cohen graduated from the University of Richmond in 1933. Following graduation from the University of Virginia Law School in 1936, he joined S&C and practiced in both the tax and corporate groups. He had the distinction of handling the first case to be docketed in the United States Tax Court, entitled, interestingly enough (see Ref. No. 4), *Katharine C. Pierce*, 2 T.C. 832, *affirmed*, 146 F. 2d 388 (2d Cir. 1945), incurring more out-of-pocket disbursements than the amount of tax involved, but invalidating a Treasury regulation to the joy of numerous taxpayers. He recalls with particular interest working with Edward Green on the *National Supply Company* case, one of the early proxy fights, in which the management retained control, and on a Motion Picture Association of America matter in which, "with

Allen Dulles' guidance, we succeeded in obtaining a withdrawal by the United Kingdom of an import duty on American motion picture films, the duty being calculated at 75% of all the rentals for the exhibition of the films in the United Kingdom. Treaties forbade an income tax of this kind and the U.K. sought to circumvent the treaties by imposing an import duty."

While with S&C in 1940, Mr. Cohen drafted the special Federal tax law provisions under which, with some modifications, the mutual funds still operate.

Mr. Cohen is married to the former Helen Herz. They have three children: Edwin C., Roger and Wendy, and one grandchild, Dillon L.

The Cohens live in Charlottesville, Virginia.

Alexander Hamilton Award, U.S. Treasury Department; Member of Council and chairman of various committees of the American Bar Association Section of Taxation; special consultant on corporation taxes and member of several advisory groups, American Law Institute; author of various law review articles; lecturer at various law schools and law institutes.

169 **JAMES E. BIRDSALL** (1912-1971) S&C 1936-1941

Mr. Birdsall was born in Philadelphia on July 8, 1912, a son of James E. and Bessie Conroy Birdsall. He was a Phi Beta Kappa graduate in 1933 of the University of Pennsylvania and was graduated from its law school in 1936.

He joined S&C after graduation, leaving in 1941 to become New York City Assistant Corporation Counsel. During World War II he was chief enforcement attorney in New York for the Office of Price Administration. He later joined Henry J. Kaiser Co. as resident counsel at its Fleeturings division in Bristol, Pa.

After the war Mr. Birdsall formed the law firm of Warner & Birdsall at 11 Broadway. His practice in international law took him to Cairo where he was received several times by the late President Gamal Abdel Nasser in the mid-1960's. After these visits he saw President Johnson and other officials at the White House to share his impressions.

In the Korean conflict, Mr. Birdsall returned to duty as enforcement and administrative officer with the Office of Price Stabilization in New York.

A resident of Montclair, New Jersey, he was a member of the board of the Montclair Redevelopment Agency and served on the Advisory Committee on Interracial Affairs at Montclair High School.

Mr. Birdsall was married to the former Rosemary Cassidy. He had two sons, James E. and John S. Birdsall, and two daughters, Mrs. Rogers S. Leeds and Miss Sally Birdsall. He died on January 17, 1971.

170 DAVID SEABURY HENKEL — S&C 1936-

Mr. Henkel was born in Chatham, Virginia in 1913. He entered the office after graduation from the University of Richmond (B.A. 1933), where he was a member of Omicron Delta Kappa, and from the University of Virginia (LL.B. 1936), where he was a Raven Society member, recipient of the Order of the Coif, and Legislation Editor of the Virginia Law Review.

Mr. Henkel, a member of the general practice group, has been a partner of the firm since 1946. He married Helen Snow on July 30, 1954. He has two children by a former marriage: Lee Helsley and David S. Henkel, Jr.; two stepchildren: Pete Van Deventer and Warren Van Deventer; and four grandchildren. The Henkels live in Greenwich, Connecticut.

U.S. Army, M.I.S., January 1944 to January 1946. Corporate directorships: USLIFE Corporation, General Tire and Rubber Company. Consultant, American Law Institute Federal Securities Code; Advisory Board, University of California Securities Regulation Institute; Lecturer in various PLI and ABA programs.

171 GLEN McDANIEL — S&C 1936-1942

Mr. McDaniel is Chairman of the Executive Committee and a member of the Board of Directors of Litton Industries. He has been a Director of the company since it was formed in 1953, was named Vice President and General Counsel in 1957 and became a member of the Executive Committee in 1958. He was promoted to Senior Vice President in 1961 and was elected to his present position in 1964.

Prior to his association with Litton, he was a partner in the New York City law firm of Lundgren & McDaniel. He had served with RCA Communications, Inc., since 1946, as vice president and general attorney. In 1948, at the age of 35, he became Vice President of Radio Corporation of America. From 1951 to 1955, he was the first full-time president of the Electronic Industries Association.

During World War II, he was appointed civilian special counsel to Undersecretary of the Navy James Forrestal on aircraft procurement problems. From 1945 to 1946, he was chairman of the Navy Board of Contract Appeals.

Before his government service, Mr. McDaniel practiced law with S&C in New York City (1936-1942), specializing in corporate reorganization and proceedings before regulatory commissions in Washington.

"My tenure at Sullivan & Cromwell got off to an excellent start when I asked for as many small litigated cases as possible to handle on my own, in order to get into court as much as possible. Among the outstanding experiences were the work with David W. Peck and Frankin B. Lincoln, Jr. on the W.E. Hutton stock market manipulation case in 1936-38 before the SEC, which included a 4-month trial before an Administrative Law Judge; the prolonged proceedings for reorganization of the Seaboard Airline Railway in U.S. District Court in Norfolk, one of the most complicated reorganizations in American corporate history; and the Bank of Spain case growing out of the Spanish Civil War in which I worked with John Foster Dulles and Henry de Vries.

"Close associates during that period were John Dooling and Bill Piel, my seniors in the litigation group, who were fond of working nights and tried to have dinner at some place other than Schrafft's.

"My principal supervisors were Walter C. Lundgren and David W. Peck, both superlative trial lawyers. Their instruction, guidance and example were priceless."

Born on March 21, 1912, at Seymour, Texas, Mr. McDaniel received degrees from Southern Methodist University, Dallas, Texas (B.A. 1932 and M.A. 1933). To these he added a law degree (LL.B.) from Columbia University in 1936 and a doctor of laws degree from Southern Methodist University in 1966. In March 1966, he was given the Distinguished Alumnus Award by SMU.

He is married to the former Marilyn Ballantine and resides with his wife and two children in Bel Air, California. He has edited a 660-page book of memoirs by his 90-year old mother, Mary Kerr McDaniel, entitled *Reflections of Ninety Years*.

Mr. McDaniel has worked as a director and otherwise, with the Los Angeles Area Chamber of Commerce, the Southern California Symphony-Hollywood Bowl Association, the Brentwood Presbyterian Church, The Mirman School for Gifted Children, the California State University and Colleges Foundation, St. John's Hospital, and the Brain Research Institute of the University of California at Los Angeles. He is a director of Security Pacific Corporation, Security Pacific National Bank, and of Air Products & Chemicals, Inc. of Allentown, Pennsylvania (for over 21 years).

172 HOUSTON H. WASSON (1911-1977) — S&C 1936-1946

Houston H. Wasson was born of missionary parents in Songo, Korea, on June 29, 1911. He earned his B.A., and membership in Phi Beta Kappa, at Southern Methodist University in 1932 and then, as a Rhodes Scholar from Texas, studied for three years at Queen's College, Oxford University. He held three degrees from Oxford: B.A. in Jurisprudence, B.C.L. and M.A. He continued the study of law as a Sterling Fellow at Yale during 1935-1936 and was admitted to the New York Bar in 1937.

From 1936 to 1946, Mr. Wasson was associated with Sullivan & Cromwell. Subsequently, he formed a law partnership which became the firm presently known as Lovejoy, Wasson, Lundgren & Ashton. Walter C. Lundgren, and two other members of Mr. Wasson's firm, Messrs. Bernard J. Reverdin and Martin A. Stoll, were also formerly associated with Sullivan & Cromwell.

For many years, Mr. Wasson was a director of the American Bureau for Medical Aid to China. He was also a director of Zapata Sea Services and Falcon Tankers and a Trustee of the Mona Bismarck Foundation.

Mr. Wasson died on January 26, 1977 in Waccabuc, New York, a town to whose affairs he devoted much time and energy while a resident.

Mr. Wasson was survived by his wife, the former Linda Marion Wyckoff, two sons, Houston Reed and Alfred W., and three daughters, Linda, Ellen and Beth. He was a member of the Sky Club, the Yale Club of New York City, and the Circumnavigators' Club.

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173 **ALEXANDER L. KEYES** S&C 1936-1940

Mr. Keyes, born in New York City on July 16, 1911, graduated from Yale University (A.B., 1933) and Harvard Law School (LL.B., 1936). During his association with S&C in the estates and trusts group, he had "the great privilege to have received the training of S. Pearce Browning, a great teacher whose advice has served me well on various occasions."

The war years brought Mr. Keyes first, with Tom Childs, to the British Purchasing Commission in New York, and later to the British Supply Council in Washington.

In the U.S. Army from 1942 to 1946, he rose from 2nd Lieutenant to Lieutenant Colonel, engaged mainly in the Civil Affairs operations. For the last year, he was on General Clark's staff in Vienna, and in charge of planning and obtaining civilian supply for Austria.

After the war, he spent three more years on Austrian affairs with the State Department in Washington. Since then, he has been practicing as a lawyer and financial consultant mainly for various venture capital firms sponsored by Laurance Rockefeller.

He is now a Director of Heritage Bancorporation and Heritage Bank in New Jersey, and, among other institutions, American Fund Advisors in New York.

In 1947, he married Josephine Adrian. They have two sons and presently reside in New Jersey.

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174 **EDWARD G. MILLER, JR.** (1911-1968) S&C 1936-41, 1946-49, 1953-58

Mr. Miller was born on September 27, 1911 in San Juan, Puerto Rico. His father was a sugar engineer and in 1913 the family settled in Cuba. Mr. Miller attended St. Paul's School in Concord, New Hampshire. He was graduated from Yale University in 1933 and Harvard Law School in 1936. He began his professional career at S&C, leaving temporarily in 1941 for government service.

During World War II, he served as assistant chief of the State Department's foreign funds control division; special assistant to the American Ambassador in Rio de Janeiro; and special assistant to the Under Secretary of State. He was a delegate to the 1944 Bretton Woods conference, which set up the International Monetary Fund and the World Bank, and the following year was a delegate to the United Nations charter conference at San Francisco.

He returned to S&C in 1946 and was made a partner of the firm that year. In 1949, he left the firm again to begin a four-year term of government service as Assistant Secretary of State for Inter-American Affairs under Secretary of State Dean Acheson. Mr. Miller considered Mr. Acheson "the one hero I've had in my life"; his views on Latin America closely agreed with the Secretary's.

Mr. Miller returned to S&C once more in 1953, and stayed until 1958, when he left to become a partner in Lazard Freres & Co. He left Lazard Freres in 1960 to join the firm of Paul, Weiss, Rifkind, Wharton & Garrison. He became a partner of Curtis, Mallet-Prevost, Colt & Mosle in 1967.

Mr. Miller was active in city affairs as well. For his Yale college classmate, Robert F. Wagner, he headed the Mayor's Committee on Puerto Rican Affairs from 1954 to 1956, and in 1961 he was elected president of Puerto Rican Culture Center, Inc., an organization formed to make New Yorkers aware of the fine arts, performing arts and intellectual heritage that are part of the Commonwealth.

Mr. Miller, who had suffered from kidney ailments, died on April 15, 1968 in New York at the age of 56. His marriage of twenty-nine years to Carol Prichitt had ended in divorce six months earlier. He was survived by two daughters, Jane Miller and Mrs. Cyrus Harvey.

He was a member of the Council on Foreign Relations, the Knickerbocker and River Clubs and India House in New York City, and the Metropolitan Club in Washington.

Of his government service, the *New York Times* said that he "was an ebullient, indefatigable and straight-speaking State Department official". In 1950, at a news conference in Guatemala, where a reporter was complaining about the limited Spanish-speaking ability of an American ambassador, Mr. Miller snapped back in fluent Spanish: "I can name you seven Latin-American ambassadors in Washington who speak not a word of English." That same year, when President Juan D. Peron of Argentina solemnly prom-

ised him that Argentina would come to the aid of the United States in the event of war with the Soviet Union, Mr. Miller dryly replied, "But Mr. President, our problem right now is to prevent war."

During this period, Mr. Miller was the architect of a new American policy to help rebuild the Argentine economy. "We hope that once Argentina is on her feet, civil liberties, as we think of them, will be restored," he said, "Meanwhile we've got to do something positive. We're going ahead with it." Before the new policy the United States had steadfastly refused to help the Argentine economy in the hope that the country's need for assistance might persuade dictatorial President Peron to make internal reforms. "You can't condone the horrible conditions under some of the military regimes," Mr. Miller said, "but you can't withdraw your ambassadors either."

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175 **FRANKLIN O. CANFIELD** S&C 1936-1939

Mr. Canfield was born in New York on November 8, 1910, the son of Professor and Mrs. George F. Canfield. He was educated at St. Paul's School, Harvard College (A.B. 1932 *magna cum laude*), and Columbia Law School (LL.B. 1936). The academic year 1932-1933 was spent at the University of Vienna, Austria. Mr. Canfield also attended summer courses at the Universities of Grenoble and Montpelier, France.

In 1936, he joined S&C and practiced in the New York office until January 1939, when he was assigned to the Paris office. "Before going to Paris," he recalls, "I was summoned by Mr. Cromwell, then living as a recluse in his Manhattan house. Although in his dotage, his piercing eyes, bushy eyebrows, Paderewski-like shock of white hair and sharp tongue were awe-inspiring. The Paris office, his own special love, aroused from him intermittent brilliant sallies on its part and future. Only with great difficulty could I finally take my leave.

"Mr. Cromwell had a charming French secretary and confidant, Mademoiselle Renard, a remarkable person and Paris office fixture.

"Sometime after the outbreak of World War II in September, 1939, the Paris office received a letter from J.F.D. announcing its closing because of U.S. Neutrality laws. Although surprised and distressed, we continued as S&C correspondents until the German occupation of France in June 1940.

"My family and I left France on the last American ship, crowded with European refugees. After stops at Lisbon and Galway, and a submarine scare off the Portuguese coast, we reached New York safely."

After leaving S&C, Mr. Canfield assisted Granville Clark, Chairman of the National Emergency Committee, Military Training Camps Association, in putting through the Selective Service Act. Mr. Canfield worked for the S.E.C. Investment Company Division from September to December, 1940, and then joined the National Emergency Committee as its Secretary, and assistant to Grenville Clark in support of the Line Officer plan. Six months as United States Economic Advisor and Vice Consul in North Africa under Robert D. Murphy followed, and then another six months on civilian assignment with the Office of Strategic Services.

In June 1942 he was commissioned a Captain in the U.S. Army, later rising to the rank of Colonel, and until 1945 served as O.S.S. Chief of Planning Section of Special Operations Branch, European Theatre and O.S.S. Representative to Supreme Headquarters. He was awarded the Order of the British Empire, Legion of Merit, and Bronze Star.

Mr. Canfield joined Standard Oil Company (New Jersey) in 1946, and remained with the company until 1966. Under SONJ's holding company system of decentralized management, the office of the "Shareholder Representative" to the operating subsidiaries in a particular area was a crucial link of responsibility for managerial liaison and the protection of investments. Based in Paris, Mr. Canfield had that post for France (1953-1959), for France and Benelux (1959), and for France, Benelux, Austria, Germany, Italy and Switzerland (1959-1964). In 1964 he moved his headquarters to London to serve as Assistant to European Vice President, and then as the Company's Representative for Europe.

Mr. Canfield left SONJ at the end of 1966 to become Representative for North America of the Banque de Paris et des Pays-Bas, from 1967 to 1969. From 1969 to 1972, he was President, IBS Industrialized Building Systems Incorporated.

Mr. Canfield married Hope Brown of New York in 1933. Their daughter, Hope, previously married to Michael J. Ogilvie, is now the wife of Jacques Tétrault, a Canadian lawyer who is a member of the Montreal firm of Courtois, Clarkson, Parsons and Tétrault. Mr. Canfield has two grandchildren: Ian Ogilvie and Anne Tétrault. His son, Maynard Marshall, died in 1957.

Mr. Canfield lives in New York City and Southampton, New York.

Director, Corning Glass International S.A. which became Corning International Corporation (1966-1975); Member, Harvard College Romance Languages and Literatures Visiting Committee (1969-1976); President, the Parrish Art Museum, Southampton, L.I. (1975-1978).

Currently Director, the Distillers Company Limited (Delaware); Member, the Advisory Council and Program Committee, the American Ditchley Foundation; Director and Member, Executive Committee, The France-America Society; Trustee and Member, Executive Committee, South Fork-Shelter Island Chapter, the Nature Conservancy; Director, The Group for America's South Fork; Director and Third Vice-President, the Parrish Art Museum, Southampton, L.I.

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176 **CHARLES W. ALLEN** S&C 1937-1942

Mr. Allen is now a resident of Portland, Maine, where he was born in 1912, and is a partner in the law firm of Pierce, Atwood, Scribner, Allen, Smith & Lancaster in that city. He is a graduate of Bowdoin College (B.A. 1934) and the University of Michigan Law School (J.D. 1937). His years with S&C were as an associate in the general practice group.

Mr. Allen has been engaged in the general practice of law with his current firm and its predecessor firms since December 1945, and has been a partner since January 1948. While his practice has been general, in recent years he has also specialized in municipal bond work, corporate financial matters and estate work.

He married Genevieve (Sukey) Lahee of Dallas, Texas in 1936. They have three children.

"Our son Thomas, a graduate of Bowdoin College and Oxford University, a Rhodes Scholar, is married to Diana (Bell) Allen, and they have two daughters, Gwen C. Allen and Kathleen R. Allen. He is a lawyer in Portland, Maine and a partner in the firm of Drummond, Woodsum, Plimpton & MacMahon.

"Our daughter Ruth, a graduate of the University of Maine, is a secretary living in Washington, D.C. and currently employed by the Lawyers Committee for Civil Rights under Law.

"Our son William, a graduate of the University of Maine, is a teacher, currently employed as a substitute in area schools, and is married to Carol (Mayernick) Allen. They reside in South Windham, Maine."

Served to Lt. Cdr. U.S.N.R., Feb. 1942—Dec. 1945; Member, Portland City Council, 1964-70; Chairman 1965-66, Trustee 1959-, Portland Savings Bank; Treasurer and Trustee, Hebron Academy, Hebron, Maine, 1954-59; Treasurer and Trustee, Bowdoin College, Brunswick, Maine, 1959-67; Member, Board of Overseers, Bowdoin College, Brunswick 1967-76; Trustee, Colby-Bates-Bowdoin Educational Telecasting Corp., 1961-74; Member, Committee of Visitors, University of Michigan Law School, 1967-68; Director, Maine Civil Liberties Union, 1973-76, Treasurer 1974-76; Member, Ex. Committee, Portland SANE, 1970-, Chairman 1971-75; Trustee, Portland Public Library, 1971-; Member 1979-, and President 1980-, Governor's Select Committee on Judicial Appointments.

177 **ALBERT O'B. ANDREWS** (1912-1977) S&C 1937-42, 1946-50

Al Andrews was born on February 17, 1912 in New York City. He graduated from Yale University in 1934 and Harvard Law School in 1937, following which he joined Sullivan & Cromwell. He served as a Lieutenant Commander in the United States Coast Guard in the Pacific in World War II. He was president of the industrial division of the American Standard Co. in New York, and retired a year before his death as a vice president of the Andrew W. Mellon Foundation.

He was survived by his wife, the former Frances Hall, a daughter, Mrs. Sara A. Hill-Wood, a son, Albert Jr., and five grandchildren.

He was a member of The Association of the Bar of the City of New York, the Knickerbocker Club, Wilton Riding Club and the Silver Spring Country Club.

178 **ROBERT L. AUGENBLICK** (1911-1977) S&C 1937-42, 1945-50

Robert L. Augenblick was born in Newark, New Jersey on April 20, 1911. He graduated from Brown University in 1931 and Harvard Law School in 1937, following which he joined Sullivan & Cromwell. After leaving S&C he was a partner in the mid-town firm of Augenblick and Frost. In 1962, he was appointed General Counsel to the Investment Company Institute in Washington, D.C., an S&C client, and later became its President as well.

Mr. Augenblick's first wife, Deborah Delano Allen, died in 1971. On January 13, 1973, he married Elizabeth Rivinus Leydon. Mr. Augenblick died in 1977, survived by his wife and children, Mark, Peter, Drew and Katherine (Mrs. Stephen Somers).

Member, New Hope-Solebury, Bucks County (Pa.) School Board (1948-1965). Served to Major USAAF, World War II; decorated Bronze Star.

Clubs: Excheqeur, International (Washington), Harvard (New York City and Washington).

179 **FRANK J. BERBERICH** S&C 1937-1947

Mr. Berberich was born in 1913 in Woodhaven, N.Y. and graduated from the City College of New York in 1934, where he was a member of Phi Beta Kappa. He spent his junior year at the Sorbonne in Paris on a scholarship from the Institute of International Education.

In 1937, the year he graduated from Columbia Law School, Mr. Berberich joined S&C as a litigator. Among his reminiscences of his years with S&C are: "The joys and travail of working with John Foster Dulles (including scrambling down a tree at home to answer one of his telephone calls of a Saturday afternoon). . . . Evening dinners at Masoletti's before returning to work for the evening, . . the warmth of John Bruton; the commitment of John Dooling; the laughter and smile of Bill Piel; the Bullpen with Bob Augenblick, Al Andrews and Hank de Vries. . . . Learning the art of litigation and negotiation with Dave Peck, Inzer Wyatt and Walter Lundgren. . . . All in all, the remarkable feeling of camaraderie which existed, and the hindsight view of it all as one remarkable learning experience in a post post-graduate education."

Mr. Berberich left S&C in 1947 to join American Radiator & Standard Sanitary Corp. (now American Standard), where he worked with a fellow S&C alumnus, Joseph Grazier, and then succeeded Mr. Grazier as Secretary and General Counsel. Mr. Berberich became general manager for European operations in 1959 and subsequently Vice President.

After his retirement in 1969, Mr. Berberich took on assignments in

Lebanon as a Volunteer Business Counselor for the International Executive Service Corps.

Mr. Berberich married Mary Nora MacDonough, a 1938 graduate of Columbia Law School, in 1941. They live in St. Croix and in France, where they have a country house at Unverre in Eure et Loir.

180 **HENRY P. de VRIES** S&C 1937-42, 1945-48

Born on December 21, 1911 in Willemstad, Curacao, Netherlands Antilles, Henry de Vries received his secondary education in Barcelona, Paris and Amsterdam. He graduated from Columbia University (A.B., 1934, Phi Beta Kappa) and from Columbia Law School (LL.B., 1937).

His practice at S&C, pre- and post-war, was with the general practice and litigation groups. During World War II, he served on the staff of O.S.S.

"My most memorable professional engagements while associated with S&C prior to my U.S. Army service, involved working with John Foster Dulles in the action to recover silver bullion brought by the Bank of Spain against the Secretary of the Treasury in 1938, and with Allen Dulles in the complex series of test cases that developed from our representation of Netherlands interests after German occupation of the Netherlands in May 1940. Following World War II, most memorable was advising and litigating on issues of Inter-Allied Reparations, on recovery of assets looted by German occupation authorities in Western Europe, and releasing assets blocked by the U.S. Treasury.

"My litigation and corporate experience at S&C in matters involving foreign law was invaluable preparation for my later training in law offices abroad and development of expertise in the techniques of presentation of the laws of foreign countries in courts, arbitral tribunals and administrative agencies."

In 1948, he was appointed to the Columbia Law Faculty, where he has taught primarily in international, foreign and comparative law (Western Europe and Latin America) fields. From 1949 to 1952, Professor de Vries was legal adviser to the Netherlands Ministry of Finance. He has continued to advise on matters of transnational law, for a time as a member of the law firm of Hyde and de Vries. In the early 1960's, he joined Baker & McKenzie and participated in the establishment of its New York office.

Mr. de Vries is the author of books and numerous articles on civil law systems in relation to Anglo-American law. He has served on the Library, Foreign Law, International Law and Arbitration Committees of the Association of the Bar of the City of New York. He has been appointed arbitrator in many international preceedings, the most notable as arbitrator appointed by the United States in a dispute with France involving air traffic rights in the Near East.

Professor de Vries, twice a widower, is presently married to the former Kathleen Costigan. His daughter, Diane, is a Vice President in the International Division of Bankers Trust Company.

181 **MACKLIN FLEMING** S&C 1937-1939

Justice Fleming has been on the bench of the California District Court of Appeal in Los Angeles since 1964, following five years of service as a Judge of the Superior Court (the *nisi prius* court of general jurisdiction).

Born in Chicago, Illinois, Justice Fleming is the son of the late Ingram Macklin Stainback, Governor of Hawaii from 1942 to 1951 and Justice of the Supreme Court of Hawaii from 1951 to 1959.

Having received his early education in England and Switzerland, Macklin Fleming attended the Taft School, Yale College (B.A. 1934) and Yale Law School (LL.B. 1937). He received an honorary LL.D. degree from Pepperdine University in 1968.

Following his stay at S&C, where he worked on general research and corporate matters, Justice Fleming went to Washington, D.C. to join the U.S. Government's Bituminous Coal Division of which Abe Fortas was general counsel at that time. Justice Fleming was counsel at administrative hearings on regulation of prices.

In 1941, Justice Fleming began a five-year tour in the U.S. Army, during which he rose from private to captain. He moved to San Francisco in 1946, and entered private practice, handling all phases of general practice, trials and appeals. From 1949 to 1954, he was a member of the United States Attorney's office in San Francisco, starting out as Assistant United States Attorney and becoming, in 1953, Special Assistant U.S. Attorney for the Northern District of California. During this period, Justice Fleming acted as trial counsel in many civil and criminal cases.

A move to Los Angeles and back to private practice followed in 1954, when Justice Fleming joined Mitchell, Silberberg & Knupp as a trial lawyer, handling antitrust cases and general civil litigation in trial and appellate courts, until he went on the bench in 1959.

Justice Fleming and his wife, Polly, live in Los Angeles. They have three children: Penelope Fleming Young, Frances, and Ingram.

Author: *The Price of Perfect Justice*, Basic Books, New York (1974); *Of Crimes and Rights*, W.W. Norton, New York (1978).

Bar Associations, etc: Admitted to practice New York in 1938 and California in 1946; Member, Los Angeles County Bar Assn.; Bar Assn. of the City of New York; American

Bar Assn.; World Peace Through Law Center; Institute of Judicial Administration; Selden Society; Foreign Policy Assn.; International Commission of Jurists; Los Angeles Committee on Foreign Relations.

Other professional experience: Lecturer on legal subjects, University Extension, University of California, 1955 to 1966; Special Counsel, Director of Finance, State of California, 1959; Counsel for American Civil Liberties Union of Northern California in 1954 (proceeding to declare church loyalty oath requirement unconstitutional).

Political affiliation: Democratic; Alternate delegate, Democratic National Convention, 1956; Past president, Associated Democrats, San Francisco.

Miscellaneous: President, Southern California Yale Scholarship Foundation; Past president, Yale Club of Southern California; Past chairman, Yale Enrollment and Scholarship Committee for Southern California; Member, Yale Alumni Board, 1960-1968, 1972-1975; Executive Committee, Yale Law School Association, 1964-1969, 1976 to date; Observer for Los Angeles County Bar Association, World Peace Through Law Conferences: Athens, Greece 1963, Washington, D.C. 1965, Geneva, Switzerland 1967, Bangkok 1969, Belgrade 1971; Trustee, Friends of Santa Monica Mountain Parks Foundation; Past Chairman, Far Eastern Art Council, Los Angeles County Art Museum; Director, Center Theater Group, Los Angeles.

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CHARLES A. BANE

S&C 1938-42, 1946-49

Mr. Bane is a senior member ("by process of survival, not of merit," he too modestly declares) of the Chicago law firm of Isham, Lincoln & Beale — a co-founder of which was Robert Todd Lincoln, son of Abraham Lincoln.

Springfield, Illinois was Mr. Bane's birthplace, in 1913. He came to S&C from the University of Chicago (A.B. 1935), Oxford as a Rhodes Scholar (B.A. in Juris. 1937) and Harvard (LL.M. 1938). One of S&C's numerous lawyer-warriors, he was on leave to the U.S. Navy for almost four years.

Work with June Merrill on the divestiture under the Public Utility Holding Company Act of 1935 of the water properties of the American Water Works & Electric Company system, the first major divestiture of this kind under the Holding Company Act, was a prelude to his 30 years of practice in Chicago as, among other things, counsel to numerous public utilities. A principal highlight of that practice was his representation of Commonwealth Edison Company and a group of 8 or 9 other midwestern and Pacific coast utilities in the electric equipment conspiracies treble damage actions pending in the Federal Courts in the 1960's. "I was also chosen to act as Chairman of the Plaintiffs' Counsel Steering Committee, an *ad hoc* organization of lawyers representing all plaintiffs throughout the country. I have told the story of this litigation in my book, *The Electrical Equipment Conspiracies: The Treble Damage Actions* (Federal Legal Publications, New York, 1973) (working papers and materials in The Archive of Contemporary History, University of Wyoming, Laramie)."

Active in professional matters, he has been Chairman, Board of Editors, American Bar Assocation Journal from 1970 to 1976 and from 1977 to date; Chairman, American Foundation for Temple Bar (1977 to date); National Co-Chairman (with Thomas D. Barr), Lawyers' Committee for Civil Rights Under Law (1977 to 1979).

His extensive activities in civic affairs in Chicago have included: Presidency of United Way of Illinois (1975-1978); Chairman of the Government Affairs Committee, United Way of Metropolitan Chicago (1971 to 1979); Chairman, Affirmative Action Committee, Community Fund of Chicago (1973-1976); Rhodes Scholarship Selection Committee for Illinois (1950-1975); President, United Charities of Chicago (1961-1963).

In the early 1950's he acted as counsel to a committee of the Chicago City Council (known as the "Big 9" or the "Little Kefauver" committee) to investigate the alliance between crime and politics in Chicago. From 1958 to 1961 he was Chairman of the Illinois Advisory Committee to the Federal Civil Rights Commission, appointed by President Eisenhower. From 1958 to 1967 he was Special Assistant Attorney General for the State of Illinois in original water diversion proceedings in the U.S. Supreme Court, argued for Illinois before the Supreme Court in 1959.

Beginning in 1979 Mr. Bane, subtracting to some extent from the time that he devotes to his law firm in Chicago and adding to his time spent at his home in Palm Beach, Florida, has become a consultant to the University of Miami School of Law at Coral Gables, with the title of Director of Continuing Legal Education.

Mr. Bane is the author of the article on Public Utilities in the Encyclopedia Britannica (1964) and of various articles in antitrust and public utility fields; e.g., *Anti-trust Bulletin* and *Public Utilities Fortnightly*.

Member of: American Law Institute (Life Member); American Bar Association (Antitrust Law, International Law, Legal Education and Public Utility Law Sections); Supreme Court Historical Society; International Bar Association (Patron); Selden Society; Chicago Bar Association; Illinois State Bar Association (member, Federal Judiciary Appointments Committee); Association of the Bar of the City of New York; Honorary Life Member of the American Law Library of the Middle Temple.

Mr. Bane and his wife, the former Eileen Blackwell, have four children: Susan (Mrs. Alan Bruce Magary); Janet (Mrs. Charles S. Richardson, Jr.); Peter; and Charles Bane, Jr.; and four grandchildren.

"I will say at this time that the training, discipline and knowledge of the law in action that I acquired at Sullivan & Cromwell in my several pre- and post-World War II years with the firm have proven invaluable in my later professional life, which has been spent entirely in the private practice of law. I particularly appreciated the attitude of the firm

upon my return from the Navy after service in World War II when I found the firm quite tolerant of the gaps that existed in my knowledge of legal developments which had taken place during the period of my military service. I also appreciated the fact that the firm gave to me upon my return from military service the status that I would have had had I stayed with the firm during the period of the war."

183 **LINCOLN C. BROWNELL** S&C 1938-40, 1945-49

Born in Canton, China in 1914, Mr. Brownell came to S&C as a graduate of Yale College and Yale Law School. His work in both the general practice and litigation groups was interrupted by World War II, during which he served as an Air Force pilot and Assistant Military Attaché for Air at the U.S. Embassy, Chungking, China. He is now Colonel, retired, USAF Reserve. His last engagement before going off to war was as "the researcher in the New York office backing up Alfred Jaretzki, Jr. and Richard S. Storrs while they spent weeks in Washington drafting the Investment Company Act of 1940."

Of his experiences after leaving S&C, Mr. Brownell writes:

"In 1952 a friend and I pooled our savings and started Brownell Lane International, Ltd. to export American machinery, electricals and chemicals from New York to Saigon. Soon afterward the French were pushed out of Indo-China so our little firm was able to grow to fill their trade vacuum. It eventually employed some 300 people in the Saigon office, as well as maintaining small offices in Bangkok, Phnom Penh and Vientiane. My partner died suddenly in 1961, and I purchased his half-interest. We moved then from New York to Saigon, where for 14 years life was exciting, rewarding and surprisingly comfortable. We were not involved in the war in a business way, but knew scores of interesting correspondents, diplomats and military people who were. A few days before the Hanoi forces took Saigon my wife and I flew out, and subsequently dissolved and liquidated the American assets of the firm.

"I haven't regretted embarking on that venture, though it meant leaving the practice of law, which I enjoyed immensely, and the many deep friendships at S&C."

Mr. Brownell is married to Mary Hester (née Gaskill) Brownell. They live in Williston, Vermont, where, retired from business activities, Mr. Brownell has been appointed a member of various Burlington City and Vermont State governmental commissions.

The Brownells have three children: Peter C. Brownell, of Burlington, Vermont, who is with General Electric Company; Bartlett G. Brownell, who is with Bank of America in London; and Richard A. Brownell, a lawyer in Burlington, Vermont.

Mr. Brownell had more than his share of the legendary experiences of young lawyers who find themselves alone in the office when the phone rings on a Sunday:

"A few weeks after I started work in the old 16th floor bullpen at 48 Wall the terrible hurricane of 1938 hit the New England coast. The whole of the northeast was chaotic and few communications were yet functioning even around New York City when I happened to be working almost alone on a Sunday morning. The phones rang, and to my amazement the speaker was Arturo Toscanini calling from Italy, asking S&C to find out whether his daughter out on Long Island had survived the deadly storm. After several hours of difficult phone connections on Long Island she turned out to be safe. One of my seniors then made the call to relieve client Toscanini.

"It was on another Sunday morning during my first month in the office when there was no one else there to answer a phone call from an executive of North American Co. He wanted anyone in S&C who picked-up the phone to have some lawyer from the office go down *next* afternoon to meet an incoming liner from Europe bringing Mrs. Harrison Williams, and handle the processing of her seventy-two trunks through customs! 'You know, full of old clothes,' he said. I knew North American was an important client; and everyone knew who Mrs. Harrison Williams (wife of the president of North American) was, — voted year after year as one of the ten best-dressed women in America. My law school courses had taught me nothing about getting ladies' trunks through customs. But clearly one could not refuse a request from North American; so I promised that someone would go. Next morning when I reported to my senior associates they laughed and said I would have to handle it. To my great relief, just before I left for the pier the North American executive phoned again to say that the company was sending someone themselves and S&C need not go."

184 **MARTIN VICTOR** S&C 1938-41, 1946-52

Mr. Victor is a graduate of Harvard College (A.B. 1935) and Yale Law School (1938). He was born in Yonkers, New York, the son of Royall Victor, who was with S&C from 1904 until his death in 1926 and was managing partner of the firm for eleven years. During his years at S&C Mr. Victor worked on general corporate matters and securities transactions, as well as labor law matters after his return from service in World War II.

Mr. Victor left S&C to join the Babcock & Wilcox Company. At the time of his retirement in November 1978, he was Vice President and Secretary of the company. While at B&W he drafted and negotiated contracts for the first nuclear power plants, and participated in B&W's defense against a takeover by United Technologies and its subsequent merger with J. Ray McDermott, Inc.

Active in community affairs, Mr. Victor served as Mayor of Lattingtown, New York from 1960 to 1970, and from 1966 to 1973 headed the group whose activities resulted in Governor Rockefeller's abandoning the Oyster Bay—Rye Bridge.

Mr. Victor, who lives in Locust Valley, Long Island, is married to the former Helene Peters. They have three children, Amy, Helen and Martine, and one granddaughter.

RICHARD A. CABELL

S&C 1939-1944

Born in Big Stone Gap, Virginia, August 4, 1912, the son of Mayo and Clara Cabell, Richard Aylett Cabell received his B.A. degree from the University of Virginia in 1935, and his LL.B. in 1939 from the University of Virginia Law School where he was a member of Delta Psi, the Order of the Coif, and Editor-in-Chief of the *Virginia Law Review*. After law school, he came to Sullivan & Cromwell as an associate, first in the litigation group and later in the general practice group. Principal engagements included the defense of stockholder derivative actions with Jerry Sykes, securities issues for Flintkote and Washington Gas Light, and a variety of legal problems as a member of the client teams for Best Foods, General American Investors and Inco Limited.

Mr. Cabell left S&C in 1944 on the invitation of one of his clients, Inco Limited, first to serve as Vice-President from 1960 to 1967, then as Executive Vice-President from 1967 to 1971, and finally as Vice-President and Secretary from 1971 to 1973. After retirement from Inco Limited he became Counsel to the law firm of Cabell, Martin and Hammer of White Plains, New York until he moved to New Hampshire in 1977.

He served as a Director of the Associated Hospital Service of New York from 1961 to 1972, and as Chairman of the Board from 1965 to 1972. In addition, he was a Director of the U.S. Chamber of Commerce in Washington, D.C. from 1965 to 1969.

Mr. Cabell married Cyane Bemiss Mason on May 11, 1940; they have four children, Frances C. Preston, Richard A. Jr., John Norton and Nicholas. Mr. and Mrs. Cabell now live in Centre Harbor, New Hampshire.

HOWARD T. MILMAN

S&C 1939-1978

After some 40 years with S&C's litigation group, Howard Milman retired from full-time practice on December 31, 1978. With his weapons, as always, sharp and polished, however, he accepts occasional engagements on antitrust and trade regulation matters.

Born in Brooklyn, New York in 1911, Mr. Milman is a graduate of Columbia (B.S. 1930) and Harvard Law School (LL.B. 1933). After six years of private practice in New York City, which included extensive court work, he responded to a call from S&C for his assistance in dealing with the infamous Musica debacle at McKesson & Robbins. He stayed on by popular demand to handle, for the firm, a succession of major stockholders' suits, antitrust matters, accountants' liability cases and other complex matters, and to dispense to several "generations" of young lawyers his apparently bottomless fund of knowledge of antitrust law and trial technique.

Mr. Milman and his wife, the former Charlotte Cohen, live in New York. They have three children: Dorothy (Mermin), Barbara (Laferriere) and Andrew; and seven grandchildren.

"McKesson & Robbins was an apparently very successful company in the drug and liquor industries. Its directors included Wilbur Cummings and Richard Pettingill of S&C, Sidney Weinberg of Goldman, Sachs, and senior partners or officers of prestigious banks and investment banking firms. Unfortunately, much of its publicly reported assets and earnings were pure fiction.

"In addition to a criminal action against the president and others for falsifying the company's books and financial statements, the trustee in the reorganization of McKesson under the Bankruptcy Act sued the innocent directors for $4 million to reimburse the company for dividends paid to stockholders when there was no actual surplus (*i.e.*, assets in excess of the company's capital). A famous decision by Judge Cardozo had established the New York law as requiring the directors to reimburse the corporation for any dividends paid out of capital, and reliance on independent accountants' audit reports was no defense.

"Working with Price Waterhouse to trace back the funds used to pay the dividends, I concluded that an obscure Maryland statute (McKesson was a Maryland corporation) governed the definition of a capital surplus available for dividends, and that McKesson's fictitious earnings did not create legal surplus. Reconstituting the financial statements on that basis reduced the outside directors' liability to approximately zero. The bankruptcy court approved a token settlement by our clients as well as other directors in a similar position.

"Having thus become an 'expert' in corporate stockholders' litigation, I found myself occupied with a number of such cases:

"The directors of Ward Baking Company were also charged by a stockholder with declaring dividends out of capital. I insisted that the plaintiff provide us, pre-trial, with his claims as to the value of each category of assets. He argued that he could produce evidence only as to the aggregate value of all the assets, for which he would use some multiple of earnings. When plaintiff persisted despite an Appellate Division order in our favor, the case was dismissed after a relatively few minutes of trial.

"Other stockholders' suits of the period involved charges that John Foster Dulles participated as a director in the bribery by officers of Union Electric Company of Missouri of state officials charged with supervising such utilities. Newspaper headlines carried that story (and pictures) the same day that Mr. Dulles went to Washington to initiate the non-partisan foreign policy of Republicans and Democrats for the duration of World War II. The case was discontinued after the plaintiffs took Mr. Dulles' deposition.

"For lack of time and space, I offer the following brief mention of some of the S&C lawyers I worked with, and the general subject matter of cases I worked on with or for them over the years:

"Mr. Cummings: McKesson & Robbins; stockholders' suits; Hecker Products.

"Mr. Green: Sears Roebuck (interlocking directorships); McKesson & Robbins; Transcontinental (stockholders' suit).

"Mr. Seligman: Ward Baking Company (with Judge Peck); *U.S. v. W.T. Grant, B.F. Goodrich and John Hancock* (interlocking directorships). Supreme Court held that resignation, when advised of Department of Justice objection, made litigation unnecessary, and dismissed case.

"Mr. Dean: Crown Zellerbach (FTC suit against merger with (failing) competitor). *U.S. v. Container Corporation* (the legality of a practice whereby one manufacturer would, on request, provide to another the price it had most recently quoted or charged to a specific customer). We won in the District Court, where I was lead counsel for the many defendants. However, the Supreme Court reversed (6-3) after argument by former S&C lawyer Edwin Zimmerman, then Assistant Attorney General. *Perlman v. Feldmann* (sale of control block of shares at premium over market price). *U.S. v. ASCAP* (worked out with Justice Department a new formula for dividing ASCAP's royalty receipts among its members).

"Mr. Jaretzki: *Vargos v. Clark:* Recovery from U.S. Alien Property Custodian of value of U.S. company seized by Alien Property Custodian during World War II as allegedly German controlled.

"Mr. Stevens: Worked out a post-war strategy for antitrust compliance program for related (but not controlled) U.S. and foreign companies.

"John Foster Dulles: He was a director of the North American Company, a public utility holding company of which Union Electric Company of Missouri was a subsidiary. Union Electric's officers were convicted of paying bribes to obtain favorable treatment from Missouri Legislature. A convicted vice president (Boehm) sued many of the directors, including Mr. Dulles. After taking Mr. Dulles' deposition before trial, the case was dropped.

"Some of my fondest memories involve Mr. Dulles, whose unique abilities attracted the admiration and devotion of those who worked with him. He would sit patiently through the discussion of a problem, asking few questions and offering few comments; instead, there was the ceremony of lighting his pipe, often a lengthy process. At the end, he would quietly offer his opinion on the subject, and usually the reaction was — 'of course; why didn't we think of that?' The interminable pipe tamping was forgotten.

"I became his special lawyer on such things as: 'You must find some way to keep these newspapers from publishing these falsehoods about me.' I would usually advise that no counter-attack be made, even if there were an effective way to do it, which there never was.

"One of the episodes I best remember occurred the day he went to Washington to put together the World War II non-partisan foreign policy agreement with Secretary of State Cordell Hull. I had been spending the morning at his house on 93rd Street. When his car arrived to take him to Penn Station for his trip to Washington, we walked down the stoop and he stepped into the car. Immediately a process server ran from behind the next stoop and shoved a summons through the car window, while a New York Post photographer ran out from behind still another stoop to photograph the occasion for the evening edition. It took weeks to dissuade him from suing the Post.

"Conclusion: I enjoyed my 40 years with S&C."

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187 **JOHN R. RABEN** (1917-1975) S&C 1939-42, 1945-75

A native New Yorker, Mr. Raben graduated in 1936 from Columbia College and received his law degree in 1939 from Yale University. His entire professional career was with Sullivan & Cromwell; it was interrupted in 1942 by World War II, during which he served briefly in the office of Under Secretary of the Navy and then at sea as an officer on the destroyer Edison.

Mr. Raben rejoined Sullivan & Cromwell in 1945 and became a partner in 1949. He was counsel to investment banking and accounting firms and associations. When the Financial Accounting Standards Board was established in 1973 as the rule-making apparatus of the accounting profession he became its counsel. He was also counsel to the industry task force that helped draft the Securities Investors Protection Corporation Legislation. He was a leader within the firm as well, giving generously of his energies to its administrative tasks.

He died in New York on September 14, 1975. A teaching fellowship has been established in his name at the Yale Law School, where he acted as Vice Chairman of the Law School Fund. He was survived by his wife, Constance (who died in 1980); his daughter Kathleen (Mrs. Richard J. Castiella); his son, John, Jr.; his parents, Mr. and Mrs. M. A. Raben; a brother, Robert; and four grandchildren.

In addition to his activities for his law school, Mr. Raben's public service positions included: Chairman, John Jay Associates and Chairman, Columbia College Fund, Director, Heart Fund Association of New York, Solicitor for Legal Aid Society and Visiting Nurse Society of New York. He was a member of the American and New York State Bar Associations, The New York County Lawyers Association, the New York Law Institute and the Association of the Bar of the City of New York — upon which he served as a member of its Bankruptcy Committee, Committee on Increased Membership, and Committee on Post-Admission Legal Education.

One of Mr. Raben's younger partners, John F. Cannon, adds:

As thus briefly chronicled, the professional life of John R. Raben appears, as it truly was, rich in accomplishment and recognition. But no chronicle of any length could capture how potent a figure John's character, intellect and spirit made him with his clients and those with whom he dealt on behalf of his clients, and with his partners, associates and other co-workers at S&C. He pursued his clients' interests — never hesitating to tell them where he thought their interests really lay — with a forcefulness and zeal made quite irresistable by the speed and brilliance of his mind and by his passion for perfection in his practice of the lawyer's art. Clients had boundless confidence in John and transferred it readily to any lawyer he introduced to them as his colleague. No client expected you to be equal to him, just worthy of him. What a daunting standard that was! It oftentimes seemed the more unattainable because John's pedagogical style — Socrates all flint and fire — was itself so intimidating. But he loved to teach, worked tirelessly at it, and gloried in his students' successes and in the affection with which they eventually rewarded his efforts on their behalf.

For all of John's intensity and rigor as a lawyer, he never confused anyone for very long about what the man was like; warm and thoughtful, generous and forgiving. And in spite of the strength and confidence he projected, there was a boyish element, a shyness, in him, a paradox with roots, one judges, in his awareness that his great gifts were, after all, gifts.

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188 **HADLAI A. HULL** S&C 1939-1941

Hadlai Austin Hall was born in New London, Connecticut in 1914. He attended Yale University, receiving his B.A. in 1936 and his LL.B. in 1939. The September following graduation from law school found Mr. Hull working for Sullivan & Cromwell, where he remained until 1941 when he left to serve in the Navy as the commanding officer of destroyer escorts.

After spending almost five years in the Navy, three and a half of which on sea duty, and discharged with the rank of Commander, Hull moved to Minneapolis. He spent ten years in paper manufacturing and sixteen years in retailing, in both instances serving as the chief financial officer. He engaged, he writes, "in the usual number of civic activities, principally in the field of art and education and led an outdoor life."

In 1971 he moved to Washington to become an Assistant Secretary of the Army, and served there for six years prior to becoming a volunteer full-time worker, as Director of Finance, for the Nature Conservancy, an organization dedicated to acquiring and preserving ecologically important land.

Mr. Hull and his wife, Anne, live in Washington, D.C.

In 1946-55, Secretary and Treasurer, Minnesota & Ontario Paper Co.; 1955-71, Senior Vice President and Director, Dayton Hudson Corporation; 1971-73, Assistant Secretary of the Army (Manpower); 1973-1977, Assistant Secretary of the Army (Financial Management).

Director, Northwest National Life Insurance Co.; Member, Trust Committee, First National Bank of Minneapolis; Director, Loomis Sayles Mutual Fund and Capital Development Fund; Chairman, Minneapolis Society of Fine Arts.

189 **ARTHUR A. BALLANTINE, JR.** (1914-1975) S&C 1939-1940

Arthur Atwood Ballantine was a lawyer, government official and journalist. Born in Boston in 1914, Mr. Ballantine was graduated from Harvard in 1936 and from Yale Law School in 1939. He came to Sullivan & Cromwell immediately following graduation, but left in 1940 when he was named assistant to Nelson A. Rockefeller who at that time headed the Inter-American affairs department in the State Department.

During World War II, Arthur Ballantine served as a Navy lieutenant and participated in the invasions of Sicily, Salerno, Anzio and Southern France.

In 1946, he joined the Minneapolis Star and Tribune as a reporter. He was later the statehouse reporter and assistant to the publisher of the newspaper. On July 26, 1947, Ballantine married Morley Cowles Gale in Minneapolis.

The Ballantines moved to Durango, Colorado, in 1952, and put together, by a merger of faltering periodicals, the Durango Herald, a daily newspaper for southwestern Colorado. Mr. Ballantine served as editor and publisher of the Durango Herald until his death in 1975, on which Governor Richard Lamm of Colorado commented, "He set an example of excellence we all would do well to follow. He was a tremendous force in the Colorado newspaper industry — a fine, talented man. . . . His death is a great loss to Colorado."

He was survived by his widow; four children, Richard Gale Ballantine, William Gay Ballantine, Elizabeth Ballantine, and Mrs. E. J. Healy; a sister, Mrs. John Ferguson; and a brother, John V. Ballantine.

He was a member of the President's National Advisory Council on Innovation in Public Education for four years and chairman in 1973. He was also chairman of the state advisory group for the project. He served as chairman of the Colorado Associated Press in 1957-58; chairman of the Colorado Advisory Commission on Civil Rights from 1958 to 1962; and was a member of the Overseers Committee to visit Harvard from 1953 to 1961, and of the Alumni Association's Committee to nominate overseers.

He was director of the Durango Public Library; trustee of the Helen Thatcher White Foundation; chairman, San Juan Basin Boy Scouts, 1958-1959; and was a trustee of Concord Academy in Massachusetts from 1964 to 1970.

He was director of the Harvard Alumni Association from 1961 to 1964 and was a member of the Century Association, the River Club, The Sons of American Revolution, and Sigma Delta Chi, society of professional journalists.

He was a recipient of the Distinguished Service Award, Regents of University of Colorado in 1961. In 1957, 1958 and 1961 he received from the University of Colorado the Crossman Trophy for Editorial Writing, as well as receiving two citations from the Associated Press Managing Editors Association.

190 **DICK CUTTER SMILEY** S&C 1940-1943

Mrs. Smiley was born in Augusta, Georgia in 1914. She attended the University of California and graduated from Northwestern University (A.B. 1936) as a member of Phi Beta Kappa. She received the J.D. degree in 1940 from Northwestern Law School, where she was elected to the Order of the Coif.

During her three years with S&C, Mrs. Smiley practiced in the trusts and estates group. She left S&C to join her husband, who was with the Navy, in Washington, D.C., and there worked in the Department of Justice (Claims Division) until the birth in 1944 of the first of her four children.

Mrs. Smiley is retired from the practice of law, but has been an active member of the School Board and the Library Board of Chappaqua, New York, and the Board of American Schools of Oriental Research in Cambridge, Massachusetts.

Mrs. Smiley is married to Donald B. Smiley, who is Chairman of R. H. Macy Company, Inc. They reside in Greenwich, Connecticut. Three of her children have continued the legal tradition: Margot Humphrey, Sandra Weiksner, and Stafford Smiley are lawyers; Daryl Byer is a veterinarian. Mr. and Mrs. Smiley also have four grandchildren: Kate Humphrey, Michael and Nicholas Weiksner, and Megin Smiley.

191 **JAMES T. HILL, JR.** (1916-1978) S&C 1940-42, 1947-50

James Tomilson Hill twice left S&C for government service during wartime emergencies, and continued his career, until his untimely death at the age of 63, as a business executive with several major concerns.

He was born in Salt Lake City, and attended Stanford University, where he was graduated with honors. In 1940 he was graduated cum laude from the Harvard Law School and began practice with S&C. He left in 1942 for service during World War II as general counsel of the Department of the Air Force, and later as general counsel of the Navy Department. Returning to S&C after that war, he left again for service during the Korean conflict as Assistant Secretary of the Air Force.

In 1954, after his government service, he became a general partner in the private capital investment concern of William A. M. Burden & Co. In 1960 he was elected to the board and executive committee of The Interchemical Corporation, a chemical processing concern manufacturing printing ink, industrial finishes and other chemical coatings; in 1965 he was elected executive vice-president, and then from 1966 to 1969 served as president of that concern. He subsequently was president of the Contractors Mutual Association. He had been, in addition, an executive with Alcan Aluminum, the Itak Corporation, and the Vanguard Group.

Mr. Hill was a trustee of Wellesley College, a trustee of the Committee for Economic Development, and a member of the Council on Foreign Relations.

He was survived by his wife, Dorothy Helen Hill; a daughter, Mrs. James G. Cole, and a son, James T. Hill III.

192 **ROY L. STEINHEIMER, JR.** S&C 1940-1950

Roy Steinheimer is Dean of the School of Law and professor of law at Washington and Lee University in Lexington, Virginia.

Dean Steinheimer was born at Dodge City, Kansas in 1916, the son of Roy Lee and Nettie Elizabeth (Fetrow) Steinheimer. He was graduated from the University of Kansas (A.B. 1937, Phi Beta Kappa) and from the law school of the Univeristy of Michigan (J.D. 1940, Order of the Coif). Ten years of energetic practice with S&C's litigation group preceded his return to the University of Michigan as a law professor.

"The practice skills and techniques I learned at S&C have enhanced my capacities as a teacher of law. I have found the academic life most rewarding. There is a cumulating satisfaction over the years in having played a part in the preparation of young people to assume leadership roles in the profession, in government and in the judiciary. And the academic life style isn't too bad. Time to own and operate a farm, accumulate almost 4,000 hours as a private pilot, enjoy the thrills of soaring as a certificated glider pilot as well as the fun of coastal cruising in a sailboat."

He married Jane Powell Patchett of Philadelphia in 1949.

Forum chairman, White House Conference on Children and Youth, 1970. Member: American, Michigan, Virginia Lawyer-Pilots bar associations; American Law Institute; Delta Sigma Rho; Phi Kappa Psi; Phi Delta Phi.

In addition to contributing numerous articles to professional journals, he is the author of: *Cases on Banking*, 1956; *Michigan Negotiable Instruments Law and the Uniform Commercial Code*, 1960; *Michigan Sales Law and the Uniform Commercial Code*, 1962; (with Aigler) *Cases on Bills and Notes*, 1962; *Desk Reference to the Uniform Commercial Code*, 1964; *Uniform Commercial Code Forms*, 2 vols., 1967. Editor: *Communications Media*, 1955.

Home: 6 University Place, Lexington, Virginia 24450.

193 **MacDONALD DEMING** S&C 1940-1942

Mr. Deming is a partner in the firm of Haight, Gardner, Poor & Havens in New York City.

Born in New York City in 1915, he attended Andover, graduating at the head of his class, Harvard (A.B. 1937, magna cum laude; Phi Beta Kappa), and Yale (LL.B. 1940; Comment Editor of the Law Review).

194 **THEODORE O. ROGERS** S&C 1940-1942

Ted Rogers is now a Judge of the Commonwealth Court of Pennsylvania (roughly comparable to New York's Appellate Division).

Born in West Chester, Pennsylvania, in 1916, Judge Rogers is a graduate of the University of Pennsylvania (A.B. 1937) and of its Law School (LL.B. 1940). After an energetic eighteen months with the office, he became one of S&C's many losses to the needs of the country in World War II.

"Although like most people of my generation I was outraged by the Nazis and Japanese and anxious to be a military man, I truly regretted leaving S&C in 1942 to join the Navy. For a number of purely personal reasons which seemed compelling at the time, I decided four years later that I should go back to my home town, West Chester, Pennsylvania. I practiced law there until January 1971 when I was appointed to my present position by Governor Shafer. I was elected to a full-term in November 1973.

"Gladys Bond and I were married in September 1948. Our children are: Mary M. Rogers (a Pennsylvania lawyer), Gladys Anne Buck (a Westchester County, N.Y. lawyer), and Theodore O. Rogers, Jr., Harvard Law '79, who I am delighted to record has been engaged by S&C as an Associate."

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F. HODGE O'NEAL

S&C 1941

Now Madill Professor of Law at Washington University School of Law in St. Louis, Missouri, Professor O'Neal has spent much of his career in academia.

He held previously the position of Dean at both Mercer University School of Law (1948-1956) and Duke University School of Law (1966-1968). Before his Mercer engagement, he was professor of law at the University of Mississippi. Between the Mercer and Duke engagements, he was professor of law at Vanderbilt University in Nashville, Tennessee.

Professor O'Neal was born in Rayville, Louisiana, in 1917. After graduating — first in his class, on both occasions — from the college and the law school of Louisiana State University (A.B., 1938; J.D., 1940), he was a Sterling Fellow at the Yale Law School (J.S.D., 1949) and at a later time took another degree at Harvard (S.J.D., 1954).

His career with S&C was cut short by Pearl Harbor and his ensuing service as a lieutenant in the U.S. Navy.

In addition to numerous articles in legal and business periodicals, including *Harvard Law Review, Harvard Business Review* and *Law and Contemporary Problems*, Professor O'Neal has published the following: *Oppression of Minority Shareholders, "Squeeze-Outs" of Minority Shareholders, Expulsion or Oppression of Business Associates* (Callaghan & Company, 1975); *Close Corporations: Law and Practice*. 2 vols. (Callaghan & Company, 1958, 2d. ed. 1971); *Expulsion or Oppression of Business Associates: Squeeze-Outs in Small Enterprises* (with Jordan Derwin, Duke University Press, 1961); *The Drafting of Corporate Charters and By-Laws* (with Kurt F. Pantzer, Philadelphia; Committee on Continuing Legal Education, 1951)

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LOUIS S. AUCHINCLOSS

S&C 1941, 1946-1951

Louis Stanton Auchincloss, lawyer and novelist, is a partner in the New York City law firm of Hawkins, Delafield & Wood, of which he has been a member since 1954.

Born in 1917 in Lawrence, New York, son of the late J. Howland and Priscilla Stanton Auchincloss, he attended Groton School and Yale before receiving his LL.B. in 1941 from the University of Virginia Law School. He had barely begun practice — five months — with S&C's estate and personal practice group, when he was called up to serve with the United States Naval Reserve in the World War II years 1941 through 1945, attaining the rank of Lieutenant Senior Grade. His service in the Caribbean during that period was some of the grist for his creative milling of his first published novel, *The Indifferent Children* (1947).

He resumed his estates practice with S&C after the war, but resigned in 1951 to devote his time wholly to creative writing. That experiment evidently satisfied him that there was symbiotic value in the dual career, and in 1954 he joined the Hawkins, Delafield firm. Since then, he has practiced law, written for publication prolifically, and served as the ardent and indefatigable President of the Museum of the City of New York, whose artifacts evoke periods of New York history mirrored in some of his writings.

His latest novel, *The Country Cousin,* published in 1978, was his fourteenth. He has also written six collections of short stories, as well as collections of essays, two biographies and his memoirs. Much of his fiction has scrutinized lawyers and their clients.

Mr. Auchincloss married Adele Lawrence. Their children are John Winthrop, Blake Leay, and Andrew Sloane.

Honorary degree, Doctor of Letters, New York University, 1974.

During his student days, Mr. Auchincloss was an editor of the *Yale Literary Magazine* and of the *University of Virginia Law Review*, and a member of Phi Beta Kappa.

Novels: *The Indifferent Children* (under pseud. Andrew Lee) 1947; *Sybil*, 1952; *A Law For The Lion*, 1953; *The Great World and Timothy Colt*, 1956; *Venus in Sparta*, 1958; *Pursuit of the Prodigal*, 1959; *The House of Five Talents*, 1960; *Portrait in Brownstone*, 1962; *The Rector of Justin*, 1964; *The Embezzler*, 1966; *A World of Profit*, 1968; *I Come As A Thief*, 1972; *The Partners*, 1974; *The Dark Lady*, 1977; *The Country Cousin*, 1978.

Collections of Short Stories: *The Injustice Collectors*, 1950; *The Romantic Egoists*, 1954; *Powers of Attorney*, 1963; *Tales of Manhattan*, 1967; *Second Chance*, 1970; *The Winthrop Covenant*, 1976.

Collections of Essays: *Reflections of a Jacobite*, 1961; *Pioneers and Caretakers, A Study of Nine American Women Novelists*, 1965; *Motiveless Malignity (on Shakespeare)*, 1969; *Reading Henry James*, 1975; *Life, Law and Letters*, 1979; *Persons of Consequence (Queen Victoria and her Circle)*, 1979.

Biographies: *Edith Wharton*, 1971; *Richelieu*,1972.

Memoirs: *A Writer's Capital*, 1974.

Former Trustee: Knickerbocker Hospital; New York Society Library; Knickerbocker Club; St. Bernard's School; Administrative Committee of Dumbarton Oaks Research Library, Washington, D.C.

Trustee: Josiah Macy, Jr. Foundation; Century Association.

Member: Executive Committee, Association of the Bar of the City of New York; National Institute of Arts and Letters.

197 **ROBERT J. McDONALD** S&C 1941-42, 1946-

"Robert James McDonald was born on July 15, 1915 in Waterbury, Connecticut; this was a very significant date because, if legend is correct, July 15th is St. Swithen's day and, as the legend goes, if it rains on that date, it will continue to rain for forty days and forty nights, the presupposed duration of the rain resulting in Noah's great flood. Luckily, on July 15, 1915 it did not rain, and Bob continued to be blest thereafter.

"Bob's parents, Edward J. and Winifred W., were both Irish Catholic Democrats, although his mother's great heritage was tainted both by French blood and some Republican ancestors. His mother managed to overcome these impediments, however, and went on, after a volunteer's career in Connecticut politics, to become the first woman Secretary of State in Connecticut, under Chester Bowles. Bob's father was until about the time Bob was born a newspaper man, but then became a lawyer practicing in Waterbury.

"After graduation from Crosby High in Waterbury, Bob spent one year in Williston Academy, after which he worked for a year at various jobs because the great depression was sufficiently relentless to prevent his going to college. However, after one year of working, he went to Cornell.

"College was different in those days from college today, and Bob spent as much time earning his own living as pursuing his studies. He wrote for the New York Herald Tribune (usually cryptic notes on sports events); he waited on tables; he tended bar (after prohibition was repealed); he tutored; he was a desk clerk at the Student Union building. In his junior year, he was appointed a lab instructor in accounting, but, much to his dismay, one week before school started the professor had a heart attack. It being so late, the dean of the School of Hotel Administration asked Bob to teach the course, which he consented to do. And so during his junior and senior year he taught accounting. He also became desk manager at the Student Union building as well as taking over the football program concession, tutoring students and doing various other jobs. He continued to play varsity basketball and golf. It all caught up with him, however, in the Spring of his senior year when he entered the infirmary completely exhausted.

"Before he was graduated, Bob decided that he could earn enough or receive sufficient finanacial aid to pursue his desire of becoming a lawyer; therefore, he entered Cornell Law School in 1938. During his law school days, he was the assistant basketball coach, continued the football program concession and tutored students. He made Law Review. After graduation, he started at S&C in August, 1941 in the Tax Department.

"The most significant event in Bob's life to that point was meeting another Cornell undergraduate, Kathryn A. Austin. They were married on July 5, 1941, and it has been a continuing happy event for them ever since.

"Bob left S&C in March, 1941 and returned to S&C in March, 1946 after a quiet career in the U.S. Navy. His return to S&C was delayed somewhat because he was declared essential by the Navy (a fact which astonished Bob), but he could not be mustered out until a replacement was found. This became most discouraging, but finally one bright winter morning in Washington, Bob suggested to Kay that she apply for the job; she did so and was hired. Much to her chagrin, that happy event for Bob left her in Washington while he returned to New York. But events turned out most satisfactorily because the Navy bureau (Special Devices) shortly thereafter transferred to Sands Point, Long Island.

"After his return to S&C while still an associate, Bob not only practiced tax law but for several years (1946 until about 1950) he was the firm's immigration and naturalization expert in the sense only that he was the only one in S&C who did that work. This period was an exciting time in that area with the influx of Europeans from a devastated Europe. His most notable exploit in this respect was to shepherd a private bill through the Congress allowing a former Russian chargé d' affaires to become naturalized as an American citizen. Also during this period, following the tradition established by Norris Darrell, Sr. that a good tax lawyer must be a well rounded lawyer, Bob began to learn something about corporate law. He also wrote some tax articles, taught for several years in the evening at the Practicing Law Institute, participated in forums, and commenced bar association work.

"Bob was assigned in December, 1950, with John Raben to represent Lambert & Co., Lazard Freres, and Model Roland & Stone in the now famous acquisition of The Matador Land & Cattle Company, a huge ranching complex in Texas, owned primarily by Scottish trusts. Bob frequently commented that this job led to his becoming a partner for the reason that it established his outstanding physical stamina. From early December, 1950 through late August, 1951, Bob worked every day and most nights except for about four days; with each passing day, the transaction became more and more complex so that it was

virtually impossible to solicit additional help because to explain the transaction would take more time than to do the work. John worked these same hours, but he finally collapsed in July, 1951. Bob, with the persistent help of George Ames at Lazard, continued to work these hours in order to close the transaction in late August, 1951 at which time over six hundred agreements of various shapes and sizes were executed.

"Bob was made a partner on January 1, 1952. Thereafter, he succeeded Norris Darrell, Sr., as head of the tax department, and later became a member of the Committee. He continued to write many articles in the tax field, including 'How to Save Taxes When Paying Dividends', 'Traveling and Entertainment Expenses', 'Goodwill and the Federal Income Tax', 'Section 367 — A Modern Day Janus', 'Deferred Compensation — Conceptual Astigmatism', 'Interest Expense Under H.R. 13270 — A Schizophrenic Deduction', 'Inventory Costing — Proposed Regulations', 'Inflation — Concepts of Income and Tax Reform', and 'Fringe Benefits — The Unraveling of the Fringe'. He also wrote one book for the Practicing Law Institute, *Corporate Taxation*. Lectures and speeches were also numerous. He worked for the Association of the Bar of the City of New York, and became Chairman of the Tax Section. He labored for the New York State Bar Association where he was head of various subcommittees for the Tax Section, Chairman of the Tax Policy committee and on its Executive Committee. In the American Bar Association, he became Chairman of the Foreign Tax Section. In addition, he worked diligently on federal tax legislation, principally on the 1954 tax code, the tax law of 1964 which included the notorious Sub-part F of the Internal Revenue Code, and the Foreign Investors Tax Act of 1966. He also served on the Presidential Task Force on Taxation of Lyndon Johnson, and, to lend political balance along with Leonard Silverstein to all of the Republicans, on the Presidential Task Force on Taxation of Richard Nixon.

"Bob continues to serve on the Board of Directors of Cluett Peabody (Arrow shirts etc.), his cooperative apartment at 580 Park Avenue, and several private companies. Before it was acquired by British interests, he was on the Board of Grand Union. He has served for many years on the Board of Trustees of Cornell University, and on its Executive Committee. He is also on the Board of Overseers of Cornell Medical School. For years he was on the Council to the Cornell Law School and served as its Chairman for almost ten years.

"During this period, Kay continued her progress and became, first, head of personnel and labor relations of Macy's New York, and then head of personnel and labor relations for Helena Rubinstein. In

the fall of 1960, being eager to give something back to the community which had done so much for the McDonalds, after twenty years of marriage she became a student again at Columbia Law School, becoming the grandmother of the Class of 1963. After her graduation, she went to work for the Children's Rights Division of Legal Aid, Manhattan, and eventually became head of that office. In 1977, although she had never become a registered Democrat, much to Bob's disappointment, she was nevertheless appointed by Mayor Abraham Beame to the Family Court bench where she continues to serve with great distinction.

"Ann too has followed her heritage. She went to Brearley, then to Harvard (in three years) and then to Columbia Law School. She made Law Review and decided to come to 'Wall Street'. Although pursued by many firms, including S&C, she showed wisdom* by going to Davis Polk where she is now a litigator working too hard. She is also an accomplished musician (piano) having graduated also from Diller-Quaile Music School; applying these talents, she directed, and participated in, the 'Rites of Spring' during her stay at Columbia Law School, and since that time has been laboring to complete the music for what hopefully may be a produced play.

"Bob has frequently commented that 'The law has been a marvelous profession for all of the McDonalds; it has provided us with not only material rewards far in excess of our expectations, but a fulfillment which would have been difficult in any other profession. Each of us is grateful to the law, and more particularly we are profoundly grateful to S&C for all of its benefits, and they are numerous, but none more so than the truly wonderful and stimulating relationships'."

Compilers' note: Presumably the "wisdom" was in *not* coming to S&C, where she would have had the distracting added burden of trying to keep her father in line.

198 **RICHARD G. POWELL** S&C 1941-42, 1945-

"Born in Rochester, New York, a life-long resident of the New York City metropolitan area, a graduate of Andover, Harvard College and Columbia Law School, I came to Sullivan & Cromwell on the eve of World War II in August of 1941. By that time I had already had the good sense and fortune to marry a law school classmate, DonEden Olsen, who started her legal career at the same time with a major oil company. When I entered the service a year later, she first continued her practice in New York and subsequently joined me in northern Florida where she was accepted as a westerner from Seattle and therefore not a damn Yankee. Courtesy of Uncle Sam, I spent more than a year in the China-

Burma-India theatre, visiting each of those countries and finding myself in Chungking, China on V-J Day. The small contingent with which I was associated and which engaged in special communications between Washington and field headquarters included such office stalwarts as Inzer Wyatt, Fred Colclough, Bob Augenblick and Karl Harr.

"Back at 48 Wall by the end of 1945 the pace was fast and furious as clients shifted into peacetime high gear. Starting with securities issues on the investment banking side, my practice soon broadened into commercial banking and clearing house activities as part of a two man team with Curtis Pierce. The clearing house work in particular has grown over the years and continues to absorb a good deal of time. An early and memorable milestone in my career was reached under a remarkable team of mentors — Art Dean, Norris Darrell and George Sharp — in advising our Belgian client, Solvay et Cie., on the anti-trust, tax and financing aspects of its entry into the United States market.

"During and after World War II, the Firm had close relations with the Netherlands Government which led to our participation through Allen Dulles and Joe Broderick in the major effort to recover securities looted from the Dutch by the Nazi forces. For over ten years we pursued judicial remedies in the U.S. courts and administrative remedies throughout the world. At the same time Inzer Wyatt was successfully concluding major litigation on behalf of the Stockholms Enskilda Bank. His resulting close relationship with the Wallenbergs has grown into our representation of many Swedish enterprises, starting in 1955 with the Firm's involvment in the LAMCO iron ore project in Liberia which still continues almost twenty-five years later. The scope of my work for foreign clients and the necessity of being substantially self-sufficient on frequent trips abroad took me also into the field of tax advice — in fact for a period of years I was a member of the tax group as well as of the general practice group.

"In retrospect, for me the joys and satisfactions of thirty-eight years of practice at S&C find their ultimate source in the friendships within the Firm and among clients with a unique, diverse and thoroughly engaging group of highly competent professionals and the unlimited opportunities which the Firm has always afforded to try one's talents in as many different areas of the law and business as one's inclinations might suggest."

"Born January 7, 1918; married April 30, 1941. Our two daughters, Karen born in 1944 and Christine born in 1946, have both married and Karen and her husband have two daughters and one son ages 5 to 12. Karen is a qualified elementary school teacher while Christine is a graduate of Union Theological Seminary and an ordained Presbyterian minister.

"I have been active all my life in the Presbyterian church both locally and on various

national commissions, including fifteen years as a member of the Board of National Missions and its finance committee.

"Member of the American Bar Association, American Law Institute, American Society of International Law, Association of the Bar of the City of New York, and the New York State Bar Association where I was the first chairman of the newly combined Committee of Banking, Corporation and Business Law. Director of various public and private companies."

Residence at 555 Park Avenue, New York, N.Y. 10021.

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ROY H. STEYER

S&C 1941-42, 1946-

"I was born and raised in Brooklyn and went to its public schools, which provided first-rate academic training and the basis for scholarships at Cornell University at a time when the effects of the Great Depression persisted. At Cornell I was elected to Phi Beta Kappa, engaged in cognate activities such as debating and writing for the journal of opinion, and was on the fencing team. My weapon for three years was the foil, with which hits are scored by the point of the blade. In my senior year I also competed with the sabre at my coach's request because, apart from my advantage in fencing from the wrong (i.e., left-handed) side, too many college sabremen overlooked in the heat of competition that you can score with that weapon's point as well as its cutting edge — a technique that is frequently helpful in practising law.

"Having the boon of advice from, among others, my older brother who was then at Harvard Law School, I went on to Yale Law School, where I was Editor in Chief of the Law Journal in the class of 1941. I joined Sullivan & Cromwell in September 1941 as a litigator, by assignment to that developing group, and left in June 1942 for a four year-plus stint with the Navy, most of it in Washington with O.N.I. and the Joint Chiefs of Staff and the final eight months, still in uniform as a Lieutenant USNR, as a lawyer on Mr. Justice Jackson's staff at the main Nuremberg trial.

"Soon after return to S&C in September 1946, I was embarked with Art Dean, Bill Piel and several younger litigators on the preliminaries of the *Investment Bankers* case, during the trial of which I was allowed a Friday off to get married in Minneapolis and have a honeymoon. Mr. Dean still considers that I got four days off, but he takes no account of weekends and national holidays!

"The Investment Bankers case was in its final (and successful) stage when Mr. Dean was retained to represent Exxon in the Grand Jury investigation which developed into the international oil case, and I moved into that as well. The succeeding years have been an amalgam of cases involving multiple aspects of the antitrust laws, trademarks,

derivative actions, the securities laws, appraisals under state laws, and commercial contracts.

"My wife Marnie had come East to Wells College and stayed in New York to become a pioneer producer of television newsreels. After marriage and raising our three sons to school age (with sound daily instruction by her in baseball and football), she went back to school for a Masters from the Bank Street School of Education, obtained her teacher's license, and has for many years headed two School Volunteer programs in Manhattan high schools. Our sons, carefully avoiding the halls of higher learning I had inhabited, have fully earned their scholastic keep. Hume went to the University of Pennsylvania and, with no older brother to advise him, to Harvard Law School, class of 1978; he is in his second year at Hughes Hubbard & Reed. Jim was graduated from Stanford in 1978 and spent a year in Korea with Save the Children; following extensive travel in Asia and Europe, he will enter Stanford Law School in the fall term 1980. Tom was graduated from Yale in 1979 and started at Morgan Stanley in September.

"In writing this account and reflecting on my experience here, I am reminded of a unique quality of practise at Sullivan & Cromwell: throughout the years the older lawyers have made it part of their function not merely to call upon but to train the younger lawyers in order that our 'tradition of excellence, dedication and integrity' (see *A Century at Law*, p. 66) may endure."

Born Brooklyn, N.Y., July 1, 1918; son of Herman and Augusta Steyer. A.B. (with Distinction in Government and General Studies) Cornell University, 1938; LL.B. cum laude Yale University, 1941. Married Margaret Fahr, Feb. 21, 1953; children: Hume R., James P., Thomas F. Admitted to N.Y. bar 1941; U.S. Supreme Court 1951; various Federal Courts commencing 1947. Associate Sullivan & Cromwell 1941-42, 1946-52; partner 1953-. Member American College of Trial Lawyers, various bar associations; Chairman (1959-62), Committee on Antitrust Problems in International Trade (Antitrust Laws Section) of A.B.A.; Chairman (1962-64), Committee on Trade Regulation of Association of the Bar of the City of New York; Director (1972-78), New York County Lawyers Association. Chairman (1957-59) Yale Law School Fund; Member Executive Committee, Vice President, Yale Law School Alumni Association (1957-67). Trustee (1974-78) N.Y.C. School Volunteers, Inc. Order of the Coif, Phi Beta Kappa, Phi Kappa Phi. Member, Century Association, The Broad Street Club, Yale Club of New York. Residence: 112 East 74th Street, New York, N.Y. 10021.

200 **BERNARD C. RANKIN** S&C 1941-1942

B. Courtney Rankin is now a Consulting Partner with the firm of Dickinson, Wright, McKean, Cudlip & Moon in Detroit, Michigan.

He was born in Boston in 1914. He took his undergraduate degree at Yale in 1936, studied at Oxford (1936-7) and Harvard Law (1937-9), and took his law degree at Yale in 1941. After admission to the New York bar and a year with S&C, followed by eight months in Washington, drafting ships and machinery contracts for the Navy Department's Bureau of Ships, he went to Detroit to take the Michigan bar examination and enter practice there.

Member: Phi Beta Kappa; Lincoln's Inn Society; Corbey Court; Detroit, Michigan and American bar associations; State Bar of Michigan (Chairman, Taxation Section, 1962-3), American Judicature Society.

201 **GRAY THORON** S&C 1941-42, 1945-48

Gray Thoron is a Professor of Law at The Cornell Law School.

Professor Thoron was born on July 14, 1916 in Danvers, Massachusetts. He attended Harvard College, where he graduated in 1938, receiving an A.B. cum laude. He then enrolled in Harvard Law School where he served as Vice President of the Legal Aid Bureau and in 1941 received his Law School degree, cum laude. Important engagements in his career as an S&C litigator, in state and federal courts and before federal regulatory agencies, included his participation in the administrative proceedings and litigation involving the dissolution of The North American Company under the Public Utility Holding Company Act.

That career was interrupted by World War II. He entered the U.S. Army as a private, was posted to O.C.S. and was commissioned a lieutenant in the Armored Infantry. As a platoon leader and company commander with the 14th Armored Division, he had combat service in France and Germany, and was awarded the Silver Star, Bronze Star, and Purple Heart with Oak Leaf Cluster.

Thoron left Sullivan & Cromwell in 1948 to join the faculty of the University of Texas Law School. His initial appointment was as an Associate Professor of Law, with promotion in 1950 to Professor of Law. He resigned his Texas professorship in 1956 to become Dean and Professor of Law at Cornell Law School. He held the deanship until 1963, when he returned to full-time teaching. The current academic year is his twenty-fifth at Cornell.

He has also taught as a visiting professor at the University of Michigan Law School (1951) and at the University of Texas Law School (1970), and as a member of the Faculty of the Salzburg Seminar in American Studies (1959). The subjects he has taught include: Civil Procedure; Trial and Appellate Advocacy; The Legal Profession; Professional Practice and Responsibility; Professional Morality and Ethics; Constitutional Law; Criminal Law; and Criminal Procedure.

On leave from Texas from 1954 to 1956, he served as Assistant to the Solicitor General of the United States, his assignments including six oral arguments before the United States Supreme Court. In 1965, as

a Special Assistant Attorney General of New York, he was again before the Supreme Court arguing for the State in *Angelet v. Fay*. From 1970 to 1974 he served as Director of Cornell's Legal Assistance Project for the Auburn Correctional Facility. In 1979 and 1980, he served as Referee for a proceeding before the New York State Commission on Judicial Conduct.

Professor Thoron has also been active on many bar association committees, especially in the area of Professional and Judicial Ethics, including membership on the New York State Bar Association Committee on Professional Ethics from 1965 to date and Vice-Chairman from 1973 to date. He was also a member of the Special Committee on Legislative Ethics ("Laporte Committee") appointed by the New York State Legislature in 1964.

Professor Thoron's marriage in 1939 to Mary D. Clark terminated by divorce in 1968. He married Pattie Porter Holmes in 1971. He has five children, one grandchild, four stepchildren and nine stepgrandchildren.

As a Cornell law teacher, Thoron's primary concern has been to develop in students an understanding of, and appreciation for, professional values and standards. He summarizes his approach as follows: "Students should be encouraged to keep asking themselves two basic questions whenever faced with a problem involving moral and ethical dimensions. First they should ask what do they believe to be right as a matter of personal conscience, always bearing in mind that conduct is not necessarily made right merely because no statute, disciplinary rule or canon forbids it. Then they should ask whether in achieving personal goals, or the goals of a client or employer, they are ever justified in using dishonest, corrupt, shoddy, demeaning or dehumanizing means. To put these questions into proper perspective students must be made to understand that the lawyers they are likely to meet in practice will have widely differing values and standards, bad as well as good. They must be prepared to find many lawyers who never concern themselves with questions of conscience, and who regularly utilize unethical or unprofessional means to achieve their own ends and those of clients. At the same time they should be told that happily they will find a great many lawyers whose standards are beyond reproach, and who invariably reject such means without in any way forfeiting legitimate goals of professional achievement, excellence or recognition."

Thoron adds: "I have been fortunate both at S&C and in the Office of the Solicitor General to have practiced with lawyers of unusual ability whose careers have consistently exemplified the best in professional standards and achievement."

Professor Thoron is a member of: American Law Institute; American Bar Association; Fellow of American Bar Foundation; Association of the Bar of the City of New York; New York State Bar Association; Federal Bar Council; Tompkins County Bar Association; Phi Alpha Delta; Order of the Coif. His activities have included service as Delegate, Republican National Convention (1952); Board of Directors, Lawyers Committee for Civil Rights Under Law (1965 to date); National Panel of Arbitrators of American Arbitration Association (1966 to date); Appointed Special Master pursuant to Section 77(c) (13) of the Bankruptcy Act by designation of the United States Court of Appeals for the Second Circuit (1968); Overseers' Visiting Committee for Harvard Law School (1960-1966); American Foundation for Temple Bar, Vice-Chairman for New York (1977 to date); Phi Kappa Phi.

202 **HERMAN W. GRUNING** S&C 1941-42, 1946-49

Born in New York in 1917, Mr. Gruning was graduated from Princeton (A.B. 1938) and Columbia Law School (1941).

He joined S&C as a general practice associate. After four years in military service, he rejoined the office and practiced in litigation until his departure in 1949.

Mr. Gruning is President of Gruning's Inc. in South Orange, New Jersey. He and his wife life in Maplewood, New Jersey. They have three children and five grandchildren.

203 **JONATHAN L. COLLENS** S&C 1941-1942

Jonathan Latimer Collens was born in Cleveland, Ohio in 1915. He joined S&C as an associate after graduation from Yale (1938) and Harvard Law School (1941). He left S&C to work in manufacturing in Cleveland, and is now a vice president with Reliance Electric Company in that city.

204 **MARION ELIZABETH HORSBURGH** S&C 1942-1947

Born in Tarrytown, New York in 1912, Miss Horsburgh prepared for college at Northfield Seminary, Northfield, Massachusetts. She graduated from Columbia University School of Business in 1936 with a B.S. in Banking and Finance. She then spent a year of graduate study at New York University School of Business in Public Utility and Railroad Security Analysis. She received her LL.B. from New York University School of Law in 1941.

Before joining S&C in February 1942, Miss Horsburgh had spent four years with the investment and brokerage firm of Wood Struthers & Co. in New York as a statistical analyst, one year as a market research analyst with Young & Rubicam, Inc., and several months with Hawkins, Delafield & Wood as a legal clerk.

During her association with S&C, she worked on tax and general corporate matters, as well as security issues and trusts and estates. She was the first S&C woman lawyer sent out by herself on a stock issue. "It involved the merger of two utility companies in the middle west. While in Huron, S.D., I discovered that certain assets claimed by one of the companies, i.e. buildings, were located on the Union Pacific's right of way and subject to takeover by the R.R. for practically nothing. Mr. Dean was pleased with my work since local counsel in Minneapolis had failed to discover this error. So was the other New York law

firm involved in this transaction, who had relied on local counsel's opinion."

While practicing at S&C, Miss Horsburgh earned her LL.M. degree from New York University in 1944.

Miss Horsburgh left S&C when she was employed by Miss Dorothy Shaver, the president of Lord & Taylor, and entered that Company's Promotional Training Staff. Over the next two years she had contact with almost all phases of that business, and was assigned to the personnel training department where she gained additional experience in the personnel operations. She was also assigned to investigate procedural and system difficulties, and to devise new procedures, for the Vice President in charge of operations and the Treasurer of the Company.

Following several months with the firm of Arthur, Dry and Sale, where she assisted Mr. Arthur in an antitrust proceeding, in 1951 Miss Horsburgh accepted an opportunity to join the legal department of the New York Telephone Company, for which she practiced until late 1967. She became the Company's zoning expert, worked with many municipal planning and zoning boards throughout the State of New York, and handled hundreds of zoning cases involving telephone buildings.

From 1971 to 1973, Miss Horsburgh was employed by the City of Fort Lauderdale, Florida as its first woman assistant city attorney. She is now retired and living in Fort Lauderdale.

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FRANK T. COTTER

S&C 1942-1943

Frank Taylor Cotter was born in Hankow, China, the son of Reverend Francis J.M. Cotter, then a missionary in China, and Ida Taylor Cotter. He prepared for college at Moses Brown School, and graduated from Wesleyan University and Cornell University Law School. He is now a Judge of the Superior Court of California, Los Angeles County.

After his year-long association with S&C, Judge Cotter entered military service. He was a Lieutenant (senior grade) with the U.S.N.R. from 1943 to 1946, serving as Administrative Director of the Navy General Court-Martial Sentence Review Board in Washington, D.C. in 1946.

In 1947 Judge Cotter moved to Los Angeles to join the law firm of Overton, Selig & Wilson as an associate, and became a partner of its successor firm, Wilson, Selig & Cotter, in 1950. In 1969, the firm became Cotter, Lindholn & Johnson. Judge Cotter remained there as a partner until 1972, when he went on the bench.

Judge Cotter and his wife, the former Marjorie Elizabeth Hendrickson, live in Sherman Oaks, California. Also living in California are his brother, George E. Cotter, and sisters, the actresses Audrey Meadows and Jayne Meadows.

The Cotters have two children, Cynthia Ann Cotter (born in 1951), and Stephen Lee Cotter (born in 1953).

Admissions: New York State Bar (1942); United States Supreme Court (1946); California State Bar (1947); United States District Court, Southern District, California (1947).

Associations: American Bar Association; California State Bar Association; Los Angeles Bar Association; American Judicature Society; Member, Substantive Law Committee, Los Angeles Bar Association (1954-1962); Delegate, California State Bar Convention (1954).

Member, Los Angeles Chamber of Commerce (1965-1970). Commissioner, Los Angeles County Human Relations Commission (1959-1965). December 1965, received Certificate of Meritorious Service from L.A. County Board of Supervisors. Director, Southern California Savings and Loan Association (1956-1967). Counsel, Volunteer League of San Fernando Valley (1955-1972). Church: St. Paul's Lutheran Church, North Hollywood, California. Member, Sherman Oaks American Little League, Inc. (1962-1966); Board of Directors (1963-1966); President (1964-1965). Advisory Board, Christians and Jews for Law and Morality (1967 to present). Member, San Fernando Valley Business and Professional Association; Board of Directors (1967-1968); Vice Chairman (1969-1971); Chairman (1971-1972). Republican State (California) Central Committee (1970-1972). Board of Trustees, West Valley Community Hospital (1971-1972).

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WILLIAM A. UNDERWOOD

S&C 1942

"My service with Sullivan & Cromwell must be one of the shortest on record — four months, 17 days — but it is a particularly bright spot in my memory. Because many able-bodied lawyers with anywhere from two to ten or fifteen years' experience with Sullivan & Cromwell had already joined the armed services, I had the unexpected opportunity and good luck to work directly with some of the senior partners such as Mr. Dean, Mr. Green and Mr. Seligman. It was an exciting experience."

William Underwood was born on January 8, 1912 in Bloomfield, Connecticut. He attended Harvard, where he graduated in 1933 with a B.A. degree. He enrolled in Columbia Law School in 1939 but transferred to Yale Law School and received his LL.B. in 1942. He left S&C to answer his own call to military service.

In the Army from 1942 to 1947, he was assigned to the OSS for the period 1944-1945. His overseas experience included service in Algeria, France, Italy, Austria and Hungary. "It was exciting to parachute into Southern France in August 1944 and to work for a few weeks with men of the French Resistance. In 1946 it was sad to watch the 'Iron Curtain' come down over Hungary. Later, in Greece and Jordan, I was happy to watch projects which we had helped to plan and finance rise from cellar and foundation to roof and chimney, or grow like corn or wheat in a

field which we had helped to plough, plant, and fertilize." Mr. Underwood received the Bronze Star in 1945 and was discharged in 1947 with the rank of Captain.

Following active military service, Mr. Underwood spent over 25 years with the government as a civilian employee. He spent five years of service in Greece "first under the Truman Doctrine and later throughout the years of the Marshall Plan." From Greece he moved to Jordan where he served for five years under the "Point Four" program, followed by four years in Washington, three in India, and two in Pakistan, working on the planning and execution of economic development projects.

Mr. Underwood was married in 1949 to Marie-Ange Hanki in her parents' home in Cairo, Egypt: "We have lived together happily ever since. This was and is my great good fortune and happiness. We have no children." Mr. and Mrs. Underwood now reside in Paris.

Mr. Underwood writes of his foreign service experience: "Thanks to the late George Sharp, the Army assigned me to OSS in World War II. After serving in Algeria, France and Italy during the war and in Austria and Hungary after the war, it became clear to me that the U.S. would have to help the Europeans rebuild their economies. Because this work sounded interesting I went to Washington in March 1947 and six months later to Athens as part of the American Mission for Aid to Greece. This began my work on the economic side of the U.S. foreign aid program which continued until I retired in 1973. I am glad to have been part of a relatively small group of men and women who helped to plan, arrange the financing, and oversee the execution of a number of economic development projects. . . .

"It is my conviction, based on my own experience and observation, that the U. S. Government would be greatly improved if it had fewer and better qualified employees. This belief leads me to advise law students and young lawyers to consider spending at least part of their careers in U. S. Government service. The lives of Secretary Dulles and his brother are shining examples of how this can be done. The work is also interesting and satisfying at much lower levels of Government service."

207 **RICHARD D. GRIFFEN** S&C 1942-43, 1945

Mr. Griffen, a graduate of City College of New York and New York University Law School, is currently Corporate Secretary of CPC International, Inc. in Englewood Cliffs, New Jersey. Mr. Griffen and his wife, Elizabeth, live in Ridgewood, New Jersey.

208 **RODERICK J. KIRKPATRICK** S&C 1942-43, 1946-56

Mr. Kirkpatrick served as Secretary of First Boston, Inc., the parent holding company of The First Boston Corporation, from April 1976 until his retirement in August 1980, and was a Vice President and the Secretary of The First Boston Corporation. In addition, he also served as a Director and Secretary of a number of subsidiaries of The First Boston Corporation and as a Trustee of The First Boston Foundation Trust.

He was born in 1915 in Rutland, Vermont and received both his bachelor's degree and law degree from New York University, in 1939 and 1942 respectively.

While at S&C, Mr. Kirkpatrick practiced in litigation, real estate, and trusts and estates. His S&C career was interrupted by military service in World War II, during which he served in the European Theatre of Operations with the 325th Field Artillery Battalion of the 84th Infantry Division, participating in the Rhineland, Ardennes and Central Europe campaigns, and was awarded the Bronze Star Medal. On his return from the war he undertook a major responsibility for the preparation and presentation of the defense for Blyth & Co., Inc. in the *Investment Bankers Antitrust Case.*

Mr. Kirkpatrick is a Governor of the National Arts Club, New York City, and is active in its affairs.

Mr. Kirkpatrick and his wife, the former Catherine Owens, live in New York City. They have a daughter, Catherine Ann. Mrs. Kirkpatrick, formerly Assistant Promotion Manager of The American Weekly, is active in the National Arts Club and the Gramercy Park Flower Show.

209 **MARY WINN BRUTON** S&C 1942-1944

Mrs. Bruton was born Mary Louise Winn in Clayton, Alabama on May 13, 1909. She was graduated from Barnard College (A.B. 1929) and New York University Law School (LL.B. 1939). In between she had worked in the Education Department of the Carnegie Endowment, including work in the London office and at the League of Nations in Geneva in 1937.

Before coming to S&C in 1942, Mrs. Bruton had a year of graduate work in International Law at Columbia University. At S&C, she immediately had her share of wartime legal problems, including, as her first assignment, the "question of whether our client, the Dutch Government, which owned weevil riddled grain in a Boston warehouse, would be liable to the owners of grain in an adjoining warehouse if our weevils started migrating".

She also met and married, in 1943, a fellow associate, John C. Bruton, Jr. (*q.v.*). In 1948 the Brutons moved to Columbia, South Carolina, and practiced in the firm of Bruton & Bruton and its successor firms, Boyd, Bruton and Lumpkin, and Boyd, Bruton, Knowlton and Tate.

Mrs. Bruton retired from the practice of law in November 1969, shortly after her husband's death. She then spent two years as acquisi-

tion librarian in the Art and Music Department of the Richland County Public Library, and one year with the Trust Department of South Carolina National Bank.

Since 1976, Mrs. Bruton's activities outside of her home have been in volunteer work in historic preservation societies and garden clubs. She writes book reviews on an occasional basis for the state newspaper. Her children are Mary Bruton Heider, and John C. Bruton, Jr., in his final year at Washington & Lee University Law School. She has one granddaughter, Mary Heider.

"Bearing in mind the Chinese proverb, — Do not seek to impose your views on your children, they are born in a different time —, I am sure that young lawyers will find as we did that the practice of law is the most satisfying of professions and far from being an arcane science understood only by dedicated and peculiar priests, it is the application of rational principles to conflicting interests, and lawyers themselves are the best and most generous companions in the world."

Mrs. Bruton has particularly fond memories of John Foster Dulles:

"It is hard to convey to people who did not know him the tremendous vitality and force of intellect of John Foster Dulles. His power of concentration was titanic. . . . He had the disconcerting habit (terrifying to young lawyers) of apparently ignoring their remarks and staring out of the window, sometimes for five or ten minutes, but when he finally turned to speak on the problem, it was clear why he was one of the greatest lawyers in the world.

"Two stories I remember about Mr. Dulles in neither of which I was personally involved. During the war when Mr. Dulles was in Presbyterian Hospital with serious phlebitis, President Roosevelt called on him for some war mission. Mr. Dulles was forbidden by his doctors to leave the hospital; in fact he was told if he did so, he would probably die. Mr. Dulles was reported to have said, 'Did it ever occur to you, Doctor, that thousands of younger men than I are dying every day.' Whereupon he left the hospital and went to Europe.

"The awe with which we young lawyers regarded the senior members of the firm was not unmixed with some fun. One young lawyer, who had studied law at Oxford University, was studying for the New York Bar at the County Lawyers Library, when he ran across the Shepherd volumes for the first time. He rushed back to the office to tell his companions of his discovery of these wonderful books. His false friends pretended amazement at his marvellous discovery, said it should certainly be in the S&C Library, and why not run up and tell Mr. Dulles about it immediately? The unsuspecting lawyer dashed up to reveal this amazing research aid to Mr. Dulles. Let us hope that the victim (Bob Houston) was able to get a satisfactory revenge on the practical jokers."

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210 **EDWARD H. SCHLAUDT** (1918-1967) S&C 1942-1952

Edward Schlaudt was born in 1918 in Hutchinson, Kansas. He attended Washington & Jefferson, where he graduated with the class of 1939. He then enrolled in the University of Michigan's Law School and received his LL.B. in 1942. In June of the same year Mr. Schlaudt entered practice with Sullivan & Cromwell. He left the firm in 1952 to join the New York firm of Lundgren, Lincoln & Peterson. Later he became Vice-President and General Counsel for Russell Burdsall & Ward Bolt & Nut Co. before joining the legal staff of Texaco. Mr. Schlaudt was an attorney for Texaco at the time of his death in 1967. He was survived by his wife, Eleanore Louise Fecht, and their three children.

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211 **DORIS HEATH WEBSTER** S&C 1942-1943

Doris Heath Webster is the Unit Chief at Adjudication Division, Veterans Administration Regional Office, in Newark, New Jersey.

After less than a year with S&C, she entered the Legal Department of A.T.&T. in New York City and worked there for three years before leaving to start a family. In 1963 she resumed practice by starting at the Income Tax section of Prentice-Hall. From 1967 to 1972 she served as Somerset County Probation Officer, doing domestic relations mediation and counselling. She then began her present employment.

Born in Ithaca, New York August 4, 1917, Ms. Webster attended Cornell from which she received her B.A. She spent her first two years of law school at her alma mater, but then came to New York City and received her LL.B. from Columbia University. Her marriage, after eighteen years, ended in divorce. She has a daughter and son, and now resides at 7 Stanford Drive in Bridge Water, New Jersey.

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212 **MAURICE H. WINGER, JR.** S&C 1942-1943

Mr. Winger is now Vice-Chairman, Board of Directors of Akzona Incorporated, in Asheville, North Carolina.

He and his wife, the former Virginia McNabb, live in Asheville, where Mr. Winger is an enthusiastic pilot of sailboats and sailplanes. They have two sons: Eric R. Winger, Vice-President of Wachovia Bank, and Steven C. Winger, District Sales Manager of Beacon Manufacturing Co.

Mr. Winger was born in Kansas City, Missouri on December 28, 1917. He is a 1939 graduate of William Jewell College, and a 1942 graduate of Duke University Law School.

He began his professional career with S&C, "a generally strenuous but stimulating environment which got me off to a good start. . . . While my time with the firm was brief and the living was marginal, my memories are warm and my esteem for the organization and regard for its people the highest. Even as a senior associate, Bill Piel was the second best boss I ever had."

Following his year with S&C, Mr. Winger joined the Export-Import Bank of Washington, D.C. as Counsel and Secretary. War service, as a First Lieutenant, U.S. Marine Corps followed, and in 1947 Mr. Winger returned to Washington to join the firm of Douglas, Proctor, MacIntyre & Gates. The years 1948 to 1950 were spent back in New York with Debevoise, Plimpton & McLean.

In 1950, he joined American Enka Company in Enka, North Carolina, where he remained until 1978. From 1970 to 1978, he was President of the Company.

Chairman of Man-Made Fiber Producers Association, Washington, D.C.; Chairman of the Board of Trustees, University of North Carolina at Asheville, N.C.; Member of the Board of Visitors, Duke University Graduate School of Business, Durham, N.C.; Recipient of the Man-of-the-Year Award from the Asheville Chamber of Commerce, Asheville, N.C.; Director, Piedmont Airlines, Inc., Winston-Salem, N.C.

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213 **MARGARET D. MERLI** S&C 1942-45, 1964-76

Mrs. Merli, who was born on February 5, 1919 in Wilmington, Delaware, received her B.A. from Cornell University in 1940 and her J.D. from Cornell two years later. She practiced with S&C's general practice group until 1945. In 1964, as her family responsibilities lessened, Mrs. Merli returned to S&C and devoted her experience and energies to the work of the Blue Sky group until retiring from practice in 1976.

Mrs. Merli's son, Stephen, was born in October 1948. She now resides at 35 Wakefield Avenue, Port Washington, New York 11050.

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214 **WATSON S. CAMPBELL** S&C 1942-1944

Mr. Campbell was a partner in Montgomery, McCracken, Walker & Rhoads in Philadelphia until his retirement in December 1974.

A native Pennsylvanian, Mr. Campbell joined S&C's general practice group after graduating from Washington and Jefferson (B.S. 1939) and the University of Pennsylvania (LL.B. 1942).

He married Adelaide Benson in 1940. They have three children and four grandchildren. The Campbells have traveled extensively since Mr. Campbell's retirement.

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215 **CATHERINE McPOLAN McENIRY** S&C 1942-1944

Born in Kansas City, Missouri, Catherine McPolan was graduated from Barnard College in 1939, and received her LL.B. from Columbia in 1942. During her association with S&C, she practiced with the trusts

and estates group. Mrs. McEniry is not presently practicing.

In 1946 she married Murray Winters McEniry. The McEnirys now live in Scarsdale, New York. They have four children: Philip (1948), David (1951), Catherine (1954) and Roger (1956).

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216 **DAVID A. KERR** (1917-1965) S&C 1942-1943

Mr. Kerr was born in Philadelphia and graduated from Temple University (B.S.C. 1939) and the University of Pennsylvania Law School (LL.B. 1942). In early 1943 Mr. Kerr returned to Philadelphia to practice with MacCoy, Evans & Lewis, where he was a partner until his death on December 25, 1965.

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217 **MARY F. REA** S&C 1942-1944

Born in England in 1912, Mrs. Rea was graduated from Hunter College in 1936 and Fordham Law School in 1938. Before joining S&C as an associate, she had spent four years as an Assistant New York Attorney General on the staff of J.H. Amen.

At a later time, after leaving S&C, she served as an Associate Attorney in the Criminal Division of the Nassau County Legal Aid Society, until her retirement from practice in 1974. Her community work has included service as Secretary and President of the Roslyn Visiting Nurse Association, and as President of Bryant Library in Roslyn, New York.

Mrs. Rea has two children, Jane Rea James and William Lownes Rea, and two grandchildren, Polly Walker James and Todd Rea. She lives in East Williston, New York.

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218 **DONALD C. HAIN** S&C 1943 -1949

Mr. Hain is a former partner of Burns, Van Kirk, Jube & Kafer in New York. He and his wife, the former Katherine Parsons Horsburgh, now live in Fort Lauderdale, Florida. (Mrs. Hain's twin sister, Marion E. Horsburgh, was an associate with S&C from 1942 to 1947.)

Mr. Hain was born in Paterson, New Jersey in 1912. He is a graduate of Princeton (A.B. 1934) and Columbia Law School (LL.B. 1943). After leaving S&C he was associated for a number of years with the New York firm of McCanliss & Early prior to joining the Burns, Van Kirk firm.

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219 **WALTER W. MALONE** S&C 1943 -1944

Mr. Malone was born in Ottawa, Ohio on August 29, 1900. He received his law degree from the University of Washington, at Seattle, in June 1925. Upon graduation, he practiced law in Haverstraw, New York, for a number of years before joining the S&C office. Following his association with S&C, he practiced law in Washington, D.C., and then retired to Seattle, Washington.

Mr. Malone married Dorothy Frances Gerlich, and they had two children, Patrick Charles and Marjorie Ann.

220 **ELIZABETH KRAUSS YADLOSKY** S&C 1943-1945

Mrs. Yadlosky* is Associate Director for Research, Analysis and Review, Congressional Research Service, The Library of Congress, Washington, D.C.

Born in New York City on January 17, 1918, she received degrees from Mount Holyoke College (1940) and Cornell Law School (1943). She practiced in S&C's trusts and estate group. "Immediately after leaving S&C I went to work for Davies, Hardy & Schenck at One Wall Street. For about twelve years I worked there, specializing in corporate and tax work. I then worked at the Copyright Office in the Library of Congress for two years and then took a position with the Congressional Research Service where I have been ever since."

Mrs. Yadlosky is married to Paul H. Yadlosky and has one daughter, two stepsons and one grandson.

"My work has been one of the greatest satisfactions of my life. One of my strongest convictions is that women, blacks and others who are still somewhat out of the mainstream should be afforded the opportunity to develop their potential. I think this would help not only the people immediately benefited but would contribute to the stability and enrich our society as a whole."

*While with S&C Mrs. Yadlosky was known to us as Elizabeth L. Krauss, and hence is so listed in the alphabetical and chronological tables at the front of this volume.

221 **MARINUS CONTANT, JR.** S&C 1943-1944

Mr. Contant was born in 1918 in Lodi, New Jersey. He is a graduate of Princeton University (A.B. 1941) and Yale Law School (LL.B. 1943, now J.D.). "My brief association with Sullivan & Cromwell and the contact with the intellect of its personnel is an experience I shall never forget," he writes. "It has given me added confidence to face subsequent problems in life and business."

From 1950 to 1971 Mr. Contant was the founder and sole owner of a manufacturing company which machined and assembled aircraft, electronic, and computer components. Upon retirement from business he has engaged himself in developing and managing industrial real estate and other personal investments.

Mr. Contant's first wife, Josephine Miller, died in 1968. In 1970 he married Sally Humphreys. They live in California where they spend winters in Rancho Mirage and summers in Newport Beach. They also have a condominium in Honolulu, Hawaii.

Mr. Contant has two sons: Robert Marinus Contant (1950) and Thomas Cotter Contant (1952), both of Newport Beach, California. He has one grandchild, Robert Marinus Contant, Jr. (1979).

Mr. Contant is a member of Big Canyon Country Club and Balboa Bay Club in Newport Beach, California and The Springs Country Club in Rancho Mirage, California.

222 **HENRY B. ARMSTRONG III** S&C 1943-44, 1946-47

Henry Bolden Armstrong III is now a counsel to Baily and Wechsler, 1 Financial Plaza, Hartford, Connecticut 06103.

After graduating from Yale University (B.A. 1941, LL.B. 1943), Mr. Armstrong joined S&C for a year, until reporting for U.S. Army service on the Staff of Special Branch, M.I.S., W.D.G.S. He returned in 1946 for another year before joining the Law Department of the Travelers Insurance Companies. He became General Counsel of the Companies in 1969.

During his career he has served on boards of various companies and Travelers' subsidiaries, on numerous insurance industry committees, and is presently again serving as Republican chairman in Farmington.

He married Frances P. Farnsworth. He has two children, Christopher S. (now in the Hong Kong office of Heller, Ehrman, White & McAuliffe) and Mary C. (Mrs. L. R. Goodyear, Jr., Gates Mills, Ohio). He has four grandchildren, Mary and Dana Goodyear, and Ian and Kirsten Armstrong.

"After over three decades, the 'team' concept stands out. From your first day, you were exposed to a variety of problems as a team member and given the opportunity to work with experienced lawyers and meet clients. It is the best method I know to develop both lawyers and esprit de corps. Uniquely S&C, it is but one reason for the firm's continuing greatness."

223 **ALEXANDER J. BRUEN** S&C 1943-76

Born in New York City in 1910, Alexander Jay Bruen went to Yale University where he received his B.A. in 1932. He studied Economics at Columbia University and was graduated with an M.A. in 1934. Mr.

Bruen finished his formal education at New York Law School and was graduated in 1941.

Mr. Bruen was admitted to the New York Bar in 1941 and came to S&C as an associate in 1943. He remained with the firm until 1976, at which time he became a consultant to the firm.

Mr. Bruen and his wife, Lorna C. Harrah, live in New York City. They have one son, Alexander Harrah.

Mr. Bruen is a member of the American Bar Association and the Association of the Bar of the City of New York.

224 **ERNEST J. JENNER** S&C 1944-1945

Mr. Jenner is currently a self-employed full time real estate consultant to Cushman & Wakefield, Inc., a Rockefeller Center, Inc. subsidiary. His office is at 529 Fifth Avenue, New York City, 10017. He retired in 1978 from his position as Manager of Real Estate of the J.C. Penney Company in New York City.

"While at Penney I represented them in many major real estate negotiations for retail store locations in regional shopping centers and downtown metropolitan central business districts; acquired unimproved industrial land for catalog distribution centers; and leased over 1,000,000 square feet of Manhattan office space for general headquarters use; recommended the properties and was responsible for furnishing the legal documentation for their private placement sale-leasebacks program aggregating six hundred million dollars for the years 1967-1975."

He has been a member of the real estate committee of J.C. Penney Company and was formerly Director of J.C. Penney Properties, Inc. From 1938-1950, he was a part-time faculty member teaching courses in real estate at New York University, University of Newark and Hofstra University.

Mr. Jenner served as real estate consultant on a task force organized to study the Mitchell-Lama Housing program financed by New York City. The work of the task force was coordinated and performed under the auspices of the Economic Development Council of New York City, Inc. with Mr. Joseph Grazier, another S&C alumnus, serving as Chairman.

Born in New York City on November 23, 1914, Mr. Jenner attended New York University (1938) and the University of Newark Law School (1943). In 1941, he married Myrtle Schwarz. They have two children, Donald Jenner and Barbara Hilborn and one grandson, Joshua Jenner Hilborn.

225 **EFFINGHAM C. EVARTS** (1897-1963) S&C 1944-1946

Mr. Evarts was born in Chappaqua, New York on July 29, 1897. He was a member of the class of 1919 at Yale and received his LL.B. from Harvard Law School in 1923.

Before joining S&C as an associate, Mr. Evarts was Associate Counsel for the New York Loan Agency of the Reconstruction Finance Corporation.

In April 1946, Mr. Evarts left S&C and moved to his old family home at Windsor, Vermont, where he continued to practice law. He was married to Mary Stewart Lothrop, a well-known operatic soprano.

Mr. Evarts died on October 13, 1963 in Windsor, Vermont.

226 **ARTHUR J. P. SMITH** (1919-1961) S&C 1944

Arthur J. P. Smith was born in Brooklyn on December 24, 1919. He graduated from St. Bonaventure College and received his law degree from St. John's University in 1943. After leaving S&C, he continued to practice law. For many years he was a partner in the firm Dorn and Smith, in Brooklyn. He was a member of the Royal Order of Eagles, Knights of Columbus, the Brooklyn Bar Association and the Catholic Lawyers' Guild of Brooklyn. Mr. Smith died in December, 1961.

227 **LILLIAN J. KAMINSKY** S&C 1944-1946

Lillian Kaminsky was born in Binghamton, New York on August 11, 1920. She attended Cornell University from which she received her A.B. in 1941 and her LL.B. in 1944. She became associated with Sullivan & Cromwell in June of 1944 and was admitted to the New York Bar in 1945.

Miss Kaminsky left Sullivan & Cromwell in 1946 to practice law in upstate New York. She presently resides in Binghamton.

228 **MARJORIE CONKLIN** (1920-1977) S&C 1944-1945

Marjorie Conklin (later Mrs. Fred Ball) was born on December 1, 1920 in Indianapolis; she was graduated from the University of North Carolina in 1941 and from Columbia Law School in 1944.

She left Sullivan & Cromwell to work with the New York State Law Revision Commission. In 1946 she settled in Cleveland, Ohio, where she worked as director of Western Reserve University's housing bu-

reau and then in the 1950's as an editor for the Banks-Baldwin Law Publishing Company.

Mrs. Ball was active from the start in the civil rights movement in Cleveland, and worked with the Reverend Charles W. Rawlings in his office on religion and race. She organized and directed the referral law office of the Legal Aid Society of Cleveland from 1973-1976. At her death in 1977, she was survived by her husband, Fred; sons, Peter and Christopher; and daughters, Susan Thompson and Mary.

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229 **RICHARD C. KELLOGG** S&C 1944-1945

Mr. Kellogg was born in Battle Creek, Michigan in 1919, the son of Lt. Commander Richard M. Kellogg who in 1944 was stationed at the Naval Air Station in Corpus Christi, Texas. He received his B.A. from Yale in 1940 and his LL.B. from Yale Law School in 1944. During the Second World War, he served the government as a translator of Russian and Japanese.

Mr. Kellogg has suffered from a disabling illness for the past thirty years and is in the permanent care of a Veterans' Administration hospital.

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230 **FREDERICK R. SUITS** S&C 1944-1946

After his two-year association with S&C, Mr. Suits joined Western Electric's Legal & Patent Division and remained there for thirty-two years. He retired in January 1979, following a year in which he twice underwent surgery for cancer. Mr. Suits has continued to battle cancer, with an uncertain prognosis.

Mr. Suits was born on January 7, 1916 in Newport, Arkansas. He attended Vanderbilt University (B.A. 1937, Phi Beta Kappa) and Harvard Law School (J.D. 1942). He also studied at Oxford as a Rhodes Scholar (1938-1939). In 1939, he married Barbara Bossinger; they have no children.

"My only regret is that cancer spoiled the retirement to which I had looked forward for so many years . . . . Dave Hawkins admonished me, at the last S&C party I attended, not to get involved with 'minutiae' during my then forthcoming retirement. Little did either of us then know that I'd be at Lenox Hill within a few days for cancer (prostate). Thus, for one year now I've been so busy fighting cancer, I have no time for 'minutiae'. (Dave pronounces it 'mee-noot-tee-aye' which is classically correct. I told him it was really 'min-nooshee', the anglicized version.) I had surgery in January 1978 and when I was back at Lenox Hill in August and September of that year I had further radical surgery . . . . which, while draconian, was necessary to save my life . . . . my astronomical medical — surgical — hospital expenses have been almost fully paid by Western Electric's insurance program (and that's 'non-minutiae', however one pronounces it!) . . . . For one in my condition, I'm doing fairly well . . . . I treasure my two years at S&C and my thirty-two plus at Western Electric, along with all the many friends along the way. . . . Alan Seeger had a 'Rendezvous With Death' — my poesy metaphorically and rhetorically would include 'Life' — or, at least, 'Continuity'. Life is an *interim*; let us make it a *continuum*."

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231 **VINCENT A. RODRIGUEZ** S&C 1944-

Mr. Rodriguez graduated from Harvard College, attended Harvard Business School for one year, and graduated from Yale Law School where he was Editor-in-Chief of the Yale Law Journal.

His practice with Sullivan & Cromwell has included numerous assignments in Latin America. In earlier years, he worked under Laurence A. Crosby and Edward G. Miller, Jr. on matters for the Cuban sugar companies and railroads. Subsequently he worked on many financings and other matters for various Latin American governments, including Mexico, Venezuela, Argentina, Peru, Chile, Ecuador, Cuba, Costa Rica, Bolivia and Nicaragua. He has also represented various Latin American private corporations and individuals as well as United States corporations with investments in Latin America. He is active as a member of the Council on Foreign Relations and as Vice President of St. John's Guild.

Born Cayey, Puerto Rico, March 28, 1921; B.S., Harvard, 1941; LL.B., Yale, 1944. Admitted to New York Bar, 1947. S&C partner since 1956. Director or former director or trustee of Deltec International Limited, American Investors, Inc., St. John's Guild. Member, Council on Foreign Relations, American Bar Association, International Bar Association, New York State Bar Association, Association of the Bar of the City of New York, American Society of International Law. Member of the River Club. Residence: One Sutton Place South, New York City.

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232 **HENRY N. ESS, III** S&C 1944-

Mr. Ess, a partner of the firm since 1953, is a member of the estates and personal group. Born in Kansas City, Missouri in 1921, he is a graduate of Princeton (A.B. 1942) and Harvard Law School (LL.B. 1944). He is a director and a Past President of the New York County Lawyers Association, and a trustee of the William Nelson Cromwell Foundation, the Princeton Library in New York, Inc., the Vincent Astor Foundation and the Animal Medical Center.

Member: ABCNY (Library Committee); NYCLA (Director, Executive Committee, Planning Committee); NYSBA (Nominating Committee); ABA; American Bar Foundation.

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233 **CHARLES L. JONES II** S&C 1944-1952

Mr. Jones is Assistant General Counsel of Exxon Corporation.

He was born in Pittsburgh in 1921. A graduate of Princeton University (1942) and Yale Law School (1944), he first practiced in real estate and estates, and then joined the general practice group, in which one of his principal engagements was working with Dave Hawkins and Stoddard Stevens in developing the many successive and alternative divestiture plans for The North American Company, and in the related S.E.C. 1935 Act hearings.

Mr. Jones has been with the Law Department of Exxon Corporation since leaving S&C in 1952; he was promoted to his present position in 1963. Highlights of his experience include "the Humble Oil merger in 1959; financing of the South European and Transalpine Pipelines; financing of the Alaskan pipeline, and the evolution of Exxon's executive compensation arrangements over the past 25 years. The executive compensation work included the satisfaction of a stunning victory scored by Roy Steyer in 1976 in defending a shareholder challenge of the Exxon option plan. As a director of and counsel for an offshore captive insurance company, it has been particularly interesting to be a part of its 50-fold expansion during the period."

In 1979 he married Mrs. Linda Elaine Christie and reports his pleasure at having been able to introduce his then fiancee to so many S&C friends at the Centennial Dinner Party. Mrs. Christie has two children: Michael, age 13, and Samantha, age 10.

Mr. Jones has three children by his first wife, Charlotte, who died in 1978 after 34 years of marriage: Charles L. III, a broker with Cyrus J. Lawrence (married, with two children, Charles L. IV and Christina Margaret); Deborah Anne (married to Dr. Karl J. Karlson with a daughter, Kimberly Charlotte); and Brooks H. D., with Morgan Guaranty Trust.

234 **CHARLES S. REILLEY** (1908-1952) S&C 1945-1952

Mr. Reilley was born in Greenwich, Connecticut on April 22, 1908. He received his bachelor degree from Fordham University in 1930 and his law degree from Fordham Law School in 1933.

He did estates and trust work with S&C from 1945 until his accidental death on March 2, 1952.

Mr. Reilley was married to the former Claire K. Lucke. He had four children: Richard, Maureen, Charles, and John.

235 **MARY CLARKE HABERLE** (1921-1972) S&C 1945-1946

A member of the New York State and Nassau County bar associations, Mary Clarke was associated with Sullivan & Cromwell prior to her marriage in 1947 to Ernest Haberle (who was later president of Bohack Corporation). Following her marriage she continued to practice law in Manhasset.

In 1964 Mrs. Haberle was invested by the late Cardinal Spellman as a Lady of the Order of The Holy Sepulchre of Jerusalem. Her husband was at the same time invested as a Knight Equestrian in the Order, one of the highest honors that can be bestowed upon a layman by the Catholic Church.

Mrs. Haberle was born on November 7, 1921 in Jamaica, New York. She was a graduate of the College of Mount St. Vincent's and Fordham Law School. She died on October 12, 1972, a year after her husband's death, and was survived by her eight children: Mrs. Mary Patricia Davis of Baltimore, Md., Ernest, Jr., Therese, Anne, Rosemarie, Thomas, Francis and Sean.

Mrs. Haberle was a member of the Shelter Island Yacht Club and of the Gardiner's Bay Country Club in Shelter Island.

236 **ROBERT H. PARKER** (1911-1949) S&C 1945-1948

Robert Humphrey Parker was born in Oklahoma City on April 22, 1911. He received his A.B. degree from the University of Oklahoma in 1934, and his LL.B. from Columbia in 1938. While at S&C he worked in the general practice group, principally on state "blue sky" law matters.

Mr. Parker died on July 7, 1949. He was married to the former Edith Corya. They had one son, Robert C. Humphrey Parker.

237 **BRUCE A. HECKER** S&C 1946-1955

Since July 1956, Bruce A. Hecker has been a partner in the New York City firm of Shea & Gould and its predecessor firms.

Born August 20, 1919 and raised in Philadelphia, Pennsylvania, he earned his B.A. in 1939 from New York University and his LL.B. in 1942 from Harvard Law School. With war service intervening, in January of 1946 he came to S&C. He practiced with the litigation group, and of that experience, his "brightest recollections are of: (1) anti-trust cases involving General Tire & Rubber; (2) the litigation over the right to the water power generated by the Susquehanna River, in which S&C rep-

resented the Consolidated utility in Baltimore; and (3) the struggle for control of R. Hoe & Co. which took me twice to the New York Court of Appeals."

Always a litigator, as a Shea & Gould partner his representation has continued to be of defendants in anti-trust cases, government and private, and in cases challenging the conduct of corporate officers and directors. What have been his highlights? According to Mr. Hecker, "the trial of *any* case is a highlight, as are appearances before any appellate court."

In 1973 Mr. Hecker was elected a Fellow of the American College of Trial Lawyers. In 1972 and 1973 he served as Special Counsel to the New York State Bar Association. He currently serves as a director of Binney & Smith, Inc. (the Crayola maker) and of Doubleday & Co. (the book publisher).

Mr. Hecker is married and he and his wife, Mindelle, have twin daughters and two granddaughters. They are currently living in Teaneck, New Jersey.

"I spent the three and a half year period between graduation from law school and joining S&C in the service where I forgot what little law I had learned. In a very real sense, S&C was my law school and Messrs. Dooling and Piel the patient faculty. My years at S&C were exciting because the work was challenging and everyone there was so bright. In my judgment, it is the rare young lawyer who will not benefit from the 'big firm' experience."

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238 **JOHN R. MILLER** S&C 1946-1955

Mr. Miller is now partner of Miller, Engel & Leonardson in Madison, New Jersey.

Born in Sewickley, Pennsylvania in 1922, he is a graduate of Princeton (1943) and the University of Pennsylvania Law School (1946). With S&C he practiced in the litigation group, participating, among other things, in the defense of the *Investment Bankers Antitrust* case.

Mr. Miller and his wife live in Mendham, New Jersey.

"It was my good fortune," Mr. Miller writes, "to have been associated in my early days with the finest firm of attorneys that ever existed."

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239 **JOHN C. JAQUA** S&C 1946-

"Born on April 23, 1919, John Clayton Jaqua grew up in Randolph County, Indiana, where his ancestors had arrived in the early years of the 19th century. He was educated in the public schools principally in Winchester, Indiana, the county seat. During his high school years he

was a sports writer for the local town newspaper, whose motto was 'Randolph County First — the World Afterwards'.

"Jaqua followed his father in attending Cornell University, where he concentrated his energies in work on the staff of The Cornell Daily Sun, of which he was managing editor in his last year. He was admitted to Yale Law School in September 1940 and was elected Editor-in-Chief of the Yale Law Journal.

"In early 1942 he went on active duty in the United States Marine Corps and was trained in Quantico, Virginia, first as an infantry and then as an artillery officer. He joined the Third Marine Division in California in the Fall of 1942 and served overseas with this Division for 28 months. The Division was engaged in the campaigns of Bougainville, Guam and Iwo Jima. He returned to the United States in the Summer of 1945, where he was commanding officer of the barracks detachment in the Brooklyn Navy Yard when the war ended. He was mustered out a Captain and promoted to the rank of Major in the Reserve. He returned to Yale Law School in the Fall of 1945 and graduated in February 1946.

"Jaqua joined Sullivan & Cromwell as an associate in the general practice group in February 1946 and became a partner on January 1, 1953.

"He has worked on hundreds of financing transactions of many kinds, domestic and foreign, involving a wide variety of issues such as mining, tobacco, life, fire, casualty and health insurance, independent and captive credit, chemical, shipbuilding, telephone, electric, water and gas utility, conglomerate, drug, forest products, primary metal and metal fabrication and other companies. He has participated in several proxy contests and beginning in the 1960s was active in contested exchange and tender offer work. In addition he has had a continuing practice involving issuers and broker-dealers under the Federal securities laws and corporations under State and Federal legislation governing their other activities, and he participated in many negotiated merger and other acquisition transactions, patent and know-how licensing, joint ventures and SEC and FPC appearances. He has long been an advisor to utility clients and in recent years his practice has included advice as to legal aspects of financial accounting and auditing.

"Presently the Chairman of the State Bar Committee on Corporation Law, he previously served on the Federal Legislation and Securities Law Committees of the Association of the Bar of the City of New York and the Civil Rights Committee of the State Bar Association.

"He has been active in Yale Law School alumni affairs, serving as President of the Yale Law School Association 1973-1975 and Chairman of its Executive Committee 1975-1977.

"In the 1960s Jaqua became Securities Coordinator for the Sullivan & Cromwell general practice group and was in charge of the S&C process of keeping lawyers and clients advised of developments in this field. In 1972 Jaqua became Managing Partner of the general practice group, a position which he continues to hold. He is a director of Louisiana-Pacific Corporation.

"Jaqua is married to the former Mary Elizabeth Costantino and they have lived in Bronxville, New York, for many years. They have six children: Louise, Nancy, David, Marilyn, Michael and Stephen.

"In Bronxville Jaqua has been active in local Democratic Party affairs, as well as PTA and school activities. An avid bird watcher for many years, he has participated in the annual Audubon Christmas count and served on the Scarsdale Audubon Society Board of Directors. Interest in art led to his avocation of painting as well as the study of Chinese porcelain. With his wife, he shares an interest in antiques, with which she deals professionally.

"Jaqua has been greatly assisted in his legal practice by his secretary, Ann Matishowski, who has served with him ever since he became a partner."

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240 **VICTOR FUTTER** S&C 1946-1952

Victor Futter is Vice President and Secretary of Allied Chemical Corporation.

He graduated from Columbia College (1939, Phi Beta Kappa) and Columbia University Law School (1942) where he was a Kent scholar and an editor of the *Law Review*. He then served in the Army in the period 1942 to 1946 and was discharged as a Major in the Field Artillery with two battle stars, and came to Sullivan & Cromwell.

His S&C practice was in the litigation group, primarily on assignments with or supervised by Messrs. Wyatt, Dooling, Piel and Milman. In 1952 he joined Allied Chemical Corporation as an attorney in its Law Department and subsequently served that firm in the capacity of Assistant Director of the Law Department, Assistant General Counsel, and Associate General Counsel, prior to assuming his current position with the Corporation.

Mr. Futter served as President of the Columbia College Alumni Association (1972-1974), three terms as one of two alumni representatives on Columbia University's Senate, and two terms as Chairman of the Board of Directors of the Columbia College Fund. He was awarded a Columbia University Alumni Medal for "conspicuous Columbia

alumni service" in 1970. He has also served as a special professor of law at Hofstra Law School.

He is currently serving as Chairman of the Mount Holyoke College Parents and Friends Committee, and as a member of the Hofstra Law School Development Council. He has served as a Trustee of the Village of Flower Hill of which he was also Deputy Mayor. He has served on the Board of Directors of the New York Young Democrats, as co-chairman of the Port Washington Community Chest, and in various other civic capacities.

He resides in Port Washington with his wife, Joan, who is Librarian of the Port Washington Junior High School. They have three children: Jeffrey L. (Senior Attorney, Long Island Lighting Company); Ellen V. (Associate, Milbank, Tweed & McCloy); and Deborah G. (a student at Mount Holyoke College).

Memberships include: American Society of Corporate Secretaries, Inc.; Stockholder Relations Society of New York; Association of the Bar of the City of New York; American Bar Association; New York State Bar Association; Association of Corporate Counsel of New Jersey; International Nuclear Law Association.

Publications include: Review of *The Nuclear Power Controversy* (The American Assembly, Columbia University), edited by Prof. Arthur W. Murphy, published in the March, 1978 issue of *The Columbia Law Observer;* Article on "The Case For Multinational Reprocessing Centers — Now" in 16 *Columbia Journal of Transnational Law* 430 (1977); "Insurance Against Liability of Directors and Officers, A Forum," 22 *The Record of the Association of the Bar of the City of New York* 342 (May, 1967); "Evaluation of Municipal Business Taxes," 28 *Proceedings at the Academy of Political Science* 118 (February, 1968).

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241 **MATTHEW J. KUST** S&C 1946-1950

Since 1957, Mr. Kust has been engaged in his own international legal practice in Washington, D.C., with his office at 1001 Connecticut Avenue, N.W.

Born in Luxemburg, Wisconsin in 1915, Mr. Kust received his undergraduate degree from the University of Wisconsin in 1938, and his law degree from Harvard in 1941. During his four years with S&C, "one of the highlights of my career", Mr. Kust practiced in the tax group.

From S&C, he went to the State Department: he spent two years in New Delhi, India as legal counsel to the foreign aid program in South and Southeast Asia. Upon his return from India, Mr. Kust spent three years at Harvard Law School with the International Legal Studies program.

Mr. Kust's present practice in Washington, D.C., has covered projects in Asia, Africa and Latin America. He has written extensively on

international legal and economic matters. From 1961 through 1965, about two hundred of his articles were published in India's *Economic Times*, for which he also wrote a periodic column. In addition, he has published a book, *Foreign Enterprise in India*, under a fellowship from the American Society of International Law.

Mr. Kust married Monti Clair Arbogast in 1947. They have two children: Kathleen, who is studying landscape architecture, and Robert, a geology student.

"The dramatic changes during our generation have been profound, the dimensions of which are not yet well understood. It seems to me that the frugal, hard working American has changed into a debt ridden 'consumer' who is beginning to eat the nation's seed corn. Our society has clearly acquired a consumption bias that has in past societies always brought inflation and ultimate economic ruination. It's too bad that America seems to be going in the same direction as the fallen societies of the past."

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242 **WILLIAM H. KINSEY** S&C 1946-1947

Mr. Kinsey is a partner in the Portland, Oregon firm of Souther, Spaulding, Kinsey, Williamson & Schwabe.

Mr. Kinsey was born in Cleveland, Ohio in 1918. He received his A.B. from Wayne University in 1940, and his J.D. from the University of Michigan in 1942. He left S&C after about a year to practice law in Oregon.

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243 **CHARLES H. WATTS** (1917-1967) S&C 1946-1952

Mr. Watts was born in Baltimore, Maryland on September 21, 1917. He graduated from Yale College (A.B. 1939), and Harvard Law School (LL.B. 1942). Before joining S&C, he served for three years in the Navy, and was released as Lieutenant in 1945.

Mr. Watts died in Brussels, Belgium on June 6, 1967. At the time of his death, he was Assistant General Counsel of the National Dairy Products Corporation in New York. He and his family lived in Darien, Connecticut. Mr. Watts was married to Katherine Carrig Watts (Wellesley Class of 1944). They had three children: Jane C., born in 1955; Katherine P., born in 1956; and John B., born in 1958.

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244 **JOSEPH A. BRODERICK** S&C 1946-1952

Joseph Albert Broderick is a professor of law at North Carolina Central University School of Law in Durham, North Carolina.

Born in Washington, D.C. in 1917, he was educated at Princeton (A.B. 1937, magna cum laude), where he was the managing editor of the Daily Princetonian, and at Harvard (LL.B. 1941), where he was class marshal. Later on, he pursued further study at Harvard (S.J.D. 1963) and at Oxford (D. Phil. 1968).

After law school he had six months with Mitchell, Taylor, Capron & Marsh in New York City before reporting for World War II service in the U.S. Navy. He was commanding officer of PTs and LSTs, and rose to the rank of Lieutenant Commander. Mustered out, he joined S&C in July 1946, spent a year with the general practice group and thereafter practiced as a litigator. He was admitted to partnership on January 1, 1952 and resigned on December 15 of that same year to enter the monastery and commence his studies for the priesthood in the Dominican Order of the Roman Catholic Church. He was ordained priest in 1959 and served until January, 1980 when he withdrew from the active ministry.

"A large part of my litigation time at S&C was given to our representation of the Kingdom of the Netherlands in the Looted Securities cases (bonds looted by Nazis from Jewish citizens of the Netherlands in WW II), under the direction first of Allen Dulles, and then of Art Dean. My last appellate argument was in the 2d Circuit (before A.Hand, Swan and Frank, JJ.), the appeal of our test case. I was already in the monastery when the decision came down favorable to us.

"My last appearance for S&C was as counsel to the Carnegie Foundation for International Peace in the 1952 congressional hearings 'investigating' foundations. The Committee was interested in our client primarily because John Foster Dulles had been Chairman of the Foundation and chairman of the selection committee which had chosen Alger Hiss as president. Foster was to be the Foundation's witness before the Committee, and I had the unique experience of 'horse-shedding' him (of all people). Shortly before the hearing date Ike tipped (or announced, I forget which) that Foster was to be his Secretary of State. So Foster withdrew as witness, and the last minute substitution was John W. Davis. It was a beautiful 'final' experience. Davis was a strong and forthright witness. And that morning when the hearing was over he walked across the park to the Supreme Court and made his argument (for South Carolina) in the cases now known as the landmark *Brown v. Board of Education*."

Broderick's duties assigned to him by the Dominican Order included serving as professor of law at Catholic University Law School in Washington, D.C. from 1963 to 1976. In addition, in 1969-1970 he was consultant to the Administrative Conference of the United States.

Since 1976 he has been professor of law at North Carolina Central University.

"My present job as professor at a predominantly black state law school is a thrilling experience. It is the most integrated institution of higher education in the country (about 60% black, 40% white). With a little financial help (much needed) from private sources, especially from the bar, we could have a very good law school here in a few years.

"I am co-founder of the Legal Assistance Project for the Cheyenne and Arapaho Tribes (LEAPCAT), a legal assistance project for the poorest Indians in the country (in northwestern Oklahoma-Cimarron country). It is in its fourth year in 1980 and still, but barely, alive financially speaking. So far it has been funded almost totally by contributions from various congregations of Dominican men and women. I remain an active member of the Board of Trustees. If anyone within sound of my voice hears of foundations or bar groups that could help keep it moving with some annual aid, please let me know."

Broderick has been a prolific contributor to philosophical and legal journals both here and in France. In addition, he has assembled and edited two volumes of learned essays, *Law and the Liberal Arts* (Catholic University of America Press, 1965) and *The French Institutionalists: Hauriou, Renard, and Delos*, Twentieth Century Philosophy of Law Series, Association of American Law Schools (Harvard University Press, 1970).

245 **JOHN G. DORSEY** (1918-1966) S&C 1946-1951

John G. Dorsey was born in Minneapolis in 1918 and received his university education at Harvard (B.A. 1939, LL.B. 1942). He was admitted to the Minnesota bar in September 1942, after being commissioned in the U.S. Army. His Army career brought him finally to the Pentagon headquarters of Special Branch, Military Intelligence Service, of the War Department General Staff. His wife, Caroline Worden Dixon, the daughter of Joseph Dixon, a former governor of Montana, was able to be with him in Washington for the duration of his service there.

Mustered out in 1946 at war's end, Mr. Dorsey came to S&C and practiced with the litigation group until deciding, in 1951, to return to his home town to join his father's law firm, the predecessor of Dorsey, Windhorst, Hannaford, Whitney & Halladay.

He became a partner and practiced successfully with that firm until his suicide in 1966 at the age of 47.

It is not possible to know all of the facts of such a tragedy, much less to understand their cause and meaning. There may be as many views as there are viewers. One view is expressed by a friend of 20 years, Bill Piel:

"John Dorsey worked for Ben Shute and me in Special Branch. His performance was top-notch. He was a handsome, witty and altogether attractive man. Ben and I collaborated in persuading him that New York should be the place for his practice after the war, and we vied as to whether that should be with Cravath or S&C. S&C prevailed.

"While practicing with S&C he had deeply conflicted feelings about whether he (as I believe he believed his father and other members of his family wished and expected) should go home to Minnesota and join his father's office. He ultimately decided to do so and he left us in 1951. He became a partner, practiced successfully, and was in frequent touch with his friends at S&C.

"As was later learned, for the years 1962, 1963 and 1964 (during which, it was charged, his income was $215,000), John failed to file state income tax returns. In the same period, it seems, he reported and paid his federal income taxes and met all other obligations. Could this have been an oversight on the part of so capable and meticulous a lawyer? Or was there some deeply buried and obscurely motivated desire to inflict self-injury? Some such notion is the only way I can satisfactorily explain for myself the aberrational behavior of, say, a James M. Landis.

"In any event, in February 1966 his defaults came to light in the same week the American Bar Association was holding its winter meeting, and John took Caroline to Chicago to attend that convention. He left her in their hotel room, ostensibly to attend a meeting, and disappeared. She learned from the Arizona police that he was dead. It appears that he had gone to the airport, had flown to Flagstaff, had gone out into the hills to a place that had some nostalgic attachment for him from the past, and in a snowy field had shot himself in the head.

"Plainly he was a deeply troubled person, who may have found it not as easy simply to destroy himself as to set in train a series of events which would later on make it seem that destruction was called for."

246 **IRVING KURANER** S&C 1946-1947

Mr. Kuraner, a graduate of the University of Kansas (1940) and Columbia Law School (1946), is now a partner in the Kansas City law firm of Kuraner, Dingman, Schwegler, Kinton & Lowe, where he is engaged in the general practice of law.

Mr. Kuraner married Leona Bennett in 1953. They now live in Longwood, Kansas. Mr. Kuraner has one stepson and two grandchildren.

247 **ROBERT A. McDOWELL** S&C 1946-53, 1956-

Robert Adams McDowell joined Sullivan & Cromwell in 1946, left in 1953 to serve in Washington as Director of the Division of Corporate Regulation of the Securities and Exchange Commission, returned in 1956 as a partner, and has been at Sullivan & Cromwell since then.

"I was born July 5, 1918 in Auburn, New York and brought up in Elmira, New York where my father and grandfather were both prominent lawyers and where my family have lived since well before the Civil War. My education was at the Elmira Free Academy, Syracuse

University (AB 1940) and Harvard Law School (LLB 1946 as of 1943) where I was a member of the Law Review. Law School was interrupted by 3-1/2 years as an officer in the U.S. Navy during World War II, where I served first in the Bureau of Ships in Washington, next on the USS Colahan (DD 658) in the Fast Carrier Force in the Pacific, and finally as Assistant Flag Secretary to Admiral R.A. Spruance, Commander of the Fifth Fleet. In Washington I was fortunate to work for and with my present partners William Ward Foshay, who later became counsel to the Bureau, and Garfield H. Horn who was recruited by the Bureau along with me. I became a member of the District of Columbia bar in 1943 and of the New York State bar in 1947.

"At Sullivan & Cromwell I have worked extensively with Arthur H. Dean and William Ward Foshay. My work has encompassed almost the full ambit of corporate, underwriting, securities and broker-dealer matters with some tax law thrown in.

"In the late 1940's I worked closely with Mr. Dean on the elimination of an issue of non-callable preferred stock at American Metal Company Limited (now Amax Inc.). This was done under a then recent amendment to the New York Stock Corporation Law and was one of the first successful rearrangements of this type. Later, I assisted Mr. Dean and others in the firm with the establishment of employee stock option plans for many of our clients. These were popularized by contemporaneous amendments to the tax laws. I assisted Mr. Dean in the preparation of his related article on Employee Stock Options in 66 Harv. L. Rev. 1403 (1953). Later, after my stint at the SEC, I also assisted Mr. Dean in the preparation of his article entitled Twenty-Five Years of Federal Securities Regulation by the Securities and Exchange Commission in 59 Colum. L. Rev. 697 (1959).

"At the end of 1967 when I was working on behalf of Sullivan & Cromwell with the old shipping company, U.S. Lines, in connection with a proposed disposition of its container business, Walter Kidde suddenly announced that it would make a cash tender offer for U.S. Lines stock. This offer was made, and by May 1968, Kidde had acquired over 45% of U.S. Lines. Ultimately U.S. Lines was merged into Kidde. This tender offer and the resulting merger raised untested questions about the rights of Kidde to make private cash purchases of U.S. Lines stock outside the tender offer and the rights of corporate executives of U.S. Lines under employment agreements in the face of such a tender offer. This was before the Williams Act and the questions presented were for the most part novel and untried.

"Following my return to Sullivan & Cromwell from the Securities and Exchange Commission in 1956, one of my early tasks was to act as

Secretary of Old Town Corporation, a client of Sullivan & Cromwell whose stock was listed on the American Stock Exchange following a public offering in the 1940's in which Sullivan & Cromwell acted as counsel for the Underwriters. At the time when I served as Secretary, the Company was controlled through trust holdings by one family, and it operated on a marginal basis. This provided an opportunity to see how innovative accounting could affect the bottom line in financial statements, educated me about the problems which exist when a private family controls a public corporation which the family continues to regard as its own private bailiwick, and taught me the fine points of drafting corporate minutes and SEC filings. My job as Secretary lasted for some six or seven years until control of the Company was sold by the controlling family to others.

"In more recent years, I have been involved in the affairs of the broker-dealer firm, Bache & Co., its incorporation in 1965 after the rules of the New York Stock Exchange permitted member firms to incorporate, the initial public sale through underwriters of its common stock in 1971, the subsequent listing of the stock, and the creation of Bache Group Inc., as a holding company in 1974. Representation of Bache included work on Bache's acquisition of Stein Bros. & Boyce in 1970, Halsey Stuart in 1973 and of Shields Model Roland in 1977. Our work for Bache has called for advice with respect to the net capital requirements of broker dealers, the transitory capital of such firms when privately owned (including subordinated debt and secured demand notes), margin requirements, investment advisory matters, and forms required in the servicing of customers and customers accounts. In addition, of course, Bache was involved in the underwriting business and from time to time we acted as counsel to the firm, as representative of the underwriters, on some rather novel securities issues, particularly during the boom venture capital markets of the early 1970's, when the 'Green Shoe' option, warrants to underwriters, sales of securities in units with other securities, and like promotional activities were rampant. One of the more interesting financings I worked on for Bache was the creation of and initial public offering in 1966 of stock by The Manhattan Fund which turned out to be the largest mutual fund new issue financing done up to that time, with some 400 underwriters participating.

"In the recent past I have worked with Sullivan & Cromwell's client, Johnson & Higgins, particularly in respect of the new New York Insurance Exchange, which at the present time is under development but not yet operating. The problems are similar to those which existed when the Securities Act of 1933 was first passed and the SEC first es-

tablished. New forms, new regulations, and new procedures must be worked out in order for operations to commence, and this must be done with an inexperienced and limited staff.

"Aside from my law practice, I am pleased to report that in 1944, while in the United States Navy, I married Frances Bennett Furqueron of Henderson, North Carolina and we have three sons, Robert P. II, George Whitfield, and Bennett Adams, all of whom are now fully grown, and, with the exception of the youngest who is still in college, on their own in the business world.

"My family has been fortunate in living in Plandome, L.I., N.Y. since 1958. We have focused our family activities on water sports, including particularly swimming, sailing and skin diving, and for eight years now I have been cruising the 35 foot sloop RAM on the east coast, including cruises to Bermuda and Maine with members of my family as crew.

"Since residing in Plandome I have served a term as Acting Police Justice of the Village of Plandome and have been a board member of the Plandome Civic Association. Also I have been Chairman of the Corporation Law Committee of the Association of the Bar of the City of New York (1967-68); I have been a member of the Board of Trustees of Syracuse University (1971-to date), of the Board of Visitors of the College of Law of Syracuse University (1973-to date), and of the Board of Directors of the Council of Governing Boards, Inc. (formerly the Committee of Correspondence for Independent Higher Education in New York State, Inc.) (1976-to date). I am a trustee of Psi Upsilon Foundation, Inc. and a member of the Board of Governors and a former president of the Plandome Field and Marine Club. In addition to that club I am a member of the Harvard Club of New York City, the Recess Club in New York City and the Port Washington Yacht Club in Port Washington, L.I., N.Y."

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248 **GARFIELD H. HORN** S&C 1946-49, 1951-

Mr. Horn was born on Christmas Day 1919 in Aberdeen, South Dakota. In his growing years he lived in various cities in California. He attended Harvard college (B.A. 1940) and law school, but his law studies were interrupted by wartime service in the Navy from 1942 to 1946. In 1946 he was awarded the LL.B. degree as of 1943. His Navy service included a tour of duty as a lawyer with the Bureau of Ships in Washington.

Coming to S&C in 1946, he joined the general practice group and the team preparing to present the defense in the *Investment Bankers Antitrust* case. He left in May 1949 to become General Counsel, stationed in Paris, to the United States Special Representative in Europe of the Economic Cooperation Administration.

Mr. Horn returned to the firm in 1951, and became a partner in 1953. He has been most active in advising and assisting domestic and foreign clients of the firm in a great variety of international transactions. He was the resident partner at the firm's Paris office from mid-1962 to mid-1965.

Mr. Horn's wife is the former Alexandra Matz. They have four children: Garfield Henderson (1945), Jennifer (Mrs. Ronald) Schuppert (1947), Charles Matz (1951) and Leslie Hollingsworth (1958).

Directorships: Daiwa Bank Trust Company; Hesston Corporation; People's Symphony Concerts; 825 Fifth Avenue Corporation. Former directorships: Laing Construction Services, Inc.; Ajax Petroleum Company; Ariel Petroleum Company.

Member, President's Task Force on Foreign Economic Assistance (April-August 1961). Trustee, Village of Lloyd Harbor (1956-62). Member: ABCNY, NYSBA, ABA, International Bar Association.

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249 **FRANCIS ELWOOD BARKMAN** S&C 1946-1956

Francis "Woody" Barkman is a Professor of Law at the University of Toledo in Ohio.

He was born in 1916 in Cumberland, Maryland. After an education in the public schools there, he attended St. John's College in Annapolis from which he received his B.A. degree, magna cum laude and with honors in Economics, in 1938. He attended Duke University School of Law where for two years he was on the law review. Following graduation from law school in 1941, he served in the Army Ordinance Department as an instructor in military law and as Legal and Contracting Officer in the New York Ordnance District. The end of World War II found him beginning the practice of law with Sullivan & Cromwell as an associate in the litigation group. He left the firm in 1956 to become an Associate Professor of Law at Toledo Law School and, in 1962, was appointed Professor.

Professor Barkman has taught a wide variety of subjects, including, among others, Administrative Law, Criminal Correction, Criminal Law, Criminal Procedure, Insurance, Labor Law, Legal Process, Medical Malpractice, and Trade Regulation. More recently he has lim-

ited his teaching to Criminal Law, Evidence, Trial Practice, Law and Medicine, Remedies and Torts. He has taken great satisfaction in "participating in the transformation of this once small night law school (100 students, 4 full-time faculty, dean and librarian, with library under 20,000 volumes) in a municipal university into a two-division school of a State University (with a faculty of 35 full-timers, student body exceeding 800, and a library of 100,000 volumes) in our own separate modern building which is now expanding, largely for library research and clinics. It has been an uphill battle all the way. S&C experience was invaluable preparation."

In addition to teaching law, Professor Barkman has refurbished his scholarship from time to time, attending from 1959 to 1960 as a Ford Foundation fellow at Harvard University Law School, receiving his LL.M. from New York University Law School in 1967, and in 1969 doing post-graduate work at the University of Denver College of Law, in addition to participating in several workshops for Law Teachers held at New York University. He has had, also, heavy involvement in the Association of American Law Schools in varying capacities, as well as participating in numerous committees of the University of Toledo, University of Toledo Chapter of American Association of University Professors and the Toledo Bar Association.

As a litigator at Sullivan & Cromwell, one of Professor Barkman's major engagements was a principal role in developing and presenting the defense for Lehman Brothers, one of S&C's five client-defendants, in the *Investment Bankers Antitrust* case. ("I feared that it would never end.") A bittersweet recollection is of Judge Harold Medina's telling Arthur Dean that "he wished we put in on that case a little of the night work for which the firm was internationally renowned — at a time when we were probably averaging well over 12 hours of time daily per lawyer, 7 days a week."

Professor Barkman is married to Margaret Ellen Saunders. They have three sons: David, Robert and Frederick. The Barkmans live at 6248 Valley Stream Road, Toledo 43615.

Professor Barkman has been Secretary-Treasurer of the League of Ohio Law Schools; a member of the American Bar Association; Treasurer, Vice-President & President of the Toledo Chapter of the American Association of University Professors; and Consultant to the Ohio Judicial Conference. He has participated in various programs of the Ohio Legal Center Institute and the Institute of Continuing Legal Education, Ann Arbor, Michigan. He also served as a Visiting Professor of Law at Gonzaga University in Spokane, Washington, 1975-76, and at Wake Forest University in Winston-Salem, North Carolina, 1979-80.

While his heavy teaching and administrative loads prevented him from being a prolific scholar, he contributed a chapter, "Understanding Law and Our Society" (pp. 79-84), to the volume edited by Joseph A. Broderick (*q.v.*), *Law and the Liberal Arts* (Catholic University Press 1967).

Professor Barkman has written of his "joy of seeing many of my students achieve distinguished careers as judges and practitioners." He is concerned, at the same time, about the quality of educational backgrounds which precede the law school years. "I am amazed at the numbers of students who have been reared by television sets, apparently without significant human relationships, overwhelmed by an abundance of choices, without any equipment enabling them to make intelligent decisions. I never dreamed our society could spawn so many unhappy youngsters."

He cherishes, from his years with S&C, the "remarkable camaraderie" which he shared "among people of intellect, talent, integrity and diversity of interests". On the lighter side, he shares with us a different reminiscence: "During the war years the halls of S&C had gone unpainted; their appearance by my arrival in August 1946 was sorry, to say the least: dingy, smudged, minor knicks and dents, major scrapings, etc. So the decision was paint. Administrative supervision was entrusted to Miss Jesse Sansom. One day was was spent by Miss Sansom and the painter trying to get the exact shade.

"The painter would mix a while and then ask for her approval. A quick glance was followed by her disapproval, and a rather firm scolding. The painter would then clean the wall, mix and present another sample for her approval only to meet the same result.

"The poor painter was getting exceedingly tired and his mutterings in her absence indicated his distaste for her, the firm, lawyers, and Wall Street in general. Nevertheless he persisted.

"Finally, I heard her delighted shriek of approval, so I left the 17th floor bullpen to see what had happened. I was astounded to hear her reaction to a color which I might have described as 'bullshit brindle.' She said, 'That's it, that's it.' The painter appeared quizzical and she elaborated, 'That's it, that's Sullivan & Cromwell. Don't you see that that paint has character and integrity? That's what this firm has!'

"When she departed the painter said to me, 'You know, the old lady's right! I didn't see it before. I'm glad she made me do it right. I now know that that's what makes you guys so great is the pains you take to do everything right.' "

250 **WILLIAM H. BUCHANAN, JR.** S&C 1946-1955

Mr. Buchanan is General Attorney of U.S. Steel Corporation.

Born in Brooklyn in 1916, he received his B.S. degree in engineering from the University of Michigan in 1939. In 1946, he earned both a master of business administration degree and a juris doctor degree, and then came to S&C as an associate. He left the firm in July 1955 to join U.S. Steel's Pittsburgh law department, and was named General Attorney in 1959.

Mr. Buchanan and his wife live in Pittsburgh.

251 **ERNEST L. GODSHALK** S&C 1946-1948

Mr. Godshalk retired in February, 1977 from his position as Associate General Counsel of Standard Oil Company (Indiana), after twenty-eight years.

He was in S&C's general practice group, and from that association he has "many pleasant memories: the semiannual firm dinners with John Foster Dulles' addresses — the annual New Year's Day party at Dulles' townhouse — Dave Hawkins' steely eyes suddenly appearing in my doorway — Art Dean's announcement of increased pay — John Dooling's group at long dinners talking baseball — and Bill Piel's tweed trousers with seat replaced. Anyway, I made many good friends and still have several. It was a good experience."

Born in Charleston, South Carolina on January 2, 1918, Mr. Godshalk attended the College of Charleston, graduating in 1939, and then Harvard Law School, graduating in 1946.

Mr. Godshalk would ". . . advise practice in the sunny south and certainly not in ice and snow-covered Chicago, where I spent the last eighteen years of my career. In fact, those who can should avoid either and spend their time at sea on a sailboat, which I now strive to do as much as possible."

Married to Gertrude Wright Perkins with three children, Ernest III, Robert and Cynthia, and two grandchildren.

252 **ALLAN KRAMER** S&C 1946-1954

Mr. Kramer is General Counsel of Deloitte Haskins & Sells in New York.

In addition, he is an Adjunct Professor of Law at New York University Law School.

Mr. Kramer was born in New York in 1920. He is a graduate of Yale College (B.A. 1939), and Harvard Law School, earning his degree in 1946 as of 1942, and was a general practice associate while at S&C. He left S&C to practice with the Shea Gould firm in New York City, and was a principal partner of that firm for many years before taking his present position with one of the "Big Eight" accounting firms, an S&C client.

He is married to the former Claire Bloch. They have three children: Richard, James and Louise. The Kramers live in Sands Point, New York.

253 **ROBERT T. QUITTMEYER** S&C 1946-1956

President, Chief Executive Officer and Director of Amstar Corporation.

Graduate of Columbia College, B.A. 1941 with honors in economics, and from Columbia Law School, J.D. 1946, where he was an editor of the *Columbia Law Review*.

His practice with S&C included varied general practice and litigation assignments, including a principal role in preparing and briefing the defense of First Boston Corporation in the *Investment Bankers' Antitrust* Case. His S&C years were preceded by combat service in Italy with the 34th and 88th Infantry Divisions, from which he was honorably discharged as a Master Sargent with campaign and combat decorations.

Mr. Quittmeyer left S&C to join the Law Department of Amstar (then named American Sugar Refining Company), and thereafter served as Assistant Secretary, Assistant General Counsel, Vice President and Executive Vice President. He was chosen by the board of directors to head the company in 1971.

Mr. Quittmeyer married Marilyn L. Prehm. They have three children: Richard, who is studying at Columbia University for his Ph.D. in geophysics; Susan, a graduate of Illinois Wesleyan University School of Music and Manhattan School of Music, and now an affiliate artist (mezzo-soprano) of the San Francisco Opera; and James, who recently graduated from Union College.

Mr. Quittmeyer's salute to the S&C centennial was, "Proud to be an S&C alumnus!"

Born September 12, 1920, Peekskill, N.Y. Directorship: The Bank of New York, Grocery Manufacturers of America. Trusteeships: Dry Dock Savings Bank, The Nutrition Foundation, Inc. Memberships: The Conference Board, The Economic Club of New York, Phi Gamma Delta. Chairman, Board of Appeals, Village of Baxter Estates. Member of St. Stephen's Choir, Port Washington, N.Y. and of the Port Washington Yacht Club.

254 **THOMAS V. LEFEVRE** S&C 1946-1948

Mr. Lefevre is president and chief executive officer of UGI Corporation and was until recently a partner of Morgan, Lewis & Bockius in Philadelphia.

Born in Dallas, Texas, he graduated from the University of Florida (B.A. 1939, LL.B. 1942) and received an LL.M. degree from Harvard University in 1946. He joined Sullivan & Cromwell following four years with the U.S. Marine Corps, in which his final rank was Major,

after service with the 4th Marine Division in the campaigns for Kwajalein, Saipan, Tinian and Iwo Jima.

Mr. Lefevre left S&C to practice in St. Petersburg, Florida. Thereafter, however, he joined the staff of the Internal Revenue Service and after some years of practice with the government and with other law firms, entered the office of Morgan, Lewis & Bockius, and became a partner in 1956. Prior to his retirement effective January 1, 1980 he was a senior partner, a member and former chairman of the executive committee of the firm, and chairman of its tax section. In June 1979 he was elected president and chief executive officer of UGI, a diversified utility and energy company, effective October 1, 1979.

Mr. Lefevre is a director of UGI and AVC Corporation.

Mr. Lefevre is married and has four children. He lives in Rosemont, Pennsylvania.

Member, American Bar Association (Former Vice-Chairman, Government Relations, of the Section of Taxation); Fellow of the American Bar Foundation; American Law Institute. Former member of the Commissioner of the Internal Revenue Service's Advisory Group. Former Member of the Advisory Board of the New York University Tax Institute and of the Advisory Board of the University of Pennsylvania Tax Conference.

Member of the Executive Committee, Director and General Counsel of the Greater Philadelphia Chamber of Commerce. Former Vice-President, Member of the Executive Committee and Director of the Delaware Valley Housing Association. Trustee and Former Chairman of the Steering Committee of Community Leadership Seminars. Former Chairman of the Board of Trustees of the Agnes Irwin School. Member Sunday Breakfast Club, The Union League of Philadelphia and The Philadelphia Club. Former Member of the Health Affairs Committee and Associate Trustee, University of Pennsylvania. Member of the Long Range Planning Committee of the University of Pennsylvania Medical School. Director, Center for Philadelphia Area Development. Director, The World Affairs Council of Philadelphia. Director, American Lung Association of Philadelphia and Montgomery County. Board of Members, The Franklin Institute. Director, Institute for Cancer Research.

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255 **GERORGE A. SCHOLZE** S&C 1947-1979

Mr. Scholze retired from employment with the firm on June 30, 1979, at the age of 70, after thirty-two years as the firm's Managing Clerk, the administrative adjutant to the litigation group. Like his predecessor, Bill Mulry (*q.v.*), he was an invaluable guide to many young barristers in their tracking of the game runs and watering holes of Foley Square (as well as a humiliation to them on the tennis court).

Born in Flushing, New York in 1909, Mr. Scholze earned both his A.B. (1931) and J.D. (1934) degrees at Fordham University. He then practiced with the New York firm of McManus, Ernst & Epstein for nine years before joining the U.S. Army in 1942. He was with the Corps of Combat Engineers in the E.T.O., serving as the personnel sergeant

of his battalion. Mustered out as a Technical Sergeant in 1945, he found temporary employment as an assistant claim examiner with the New York State Department of Labor, Division of Placement and Unemployment Insurance, before coming to S&C.

Mr. Scholze and his wife, the former Dolores Margaret Kelly, live at 5 The Loch, Rockville Centre, New York 11570.

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256 **HUBERT J. DeLYNN** S&C 1947-1959

Mr. DeLynn is Executive Vice President (Finance and Legal) and Treasurer of RKO General, Inc.

Born in 1921 in Uniontown, Pennsylvania, Mr. DeLynn graduated from West Virginia University in 1941, where he was elected to Phi Beta Kappa. In 1947 he received his LL.B. degree from Harvard Law School, where he was Articles Editor of the *Harvard Law Review*.

Mr. DeLynn did tax and general corporate work during his years with S&C. His work on a variety of financing, recapitalization, tax and acquisition transactions of the General Tire & Rubber Company led to his present professional affiliation. His work now consists primarily of policy advice and decisions on major matters of RKO and its subsidiaries, and advice on General Tire's financial matters.

Mr. and Mrs. (Ruth E.) DeLynn, who were married on January 1, 1965, live in Larchmont, New York. They have four children.

Director and member of Executive Committee of RKO General, Inc., Frontier Airlines, Inc., and Cablecom-General, Inc. Director of Sentinel Group Funds, Inc.

"Training and experience at S&C, especially standards of excellence of work and ethics, have had a profound influence on my entire career. I value most highly my opportunity to work currently, from time to time with Dave Henkel, Bill Kirby, Marvin Schwartz and Bill Willis. I also remember with much affection working with many others at S&C, especially Norris Darrell, Bob McDonald and John Raben."

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257 **DONALD McL. DAVIDSON** S&C 1947-1951

Partner, Ferguson & Burdell, 1700 Peoples National Bank Building, Seattle, Washington, 98171.

A graduate of Dartmouth College in 1944 and Yale Law School in 1947, Mr. Davidson practiced with the estates and real estate group at Sullivan & Cromwell. He left S&C in 1951 to join his present firm, which engages in antitrust, construction industry matters, and general practice. He became a partner of that firm in 1955.

Mr. Davidson is currently special trial counsel for the State of Alaska in construction matters defending against, among others, Lockheed, Peter Kiewit, and Dravo Corporation. A notable achieve-

ment in his career was writing the briefs in *Arnold v. U.S.*, 352 U.S. 315, in 1957, which substantially broadened tort liability. Mr. Davidson has spent 12 years on the planning commission of the City of Kirkland.

Mr. Davidson was born February 25, 1922 in Chicago, Ill. He and his wife, Tatiana D. Davidson, have 3 children: Jossi, who is a lawyer in Salem, Oregon; Peter, who is involved in a Seattle construction business; and Lisa, a journalist stationed in Paris, France. They also have 2 grandchildren, Noah and Autumn Davidson.

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258 **ROBERT LOCKWOOD** S&C 1947-1955

Mr. Lockwood is Executive Vice President of General Motors Overseas Corporation and Chairman of General Motors European Advisory Council, an organization which reviews economic, political and social developments that would have an impact on the operations of a multinational company such as General Motors.

Mr. Lockwood received his A.B. and LL.B. degrees from Columbia University. During World War II, he served as a pilot with the U.S. Air Force, attaining the rank of First Lieutenant. Before joining S&C in 1947, he practiced with the New York law firm of Ehrich, Royall, Wheeler & Holland, of which Ralph Royall, another S&C alumnus, was a senior member.

During his tenure at S&C as a litigator, Mr. Lockwood recalls "working with such fine mentors as Bill Piel and John Dooling, and alongside such fine colleagues as Bob MacCrate, Bob McDonald and Joe Broderick." Among his S&C reminiscences is "the fascinating byplay in Court with the unique Judge Harold ('cash-on-the-barrel head' cram course) Medina."

Mr. Lockwood left S&C in 1955 to join Cluett, Peabody & Co., Inc. as Secretary and Counsel. From 1957 to 1959, he was Managing Director of Cluett, Peabody & Co., Ltd. in London, England.

Mr. Lockwood joined General Motors Overseas Operations in New York in 1959 and subsequently held positions with GM Argentina S.A. in Buenos Aires, and GM Continental in Antwerp, Belgium and Rotterdam, Holland. In 1968, Mr. Lockwood returned to New York as manager of planning and development for General Motors Overseas Operations but was only to touch home base until 1973, when he was off to London as assistant to the managing director of Vauxhall Motors Limited, GM's major manufacturing subsidiary in the United Kingdom.

In 1974, Mr. Lockwood was named vice president of General Motors Overseas Corporation and began a three-year assignment as general manager of GM's Japan Branch and executive vice president of

GM's affiliated company, Isuzu Motors Limited. In January 1977, he became director of Nordic and Central European administrative support units for GMOC, and held that post until his appointment in May, 1977 as chairman of the General Motors European Advisory Council and executive vice president of General Motors Overseas Corporation.

Mr. Lockwood and his wife, the former Penny Laing, live in London. They have two children: Brian, 26, a Williams graduate who works in trust administration with U.S. Trust Co., and Carole, 21, who works in London.

President, American Chamber of Commerce in the Netherlands (1968); Member, Board of Governors, American Chamber of Commerce, Japan (1976). Member, Roehampton Club; Hurlingham Club; Tokyo Lawn Tennis Club.

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259 **HOWARD N. GOLDEN** S&C 1947-1950

Mr. Golden is a founding partner of the law firm of Golden, Wienshienk & Mandel, 10 East 40th Street, New York City, 10016.

Mr. Golden was born on May 4, 1920 in New York City. He graduated from New York University in 1941 and from Yale Law School in 1947. After three years with Sullivan & Cromwell, "a thoroughly enjoyable and rewarding time," he organized his firm in 1950.

Since 1948, Mr. Golden has been married to Helen K. Golden, Ph.D. They have three children: Eve Golden, M.D.; Jonathan Golden, an associate with Willkie Farr; and David Golden, who is in advertising.

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260 **ANTHONY CHANDLER** (1918-1973) S&C 1947-1955

Anthony Chandler was born in New York on July 1, 1918. He graduated from Trinity College in 1940 with a bachelor of science degree in political science, and earned his law degree from Columbia University in 1947.

In October, 1955, following his association with Sullivan & Cromwell, Mr. Chandler joined AMAX, serving in the office of secretary, and concentrating primarily on legal matters. He was elected assistant secretary of the Corporation in June 1957. A legal department was newly formed within AMAX in June 1962, and Mr. Chandler was appointed assistant general attorney. He was named Director of the Law Department and General Attorney in June 1968.

Mr. Chandler died on June 25, 1973, at his home in Port Washington, Long Island, New York, survived by his wife, Elizabeth, and two daughters.

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261 CHARLOTTE JAMES HOYT — S&C 1947-1951

After eight years as an Assistant County Attorney in Orange County, New York, Charlotte Wigand James Hoyt is now engaged in private practice at 241 Borden Road, Walden, N.Y. 12586.

Mrs. Hoyt was born on September 10, 1920 in New York City. She graduated magna cum laude and as a member of Phi Beta Kappa from Barnard in 1940. A cum laude graduate of Fordham Law School in 1947, Mrs. Hoyt (then Charlotte James) became associated with S&C and remained with the firm until 1951 in the litigation group.

"I entered S&C as a war widow and left four years later to marry Clare J. Hoyt, the then District Attorney of Orange County, New York. The next 18 years were spent as homemaker and mother (4 children) and wife of Orange County's resident Supreme Court Justice.

"After Clare's death in 1970, I returned to practice as an Assistant County Attorney in Orange County. Re-education was in order, since much law had changed in the intervening years, including the names of some of McKinney's volumes! I served for the two four-year terms of the County's first Executive under the charter form of government and learned something about municipal law, as applied in upstate New York—rather different from the Wall Street experience. Under the County Charter, the County Attorney and his staff serve at the pleasure of the County Executive. 1978 brought a newly elected County Executive, who installed his own staff of legal advisers. I decided to try private practice, operating out of my late husband's study in our rural home.

"This has been another challenging change of direction, since I now handle small real estate transactions, small estates and the buying and selling of 'Ma and Pa' businesses—again a far cry from Bata family matters or the operation of a 100-million dollar county government. I like to think of myself as a female version of Ephraim Tutt in Orange County, but maybe a female Walter Mitty is closer to the truth.

"My two elder children are out of the nest and independent. The third is a senior at Cornell, thinking about taking the LSAT. The youngest is 16 and uncertain about his future. I long to be a grandmother."

From her years with S&C, Mrs. Hoyt recalls with amusement:

"The time I left on vacation, having just completed a memo for Inzer Wyatt with respect to civil arrest as a preliminary remedy under the old Civil Practice Act, for possible strategic use in the Bata matter, and after a few days in Wyoming, I received a telegram from Bob MacCrate which gave me the results of my research in five words: 'BIRD IS IN THE CAGE'.

"The time during my first year when Lois Rodgers and I attempted to gain entrance to a prestigious club for the annual firm dinner and a horrified *major domo* escorted us from the front door to the third-floor private dining room via the kitchen and the freight elevator, lest any of the elderly males in the reading room should spot women in the sacred temple. *To S&C's everlasting credit, the next annual dinner was held in a different place.* This was, of course, decades before Women's Lib and ERA.

"Because of personal bias, I am opposed to ERA. I have always enjoyed being a woman, whether in or out of what used to be called a 'male profession'. I recognize that the Women's Movement is a sociological phenomenon which is probably here to stay and I shall quietly fade away. My young friends in the profession consider me a quaint oddity and wonder how I ever made it into S&C over 30 years ago. Predestination, I guess."

262 DANIEL FRANCIS KELLEY, JR. — S&C 1947-1949

Mr. Kelley is a partner in McConnell Valdés Kelley Sifre Griggs & Ruiz-Suria in San Juan, Puerto Rico.

He was born in San Juan on October 5, 1919, the son of Consuelo Porrata-Doria and Daniel Francis Kelley. He was graduated from the Loomis School (1937), Cornell (A.B. 1941), the Harvard Graduate School of Business Administration (I.A. 1943) and Yale Law School (LL.B. 1947). He served in the Army in World War II, and was promoted to Captain, AUS (1943-46).

Following his association with S&C, Mr. Kelley returned to Puerto Rico.

Mr. Kelley married Katherine Joan MacKenzie in 1953. They have three children: Brenda, Jean and Robin. The Kelleys live in Dorado, Puerto Rico.

On the firm's centennial, Mr. Kelley writes of "the conviction that Sullivan & Cromwell is, by far, the greatest post-graduate legal institution in the country."

263 H. BARTOW FARR, JR. — S&C 1948-1951

Mr. Farr is now Deputy General Counsel of R.J. Reynolds Industries, Inc., with offices at their World Headquarters in Winston-Salem, N.C.

"Do not ignore the potential joys of corporate practice where *you* are the client", Mr. Farr advises.

A graduate of Princeton University (A.B., 1943) and Columbia Law School (1948), Mr. Farr was first associated with S&C's litigation group; he recalls especially his "work with Joe Broderick on the Netherlands Government suits to recover securities stolen by the Nazis during the war." Later he was an associate with Willkie Farr and Gallagher, and then Vice-President, General Counsel and Secretary of IBM-Europe. He left IBM to become Vice President and General Counsel of The Singer Company. In 1980 he moved to his present position.

Among the highlights of his experiences have been his service as Assistant Attorney General with the New York State Crime Commis-

sion, his service on the lead destroyer of the Normandy Invasion (see the book, *The Longest Day*), and six appearances on the television show "The Verdict is Yours", including an appearance on the only night show.

Mr. Farr has been married since 1972 to Mary Elizabeth Roberts, his second wife. He has three sons (H. Bartow Farr III, Preston W. Putnam-Farr, and Christopher B. Farr) and two grandchildren (Blair Farr and Eleanor Farr).

264 **KENNETH N. JOLLY** (1918-1974) S&C 1948-1955

At the time of his death in October 1974, Kenneth Norman Jolly was Vice President — Assistant to President of Campbell Soup Company.

He had joined Campbell as assistant to the general counsel in 1955 after a general practice association of several years with S&C. He was appointed assistant general counsel of the Company in 1956, and in 1958 became assistant to the chairman of the board. In 1959 he was named director — corporate relations, and in 1966 he was elected Vice President — Corporate Relations, the position he held until August, 1973, when he was elected to his last post. From 1962 to 1973, he also served as President of Campbell Soup Fund.

In his last position with the Company Mr. Jolly was responsible for various special assignments, including programs aimed at aiding the redevelopment of the City of Camden, where the Company has its corporate headquarters and a major plant.

An upstate New Yorker, Mr. Jolly was born in Ithaca in 1918, attended Ithaca High School and Cascadilla Preparatory, and received his university education at Cornell (B.S. 1941, LL.B. 1948). He married Ruth E. Kenyon in 1952. They had a son, Kenneth, Jr., and a daughter, Alison, and lived in Villanova, Pennsylvania.

As the dates indicate, his academic career at Cornell was interrupted by World War II. He entered service as a private in the Army Air Corps, was trained as a pilot, and commissioned a second lieutenant in April 1943. He was released from active duty as a captain in the Air Corps in October 1945. In between, he served as Pilot, Flight Leader and Operations Officer with the 435th Troop Carrier Group, and participated in the airborne operations of Normandy, Southern France, Holland, Bastogne and the Rhine. He was awarded the Air Medal with four oak leaf clusters, the European Theater Ribbon with six battle stars, and a Presidential Unit Citation with cluster.

At various times during his latter years with Campbell Soup Company, he was president of the Greater Camden Movement for five

years, vice president and treasurer of the Camden City Centre Corporation, a director and member of the Executive Committee of the New Jersey State Chamber of Commerce and a director of the South Jersey Chamber of Commerce.

265 **EUGENE L. BONDY, JR.** S&C 1948-1956

Mr. Bondy is a senior partner, member of the Executive Committee, Chairman of the Financial Committee, and head of the Corporate Department of Rogers & Wells. He joined Rogers & Wells' predecessor firm, Dwight, Royall, Harris, Koegel & Caskey in 1956 at the request of its then senior corporate partner, Bruce Tuttle.

A 1942 Harvard College graduate, Mr. Bondy spent three and one-half years in the Army, reaching the rank of Captain. He joined S&C in 1948, the year he graduated from Columbia Law School. His years at S&C are described by Mr. Bondy:

"Mine was a normal general practice associate's career of public and private financings and miscellaneous corporate activities, in the best training program in New York. I succeeded Bob McDowell as associate-coordinator of Blue Sky activity, a position which put me in close contact with all general practice associates and most of the general practice partners. At the same time I succeeded Linc Brownell as associate on all Investment Company Act matters, a lonely job which at the time seemed to interest only Alfred Jaretzki and Dick Storrs. My most noteworthy achievement by far was non-professional: the occasion when Dick Powell and I, as partners, won the consolation tennis tournament at the office outing, an athletic success which I have never since approached.

"My years at S&C began just before the death of William Nelson Cromwell, and ended shortly after S&C took its first step from 48 Wall into 52 Wall. Starting salaries were $3,600, a three-course lunch cost 99¢, there were comparatively few complaints about having four associates in a room, and a major decision in the typing of any document was the number of carbons, since the copier had not yet simplified our lives. Saturday was a regular half day, and nights were rarely for sleeping.

"I married Anne Lawrence (Colby 1946) in 1950, during a period of comparative quiet in the corporate department at S&C. My children are Elizabeth (Tufts 1976), born in 1954, who recently became Mrs. William J. Dunn; Priscilla (Colby 1977 and Vermont Law School 1980), born on the day that I left S&C in 1956; and David, born in 1961 and at present at Wesleyan, class of 1983."

Director of the Hanover Insurance Company and Massachusetts Bay Insurance Company. Formerly director of American Safety Equipment Corporation, Kangol Limited and The Deltona Corporation.

Member: Phi Beta Kappa; American Bar Association; Association of the Bar of the City of New York (service on Committees on Corporation Law and on Securities Regulation); New York County Lawyers Association (service on Committee on Securities and Exchanges); and two Charter Committees for the Village of Mamaroneck.

266 **ROBERT B. SEIDMAN** S&C 1948

Robert B. Seidman is Professor of Law and Political Science at Boston University.

Born in 1920 in Bellaire Gardens, New York, he graduated from Harvard in 1941, (B.A., magna cum laude) and from Columbia Law School in 1948. At Columbia, he was a Kent Scholar, a Stone Scholar, and Articles Editor of the Law Review. He was elected to Phi Beta Kappa at Harvard.

Professor Seidman has taught in Ghana, Nigeria, Tanzania and Zambia for a total of eight years. He is the author of: *Sourcebook of the Criminal Law of Africa* (London: 1966); *Law, Order and Power* (with W.J. Chambliss), 1971; *The State, Law and Development* (New York and London, 1978); and many articles on African law and on law-and-development. (Indicating a certain waste of Madison Avenue genius, Professor Seidman writes: "The combined circulation of my books and *Gone with the Wind* exceeds six million copies.")

He married Ann Willcox in 1946. They have five children: Jonathan, a microbiologist with NIH; Judy Seidman Parsons, now living in Swaziland; Katha, an artist; Gay Willcox Seidman (first woman President of the Harvard Crimson), now living in Swaziland; and Neva, now living in East Germany and doing the Ph.D. in socialist economics and planning. Of the five children, four have published scholarly articles or books.

267 **PAUL CUSHING SHEELINE** S&C 1948-1954

Mr. Sheeline has been, since 1971, the Chairman and Chief Executive Officer of the Intercontinental Hotels Corporation (Address: Pan Am Building, New York City, 10017).

He was born in Boston, Massachusetts on June 6, 1921 and attended Harvard for both his undergraduate and law degrees (A.B. magna cum laude, 1942; J.D., 1948). A faithful alumnus of Harvard, Mr. Sheeline has served her in many ways: as Class Agent for New York City, as fund raiser during capital drives, and as a member of various visiting committees and the Long Island Harvard Club Schools Committee.

A recipient of the United States Silver Star, the French Legion of Honor and the Croix de Guerre with Palm, Mr. Sheeline served from 1943 to 1946 in North Africa with the Mediterranean Allied Air Force, in France with the Office of Strategic Services and in the SHAEF Mission to France. He was economic consultant to A.I.D. in Morocco in 1965.

"I have very warm memories of my six years at S&C, particularly of the associations which I had there.

"I almost didn't go to New York: in my second year at Law School, right after the War, a recruiter from Cravath came up to Cambridge and boasted that he still kept a blanket in his office for when he had to spend the night there. This must have frightened off half the students who had been semi-intending to practice in New York. Although there was plenty of night work, it wasn't quite that bad. I spent many nights at the Madison, where S&C had a suite, and at the printer's, but never actually in the office.

"Once, early in the game, I asked Louis Auchincloss, who had a tiny little single office with a window on a dark airshaft, whether he worked much at night. 'I don't know,' he replied.

"I was particularly grateful to the senior associates and partners who spent endless time teaching, and discussing points and problems with their inexperienced and frustrated juniors. I remember Art Dean, whose door was always open and who was always willing to spend time with any associate who had temerity enough to walk in. John Raben, Bob McDonald, June Merrill, Dave Henkel and Bill Foshay were all extraordinarily patient and helpful to young lawyers. At S&C there never was a feeling of 'corporate politics' being played, as there is in so many other organizations.

"I remember, during my second year at S&C, returning from a West Coast utility financing. In keeping with S&C's practice of allowing associates all the responsibility they feel they can handle, I had been sent out on my own to the company, to take care of all the legal details of the financing. I forget what specific error I made, but I came back very concerned that I had made a big mistake and was extremely apprehensive. I decided to see Art Dean about it. After explaining the problem to him, he told me not to worry about it — 'Every night before I go to bed', he said, 'I kneel down by the bed and pray that the mistakes I made that day will never come to light'.

"When, after 6 years, I had decided to leave to go with a financial firm, I was called before Arthur Dean, Eustace Seligman, and John Raben to explain my decision. I said I really didn't enjoy practicing law,

although every other aspect of my association with S&C was completely satisfactory. Seligman asked: 'When you have worked for an hour on a paragraph in a pipe-line mortgage and at last complete an artistic draft, do you have a real inner satisfaction?' 'No,' I replied. 'In that case, I think you are making the right decision,' he said.

"I left S&C after 6 years to join the New York capital investment firm of Lambert & Co., of which I became a General Partner in 1959. I resigned in 1965, and shortly thereafter joined Intercontinental as Vice President-Finance. I worked up through the financial hierarchy until early 1971, when I was made Chairman and President. I was elected to the Board of Directors of Pan American World Airways in May 1974."

In 1948, Mr. Sheeline married Harriet White Moffat, who died in 1962 leaving four children: Christopher, William, Mary and Leonora. He and Sandra Dudley Wahl married in 1965; they have one child, Abby.

Trustee of East Woods School (Long Island); Vice Chairman, New York Community Service Society; Director, Business Council for International Understanding; Director, American Association for the United Nations.

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268 **WILLIAM F. VOELKER** (1922-1975) S&C 1948-52, 1954

William Frederick Voelker was born in New York on May 21, 1922, the son of Frederick H. and Paula J. (Haefner) Voelker. He graduated from Columbia College (B.A. 1942) and Columbia Law School (LL.B. 1948). At law school, he was an editor of the Columbia *Law Review* during 1947-48.

After leaving S&C, he became associated with the firm of Dawson, Nagel, Sherman & Howard in Denver, Colorado. He was made a partner of the firm in 1957. He died in February 1975.

Mr. Voelker was a member of Columbia College Council, and President of the Columbia University Club of Colorado from 1961 to 1965. He was a member of the American Bar Association, Phi Beta Kappa, and Phi Kappa Psi.

Mr. Voelker's first wife, Katherine E. Gallagher, died in June 1950. He married Sylvia Janet King, (Columbia School of Library Science 1951) in 1951. They had one daughter, Paula Alicia Voelker.

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269 **ROBERT MacCRATE** S&C 1948-51, 1951-59, 1962-

"The changing nature of the firm's practice which was emerging in the late 1940's and the firm's attitude toward things that mattered to me attracted me to Sullivan & Cromwell. After an afternoon of interviews with Norris Darrell, John Dooling, Inzer Wyatt and David Hawkins, I was quite ready to tell Mr. Hawkins that this was the place where I wanted to practice law. Raised in a judge's family, influenced from my earliest years by a two-century ecclesiastical tradition on my maternal side and natured in seventeen years of Quaker concerns at Brooklyn Friends School and Haverford College, I retained a desire for public and community involvement while in private law practice which this firm promised me an opportunity to pursue.

"Following my graduation from Haverford College in January 1943, I was on active duty in the U.S. Navy for three years, including service aboard the U.S.S. Pennsylvania in the South Pacific and a final assignment teaching at the Supply Corps School at Harvard Business School. There I met Constance Trapp who was then a grader at the Business School. We were married in May 1946. Her work at the Business School saw me through seven accelerated semesters at Harvard Law School and we have continued to share all the ensuing years. We have three children: Chris, an anthropologist working with the Apache Tribe in Arizona; Barbara Gatti, who is married to a chemical engineer with General Tire Company; and Thomas, who in 1979 entered his freshman year at Denison University.

"When I entered the office in November 1948 I was assigned jointly to the tax and litigation groups. However, as my involvement grew in litigation, particularly in the far-flung Bata family dispute and the related Iron Curtain expropriations, my work was increasingly in litigated matters. Before leaving the office temporarily during 1951 to serve as law secretary to David W. Peck (who was at that time the Presiding Justice of the Appellate Division, First Department) I had assisted Inzer Wyatt in the first Bata trial in New York Supreme Court, assisted John Dooling in a trial in the U.S. Tax Court which sought to have Mexican Mining Production Taxes recognized for purposes of the foreign tax credit, and assisted Edward H. Green in gathering the necessary information to convince a dissident stockholder of Cluett Peabody of the absence of any corporate waste in that company's recognition of Sanford Cluett's inventive genius and his enormous contribution to the success of the company.

"Returning from my first absence from S&C at the end of 1951, I was again submerged in the various Bata actions, not only in New York and Delaware but also in Zurich, Switzerland, Amsterdam, The Netherlands, and London, England. Parallel proceedings in different jurisdictions, frequently involving unusual preliminary relief, ultimately led to significant decisions on issues of *forum non conveniens*, collateral estoppel, civil arrest, injunction of the sales of foreign land, and enforcement of contempt orders, as well as extended preparation and proof of Roman law, Czech law, Dutch law and Swiss law.

"My first large scale involvement in an antitrust case came when the Department of Justice sued to require El Paso Natural Gas to divest

itself of Pacific Northwest Pipeline Corporation. There I assisted Arthur Dean, first in asserting the primacy of the Federal Power Commision's jurisdiction and then in the trial before the Commission which approved the merger. (Later while away in public service I missed the proceedings in which the direction of the law and of the El Paso company were ultimately reshaped by the United States Supreme Court.) I also assisted Arthur Dean in the successful disposition of a major stockholder action against Standard Oil Company (New Jersey) which had challenged the inter-company accounts between the parent and its affiliates.

"Work with the Committee on State Legislation of the Association of the Bar, including a hitch as its chairman, led to an invitation from Nelson A. Rockefeller, the newly-elected Governor of New York, to join the legal staff of his new administration. In February 1959, I resigned from the firm (I had been admitted to partnership in 1956), moved to Albany and, commencing on June 1, 1959 and for the next three years, served as Counsel to the Governor. These were the years when Nelson Rockefeller first gained national prominence as a political leader and his legislative programs in New York, for which I had principal responsibility, attracted nationwide attention for tackling emerging issues including equal opportunity, reorganization and expansion of public higher education, devotion of greater resources to mass transportation, publicly assisting middle-income housing and reorganizing the State's court system for the first time in over a century.

"Returning to the firm in September 1962, I found that the fifteen years of Bata family litigation had apparently been successfully concluded by Inzer Wyatt with a comprehensive settlement the preceding March. However, with President Kennedy's announcement of the proposed appointment of Mr. Wyatt to the United States District Court bench, the implementation of the settlement agreement on behalf of the firm's clients began to encounter difficulty. After Judge Wyatt was installed in the judiciary, the opposing party, now represented by Harold E. Stassen, promptly renounced the settlement agreement. For the next ten years, I guided the further proceedings, principally in Philadelphia but with ancillary matters in England and Holland, which were necessary to assure that all aspects of the settlement agreement were finally performed. At the same time, the disputes with the eastern European countries over the 1945 expropriations of Bata properties continued to engage me into the 1970's. Today I am a senior adviser of the Bata Shoe Organization, as a member of its Conclave, for whom I first worked on my second day at Sullivan & Cromwell in November 1948.

"At other times during the 1960's and 1970's I have argued for the

Canadian Government in the United States Supreme Court its right as against the National Labor Relations Board to regulate labor relations on Canadian flag vessels; sought in the courts a third line for John Lindsay on the ballot in the New York City mayoral election in 1965; tried cases relating to a trademark for coffee, the infringement of patents for floor coverings, the distribution arrangements for automobile accessories and for automobile crash parts, an alleged joint venture and business ideas pertaining to a Puerto Rican petrochemical plant, and contests for control of Baldwin-Lima-Hamilton and of Armour & Company; and participated in pursuit litigation for cargoes of expropriated copper from Chile in courts in the United States and various European countries and for cargoes of expropriated oil from Libya both in the courts in the United States and abroad.

"Two major antitrust litigations have figured prominently in my work in the firm during the 1970's. The so-called "shared monopoly" case against the ready-to-eat cereal manufacturers was commenced in 1972 and I have been in charge of the defense of General Foods Corporation in that case since that time. Early in 1978, the Eastman Kodak Company through me asked the firm to assume responsibility for the defense of the various antitrust actions that had been brought against that company.

"Through the years of varied experience in private law practice, with the active support of the firm, my desire for public and community involvement, which the firm had promised, has continued to find expression as Chairman of the New York State Bar Association's Committee on the 1967 Constitutional Convention, as a member of the New York Crime Controlling Planning Board, as a trustee of the Lawyers Committee for Civil Rights Under Law, as Counsel to the New York Court on the Judiciary in a proceeding against a sitting judge, as a member of the Board of Haverford College and Co-Chairman of the Joint Board Council of Bryn Mawr and Haverford Colleges, and as a member of the Board of the Academy of Political Science. I am currently Chairman of the Fund for Modern Courts (and of the affiliated Committee for Modern Courts) which work for the improvement of the administration of justice in New York State, President of the American Judicature Society which on a national basis works for similar objectives, and a member of Senator Javits' judicial selection committee for federal judges in New York and the Governor's nominating committee for appointments in the Second Judicial Department.

"In 1969 and 1970 I served as Special Counsel to the United States Department of the Army and conducted with Lieutenant General W.R. Peers the Army's investigation of the events in Mylai, in South Vietnam, in March 1968 and of their subsequent cover-up."

Born Brooklyn, N.Y., July 18, 1921, son of John and Flora MacNicholl MacCrate; Brooklyn Friends School 1939, Haverford College 1943, LL.B. Harvard Law School 1948. Married Constance Trapp May 4, 1946; children Christopher Robert MacCrate, Barbara Constance MacCrate Gatti and Thomas John MacCrate. Entered S&C November 15, 1948, partner January 1, 1956. Admitted to practice: N.Y. 1949, Washington D.C. 1965.

Service in professional organizations: Chairman of the Executive Committee and Vice-President of the Association of the Bar of the City of New York and chairman of the Association's Library Committee; President of the New York State Bar Association; President of the New York Bar Foundation; State Delegate to the American Bar Association's House of Delegates; member of the Executive Committee of the Council of the American Law Institute; member of the Executive Council and Executive Committee of the American Society of International Law; and member of the Executive Committee and Board of the Practising Law Institute. Fellow, American College of Trial Lawyers, American Bar Foundation and New York Bar Foundation. Member, American Bar Association, New York State Bar Association, Association of the Bar of the City of New York, New York County Lawyers Association, District of Columbia Bar Association, Union Internationale des Avocates, American Judicature Society, Phi Beta Kappa, Century Association, University Club, Fort Orange Club, The Recess, Port Washington Yacht Club.

Residence: 40 The Terrace, Plandome, New York 11030.

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H. DONALD WILSON

S&C 1948-1949

Mr. Wilson is a new venture specialist. His background includes management consulting, corporation law, foreign service and political work.

He was born in New Rochelle, N.Y. in 1923, and graduated from Yale in 1944 and Columbia Law School in 1948. While at S&C he did corporate work and was involved in the work on dissolution of the North American Company.

Mr. Wilson has been a planner, organizer and the first president of Mead Data Central, Inc., which now provides the LEXIS research service to the legal profession; managing partner of the New York Office of Arthur D. Little, Inc.; Director of a seven-hundred person Peace Corps program in Ethiopia; and Board Chairman of World Federalists, USA during its reorganization 1974-1976.

He is now the managing director of the general partner of The Development Group. This limited partnership, upon completion of its financing this year, expects to provide startup management to a broad range of new ventures. Mr. Wilson is also the business partner and investor in Lessac Research, developer of a new learning system.

Upon leaving S&C he devoted five years to organizational and management work for United World Federalists, Inc. He returned to corporate law work with Paul, Weiss, Rifkind, Wharton Garrison from 1956 through 1960. He joined Arthur D. Little, Inc. in 1961 as a management consultant where he worked through 1969, except for a two-year

leave for Peace Corps service. He began his present work of startup venture management in 1970, which has involved him with a number of new ventures.

From 1974 through 1976 he was one of the principal organizers of New Directions, a foreign policy lobby of which he is now a board member.

Mr. Wilson lists, as among the new ideas which have engaged his business career, "educational toys, a high-speed container ship fleet, the Chromotron color tv system (now the SONY Trinitron), the entry of CBS into education, a joint industry-government effort for the development of an African country's cattle resources, the LEXIS service, theater productions, the unitization of cargo handling in the merchant marine, a novel desk diary, a coin-operated cleaning machine and others."

Mr. Wilson served as a destroyer gunnery officer in the Pacific from 1944 to 1946.

Mr. Wilson married Mary Louise Baron in 1951. They reside at 20 Vermont Avenue, White Plains, New York, where they have lived since 1955 — except for the family's two years in Ethiopia from 1964 to 1966. They have three grown children: Edith, Bice and Anne.

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JOHN M. KEEFE

S&C 1948-1952

John Miles Keefe has his law office in Wilton, New Hampshire. "I am now about one year into an active general practice in a small town — a real challenge."

Mr. Keefe was a litigator at S&C. He left to become associated with McKesson & Robbins, Inc., in New York, in the legal department. In 1955 he joined the Textile Banking Company, Inc. where he became Counsel, Secretary and Vice-President. He was then Vice-President of John P. Maguire & Co., Inc. for three years, before becoming Corporate Secretary of Sanders Associates, Inc., in Nashua, New Hampshire.

He and his wife of twenty-five years, Ann, have four children: William, Miles, Alice and Jane ("the skier").

"While S&C probably was not my cup of tea, I have kind thoughts for it and have benefited by its standards . . . . My life generally has been successful — happy family, health, intellectual zest and little boredom."

Born in Clinton, Iowa, October 29, 1921. Dartmouth College, B.A., summa cum laude, Phi Beta Kappa, 1943. Yale Law School, LL.B., 1948. Destroyer officer in World War II.

1965-67, Director, Ward Leonard Electric Co., Mt. Vernon, N.Y. 1973, Town of Amherst Ways and Means Committee. 1974-77, Director, New Hampshire Transportation Authority.

272 **DONALD VAIL** S&C 1949-1953

Mr. Vail is a partner in the New York City law firm of Alexander & Green.

Born in Yonkers, New York in 1921, he attended Princeton University (B.A., 1942) and Harvard Law School (J.D., 1948).

Mr. Vail has been married since 1951 to the former Priscilla A. Luke. They have four children: Melissa Gregory (Mrs. Norman C.) Selby (1952), Mary Blair (1954), Lucia (1956) and Donald Angus (1965).

Mr. Vail is presently Chairman of the Board of Trustees of the Northern Westchester Hospital Association, Mount Kisco, New York. He is a former Vestryman at St. Matthew's Church, Bedford, New York, and a former Director and Secretary of the National Multiple Sclerosis Society.

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273 **DAVID A. De WAHL** S&C 1949-1952

Mr. De Wahl is Vice President and General Counsel of AIRCO, Inc., 85 Chestnut Ridge Road, Montvale, New Jersey 07645.

Born in Minneapolis, Minnesota in 1924, Mr. De Wahl attended the University of Minnesota (A.B., summa cum laude, 1946) and Harvard Law School (J.D., cum laude, 1949).

His work at S&C, on corporate and securities matters, began in 1949. He recalls "Maude Seligman as the special patron of the junior associates . . . . Foster Dulles at the office dinners making us realize that everyone helping out in the State Department, the *New York Times* notwithstanding, was not an idiot . . . the strict rule of noncommunication in elevators . . . . Dave Hawkins asking whether I'd forgotten my hat . . . . the Interprovincial Pipeline financing . . . ."

Mr. De Wahl left S&C to join the legal staff of what was then American Radiator & Standard Sanitary Corporation, under the leadership of Joe Grazier (q.v.). He became Vice President and General Counsel of the company, and served as such for many years. He took his present position with AIRCO in 1975.

Married to Lois Martha Dann since 1953, Mr. De Wahl has three children: David, Jr. (at Bank of America Investment Banking Division, after Columbia Business School); Elizabeth A. (at College of St. Elizabeth after graduation from Fairleigh Dickinson University); and Duncan C. (at Prentice-Hall, after graduation from Franklin & Marshall College).

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274 **CHARLES G. RODMAN** S&C 1949-1952

Mr. Rodman is currently the Trustee in Bankruptcy of W.T. Grant Company, with his offices in New York City. He brings to this position

over twenty years of experience with the successful operation of Grand Union Company, from which he retired in 1976 after having served as President and Chairman.

Born in Owego, New York, in 1921, he received his B.S. in Economics from the University of Pennsylvania in 1943 and his LL.B. from Harvard Law School in 1949. Between those courses of study, he served as a naval officer in the Pacific during World War II.

While associated with S&C, Mr. Rodman worked in the trusts and estates group, headed by Pearce Browning, which included work in real estate and banking. He writes that "one event which you may find worth noting is that the first major matter I recall working on was the administration of the Cromwell estate. The then recently deceased senior had drafted his own will and made a bequest to 'Russian War Relief', naming John Foster Dulles, Edward H. Green and Eustace Seligman, as Executors. I spent several days searching through dusty files in the old State Department where the old records of Russian War Relief were stored and finally came up with enough evidence to justify a ruling by the Surrogate that the organization was not 'functioning' at the time of death as required by terms of the will. This was the case where I first ran into the Rule of Construction that the intent of the testator is the one perceived by the executor."

Mr. Rodman left S&C in 1952 to become Grand Union's real estate manager. He subsequently served as head of the Company's Washington Division and as executive assistant to the president before being named in 1965 vice-president in charge of store operations with full responsibility for the 500-store Grand Union Supermarkets Division and food operations in the Company's 26-unit Grand Way discount chain. Later, Mr. Rodman served as a Director, President and Chairman before retiring in 1976.

Mr. Rodman is married to the former Jane Elizabeth McCarthy and they have four children: Lawrence L., Sarah J., Andrew C.and John W. "My oldest son is married and recently he became a father, so, therefore, Jane and I are the proud grandparents of Lawrence L., II."

Of his association with Sullivan & Cromwell, Mr.Rodman writes: "On a serious note, I thoroughly enjoyed my time at Sullivan & Cromwell, especially having the opportunity to work with a first-rate group of professionals. The untimely death of Pearce Browning was indeed a tragedy. He spent many hours continuing my legal education in the best traditions of the firm, and I would also say, the U. S. Marine Corps."

Mr. Rodman is presently a Director of the United Jersey Banks, Princeton, New Jersey; the United Jersey Bank, Hackensack; and also has been a Director of the New Jersey

Bell Telephone Company. In addition, he has "done the usual amount of service on hospital boards, village boards, and charitable organizations."

Mr. and Mrs. Rodman now live at 110 Sheridan Terrace, Ridgewood, New Jersey 07450.

275 **JOHN F. ARNING** S&C 1949-

"One of S&C's multitude of barefoot boys from the Midwest, I attended a public high school in Lansing, Michigan, and managed one year at Michigan State before entering the service in World War II. My military career was less than distinguished, I fear, since the highest rank I attained was private first class, and my only decoration was the combat infantryman's badge which was awarded for being shot at and missed.

"I took full advantage of the veteran's benefits provided by the G.I.Bill, however, by attending Harvard Law School under a special program which permitted a few fortunate young men to go directly to law school on an accelerated program without a college degree provided they had spent two or more years in the service. On that basis, I acquired my LL.B.m.c.l. from Harvard in January 1949, and, more importantly, I also acquired while at Harvard my lovely, loyal and long-suffering wife, Mary Kiver.

"Thanks to the accelerated program, I was able to begin my career at S&C in March 1949, and I became a member of the firm January 1, 1957, at the relatively tender age of 31. I have always been in general practice and proud of the work we do in assisting with the proper financing and conduct of American industry. Unique in this category, I think, was the 1957 financing which kept Commonwealth Oil Refining Company, Inc. alive. The financing was facilitated through some astute legal work by Sullivan & Cromwell which made possible the use of income forecasts in a prospectus for only the second time in the history of the Securities Act of 1933.

"Although dedicated nominally to general practice, I have also been fortunate enough to be involved in some noteworthy litigation, including an extended jury trial in *Richland v. Crandall*, one of the first "going private" cases, the appeal in *Gerstle v. Gamble-Skogmo*, another important proxy statement case which coincidentally related in part to the SEC's rule against forecasting, and, as a fitting climax, all the trials, appeals and tribulations which culminated in the Supreme Court decision in *Piper v.Chris-Craft*. I now have retired (undefeated) from litigation work by popular demand.

"Less unique, but nonetheless absorbing activities have included real estate financing, ship financings of various types, and a large number of municipal bond issues, particularly those of Puerto Rico and its various agencies and public corporations, the Power Authority of the State of New York, and the Salt River Project Agricultural Improvement and Power District, Arizona."

Born in Lansing, Michigan, May 4, 1925. Attended Michigan State, Cornell and Harvard (no undergraduate degree); and Harvard Law School, LL.B., magna cum laude, 1949.

The Arnings have three children: Valerie, John, Jr., and William.

Member: ABCNY, ABA, Union Internationale des Avocats.

276 **EDWARD M. HARRIS, JR.** S&C 1949-1957

Edward M. Harris, Jr. has been with Pitney Bowes Inc. since 1967 and is now Vice President, Secretary and General Counsel. Before joining Pitney Bowes he was with Crowell Collier Inc. and Kennecott Copper Corporation.

He was born in Philadelphia on June 5, 1923 and graduated from Yale in 1943 and the University of Pennsylvania Law School in 1949. Soon after joining the firm he married Marion Hoyt Stevens, daughter of S&C partner Stoddard M. Stevens.

Mr. Harris was engaged mostly in litigation work while in the office and participated in a number of notable cases, including the Brown Shoe anti-trust case. In his career with Pitney Bowes he has directed the company's legal department during a period of steady growth which included several mergers and acquisitions. He has also been active in civic and public service activities and served as chairman of the Darien, Connecticut Board of Education.

277 **ASHBY McC. SUTHERLAND** S&C 1949-1953

Since leaving S&C, Ashby McC. Sutherland has been with Inco Limited. He is now a Senior Vice President and Director of Inco Limited, based in Toronto.

Born in San Antonio, Texas on March 16, 1921, he is a graduate of the University of The South, Sewanee, Tennessee (1942), Harvard Business School (1943) and Harvard Law School (1949). During World War II, he served in the Army, primarily in Europe, and was discharged in 1946 with the rank of captain.

Mr. Sutherland entered the office upon graduation from law school and left to join Inco in 1953 as an attorney for the U.S. subsidiary. He became assistant secretary of the U.S. subsidiary in 1955 and of the parent company in 1956. The next year he became assistant solicitor

of both companies. He became a vice president of Inco Limited in 1970, chief legal officer in 1972 and secretary in 1973. He assumed his present position in 1976.

Director, The Mining Association of Canada; member, Canadian Association for Latin America and Canadian-American Committee; member, Toronto Club, Harvard Club, Knickerbocker Club and Down Town Association, all of New York City.

WILLIAM A. ZIEGLER S&C 1949-

"I grew up half way between the Third Avenue El and the Lexington Avenue trolley line. (If I cannot hear all the high notes, it is probably due to that, but I miss them both, especially the El. It was the commuting train for many of us at S&C.) My family moved to Washington when I was going to school in Massachusetts, but I never thought of Washington as home and went back to New York when I got out of Harvard Law School.

"My class at Harvard College was '46, but I graduated in the spring of 1944. My second year there was courtesy of the Navy. While at sea, first as armed guard officer on a merchant vessel and then on an escort carrier, I had plenty of time to read. I gravitated to books about lawyers, e.g., *Philadelphia Lawyer* by George Wharton Pepper and *Labor Lawyer* by Louis Waldman. Writing had appealed to me during school and college, but the law offered that opportunity and a lot more.

"When the Navy let me out, I went to Harvard Law School. On the same day in third year I was offered a job at S&C and met Glenn for the first time. What a day that was! She and I had lived only eight blocks from each other most of our lives but never knew it. We were married in February 1950, eight months after I started at S&C.

"Dave Hawkins and I agreed on April 15, 1949 as a starting date. It looked like a nice round number, but it turned out to be Good Friday. I was to be a litigator. Roy Steyer, my sponsor, introduced me to John Dooling right away and I found myself spending the weekend (except for a couple of hours with Glenn on Easter Day) studying a patent pooling agreement hundreds of pages long. I had an immediate lesson that S&C means what it says when it tells new arrivals from law schools that it expects them to act like lawyers from the first day. At a meeting with the client on Monday morning, John asked me to report on the extent to which our client's interests were similar to those of other parties to the agreement.

"While I was still learning my way from one floor of 48 Wall to another, I was invited by friends who knew Sherman Billingsley to be part of the background in his television program 'Stork Club'. The reward for supernumeraries like me was to be dinner on the house and a jeroboam of champagne to take home. The set was a nearby loft decked out to look like the Cub Room. As the camera panned the room, I was introduced to the national audience as "that distinguished practitioner of the law from New York". That just goes to show how quickly a young man can make a name for himself in the big city.

"John Dooling told me how to try a case: find out what you have to prove and then prove it. I got an early start at that and had a successful appeal in the First Department during my first year out. Nobody quite understood why (it involved some obscure point of law in connection with interrogatories addressed to someone in Brazil), but it made the first page of the New York Law Journal.

"In 1951 Dave Hawkins asked me if I wanted to leave litigation and work with Charley Hamilton on Korean War emergency business controls. I got the impression that a good soldier should say yes, and I said yes. I have never been sorry, because wage and salary stabilization meant my working with client representatives active in labor and personnel matters, and when the Korean War ended, I found myself, like Charley, doing that the lion's share of the time. He left the firm soon after I became a partner in 1957, and the work became my responsibility.

"Our labor relations work includes National Labor Relations Board representation and unfair labor practice cases, union election campaigns, contract negotiations, grievance and arbitration proceedings, benefit planning, and all kinds of other employee-related matters. It keeps those of us who do it on the move, and we have the fun of meeting all kinds of people and working with them in situations no two of which are alike. If the law is the substitution of orderly resolution of disputes for disorderly resolution, we practice law to a farethee-well.

"My partner John Cannon joined us in the work in 1969, and just in time, too, since about that time equal employment opportunity legislation got into high gear and since then health and safety legislation has been added to the burden. They now occupy our section almost as much as the more traditional concerns. Another development has been the tendency to rush to court in a moment of unhappiness. The labor section's court work has increased ten-fold in recent years. Discovery makes it far different from an arbitration, which more often than not we prepare one day and try the next.

"I have had lots of moments in the courtroom which I will not forget. In one case we were prepared to argue that mining exploration work was not covered by the federal wage and hour law. I was introduced to the judge before court opened. He asked what the case was about, and I told him. His reply was, 'Isn't *everything* covered by the

wage and hour law?' I found out how early in a case one sometimes gets the word he is in trouble.

"I was involved in one case in the United States Supreme Court. It is probably the most frequently cited labor case there is. It was thought appropriate that the case be presented by someone from Texas, where it arose. We rehearsed his oral argument all the day before. He thought the case was a Constitutional issue, but by the end of the day I had him convinced it was not. When he got up to argue, Mr. Justice Frankfurter interrupted and asked him why our client had paid his fare all the way from Texas to Washington, D. C. to argue the case. He burst out, 'Because this is the most important Constitutional issue ever to come out of Texas', and from then on he did not say one word that we had so carefully prepared. P.S. We won the case 8-0. The Court did not even mention the Constitution.

"Labor law means travel. Few of the fires break out in New York City. In a few weeks I will have been in my 50th state. Once I was hijacked to Cuba. I negotiated a collective bargaining agreement in Puerto Rico with a union committee who spoke no English, and in England and Wales I put together contracts which introduced our concepts of the management rights clause and an enforceable no-strike provision where up to that point they had been quite unknown.

"Along with labor law, I have had, in the interest of remaining as complete a lawyer as the demands of today's corporate practice permit, the excitement of doing other things as well. One example included adventures to the Alaska bush, examining mining claims. Another has been my general representation of the publishing house that puts out, among other things, *Index to Legal Periodicals*.

"Jeanne Saindon, my secretary, has been with me during most of her 25 years with the firm. There is an enormous mine of goodwill between lawyers and those who are not lawyers at S&C. That is one of the things that makes it fun to go to work in the morning.

"Richard Scott, our oldest child, is in the tobacco business and has been working principally in East Asia. Daryl Ann came next. After several years in the business world, including a stint at The New York Times, she is in a business-related program at Bentley College in Waltham, Massachusetts. Susan Glenn, our number three, is studying animal science at Iowa State, and William Thomas is at Connecticut College in New London.

"Glen and I live on Wee Burn Lane in Darien, Connecticut, where in off hours I continue my education in English literature and music."

Director or trustee of The H. W. Wilson Company; Foreign Policy Association; The H. W. Wilson Foundation; Salisbury School; Land Trust of Darien. Former director or trustee of St. Mark's School; Big Brothers, Inc.; Harvard Law School Association of the

City of New York. Former Executive Board member and Vice President of Manhattan Council, Boy Scouts of America; former Incorporator of Low-Heywood School, Stamford, Ct.

Former Vestryman of St. Paul's Church, Norwalk, Ct.

Former Member, Darien Representative Town Meeting (member of Legislation and Education Committees).

Member of American Bar Association; New York State Bar Association; Association of the Bar of the City of New York (former member of Children's Court and Labor and Social Security Committees); New York County Lawyers Association (former member of Labor Committee).

Member of Wee Burn Country Club, Darien; Ox Ridge Hunt Club, Darien (former Vice President and Steward); Down Town Association.

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JOHN RICHARDSON, JR. S&C 1949-1955

John Richardson, Jr., became President and Chief Executive Officer of Youth for Understanding on July 24, 1978 after serving as Acting President since June 11, 1978. In the prior year he was associated with Georgetown University as Executive Director for Social Policy at the Center for Strategic and International Studies and Research Professor of International Communication at the School of Foreign Service.

Born in Boston in 1921, Mr. Richardson received his A.B. degree at Harvard in 1943 and his law degree there in 1949. In World War II he was an officer in a parachute field artillery unit in Europe. He came to S&C from law school, practiced with the general practice group, principally in securities issue work, and left in 1955 to become an investment banker with the firm of Paine, Webber, Jackson and Curtis in New York. He was shortly admitted to general partnership and worked in the firm's investment banking department, specializing in corporate underwritings.

While engaged in that work, in the late 1950s, Mr. Richardson became Treasurer and President of the International Rescue Committee. A private, tax-exempt relief agency, it has helped thousands of refugees, especially those in the professions and creative arts, since the early 1930s.

In 1957, after learning of shortages in pharmaceuticals in Poland, Mr. Richardson personally organized and carried out a private effort through which several million dollars worth of medicines were contributed by American drug companies and made available to hospitals throughout Poland. Soon thereafter, he became an original sponsor and Board member of the highly successful American Research Hospital for Children in Cracow, Poland.

From 1961 to 1968 Mr. Richardson was President, Chief Executive Officer and a member of the Board of Directors of Free Europe, Inc.

(Radio Free Europe). On March 1, 1968 he resigned to pursue full time for the ensuing year the study of international communication as a factor in social and political change.

Mr. Richardson was United States Assistant Secretary of State for Educational and Cultural Affairs from July 1969 to January 1977. In this period he was also a founding member of the Board of Directors of the Inter-American Foundation and a member of the Board of Governors of the East-West Center in Hawaii, Trustee of the John F. Kennedy Center for the Performing Arts in Washington, a member of the Federal Council on the Arts and Humanities and a founding member of the Japan-U.S. Friendship Commission. He was also Acting Assistant Secretary of State for Public Affairs from 1971 to 1973.

Mr. Richardson is now a member of the Board and President of Freedom House in New York, Chairman of the American Council for the United Nations University, a member of the Boards of the Foreign Policy Association, International Rescue Committee, Citizens Committee on South East Asian Refugees, Psychiatry and Foreign Affairs, Global Perspectives in Education, Inc., Meridian House International and the Foreign Student Service Council.

From 1967 to 1969 Mr. Richardson was Chairman of the Department of Metropolitan Mission of the United Church of Christ in New York. He has also been a director of the New York State Conference and the Mt. Vernon (N.Y.) branch of the NAACP, and a founding director of Westchester Residential Opportunities, Inc. (providing financial and other services to facilitate housing integration).

He is married to the former Thelma Ingram. They have five daughters, three granddaughters and a grandson. The eldest daughter was a fifteen year old refugee from Hungary when she joined the family in 1957. Another daughter was an exchange student in Germany in her senior year of high school, and a third lived for a year before college with a family in France. The Richardsons were the host family for an English teenage exchange student who continues, many years later, to be a member of their extended family. The Richardsons live in Chevy Chase, Maryland, where in recent years they have welcomed a number of Cambodian refugees for extended visits in their home.

CHARLES MAECHLING, JR. S&C 1949-1951

Mr. Maechling was born in New York in 1920. He graduated from Yale in 1941 with Honors in International Relations, and received his LL.B. in 1949 from the University of Virginia, where he was Editor-in-Chief of the Virginia Law Review. During the year 1947-1948, he was an

Instructor in International Relations at the University of Virginia's Woodrow Wilson School of Foreign Affairs.

During his service in the U.S. Navy between college and law school, he was a member of the Secretariat of the Joint Chiefs of Staff, assigned to the Joint Staff Planners. In 1944, he was a delegate to the Cairo Conference, and he served as Assistant Naval Attache at the U.S. Embassy in Lima, Peru from 1945 to 1946. His rank at separation was Lieutenant Commander.

At S&C, Mr. Maechling was a general practice associate specializing in securities underwritings and general counsel work for major corporations. His post-S&C career began with two years with the Department of the Air Force as Assistant to the General Counsel for Air Force research and development, and legal advisor. He was with Electronic Industries Association in Washington from 1953 to 1956 as Manager and Counsel, Government Relations Department.

From 1956 to 1961 he was with the Washington, D.C. firm Shaw, Pittman, Potts & Maechling, and in July 1961, he joined the Department of State as Director for Overseas Internal Defense on the Politico-Military Staff of the Secretary of State. From June 1963 to June 1966, he was Special Assistant to the Under Secretary for Political Affairs and Ambassador-at-Large (Averell Harriman) and Staff Director for NSC Special Group. While he was with the State Department, Mr. Maechling was a delegate to various international conferences and a lecturer and contributor to curricula of the National War College, Industrial College of Armed Forces and the Army War College.

From 1967 to 1974, Mr. Maechling worked for the National Science Foundation as Deputy and Acting General Counsel and Counsel to the National Science Board. He was the NSF representative on the Law-of-the-Sea Interagency Task Force; the Legal Advisor to the National Academy of Sciences for international scientific negotiations (1971-1973); Legal Counsel to the International Institute for Applied Systems analysis, and, from 1972 to 1974, Special Assistant to the Director.

In 1974, he began two years as Professor of Law at the University of Virginia Law School. Since 1976, he has been of counsel to Kirlin, Campbell & Keating in Washington, D.C., working on legal counseling and litigation for U.S. and foreign maritime and corporate clients. He is also a consultant and lecturer on international and maritime law.

Mr. Maechling is married and has two children. He lives in Washington, D.C.

Member, State Department Advisory Committee on Private International Law; Inter-Departmental Public Advisory Committee on Law-of-the-Sea; Shipping Coordinating Committee.

Washington Representative, American Arbitration Association (1958-1961); General

Counsel, Fairways Corp. (1958-1961); Adjunct Professor of Law, Georgetown University Law School, 1960; Chairman, Committee on International Control of Atomic Energy (1959-1961), American Bar Association.

Contributor of articles to professional and literary journals (winner 1969 Ross Essay Award, American Bar Association). Contributing columnist, *N.Y. Times, Washington Post*, etc.

Member, American Bar Association, International Law Assn., American Soc. Int'l Law, advisory committees of NAS, and other professional societies and past chairman of committees of same. Member, North American Council, International Peace Academy.

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281 **JAMES A. THOMAS, JR.** S&C 1949-1957

When last heard from, Mr. Thomas had left the practice of law and, as a member of the regular faculty of Long Island University, was teaching undergraduate courses in law- and business-related subjects at the Brooklyn and C.W. Post campuses, as well as courses in the Roth Graduate School of Business Administration at the C.W. Post Center in Greenvale.

Mr. Thomas was born in New York in 1923. He received both his undergraduate and law degrees from Yale (B.A. 1944; LL.B. 1949). After leaving S&C in 1957 he practiced for a time as an associate of Davis Polk & Wardwell and thereafter, in various office-sharing associations in midtown New York City, engaged upon what was essentially a sole practice, principally in trial work.

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282 **DEAN D. RAMSTAD** S&C 1949-1957

Mr. Ramstad is Vice-President of Inco Limited, General Manager of the Japan Branch, and President of International Nickel Japan Limited.

Born in 1923 in Minneapolis, Minnesota, Mr. Ramstad received his undergraduate degree in 1944 and his law degree in 1949, both from the University of Minnesota. During his years with S&C, he practiced in the tax group. In 1957, he joined International Nickel Company in New York. He held a number of legal and executive positions with Inco and became a vice president of the parent company in 1970. He became Inco's senior representative in Japan in 1976. His professional activities have centered largely around Inco, except for a brief period serving as a director of Orion Capital Corporation before moving to Japan.

"I have very pleasant memories of my entire association with S&C," he writes. "Highlights are hard to identify in a long single-company career. It has all been highlights since I have always had special projects to deal with, never routine activities."

Mr. Ramstad married Marion McKinney in 1955. They have one daughter, Kristin, born in 1958. The Ramstads live in Tokyo, Japan.

283 **WILLIAM C. GORDON** S&C 1950-1954

Mr. Gordon was born in Hollis, New York in 1923. He came to S&C from the University of Michigan (B.A. 1948 and J.D. 1950). At S&C, he worked primarily in securities and banking law.

Mr. Gordon is currently Assistant General Counsel in the Law Department of Hercules Incorporated in Wilmington, Delaware.

Highlights of his career include his service as the first Chief Judge of the Family Court of the State of Delaware. He organized the Court from previous county-wide courts, and developed its procedures and policies. He was the first Chairman of the State Personnel Commission of Delaware, as well as the first President of the Health Systems Agency for Delaware (Delaware Health Council).

He gives us the following philosophical distillation: "The adversary system depends on the ability of lawyers to draw a line between creative advocacy of a client's point of view and professional conduct in the light of responsibility as an officer of the court. This line needs constant attention and both our clients and the public must expect more than sheer advocacy, or we will lose the opportunities to which we have fallen heir because those who have preceded us have preserved this line."

Mr. Gordon and his wife, Jean Davidson Gordon, live in Wilmington, Delaware. They have four children: John Davidson Gordon, Kathryn Gordon Hanrahan, Teren Elizabeth Gordon, and Elizabeth Ann Gordon.

Currently Chairman of the State Council on Corrections; Formerly Chairman, Governor's Advisory Counsel on Drug Abuse Control — 1969-1971; Board member of Delaware Council on Crime and Justice; Delaware Adolescent Program, Inc.; and Family Services of Northern Delaware; Published articles on antitrust in the New York Law Journal and on family court systems in numerous publications; Previously on faculty of Graduate School, National College of Juvenile Justice; Served as special advisor to the government of Jamaica in connection with their efforts to establish a family court system; Speaker at New Jersey statewide bar association meeting, Florida statewide judicial conference and at the Nation Industrial Conference Board.

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284 **KARL G. HARR, JR.** S&C 1950-1954

Mr. Harr is President of Aerospace Industries Association of America, Inc., with offices at 1725 De Sales Street, N.W., Washington, D.C.

"Insofar as my most lasting recollection of S&C days is concerned, aside from constant wonderment at the sudden return of Inzer's deep southern accent whenever he stood up in court, and a few trial memories important probably only to me personally, the impression that becomes increasingly clear with the passage of time is the degree to

which one is taught to read and write by such fellows as yourself, John Dooling, Inzer and all your pals. Like many others, I have traded off of that education ever since. . . .

"You may recall I left S&C to enter government service, first in the State Department, then Defense, and finally the White House. From a personal career point of view, the most interesting stint was as Eisenhower's Special Assistant for National Security Operations Coordination. My charge in this capacity was to see to it that the programs and operations of the different departments and agencies effectively implemented the President's national security policies, that such programs and operations were coordinated, and that they were timed and designed to have the most favorable impact on world opinion. Obviously, therefore, the three years I was in this job afforded an excellent window on the events of those times, and also involved knocking around with some of the principal movers and shakers of the period.

"I have also enjoyed tremendously my association with The Experiment in International Living, which began for me with a trip to Brazil while a college sophomore and has continued through to my present role as Chairman of the Board of Trustees. The Experiment is in the business of providing cross cultural experiential learning to young people of all nationalities. Not only does it do this as well as anyone in the business, in my opinion, but the effort has attracted a legion of loyal alumni who are most enjoyable to work with.

"Finally, I enjoy my current job because it keeps me somewhat in touch with major national security issues as well as with the current Washington political scene."

Born 3 August 1922. Married, 4 children.

1940-43: Princeton University, A.B. (1943), Magna cum laude, Phi Beta Kappa, President — Senior Class. Received M. Taylor Pyne Prize. Varsity football. 1943-46: Captain, Military Intelligence, U.S. Army (China-Burma-India and Southwest Pacific Theaters). 1946-48: Yale Law School, LL.B. (1948). 1948-50: Rhodes Scholar, Balliol College, Oxford University, Ph.D. in Political Science (1950). 1950-54: Associate, Sullivan & Cromwell.

1954-55: Special Assistant to the Under Secretary of State for Administration, Consultant to Deputy Under Secretary of State, Staff Director of Secretary of State's Public Committee on Personnel, and Director of a project for the Richardson Foundation.

1956-58: Deputy Assistant Secretary of Defense for International Security Affairs and alternate member of NSC Planning Board.

1958-61: Special Assistant to the President of the U.S. for Security Operations Coordination, Vice Chairman of the Operations Coordinating Board, and Adviser, National Security Council Planning Board. Attended all Cabinet, NSC & National Aeronautics and Space Council meetings. Also, Member, President of U.S.'s Committee on U.S. Information Activities Abroad, 1960.

1961-63: Counsel, Rogers, Hoge & Hills, New York City.

1963 to date: President, Aerospace Industries Association of America, Inc.

Also, Member, Board of Trustees, Princeton University 1968-72. Chairman, Board of Trustees, The Experiment in International Living. Member, Board of Directors, Union First National Bank of D.C. Member, Policy Committee, Council of Defense and Space Industry Associations (CODSIA) (Chairman, 1964). Chairman, International Coordinating Council of Aerospace Industry Associations (Composed of European, Canadian, Japanese and U.S. aerospace industry associations).

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OLIVER B. JAMES, JR. S&C 1950

Mr. James is Executive Vice-President and Chief Operating Officer of the San Diego Trust & Savings Bank in San Diego, California.

He served in the Navy from 1943 to 1946, before graduating from Yale in 1947 and the Columbia Law School in 1950. He then came to S&C to work in the estates and personal practice group, but was with the office for only two months before being recalled by the Navy to active service. His second hitch in the Navy lasted six years and took him to the rank of Commander.

Born in New York City in 1925. Married Norma MacNiel in 1955. Two children: Patricia James Yetter, a registered nurse, and Oliver B. James III, a student. Mr. and Mrs. James live in La Jolla, California.

President, Children's Hospital, San Diego; President, San Diego Museum Association; President-elect, San Diego Rotary Club; President, San Diego Symphony.

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MARVIN S. SLOMAN S&C 1950-1956

Mr. Sloman is a partner in the Dallas, Texas, law firm of Carrington, Coleman, Sloman & Blumenthal.

Texas has been Mr. Sloman's home for most of his life. He was born in Forth Worth, completed his early education in the public schools there, and attended the University of Texas as both an undergraduate (A.B., 1948) and a law student (LL.B., 1950, member *Texas Law Review* Board of Editors). Before entering college, Mr. Sloman served in the United States Navy (1943-1944).

After his graduation from law school, Mr. Sloman became associated with S&C, spending five years in the litigation practice group. During that time his "principal interest and activity was the tutorial sitting at the feet of Bill Piel and John Dooling." He was a member of the Committee on Legal Aid of the New York City Bar Association in 1954-1955.

After leaving S&C in 1956, Mr. Sloman became associated with the predecessor of the firm in which he is now a partner (since 1960). In addition to his directorships of various business corporations, Theatre Three, and the City Club, Mr. Sloman is active in the Dallas Civic Opera, Friends of Dallas Public Library, Rotogravure Committee, Inc., the Planning Committee for University of Texas legal institutes (Chairman), and the American Foundation for Temple Bar.

Mr. Sloman and his wife since 1953, the former Margaret Dinwiddie, have a daughter, Lucy Carter, and a son, Richard Dinwiddie. They reside in Dallas.

Memberships include: American Bar Association; State Bar of Texas (Chairman 1969-1970), and Council Member (1964-1971), Section on Corporation Banking and Business Law; Editor (1962-1964) of Section, Bulletin); Dallas Bar Association (Chairman, 1979, Committee on Judiciary); Texas Law Review Association (Life Member); Dean's Council, University of Texas Law School Association; Colophon (Dallas); First Unitarian Church, Dallas. He is also a Fellow of the American and Texas Bar Foundations.

287 **ARTHUR D. SPORN** S&C 1950-1957

Arthur David Sporn is a partner in the New York City firm of Barrett Smith Schapiro Simon & Armstrong.

Born in New York City in 1927, his university education was at Harvard (A.B., magna cum laude, 1947; LL.B., magna cum laude, 1950). At S&C, "the ideal place to continue one's postgraduate education," he practiced with both the tax and general practice groups. Among his widely varied assignments, memorable engagements included: "keeping relief funds raised in America by the Czechoslovak Red Cross from going behind the Iron Curtain, following the 1947 coup"; incorporation of the Adirondack Historical Museum (Blue Mountain Lake, New York); and helping to organize Hungarian refugee relief, following the 1956 uprising.

Mr. Sporn left S&C to become an Assistant Professor at the University of Wisconsin Law School. After teaching for three years, he returned to New York to practice with the firm of which he is now a partner.

Mr. Sporn married Muriel Krischer in 1962. They live in New York and have two children: Robert, born in 1967, and Carolyn, born in 1969.

Chairman, Employee Benefits Committee, Tax Section, of N.Y. State Bar Association, 1971-1973; Trustee, Baron de Hirsch Fund.

288 **JOHN R. STEVENSON** S&C 1950-69,1973-

"I joined Sullivan & Cromwell as an associate in 1950. I was 29 years old and had spent four years in the Navy, including two years as Assistant Naval Attache in Madrid, a year in the State Department (after passing the Foreign Service exams) in charge of relations with the dependent areas in the Caribbean, two years in the accelerated program at Columbia Law School, and an additional year teaching international law and getting my doctor's degree. When I told recruiting firms that I

was interested in practicing in the international field they said I could never make a living at it and should concentrate on domestic law, leaving international law to the State Department. However, Arthur Dean, Allen Dulles and Dave Hawkins indicated I could indeed practice in the international field at Sullivan & Cromwell, but to be effective I should become a good domestic lawyer as well.

"I was not disappointed in my five years as an associate. Almost immediately I worked with Pearce Browning on the nationalization claims of the Petscheks and Stiassnis. The members of both families were recently naturalized U.S. citizens who had owned large textile plants in Yugoslavia which the Yugoslav Government had nationalized without compensation. In order to obtain the release of the gold reserves of the former Yugoslav monarchy which the USG was holding, the Tito government agreed to settle with the USG on behalf of U.S. claimants on a lump sum basis, the claim to be administered by the Foreign Claims Settlement Commission in Washington. Our work involved establishing that our clients had good claims under international law, i.e., that their property was nationalized without compensation after they had become United States citizens, and the valuation of these properties. We obtained very sizeable awards in both cases.

"The Yugoslav Claims Agreement was followed by unilateral Congressional action making provision for compensating U.S. citizens whose properties in Bulgaria, Czechoslovakia, Rumania and Hungary had been nationalized by post-war Communist governments. The compensation was to be paid out of properties in the United States of their predecessor governments. These properties had been frozen here as enemy property during World War II.

"In the case of Czechoslovakia, the Petscheks were again our principal clients. Their claims were based on their real estate holdings in Czechoslovakia, including both the present United States Embassy and the Soviet Embassy which had been confiscated when the Communists took over. In the case of Bulgaria, we represented the largest single claimant, the American College of Sofia, a Near East College Association institution in which Allen Dulles had been interested for many years and which had educated many of the leaders of Bulgaria. The Communist Government had confiscated the campus and turned it into a school for the political police. We obtained the largest single award of over a million dollars. In that case in addition to preparing and presenting this claim we appeared before Congressional committees to urge the passage of the legislation providing for compensation from the frozen assets.

"In addition to having the usual corporate associate's general training in general corporate and securities work, I worked with Art Dean and John Raben on the first European government financings involving Norway and the European Coal and Steel Community. These financings involved preparing U.S. style prospectuses, including detailed descriptions of the economic, political and financial conditions in Norway and the European Coal and Steel Community. It was very interesting work for a young lawyer who had previously fancied himself a career foreign service officer. The European Coal and Steel Community financing in fact involved a number of public international law questions since the Coal and Steel Community was strictly the creature of an international treaty which at our insistence was registered with the United Nations.

"After becoming a partner in 1955, I continued to work in the international field and participated in the organization of the Adela Investment Company, a unique institution designed to promote the growth of private enterprise in Latin America by establishing joint ventures with local investors to be turned over to the local investors when strong enough to stand on their own feet. The concept in the beginning was that of Senator Javits, but he turned it over for implementation to a group of dedicated senior business statesmen, including in the United States (in addition to Art Dean), George Moore, Chairman of First National City Bank, Henry Ford, Pete Collado, Executive Vice President of Exxon, and Burt Witham, Financial Vice President of IBM; in Europe Marcus Wallenberg, Chairman of Stockholms Enskilda Bank, Johnny Agnelli of Fiat, Mogens Pagh, Chairman of Denmark's East Asiatic Company Ltd., and in Canada Earle McLaughlin, Chairman of The Royal Bank of Canada. Adela is surely the most 'multi' of the multinational corporations in being. It was incorporated in Luxembourg with its initial operating office in Lima, Peru, and has as stockholders the leading industrial and financial companies in Europe, United States and Japan, and senior officers from Europe, United States and Latin America. The legal problems involved in organizing and operating this unique company were challenging and educational, such as, in the area of corporate governance, for example, the problem of reconciling European and U.S. views as to the respective roles of the board of directors and management.

"I was also fortunate in having become experienced in international securities issues at a time when most of my generation of lawyers had had no experience in this area. Accordingly, in the late 1950's I was asked to work for a number of different clients, including those who were not regularly our firm's clients, in the spate of international financing for various Scandinavian countries — Norway, Finland,

Denmark and Sweden, the cities of Oslo, Copenhagen and Helsinki, some Scandinavian private companies including the Copenhagen and Jutland Telephone Company, and an issue of bonds by Credit Foncier of France guaranteed by the French Government. There were also equity offerings by KLM and Philips of Holland.

"Perhaps my most challenging international financing experience was to work on the first Japanese equity financing in the United States. The issuer was Sony, the Japanese electronics company. Many investment bankers had said it would be impossible ever to offer Japanese equity securities in the United States because of Japanese traditional opposition to disclosing corporate information. I think they might well have been right if it had not been for Mr. Akio Morita, the founder and chief executive of Sony, who has always been quite different from the traditional Japanese businessman. In his business he emphasizes quality, not mass production, and while respecting Japanese tradition he was always most innovative in finding ways to do things consistent with Japanese tradition yet in a manner that was feasible and responsible to the needs of United States investors and the regulations of the Securities and Exchange Commission.

"The large volume of international financings was sharply reduced in the mid 1960's when the interest equalization tax and other restrictions on U.S. foreign investment were introduced for balance of payments purposes by Secretary of the Treasury Douglas Dillon on the advice of Robert Roosa. From my own personal viewpoint this was a blessing in disguise since it enabled me to spend four years away from the firm in Washington, from 1969 to January 1973, while the U.S. capital market was in effect closed to the foreign governments and companies with which I had worked so much at Sullivan & Cromwell.

"I was appointed The Legal Adviser of the State Department with the rank of Assistant Secretary in June 1969. For a lawyer with diplomatic aspirations the position was an ideal combination of law and foreign affairs. All of the operating bureaus of the department were my clients, though some of them did not think that international law had much to do with what they were doing. The job was in many ways no different than in convincing Sullivan & Cromwell clients that it is not just a question of saying 'no' but showing them an alternative route to achieving the same objective in compliance with the law. There was a difference of degree, of course. You did not have the time to build up the long standing personal relations that ease the strain and stress of the private practice of law, and I was applying principally, though by no means exclusively, international treaty and customary law. In addition to acting as lawyer to the operating bureaus of the State Department, I also had a number of areas in which I had policy-making re-

sponsibility. These included during that period the problem of drafting and negotiating international treaties to deal with hijacking of airplanes and terrorism against diplomats; gradual transformation of our recognition policy with respect to new governments to maintenance of diplomatic relations without attempting to withhold recognition as a diplomatic weapon (it had proved singularly unsuccessful in getting results); and introducing into Congress the foreign sovereign immunities legislation which, although not passed during my term of service, ultimately was passed and relieved the State Department of the duty of deciding sovereign immunity cases while at the same time providing effective means of service on foreign sovereigns and reducing the scope of sovereign immunity for foreign sovereigns carrying on commercial activities. Finally, because this was a most difficult period in terms of Presidential-Congressional relations in the foreign relations field, I spent a very large amount of time appearing before Congressional committees dealing with such diverse questions as the extent of U.S. commitments to foreign countries, the proper use of executive agreements and war powers legislation.

"Another area with which I was much concerned was the United Nations Special Committee on the Deep Seabed and the parallel negotiations by the United States and the Soviet Union to establish a 12-mile territorial sea and free transit through international straits. These two parallel developments ultimately culminated in the comprehensive Law of the Sea Conference called by the United Nations.

"I returned to Sullivan & Cromwell as a partner in January 1973, but that spring Secretary of State William Rogers asked me to return to the State Department on a part-time basis as Ambassador and Special Representative of the President and Chairman of the United States Delegation to the Law of the Sea Conference. I agreed to do so after discussions with my partners indicating that the only way I could do so in view of the conflict of interest laws was to become of counsel to the firm during the period while I was serving as Ambassador. I continued in this capacity until May 1975, when I concluded that two years of attempting to do two jobs at the same time and to serve two masters prevented me from doing a job with which I was personally satisfied in either area. Accordingly, I rejoined Sullivan & Cromwell full time at the end of May 1975, at the same time becoming the Chairman of the State Department's Law of the Sea Advisory Committee, which position I continued to hold until May 1979.

"Just about the time I got back to Sullivan & Cromwell full time, the interest equalization tax and other capital restrictions were removed and the international financing came back to the United States capital market with a vengeance. For example, Norway, which had

originally borrowed in amounts of 10 to 15 million dollars once or twice a year, has just completed within two years eight separate issues, each of at least 100 million dollars. The heavy borrowing was necessary principally to finance the development of the Norwegian oil fields in the North Sea. As a result of these fields, Norway has already become a net exporter of oil and may some day in the near future also become a net exporter of capital.

"In addition, the European Coal and Steel Community has been joined by the European Investment Bank and the European Common Market in completing large financings in the United States and a number of the other Scandinavian issuers have done occasional large issues, including Finland and the City of Oslo. The United Kingdom and Sweden have joined the list of foreign governments borrowing in the United States capital market. Both were represented by Sullivan & Cromwell.

"I personally have had the privilege of succeeding Art Dean on the Adela and Bank of New York boards, and Stoddard Stevens on the Andrew W. Mellon Foundation and National Gallery of Art boards. The National Gallery board, chaired by the Chief Justice, has been a particularly interesting experience for me since my first two years as trustee marked the two years during which the new East Building addition to the National Gallery was being completed. In May 1979 I was elected President of the National Gallery of Art.

"In the case of both the National Gallery and the Mellon Foundation I have been concerned with the development and application to non-profit institutions of uniform generally accepted accounting principles. In the case of both, we have established audit committees to work closely with the independent auditors.

"I have also been very pleased, in view of my own interest and frequent presence in Washington, with the opening of our new Washington office. Unlike other branch offices in Washington, our office has been staffed entirely with our own people from New York."

Mr. Stevenson married Patience Fullerton on April 10, 1943. They have four children: Elizabeth, Sally, John Jr., and Patience.

Born on October 24, 1921, Mr.Stevenson attended Andover in the Class of 1938; Princeton, A.B. (School of Public and International Affairs), summa cum laude, PBK, 1942; Columbia Law School, LL.B., 1949, D. Jur.Sc. 1952; Editor-in-Chief, Columbia Law Review 1949; Lecturer in International Law, Columbia Law School 1950.

Between college and law school he served in the U.S. Navy during World War II.

Ambassador, President's Special Representative for the Law of the Sea Conference and Chief of Delegation, July 1973-May 10, 1975. The Legal Adviser, Department of

State, 1969-1972. Member of the United States Delegation to the General Assembly of the Organization of American States 1970-1972. Special Adviser United States Delegation, United Nations General Assembly, 1969-1974. United States Representative before International Court of Justice in Namibia (South West Africa) case, 1970. United States Representative to the International Conference of the Law of Treaties, Vienna, 1969. United States Member, Permanent Court of Arbitration, The Hague, 1969-1978. Chairman, United States Delegation to International Conference on Air Law, The Hague 1970. State Department Advisory Committee on Law of the Sea, Public Chairman 1976-1979. State Department Advisory Committee on Foreign Relations of the United States 1977 to date. Political Officer, State Department, Office of American Republic Affairs (1946).

President, American Society of International Law 1966-1968. Member, Board of Editors, American Journal of International Law 1960-1969, 1973-1978. Chairman, Committee on International Law, New York State Bar Association 1963-1965. A Director and Chairman, International Section, Law Committee, American Arbitration Association. Member of Council, Section of International and Comparative Law, American Bar Association 1969-1972. Member: Council on Foreign Relations, Center for Inter-American Relations, Inc., American Law Institute (member of Advisory Committee on Restatement of Foreign Relations Law of the United States), Inter-American Bar Association, International Law Association, Institut de Droit International, International Bar Association. Honorary Fellow of the American Bar Association.

Publications: *The Chilean Popular Front,* University of Pennsylvania Press, 1942; Articles in law reviews and State Department Journal on international law and transactions and United States foreign relations law.

Trustee, Andrew W. Mellon Foundation; President and Trustee, The National Gallery of Art; Trustee, The Rockefeller University; Trustee, United States Council of International Chamber of Commerce and Chairman of Committee on Ethical Business Practices.

Director: Adela Investment Company S.A.; Columbia Journal of Transnational Law; The Academy of Political Science; Bank of New York Company and The Bank of New York.

Member: The Links, Piping Rock, Metropolitan Club (D.C.), Chevy Chase (D.C.), Down Town Association.

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THOMAS H. BEDDALL

S&C 1950-1957

Mr. Beddall was born in Portsville, Pennsylvania, the son of Thomas Beddall and Martha Roberta Gallagher Beddall. He graduated from Phillips Academy in Andover, Massachusetts in 1940, and then went on to Yale (A.B. 1943) and the University of Virginia (LL.B. 1950).

In 1950, by vote of the law faculty at Virginia, Mr. Beddall was the recipient of the Sidney J. Brooks award as the third-year student "most likely to contribute to the public good." A generation later Mr. Beddall avers, "I am more than ever a firm believer in *pro bono* work (legal and otherwise)." He is convinced that "the outside director is the fulcrum for the market economy because of his ability to deal with the public interest issues."

Mr. Beddall, a corporate general practitioner while at S&C, recalls working on the first public offerings of stock of well-known corporations. "The early fifties, as many still active in the firm will recall, was a period of frenetic work. New issue work was a constant cornucopia; the blockbuster litigation and you name it turned 48 Wall Street into a 'night club.' "

Since 1957, Mr. Beddall has been associated with the Paul Mellon interests in Washington, D.C. He is married to the former Priscilla Kimball. They have four children: Laurence, Frederic, Margaret and Katherine. The Beddalls live in Washington, D.C. and Paris, Virginia.

Admitted to N.Y. Bar, 1951, D.C. Bar, 1968. Director of Carborundum Co., Niagara Falls, N.Y., until January 1978; lecturer, University of Virginia, 1976- Chairman, board of trustees, Sheridan School, Washington, 1972-74. Served to 1st lieutenant infantry AUS, 1944-46.

Member: Bar Association of the City of New York; Order of Coif; Raven Society; Phi Delta Phi; Omicron Delta Kappa; Pi Delta Epsilon; Chi Psi.

Clubs: Metropolitan (Washington); Rolling Rock (Ligonier, Pa.)

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H. REED BALDWIN (1925-1952)

S&C 1951-1952

H. Reed Baldwin was born in New York on June 15, 1925, son of Dr. Horace S. Baldwin, a prominent New York physician who became president of the Academy of Allergy. After wartime service as an Ensign in the Navy from July 1943 to August 1946, Reed Baldwin was graduated from Wesleyan University (B.A. 1947) with "Highest Honors", and with "High Honors and Distinction" in his major, history; he was a member of Phi Beta Kappa. At Harvard Law School, he received the Sears Prize in 1948, was elected Treasurer of the *Harvard Law Review* in 1949, and was graduated magna cum laude in 1950. After law school, he went to Europe for a year as a Sheldon Memorial Traveling Fellow and, upon his return, became associated with Sullivan & Cromwell.

While still in his first year with S&C, on February 22, 1952, starting out with friends on what was to be a weekend of skiing, Mr. Baldwin was killed in an automobile accident on Route 9 near Staatsburg, New York.

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GEORGE EVERETT HALL

S&C 1951-1958

Mr. Hall is Senior Vice President — Administration of the SCM Corporation, 299 Park Avenue, New York, New York. "Although I remain stimulated by business as a game, I continue to be impressed by the complexity of economic activity and despair of the possibility of useful generalization." He is married, has three children and presently lives in Riverside, Connecticut.

Having practiced in both the trusts and estates and general practice groups in his tenure with S&C, Mr. Hall says, "I was certainly 'formed' at S&C. The time generously devoted to the task by Messrs. Browning, Pierce, Stevens, Storrs and others has produced, may I hope, something worthy of the effort."

He was born in Charleston, West Virginia, on November 19, 1925. He was with the 78th Infantry Division in Europe between 1944 and 1946. He received his A.B. from West Virginia University in 1948. In 1951, he was graduated from Harvard Law School.

Mr.Hall's wife, since 1951, is the former Helen Haeyden Babcock. Their children are George, Jr., Helen (Beth) and Anne.

292 **MARVIN SCHWARTZ** S&C 1951-

Mr. Schwartz is well-known at the bar as a securities litigator. While he has certainly handled many securities cases in courts throughout the country, his career as a trial lawyer contradicts any notion of specialization. He has handled class and derivative actions of all sorts, played a leading role in pressing creditors' claims in the American Express salad oil scandal, handled scores of mutual fund cases and many others involving the liability of accountants, antitrust, motion pictures, international law and disciplinary proceedings before the Securities and Exchange Commission, stock exchanges and other regulatory agencies.

Mr. Schwartz graduated cum laude from the University of Pennsylvania Law School in 1949, where he was an editor of the Law Review, and joined S&C after serving as law secretary to Mr. Justice Burton of the Supreme Court of the United States and Judge Herbert F. Goodrich of the United States Court of Appeals for the Third Circuit. He became a partner in 1960.

Born: Philadelphia 1922, married Joyce Ellen Sidner 1947. Children: John B. (1952), Daniel B. (1954), Pamela L. (1958).

Member, American College of Trial Lawyers (Vice-Chairman, Committee on Rule 23 and Multidistrict Litigation), American Law Institute, New York, Philadelphia and District of Columbia Bar Associations. Admitted to bar in New York, Pennsylvania and District of Columbia.

293 **ALBERT F. ROTHWELL** S&C 1951-1956

Mr. Rothwell is Vice President — Human Resources & Public Affairs with the Freeport Minerals Company in New York City.

Born in Great Neck, New York, in 1926, he was graduated from Princeton in 1948 and from Columbia Law School in 1951. At S&C he was a member of the general practice group, "with one tentacle in litigation". The S&C experience meant for him "long hours, stimulating company and an extraordinarily high quality and volume of thoroughly professional work."

He has been married to Jane Louise Thomas of Buffalo for thirty years; they have four children, two of whom served briefly with S&C as paraprofessionals.

An outstanding recollection from Mr. Rothwell's general practice experience with S&C was his participation in the reorganization of the Kaiser businesses into Kaiser Industries. "I believe the three-volume proxy statement that resulted was, at the time, the longest ever filed with the SEC."

A major engagement grasped by his "tentacle in litigation" was the *Potash Antidumping Case* in the early 1950s. "East German potash was being dumped into this country in increasing volume. The Administration did not want to exacerbate already strained relations with Russia and the satellites, and secured passage of a law in the middle of the case, transferring the main issue from Treasury to the International Trade Commission (then U. S. Tariff Commission). S&C represented the domestic industry, and as a not-yet 30-year old, I conducted the first ever hearing under this new law before the ITC. Richardson Dilworth, already prominent in Pennsylvania politics, conducted a stormy defense. The Commission split down the middle 3-3 on the question of whether the industry had been sufficiently injured to be entitled to relief. Thereafter, I joined a lobbying effort which resulted in a change in the law which gives the decision in future cases to the domestic industry in the event of a tie vote."

294 **WILLIAM E. WILLIS** S&C 1951-

Born in Huntington, West Virginia on October 11, 1926. Attended while in military service from 1944 until 1946 Indiana University and New York University; graduated Marshall University (A.B.s.c.l.) in 1948 and from Harvard Law School (Ll.B. c.l.) in 1951.

Married Joyce Litteral in 1949; three children Kathryn (b. 1952; Mrs. Dennis L. Cunningham), Anne (b. 1956; Mrs. Alan Dresser) and William, Jr. (b. 1960)

Joined Sullivan & Cromwell in 1951 and assigned to the litigation group, where he handled controversies under the antitrust and securities laws, proceedings before regulatory bodies and general litigation on behalf of individuals and corporations, civil and criminal.

Director, Electrical Testing Laboratories, Inc.

Member: ABA (Section of Litigation, Chairman, Second Circuit Antitrust Committee, Vice Chairman, Committee on Trial of Antitrust Cases); NYSBA (Chairman, Antitrust Section); ABCNY; NYCLA; American College of Trial Lawyers (Fellow); American Bar Foundation (Fellow); Federal Bar Council; American Judicature Society

295 **PHILIP M. DRAKE** S&C 1951-1953

In mid-1953, Mr. Drake was appointed Assistant United States Attorney for the Southern District of New York, serving under The Honorable J.Edward Lumbard. He joined Cummings & Lockwood in Stamford, Connecticut in 1955, became a Partner of that Firm in 1959, and became Partner-In-Charge of the Firm's Greenwich, Connecticut office when it opened in 1960. He is active in both the corporate and estate departments of the Firm. In 1978, he was named Managing Partner of the Firm. He is a Fellow of the American College of Probate Counsel.

Mr. Drake began his professional career at S&C, where he worked in the tax department with Norris Darrell and Robert MacDonald, and in the estates department with Pearce Browning.

Prior to his arrival at S&C, Mr. Drake had graduated from Phillips Academy at Andover, Yale University and the University of Virginia Law School. He also served for three years in the Navy during World War II.

Mr. Drake has held numerous political, civic and charitable positions. He is currently a Director of Charles E. Culpeper Foundation, St. Thomas More House at Yale University, Greenwich Boys' Club, Connecticut Public Expenditure Counsel and Federal Bar Council. He served as Chairman of Lowell P. Weicker's campaigns for the United States Senate in 1970 and 1976, and was Chairman of the Board of Estimate and Taxation of the Town of Greenwich 1971-1977. He was formerly President of the Greenwich Bar Association and Vice President of the Andover Alumni Association.

Mr. Drake is married to the former Susan Wallace. They have four daughters — Anne, Kathleen, Margaret and Holly, and live in Greenwich. He is a member of the Round Hill Club and The Field Club of Greenwich, and the Kittansett Club of Marion, Massachusetts.

296 **DAVID G. GILL** S&C 1951-1954

Mr. Gill is Counsel with Exxon Corporation, in New York City.

Born in Long Branch, New Jersey in 1925, he was graduated from Harvard College (A.B. 1947) and Harvard Law School (LL.B. 1951). His undergraduate studies had been interrupted by World War II, in which he served from July 1943 to August 1946 as a First Lieutenant in the Signal Corps and the AGD, U.S. Army, including nineteen months in New Guinea and the Philippines. At S&C, he practiced in the litigation group and worked on "the 'alleged Oil Cartel Case,' 1952-1960, R.I.P."

"My stay at Sullivan & Cromwell was a brief one," he writes, "but I will never forget my associations with the lawyers at the firm. Working with Art Dean, Roy Steyer and Bob MacCrate has been both a great pleasure and a deeply rewarding experience."

He is married to Josephine J.W.M. Jacobse. They have three children: Stephanie, Valerie and Eric. The Gills live in Darien, Connecticut.

297 **JAMES F. THACHER** S&C 1951-1952

Mr. Thacher is a partner of the San Francisco firm of Thacher, Jones, Casey & Ratcliff. Born in San Francisco in 1924, he graduated from Yale College (B.A. 1948) and Yale Law School (LL.B. 1951). He was a corporate practitioner while at S&C.

Mr. Thacher and his wife, Gladys Stevens Thacher, have four children: Harriet Thacher Miller (Mrs. Douglas K.), Thomas S. Thacher, Gladys Pomeroy Thacher, and Will Luke Thacher. The Thachers live in San Francisco.

"When I came down from the Yale Law School not too long after World War II, and was ushered into a cubicle in the library at 48 Wall Street by David Hawkins to work on 'green goods', Sullivan & Cromwell and the clients which it served had only recently emerged from the shadows of the Depression and World War II. A stalemated war in Korea and the scent of corruption in the big cities was about to bring the Democrats low and a conservative view was in the ascendency. The business interests which were, and I suppose still are, the firm's clients were on the threshold of a resurgence of power and public influence they had not enjoyed since the 1920's, nor for that matter since. Politically the forces of Taft were giving way to Eisenhower and there was a hum of expectancy along Wall Street and at Sullivan & Cromwell. One had the feeling that the men who guided the firm and their clients in the worlds of finance and business were, or shortly were to be, in or near the saddle of events and, more importantly, that events themselves could be ordered by the considered application of sound thinking by men of prudence, character and intelligence.

"There was of course the China business and Korea, Hiss and McCarthy and that young fellow from California, Nixon. (In the Fall of the following year a group of us 'downstairs' were to gather in one of the offices off the library beneath a gravure of some Rembrandt worthy to listen to the 'Checkers' speech and hear about the 'Houndstooth Accounting'. 'Upstairs' it was of interest too, as the General's election was thought to hang in the balance. Lord, that was an eon ago.)

"Meanwhile, back at the office, in my small world issue followed issue: Triborough Bridge, Ohio Turnpike, Haloid, Green River Steel (and the Jack Daniels crowd) are a few I remember. There was also corporate housekeeping for Calvin Bullock and his nest of investment companies and flags (I see they still exist) and some chores for the redoubtable Campbell Soup.

"Looking back to these years, two things stand out most strongly in my mind: first, the quality of the legal work which was expected and in which I was tutored by patient younger partners and senior associates, and second, the vividness of the personalities of those senior partners with whom I came in contact briefly at the time. The work exposed me to a standard of professional quality which has remained with me since. This is not to

say that I have continually adhered to such a standard (I haven't) but I have been aware of it. The second gave me a glimpse of a world where individuals were — and expected to be — in significant control of events.

"For example, once I was in Mr. Edward Green's office introducing a graduate of the Yale Law School who had just joined the firm. Mr. Green was on the telephone when we entered and in the course of his conversation it became apparent that for purposes of continuity he was being asked by a new management to stay on the board of a company of which he had been an active director. It was further apparent that he was no longer expected to be so active a director, nor was he quite expected to be what in a franker age was called a dummy director; but he was to be a quiescent director at least. Mr. Green was on his feet, spats and all, exposing and then forcefully declining what he believed was a contemptuous proposal. His final statement before he replaced the receiver still rings in my ears. It was, 'Furthermore, when I am asked to be a director I expect to direct.' He then turned to me, cordially enquired of my business and, when I told him, observed with considerable frankness that Yale had been a fine country law school until that fool Sterling spoiled it with all his money. Although I disagreed (silently) I rather admired the forthrightness with which the points about Sterling and Yale were made. Such manner of expression is probably unacceptable now but still the conviction with which such views were held and the vigor with which they were expressed brought one into the presence of another age.

"My memory of my only meeting with John Foster Dulles, who was in and out of the office at the time — his brother Allen was still an active partner — is equally vivid. Mr. Dulles was standing in the corridor outside his office and an older associate with whom I was had some small business to conduct with him. It was about the time of the 'Houndstooth Accounting' and Mr. Dulles obviously was concerned about that. Anyway, the associate advanced and spoke to him. Mr. Dulles was a tall man which naturally meant that most of those who spoke to him spoke up to him. This caused him (at least it did on this occasion) to cock his ear a bit towards the speaker, thus raising his eyes to look over and beyond the speaker — but not *at* anything. It was a look of total auditory concentration, a listening look, an expression of machinelike ingestion and processing of what was being said — a remarkable expression of listening intelligence indeed.

"Among memory's other cameos is the Dürer print in Eustace Seligman's office (a contrast to the omni-present photogravures about the lower halls) and the 4x5 slips of paper arranged in rank and file like a well-ordered hand of Russian Bank across his desk. I was only in his office once, having performed a small written task (satisfactorily) and he had asked to see me to say something nice. At the time he was concerned with the reconstruction of Hiss's Woodstock, or some related part of those proceedings. He had a fine intelligent face which seemed to me to display a delicate sense of intellectual and stylistic discrimination. I regret not having worked for him more.

"I did work for his brother-in-law, Alfred Jaretzki, regularly on investment company matters. He was a patient and courteous man — to me — and the Napoleana which decorated his office always fascinated.

"June Merrill I have set apart in my mind and over the years. I wonder how he fared in the New York City of the 60's and early 70's. I worked with him and a bright, literate investment banker from Dillon Reed — Jack Fowler — on the Triborough Bridge financing immediately upon joining the firm. George Spargo (how could one forget the name) was Moses' man and Lewis Delafield his lawyer. Old New York, Delafield was. It would be presumptuous to comment on June's legal skills. Obviously they were of the finest. But in a lifetime one meets other fine lawyers. What set June apart in my mind were his personal qualities. He was considerate to a fault. But more than that, he had an instinctive sense of fairness and good judgment which flowed in my view from a warm intelligence and generous moral principles. No man was his master nor was he master to any man.

"I have only met one or two like him since. I hope he is still alive. If so, give him my very best, please.

"Then there was the over-arching presence of Arthur Dean. Even then it seemed to me he occupied a special place at the firm, the first among equals. Unfortunately I never worked for him directly as at the time he was occupied principally with the investment bankers' anti-trust suit. I did however work for others on matters which concerned him and one could hardly be unaware of his presence.

"As I mentioned, the investment bankers' trial was on and Mr. Dean, who until that time had almost exclusively involved himself in corporate matters, had emerged (like Mars full grown from the head of Zeus) a litigator — lead counsel, or so we in the 'bull pens' believed when our litigating colleagues returned to recount the day's doings at Foley Square. To listen to them the trial, generously reported in the financial press, appeared to be a private affair: Arthur Dean tutoring Judge Medina on the nature of underwritings. It's hard to believe now when representatives of Lehmann Brothers solicit $10,000 personal accounts, and Merrill Lynch is in the real estate brokerage business; but in the crowded new issue world of the fifties investment bankers were lions rampant, and this an important New Deal anti-trust case.

"By now there must be hundreds of stories told by or about Arthur Dean. He was public property of the firm then and now undoubtedly of the entire bar. But the remark I have treasured over the years because it was so human occurred at the firm's annual Christmas dinner — my first. It was at the Union Club or some such, and we had just sat to a long table, with uncorked fifths of whisky grouped at regular intervals about the places. Arthur Dean rose and in his strong voice proclaimed, "Thank God the sun has set and the statute of limitations run on another one of my errors". That was a true lawyer's toast (or thanksgiving) and whether original or not I don't know, but he adopted it and it struck me then as it does now that it embodies a great deal of what lawyering is about — intellect in action and the moral forthrightness to know and to make known that to act as a lawyer is inevitably to err (hopefully not too often and never too much). It was a remarkable assessment to be made by one who was at the top of his profession. Real intellectual stamina, that was, and a sturdy view of professional life. It has reassured me ever since. I have always admired Arthur Dean and the country owes him a great debt for what he did in Korea.

"I have written at considerable length about a brief but formative period in my life not out of the sentimentality of nostalgia but because at the time I write about — the early fifties — Wall Street, New York and Sullivan & Cromwell and its clients were very close to where this country was, or at least wished to be. In retrospect, one can say that those years had the nostalgia of earlier years revisited — an afterglow, so to speak. Vietnam and the sixties changed all that. It must have, even at Sullivan & Cromwell.

"For myself, on leaving I went in part of my practice almost immediately from the problems of investment banking to those of narcotic dealers and murderers. The first were scarcely in the public eye at the time and the second were still being executed. From blue sky to capital punishment was (and still is) an unsettling jump.

"During the sixties, I was heavily involved as a public trustee with the affairs of the California State Colleges (now Universities) including the year-long struggle at San Francisco State, and the impact of the Vietnam War on college students.

"Only once during those years did Sullivan & Cromwell cross my professional life. I helped (at least I hope I did) Emery Sykes' widow and her two daughters and grandchildren when they were here in California. One day Mrs. Sykes came to my office with a clutch of Sullivan & Cromwell memorabilia, William Nelson Cromwell photographs, in-

cluding an old photograph of the Paris office or his residence, I can't now remember which, and a biography by Arthur Dean, I believe. Mrs. Sykes still survives.

"That's it. I am sorry to have taken so long to reply but I wanted to set down as accurately as I could what I still remembered. Of course I remember all (or most all) of the younger men with whom I worked, some of whom were partners then or are now, and others of whom have left the firm; but they are not yet firm history.

"In closing I would like to say that whatever the experience of others — and I know there were disappointments and unhappiness, there always are — for me there were none (or hardly any) and still I have no regrets at leaving. My family had left the East coast a generation earlier and I was not going back. Sullivan & Cromwell completed my Eastern education; it was the end of the beginning of my professional life."

298 **LOUISE M. JAYNE** S&C 1951-1952

Louise Jayne practices in Portland, Oregon, where she is "a sole practitioner with one young associate.

"One of my more interesting endeavors here in Oregon was my entry in the 1972 judicial reco when I sought to become the first female Circuit Court Judge on the local trial bench, all other female judges being limited to District Court or the Circuit Court's Department of Domestic Relations. Despite the fact that I ran against a male incumbent, I won the County with over 105,000 votes but ended up losing the election by some 500 or 600 due to absentee ballots.

"Lastly, let me note that instead of His and Hers towels, or cars, my husband, Chester Kurzet, and I were included in the second edition of Who's Who in American Law, which we rather enjoyed. The enclosed proof from Who's Who of American Women in which I was an entry several years earlier includes the statistical information that you requested:"

Jayne, Louise Margaret, born 30 June 1927. Attorney. Education: B.A., University of Pennsylvania, 1948; LL.B., J.D, Yale University Law School, 1951. Appointments: Associate, Sullivan & Cromwell, 1951-53; Deputy District Attorney, Multnomah County, Oregon, 1954; Private Law Practice, 1955- . Memberships: American, Oregon and New York State Bar Associations; Association of the Bar of the City of New York; Trial Lawyers Association; Chairman, Judicial Administration Committee, Multnomah County Bar Association, 1971-72; Legal Counsel to Governor's Advisory Board for Nursing Home Ombudsman, 1974-75; Past President, Metro Women's Club; Vice-Chairman, Alcoholic Counselling & Rehabilitation, 1973-74; Phi Beta Kappa, 1947; Pi Gamma Mu, 1948. Address: Suite 828, American Bank Building, Portland, Oregon 97205.

299 **EDWIN M. ZIMMERMAN** S&C 1951-1959

Mr. Zimmerman is a partner in Covington & Burling, in Washington, D.C.

Born in New York City in 1924, he received his university education at Columbia (A.B. 1944; LL.B. 1949). At the law school he was Articles Editor of the *Columbia Law Review*. Between college and law school he had been on active enlisted service, was commissioned in the A.U.S., served in the Philippines and Japan 1945-46 as a radio officer, and reached the rank of First Lieutenant, Signal Corps.

Following law school, Mr. Zimmerman became law clerk to Judge Simon Rifkind of the United States District Court for the Southern District of New York. During 1950-51, he was law clerk to former Associate Justice Stanley F. Reed of the United States Supreme Court.

His practice with S&C was in the litigation group, and included many engagements handling cases and counseling in the antitrust field. He left S&C to accept professional appointment to the faculty of the Stanford Law School, Palo Alto, California, where he taught courses in antitrust and securities regulation law.

In 1965 he was appointed by Attorney General Nicholas deB. Katzenbach to be Director of Policy Planning for the Antitrust Division of the Department of Justice, charged with supervising the preparation of staff studies on basic policy questions on the enforcement of antitrust laws, making recommendations on Division policies on enforcement, and advising on specific cases. In December 1965 he became deputy head of the Division and in June 1968 he was made an Assistant Attorney General and succeeded Donald F. Turner as head of the Antitrust Division, serving as such during 1968-9.

After his return to private practice, Mr. Zimmerman served as a member of the Council of the Administrative Conference of the United States from 1975 to 1978.

He married the former Caroline Abbot, of Salem, Massachusetts, in 1956. They have three children, Sarah, Lyle and Miriam.

300 **WILLIAM J. KIRBY** S&C 1952-

William John Kirby was born in Kewanee, Illinois in 1925. He entered the office after graduation from Harvard College (A.B. m.c.l., 1949 (Class of 1947), Phi Beta Kappa, Deturs) and Harvard Law School (LL.B. m.c.l., 1952; Sears Prize 1951; Fay Diploma, 1952), where he was an editor of the Harvard Law Review (Vols. 64 and 65; Book Review Editor Vol. 65).

A member of the general practice group, Mr. Kirby has been a partner of the firm since 1960. He married Ann M. Pfohl on October 30, 1954, who was an associate at the firm from 1953 until their marriage. Their children are: Mathis Ann (b. 9/8/55); William J., Jr. (b. 5/15/57); Andrew Louis (b. 8/6/58); Brian Pfohl (b. 7/8/60); Peter Stenwall (b. 8/27/62); Paula Celander (b. 6/27/66); and Julia Fischer (b. 6/26/69). The Kirbys live in Forest Hills, New York.

During World War II he served as a parachute infantryman in the 11th Airborne Division in the South Pacific area. He has been a member of the Board of Managers of Jacob Riis Settlement House and the Board of Managers of the West Side Tennis Club.

301 **LANGDON M. DAY** (1927-1973) S&C 1952-1959

Langdon Elwyn Mitchell Day was born on July 28, 1927 in Philadelphia, the son of Kenneth McKenzie Day. He was educated at the Groton School, Harvard College (A.B. cum laude 1949), and Harvard Law School (LL.B. 1952). During World War II he served in the U.S. Navy as an enlisted man.

Mr. Day, a tax lawyer, began his law career at S&C. He helped recodify the New York State income tax and estate tax laws during 1959-1962 before moving to Boston, where he was involved in research on tax laws. His publications included a monograph on federal income tax law, "Tax Accounting Methods and Periods," 1963; Harvard Law School's World Tax Series volume on *Taxation in the United States*, 1963 (coauthor); and an article in Rutgers *Law Review*, 1963, "Taxing Interstate Commuters: A New Jersey Experiment Under the United States Constitution."

Mr. Day died in Boston on March 18, 1973. He was survived by a brother and a sister.

302 **GEORGE C. KERN, JR.** S&C 1952-

Mr. Kern, a member of S&C's general practice group, has been a partner of the firm since 1960. Born in Baltimore, Maryland in 1926, he was graduated from Princeton (B.A. 1947) after serving in the U.S. Navy for two years. He received his LL.B. from Yale in 1952.

For the two years between college and law school, 1947-1949, he was with the State Department in Germany, serving as director of the U.S. Information Centers in Heidelberg and Mannheim and as deputy director of public information for U.S. Military Government during the Berlin blockade.

Mr. Kern and his wife, the former Joan Shorell, live in New York. Their daughter, Heath, was born on September 19, 1964.

"The intensity of experience at S&C has been, and continues to be, such that time for recapitulation is a bit limited. And no listing of events can convey what it is all really about — people in collaboration and conflict coping with the challenges and the opportunities of their time. Laughs, tears, the satisfaction of success, the striving in face of

adversity — any career at this firm is full-blooded participation in the world as it moves during our brief passage. What luck! What sheer fun!

"There is no engagement mentioned in which my participation, whatever its quantitative magnitude, has not been linked to the efforts of others. That linking includes some or all of being led, taught, supported, inspired, pushed, pulled, assisted or saved by others on the deal, whether seniors or juniors. That statement is pure recognition of fact and is not prompted by any thought that I have much to be modest about.

"No review can pass without expression of the gratitude due our non-legal staff. None of the major engagements could have been handled properly without the whole-hearted support of the service department, the stenographic and secretarial staffs, the telephone operators, and, more recently, the paralegals. I hate to think of how many post-midnight crises have drawn inordinately on the dedication, loyalty and professionalism of the formal and informal night staff.

"Here are some of the activities which come to mind while writing this in the midst of the two great takeover battles currently raging in October 1980 — InterNorth's bid for Crouse-Hinds and SCM's defense against Al Rockwell's takeover effort.

"Interestingly, this involvement in the corporate combinations of the eighties harks back to the first major project I worked on. That was one which made legal history — the Brown Shoe-Kinney merger was one of the first attacked by the government under the modern anti-merger statute. The resulting case has become the grand-daddy of the antitrust law of mergers.

"That led to participation in many of the corporate mergers and acquisitions of the fifties and sixties — Babcock & Wilcox's acquisition of Globe Steel Tubes, the Best Foods acquisition of Skippy Peanut Butter, the Best Foods — Corn Products merger, the Continental Oil-American Agricultural & Chemical combination, the acquisition by B.F. Goodrich of Sponge Rubber Products, the merger of U.S. Potash and U.S. Borax, American Standard's acquisitions of Mullins, Lyon, Mosler and Westinghouse Air Brake, Allied Stores' acquisitions of department stores in Nashville, Indianapolis and San Antonio, the organization of Printing Corporation of America by amalgamation of three privately owned printing companies, National Union Electric's mergers with Eureka and Emerson Radio & Television Co., Oxford Paper's merger with Ethyl, the Amax-Climax Molybdenum merger, Baker Industries' acquisition of Wells Fargo Armored Transport, the Electrolux acquisition of National Union Electric, etc. and etc. (So feverish can this activity become that there were at least three instances of handling the sale of a company a year or two after working on its purchase.) More

recent transactions include Del Monte-R.J. Reynolds, BTR-Hyuck, Kennecott-Carborundum, American Air Filter-Allis Chalmers, Allied Stores-Bonwit Teller, Tenneco-Southwestern Life, National Steel-United Financial, National Union Electric-Tappan, William Lyons Co.-Continental Illinois Realty, Allied Chemical-Eltra, Burroughs-SDC Corp., Pillsbury-Green Giant.

"In the seventies some of the merger and acquisition work changed its character — 'takeover' became the description for a phenomenon characterized by the unilateral tender offer. To adapt to the new requirements of the world of 'bear hugs' and 'white knights' the firm organized its flying squad geared for instant response.

"There have been two keys to the firm's success in this tumultuous world. One is the use of a small, especially experienced core group with the ability quickly to assess a kaleidoscopic situation, drawing upon the entire firm for support as the areas of relevance become determined — tax, antitrust, securities act questions, banking or insurance specialties, investment company expertise, etc.

"The second key is the ability to integrate litigation with the total strategic and tactical picture — to recognize its opportunities and its pitfalls, to take account of its uncertainties and to adapt the risk-reward analysis to ever-changing, rapidly evolving circumstances. That integration is made possible in important part by the spirit which informs so much of the firm's activities, the result of the close bonds rooted in mutual respect.

"Only our extraordinary depth in competence and experience and the almost universal willingness and capacity of our lawyers to extend themselves beyond normal limits have made possible our ability, possibly unique among institutional law firms, to bring our strengths so abundantly, pointedly and effectively to bear in this very demanding arena.

"With this organizational structure the firm responded to many of the publicized takeover battles of recent times — the three-cornered contest involving Babcock & Wilcox, United Technologies and J.Ray McDermott, the Carrier-United Technologies episode, Brascan-Woolworth, McGraw Hill-American Express, Connecticut General-ERC, Inco-ESB, InterNorth-Crouse-Hinds, Grand Metropolitan-Liggett, MacMillan Bloedell-Domtar, TRE-Wylain, Occidental-Mead, Dart-Mallory, Sun Oil-Becton Dickinson, among others.

"Another front on the acquisition scene involves the triangular situation — two companies agree to combine, then an uninvited alternative bid arrives on the scene. Recent involvements in this kind of situation include Amax-Rosario-Hudson Bay, Louisiana Pacific-Flintkote-Genestar, Crouse-Hinds-Belden-InterNorth, Wheelabrator-Frye-Hyuck-BTR, among others.

"As the tender offer became fashionable, the proxy contest returned after a period of relative quiet. In quick succession the firm handled the Kennecott defense against the Curtiss-Wright insurgency, the Interstate Properties challenge to incumbent management of Vornado, the SCM defense against the Muller dissidents in 1979 and the 1980 contest in which Al Rockwell is seeking to unseat the SCM Board.

"The firm's involvement in the takeover world comes in many forms and from many directions. We have represented target companies, bidders, white knights, black knights, bankers to any of the foregoing and on the proxy front, insurgents and incumbents.

"The firm's performance on the takeover front is a dramatic proof of the soundness of its historic policy of giving everybody the maximum feasible responsibility at every stage of the way. Were one's experience to involve much time spear carrying for someone else, he would not be the fully functioning participant so urgently required. Again here, as in so many areas, we are able to do the job with significantly fewer people than others use, thereby avoiding the time-consuming and confusing problem of tripping over each other.

"A special aspect of the merger and acquisition work over the years has been representation of a great number of foreign companies making their entrance into the United States. The largest and most complicated was the entry of British Petroleum — all the way from the beginnings of exploration in Alaska, to the acquisition of assets from Sinclair, the acquisition of the interest in Sohio and the participation in the Alaska pipeline. But there have been many others — Tube Investments of England, Electrolux of Sweden, Wormald of Australia, Holzmann of Germany, National Nederlande of Holland, Solvay of Belgium, Grand Metropolitan of England, Sefri of France, Ultra Electronics of England, Esselte of Sweden, Deutsche Babcock of Germany, Barclay's Bank of England, Elf Aquitaine of France, Flick of Germany, MacMillan Bloedell of Canada, Kali Chemie of Germany, Renault of France, just to name a few.

"Then there were the acquisitions by U.S. companies abroad — in the U.K., Sweden, Germany, France.

"A variant in international acquisitions has been the international joint venture — such as the Babcock & Wilcox nuclear joint venture with Brown Boveri in Germany, the Solvay-Union Pacific-Imperial Chemical Industries ethylene cracker venture in Corpus Christi, the Alaska pipeline, a Solvay-Hercules joint venture in Houston, and many others in the U.S. as well as some in Japan, Australia and Canada.

"In between mergers there were other things. Financings, for example — the first public issues of International Flavors and Fragrances, Babcock & Wilcox, Milgo Electronics, Binney & Smith and the Elyria Telephone Company. Oil and mining deals — farmouts, overrides, carried interests, etc. Private placements — such as some of the first financings of regional shopping centers and the simultaneous mortgaging by Genesco of stores in over 30 states. Patent license transactions for a variety of industries — chemicals, manufacturing processes, floor coverings, meters, control devices, boilers, nuclear reactors, tin cans, industrial machinery, etc. — some foreign, some domestic. Real estate — such as the large mid-city projects of Allied Stores in Boston and San Antonio.

"Some of the most interesting engagements don't fit a particular category — they are more like situations than particular transactions. For example, coping with various of the clients' involvements with price controls. The reorganization and repatriation of U.S. Borax. The call from a total stranger at 4 o'clock one morning to announce the salad oil scandal, with its ensuing excitements.

"Bittersweet is the chapter on nuclear power. Present at the false dawn of the age of the peaceful atom — with participation in the drafting of the Atomic Energy Act of 1954 and the Atomic Energy Commission's first licensing regulations, then on to the first contract between private parties for the purchase and sale of a nuclear reactor, the contract for the first nuclear submarine, the contract for the first — and, as it turned out, the only — nuclear merchant vessel, contracts for some of the first nuclear power stations, and then involvement in the consequences of Three Mile Island.

"Sometimes intertwined with transactions, sometimes not, have been the litigations. There can be no better answer to the myth of a large firm's narrow specialization than the fact that S&C's organization of its practice groups does not preclude fence hopping. A number of the early mergers led to government antitrust cases — Brown Shoe-Kinney, American Standard-Mullins, El Paso-Pacific Northwest, Kennecott-Okonite, Phillips Petroleum-Union Oil, Crown Zellerbach-St. Helens, Grand Union-Sunrise Supermarkets. A later merger case was the one brought against the British Petroleum-Sohio combination. Another was the FTC case against Allied Stores arising out of a San Antonio acquisition. The litigation involvement spawned by mergers has not been limited to antitrust cases — for example, the four-day trial of the American Standard-Crane proxy statement case over WABCO led to litigation over standing to sue which is still pending after 12 years. Finders fee cases, appraisal actions, stockholder class actions are among the other types of litigation handled in the acquisition context.

Peculiarities can occur — out of the Babcock & Wilcox-McDermott merger there is one stockholder action claiming McDermott paid too much and another claiming it paid too little.

"Outside the merger-related litigations most noteworthy were two natural gas cases. One involved a Sherman Act indictment of three natural gas companies and their chief executive officers, raising, among other issues, for the first time the relationship between the antitrust laws and the regulatory scheme of the Natural Gas Act and the amenability of individuals to indictment under the Sherman Act. (U.S. v. American Natural Gas, et al.) The other involved an appeal on antitrust grounds of a certification by the Federal Power Commission of one group of parties to a competitive, comparative proceeding before the Federal Power Commission. This case in the District of Columbia Court of Appeals raised for the first time the scope of the obligation of the natural gas regulator to give heed to antitrust concepts in its certification proceedings. (Northern Natural Gas. v. FPC).

"Further on the criminal side of the docket were the involvements at the grand jury stage in the price fixing cases against the plumbing and brass industries.

"Since the FTC is always investigating something, there always seems to be one of those around — recalled are those involving pricing practices in the steel, brass and brick industries, fur products labeling, shopping center leases, retail credit practices, comparative price advertising, substantiation of advertising claims — on and on.

"Other litigations have included private antitrust cases involving such things as discontinued distributors, exclusive dealing, resale price maintenance and boycott; stockholder class actions over executive emoluments; antidumping proceedings at the International Trade Commission; actions to compel or defeat arbitration; landlord and tenant controversies; even a case to replevin a nuclear reactor at the time floating down the Mississippi River.

"Takeovers, of course, generate an extraordinary amount of litigation. In Babcock & Wilcox-United Technologies there were pending simultaneously at least seven proceedings, in Grand Met-Liggett nine litigations were going on at the same time. Almost anything can occur — complete antitrust cases tried in a matter of days as was the case in B&W-United and Kennecott-Curtiss Wright; three or four depositions going on simultaneously as was the case in InterNorth-Crouse-Hinds and B&W-United; in camera trials as happened in the improper payments case in B&W-United; perfection of appeals to Circuit Courts or State Supreme Courts in a few days as occurred in Kennecott-Curtiss Wright, Grand Met-Liggett and B&W-United. The litigated issues in the takeover context are of great variety — powers and duties of Fed-

eral regulatory agencies, constitutionality of statutes, standing of parties, common law right to inspection of stockholder lists, contempt of injunctions, tortious interference with contracts, antitrust, validity of prospectuses, environmental questions, fiduciary duty of directors, injunctive powers of Federal courts with respect to State courts, jurisdiction of trial courts after lodging of an appeal — these are but a few of the issues which have been involved in just the more recent of the takeover litigation.

"Enough.

"Fulfilling? Challenging? Exciting? You better believe it! Happy? You betcha! And the answers would have been the same on any day in the past 28 years."

Corporate Directorships: Allied Stores Corporation; The Babcock & Wilcox Company; Soltex Polymer Corporation; Ultra Electronics Limited (U.K.). Member, ABCNY; NYCLA; NYSBA; ABA.

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303 **MAX A. STOLPER** S&C 1952-1956

Max Stolper is a partner of Nutter, McClennen & Fish, resident in its Washington office at 1819 H Street, N.W., Washington, D.C. His own firm, Muir, Schoenfeld & Stolper, merged with the Boston-based firm effective January 1, 1981. "The Nutter firm was founded in 1879 as Warren & Brandeis. I suspect that I am unique in having begun and ended my career at the Bar with two different firms both founded in 1879!"

Born in Vienna, Austria in 1924, Mr. Stolper was educated at the Trinity School in New York City, Harvard College, from which he received his A.B. in economics, magna cum laude, in 1948, and was elected to Phi Beta Kappa, and Harvard Law School (LL.B. 1952 magna cum laude), where he was a member of the Law Review. He served in the U.S. Army, in military and signal intelligence, from 1943 to 1946 and again from 1950 to 1951.

Upon leaving S&C's general practice group, he joined Van Norman Industries, Inc., a N.Y.S.E.-listed metalworking company in New York, as Secretary and General Counsel. In 1959 he began sixteen years as an executive with the Deltec Group, a Latin American investment banking organization. For thirteen of these years, he lived abroad, in the Bahamas, Peru and Brazil, and held numerous offices comprising two "distinct though overlapping sets of responsibilities: (1) senior group legal and corporate officer, and (2) investment banking line executive." In 1975, Mr. Stolper left Deltec to return to the United States and the general practice of law. He specializes in "corporate and securities law matters, including international transactions."

Mr. Stolper married Barbara Gockley in July 1952. Their children

are Charles N. (b. 1953), a Harvard M.B.A. in the corporate finance department of Kidder, Peabody & Co.; James R.P. (b. 1955), a 1976 graduate of N.Y.U. with a B.F.A. in drama; Antonia E. (b. 1958), a graduate of Yale College and a U.S. foreign service officer; and Nicholas H. (b. 1963), a student at the Taft School.

Member: ABCNY; Bar Association of the District of Columbia; ABA; Inter-American Bar Association (co-chairman of Business Law section); The American Society of International Law. Member: The International Club of Washington, D.C.; Harvard Club of New York City.

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304 **LORENE JOERGENSEN** S&C 1952-1964

Marriage to Glasgow lawyer Robert Bow in June, 1964 took Lorene Joergensen Bow from Sullivan & Cromwell's trusts and estates group and placed her in the village of Symington in Lanarkshire, Scotland.

At S&C, Mrs. Bow "drafted wills and trust instruments for most of the firm's clients; worked on many estates and trusts; participated in litigation involving estate and trust matters in the courts of New York, and (with local counsel) New Jersey, Connecticut, and as distant as Maine.

"I had the good fortune to receive my initial training in the firm from S. Pearce Browning, Jr. and William C. Pierce. Working on matters for the personal clients of the firm gave me the privilege of working with almost all the partners and associates of the firm at one time or another. A large part of my work was for such partners as Edward H. Green, Eustace Seligman, Alfred Jaretzki, Jr., Stoddard M. Stevens, Norris Darrell, Sr., S. Pearce Browning, Jr., William C. Pierce and Henry N. Ess III. I also had the opportunity of meeting the many interesting and varied clients of the firm. I look back on my twelve years with the firm as fascinating and rewarding, and I was happy and privileged to have known all the partners, associates and clients I worked with."

From October 1977 to June 1979, Mrs. Bow studied at Glasgow University Law School, "taking honours courses in Public International Law and Law of the European Economic Communities, with the hope of doing some teaching." In October 1979, she was appointed Tutor in the Department of Public International Law at Glasgow University Law School. Her husband is a partner of Maclay, Murray & Spens, Solicitors, of Glasgow and Edinburgh, "which firm celebrated its 100th anniversary in 1971."

Born March 12, 1929 at Greenport, New York; educated at Friends Academy, Locust Valley, New York; Cornell University (A.B. 1950 Phi Beta Kappa); Cornell Law School (Doctor of Law, 1952, With Distinction; Order of Coif; Managing Editor of Cornell Law Quarterly).

305 **ANDREW N. HEINE** S&C 1952-53, 1956-58

Mr. Heine is a senior partner of Finley, Kumble, Wagner, Heine, Underberg & Casey, 425 Park Avenue, New York, N.Y. 10022.

"My remembrances of S&C evoke emotional responses of camaraderie and warmth, and professional responses of excellence and a solicitude for young associates manifested in the meticulous training and high degree of responsibility extended by the Firm . . . I believe that my recollections would blend into the advice I have given to students and young practitioners: 'Get thee to a firm like Sullivan & Cromwell.' The high standards, training and care, regardless of one's ultimate objective, not only are irreplaceable, but prepare one for excellence in any field, and provide a platform and even a springboard which cannot be matched."

Born in Camden, New Jersey on November 12, 1928, Mr. Heine attended Amherst College (B.A. 1949) and Yale Law School (LL.B. 1952). His years with S&C as a general practice associate were interrupted by service in the United States Navy from March 1953 to June 1956.

Mr. Heine is married to the former Joni Cherbo. His previous marriage, to Barbara Anne Fatt, ended in divorce in 1965. He has four children: Nancy Virginia (born 8/12/54), Priscilla Joanne (born 3/22/56), Jonathan Roger (born 10/15/59) and Adam Merl Stefan (born 10/14/72).

Mr. Heine is a Director of the following corporations: Citizens Utilities Company; Nytronics, Inc.; Kane-Miller Corp.; The Olsten Corporation, and Mallory Randall Corporation. He is a member of the Association of the Bar of the City of New York.

306 **ROBERT M. McANERNEY** S&C 1953

Robert Moore McAnerney is a partner in the law firm of McAnerney & Millar, 23 Old King's Highway South, Darien, Connecticut 06820.

Mr. McAnerney was born on January 29, 1924 in Greenwich, Connecticut. After serving as a pilot in the United States Naval Reserve from 1942-1945, he entered Williams College, where he received his B.A. in 1947. He became associated with S&C upon graduation from the University of Virginia Law School in 1953, leaving later in the same year for the Stamford, Connecticut firm of Durey & Pierson, of which he was made a partner in 1960. In 1963, he established his own practice with Samuel D.B.Millar.

An active member of several bar associations, Mr. McAnerney was honored officially by the Connecticut Bar Association for the "firm direction, energy, patience and sound judgment which he exercised in guiding the affairs of the Family Law Committee as its Chairman from 1967 to 1971, and in particular for his contribution as the primary moving force in formulating the first comprehensive proposal for revision of the divorce laws of the State of Connecticut in almost three centuries."

Of his association with Sullivan & Cromwell, Mr. McAnerney writes: "It is most impressive and unique the way your firm maintains periodic contact with its prior associates and partners throughout the years. My prior association with Sullivan & Cromwell was undoubtedly one of the high points of my legal career."

In addition to being a member of the American Bar Association, the Connecticut Bar Association, and the Stamford Bar Association, Mr. McAnerney now serves as a member of the House of Delegates of the American Bar Association, and is the President of the Connecticut Bar Association. In the past he has served on the Board of Governors of the Connecticut Bar Association, as Chairman of its Family Law Committee, Vice-Chairman of its Family Law Section, Chairman of its Law Office Economics Committee, and Chairman of the Special Committee on Legislative Liaison. Mr. McAnerney also serves as an Associate Director of The State National Bank of Connecticut, Director and Member of the Executive Committee of Connecticut Attorneys' Title Guaranty Fund Inc., and was formerly a Director of the Connecticut Bar Foundation. He is a member of the Saint Nicholas Society of the City of New York and the Wee Burn Country Club, Darien, Connecticut. Mr. McAnerney is listed in "Who's Who in American Law."

307 **KENNETH M. SEGGERMAN, JR.** S&C 1953-1960

Mr. Seggerman is corporate counsel to IBM, Old Orchard Road, Armonk, New York 10504. After participating for ten years in "massive anti-trust litigation", he observes: "Litigation is the most wasteful form of human endeavor short of war; nobody wins except the lawyers."

At S&C, Mr. Seggerman practiced in both the general practice and the estates & personal groups. He particularly recalls his participation in the first public offering of Ford Motor Company Common Stock and in settling the estates of Vincent Astor and Muriel McCormick Hubbard.

Born October 1, 1925 in Newark, New Jersey; attended Princeton University (1946-1948) and Columbia Law School (LL.B., 1951, Articles Editor of Law Review); married to Helen-Louise K. Simpson; four children. Member, ABCNY.

308 **CALVIN WOODARD** S&C 1953-1955

Mr. Woodard is the Doherty Professor of Law at the University of Virginia.

Born in Wilson, North Carolina in 1927, he received his B.A. from the University of North Carolina in 1950 and his LL.B. from Yale Law School in 1953.

He left S&C's general practice group for Cambridge University, which awarded him his Ph.D. in 1961. A joint appointment in Law and History at Yale followed, and in 1964 Mr. Woodard returned to England for a year as a Guggenheim Fellow. He has been a member of the University of Virginia law faculty since 1965.

Mr. Woodard spent 1969 to 1970 in Germany as a Senior Fellow of the National Endowment for Humanities. In 1977, he was Visiting Professor of Law at Stanford University Law School, and in 1977-1978 he was a Senior Fellow of the National Humanities Institute in New Haven, Connecticut.

His first wife, Eleanor Brooks Parkman, died in 1965. In 1973 he married Elizabeth H. Henneman.

Mr. Woodard has three children: William H. (b. 1956), a senior at Yale College, and Mark A.(b. 1961), and Frances P.P. (b. 1963), both high school students.

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309 **M. BERNARD AIDINOFF** S&C 1953-

"I was born in Newport, Rhode Island on February 2, 1929, was educated in the local public schools and remained there continuously until I left for college.

"I spent my freshman year, September 1946 to June 1947, at the University of California at Los Angeles. Being a naive, small-town New Englander, I found Southern California too fast for me and transferred to the University of Michigan. In June 1950 I received my Bachelor of Arts degree from Michigan 'with distinction and with honors in Economics.' At Michigan, I was Finance Manager of the *Michigan Daily News* and was elected to Phi Beta Kappa.

"In September 1950 I entered the Harvard Law School from which I received my Bachelor of Laws degree, Magna Cum Laude, in June 1953. I was an Editor of the Harvard Law Review (Volumes 65 and 66).

"I was admitted to the bar of the District of Columbia in September 1953, and to the New York bar in June 1954.

"During the summer following my second year of law school, I was one of two summer associates at Sullivan & Cromwell, working primarily in the litigation group under Inzer Wyatt and Bob MacCrate. Upon graduation from law school in June 1953 I rejoined S&C, again working primarily in the litigation group, for a few months.

"In November 1953 I received a commission as First Lieutenant in the U.S. Army Judge Advocate General's Corps, where for several months I was assigned to Fort Monmouth, New Jersey, engaged primarily in rather dull court martial work. Ultimately, I was transferred to the Pentagon where I represented the Army before the Armed Services Board of contract Appeals. In Washington I served under a delightful Colonel who believed that I should have Friday afternoons for 'athletics', which usually consisted of a train trip to New York City to spend the weekend pursuing Cissie Spiro.

"In the fall of 1955, I was discharged from active duty and became Law Clerk to Judge Learned Hand of the United States Court of Appeals for the Second Circuit. This was a memorable year! While Judge Hand was in retirement, he still sat often enough to require a clerk; on the other hand, he had a substantial amount of time to reminisce with his clerk, introduce him to some of his better ditties (kept in his lower desk drawer), and challenge the dogmatic assertions of an occasionally pretentious law clerk.

"On May 31, 1956 Cissie Spiro and I were married and we left for an eight-week trip to Europe. Little did we know that the climax of our trip was to occur on July 25, 1956, a few miles off the coast of Nantucket when the Stockholm collided with the Andrea Doria, on which we were passengers.

"On August 2, 1956 I rejoined S&C, splitting my time between the tax group and the litigation group. I soon concluded that this would not work and became a full-time worker in the tax vineyard, working under the guidance and tutelage of Norris Darrell and Bob McDonald.

"On January 1, 1963 I became a partner of the firm.

"As a lawyer in the firm, I have been involved in just about every conceivable type of tax controversy, ranging from the valuation of warrants given to investment bankers to the deductibility of antitrust damages by a major electric company, as well as the tax planning for many of the numerous complex transactions in which the firm is involved, including such diverse projects as the financing of Forties Field in the North Sea through the use of a forward sale of oil modeled on United States production payment techniques.

"Cissie and I have two children: Seth, aged 22, who graduated from Harvard College in June 1979, and who is currently working in London with Morgan Stanley & Co., having deferred his admission to the Harvard Business School until September 1981; and Gail, aged 18, who will be entering Harvard College in the fall of 1980."

"*Association of the Bar of the City of New York.* I have been extremely active in the affairs of the City Bar. I am presently serving as Chairman of its Committee on Taxation. From 1974 through 1978 I served as a member of the Executive Committee of the Association and was Chairman of the Executive Committee during the 1977-78 fiscal year. In 1978-79, I was Vice President of the Association. Previously, I have served on the Association's Federal Legislation, Taxation, Post-Admission Legal Education and Grievance Committees.

"*American Bar Association.* From 1970 through 1972 I served as Chairman of the Committee on Foreign Tax Problems of the Section of Taxation of the American Bar Associa-

tion. From 1974 through 1977, I was Vice Chairman of the Section and Editor-in-Chief of *The Tax Lawyer.*

"*American Law Institute.* Since 1974, I have been a Consultant on the ALI Federal Income Tax Project.

"*Harvard Law School.* Currently, I am President of the Harvard Law School Association of New York City. Since 1976 I have been a member of the Harvard Law School Visiting Committee. From 1975 through 1977, I served as Chairman of the Greater New York City Committee of the Harvard Law School Fund. Subsequently, I was Chairman of the Class of 1953's 25th Anniversary Gift Committee.

"*The Spence School.* From 1971 to 1979 I was a Trustee and member of the Executive Committee of The Spence School."

Other

"I have for many years been a member of the Board of Directors of Gibbs & Cox, Inc., Gibbs Brothers, Inc. and of the Advisory Committee of the Gibbs Brothers Foundation. I am also a director of Goody Products, Inc., and I am a member of the Council on Foreign Relations. During the 1979-80 fiscal year, I served as a member of the Advisory Committee to the Commissioner of Internal Revenue."

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310 **JACK G. CLARKE** S&C 1953-1956

Jack Clarke is a Director and Senior Vice President of Exxon Corporation, 1251 Avenue of the Americas, New York, N.Y. 10020.

His involvement with the oil industry has been long and notable. Upon leaving S&C he joined the legal staff of Creole Petroleum Corporation, based in New York City and in Venezuela. After three years with Creole, he began his career with Exxon (then Standard Oil Company, New Jersey) as counsel, moving to London in 1965 as Deputy Middle East Representative and becoming Middle East Representative a year later. He returned to New York in 1968 as Assistant General Counsel of Exxon. In 1972, he became General Counsel. He served as Executive Vice President for Esso Europe Inc. in London from 1973 to 1975, when he assumed his present offices.

Born in New York City on August 7, 1927, Mr. Clarke received his B.A. in Political Science in 1949 from Hofstra University, which he now serves as a Trustee. He attended law school at Cornell (LL.B. 1952) and went on to receive his LL.M. in International Law from Harvard in 1953, the year he became associated with S&C.

Mr. Clarke and his wife, Dorothea, live in Greens Farms, Connecticut. Their children are David Dean, Douglas Graeme, and Kathryn Alva.

Mr. Clarke is a Director of Amstar Corporation, and a member of the ABCNY, NYSBA and ABA. Other professional affiliations and memberships are: American Ditchley Foundation (Member of Board and Treasurer); Aspen Institute (Member of Board and Treasurer); Carnegie Corporation of New York (Member of Board of Trustees); Council on Foreign Relations, Inc.; Georgetown Center for Strategic and International

Studies (Member of Advisory Board); National Planning Association (Member of Board of Trustees and Executive Committee; Member of Committee on Changing International Realities, Special Policy Committee on Breeder Reactor and Nuclear Proliferation, and Governance Committee); U.S. Business and Industry Advisory Committee to OECD (Chairman); International BIAC (Vice-chairman); United Nations Association of the United States of America (Member of Board of Directors); U.S. Council of International Chamber of Commerce (Member of Board of Trustees and Executive Committee); Visiting Nurse Service of New York (Member of Board of Directors); YMCA of Greater New York (Member of Board of Trustees).

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311 **ROBERT P. BESHAR** S&C 1953-1954

Mr. Beshar conducts his own law practice at 25 Broad Street, New York, N.Y. 10004.

Born in New York City in 1928, he began his professional career at S&C following graduation from Yale College in 1950 (where he was Scholar of the House), and Yale Law School in 1953. Upon leaving S&C, he became Assistant General Counsel to the Waterfront Commission of New York Harbor. The years 1956 to 1958 were spent as law secretary to the Honorable Charles D. Breitel, Appellate Division, First Department, New York Supreme Court. From 1971 to 1972, Mr. Beshar served as Deputy Assistant Secretary of Commerce, Director of the Bureau of International Commerce and National Export Expansion Coordinator. He opened his present practice in 1972.

Among the highlights of his experiences and accomplishments are "helping to save National Semiconductor Corporation from extinction in 1964; helping define the National Securities Market, and chairing the first U.S.-U.S.S.R. trade discussions in 1972."

Mr. Beshar advises young lawyers: "It is easier as a lawyer to make a handsome living than to make a lasting contribution. Twenty-five years ago I thought it was the other way around."

Mr. Beshar is married to the former Christine von Wedemeyer, a practicing lawyer who is a partner in the firm of Cravath, Swaine & Moore. They have four children: Cornelia, Jacqueline, Frederica, and Peter. The Beshars live in New York City.

Director: National Semiconductor Corporation; National Investor Data Services, Inc. Member: Business Advisory Panel to the National Commission for the Review of Antitrust Laws and Procedures 1978-1979; International, American, New York State Bar Associations; New York County Lawyers Association; Association of the Bar of the City of New York; American Judicature Society; Phi Beta Kappa. Clubs: Gipsy Trail (Carmel, N.Y.); Elizabethan (New Haven). Author: "Current Legal Aspects of Doing Business with Sino-Soviet Nations," 1973. Editor: Manhattan Auto Study, 1973; contributing editor, "Boardroom Reports," 1974-

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312 **JAMES R. COGAN** S&C 1953-1957

Mr. Cogan is a partner of Alexander & Green in New York.

"Like one of the characters in my favorite short story 'Bartleby the Scrivener' by Melville, 'I am one of those unambitious lawyers who never addresses a jury or in any way draws down public applause. . . .' I do trust and estate law where success is measured in longevity and avoidance of other trust and estate lawyers."

Born in Jersey City, New Jersey, Mr. Cogan was graduated from Yale (B.A. 1950) and Columbia University Law School (LL.B. 1953). He married Arrial Seelye on May 14, 1955. "After having two children (Sarah, Yale '78, Georgetown Law School '81, and George, Harvard '80), she went to New York University Law School to my utter amazement. Our third child, Julia, was born while she was an associate at Cravath and she is now a partner of the New York firm of Seward & Kissel."

Mr. Cogan has been on the Surrogate's Court Committees of the City Bar and New York County Lawyers Association and other committees of the American Bar Association and American College of Probate Counsel. He is a director of The Village Nursing Home, American Friends of the Plantin-Moretus Museum in Antwerp, Salmagundi Artists Club and "several venerable Protestant Episcopal charitable corporations." He has been on the board of Grace Church School and a vestryman of the Church of the Ascension in Manhattan. He is a Trustee and Vice President of The Windham Foundation in Grafton, Vermont; Trustee of The Bunbury Company, Inc., and Charlotte Palmer Phillips Foundation, Inc., and President and Director of S. Forest Company, Inc. He is a Knight of the Most Venerable Order of the Hospital of St. John of Jerusalem.

313 **JEROME GOTKIN** S&C 1953-1959

Mr. Gotkin is a senior member of the Boston law firm Widett, Slater & Goldman, P.C.

He was born in Brooklyn on November 5, 1929. He became associated with S&C following graduation from New York University in 1950 and Columbia Law School in 1953. His case assignments as a litigation associate included *FTC v. Crown Zellerbach, Riklis v. SCM Corporation,* and the Dixon-Yates affair.

"In many ways, my S&C years were the most enjoyable six years of my legal career. I still count among my closest friends both present and former S&C personnel." His advice to young practitioners of the law: "It pays — in terms of both professional training and growth — to work for the best, and S&C was and still is the best."

Mr. Gotkin and his wife, Sondra Evelyn, have two children, Michael Owen and Karen Elizabeth. They live in Brookline, Massachusetts.

Member, ABCNY.

314 **GEORGE J. PHOCAS** S&C 1953-1956

George Phocas has been in private international practice since 1974, initially "of counsel" to Casey, Lane & Mittendorf, "now unaligned with any firm." He works chiefly in London and lives in both London and New York.

Mr. Phocas, born in New York in 1927, was raised in Maine. He came to S&C as a general practice associate from the University of Chicago, where he received his A.B. in 1950 and his J.D. in 1953.

Mr. Phocas left Sullivan & Cromwell in 1956 to go to Venezuela as senior counsel to Creole Petroleum, then the largest subsidiary of Standard Oil (New Jersey). After three years in Venezuela, he was transferred to New York in the new position of International Negotiator. "As such, I helped draft the Libyan Petroleum Law, advised on the new oil and gas law in the Netherlands, assisted a German legal commission on its North Sea petroleum boundaries and handled other transactions from Yemen to the Philippines. . ."

In 1963, Mr. Phocas established an office in London for Casey, Lane & Mittendorf as resident partner. In 1973, he began eighteen months as Executive Vice President of Occidental Petroleum, which provided "a rather marvelous opportunity to negotiate various transactions with the Russians, Poles, Rumanians, etc. at a very senior level of government."

He has served as a reserve Army officer in various parachute and Special Forces, retiring in 1971. "I also served in one or two U.S. Government advisory positions in the Middle East during the Kennedy Administration, although I'm nominally a Republican. Having had a lifelong interest in military aviation and national defense, I am currently a Trustee of the Association for Naval Aviation which Admiral Moorer heads."

Mr. Phocas is married to Katrin Gorny, Hamburg 1960, Vassar 1962. They have one son, Alexander George II, who attends Riverdale Country School.

Member, ABCNY.

"My most significant recollection of my career at S&C was what I now know to have been a studied (on his side) discussion with Art Dean, during the first months of my practice there.

"As an ardent student of the classics who had majored in Philosophy, I felt that simplicity in language, clarity of thought and brevity were the elements in writing most necessary for both understanding and elegance.

"Upon being presented with an indenture of mortgage and deed of trust to be updated prior to a bond issue, I elected to excise from these monumental documents all unnecessary wherefores and whereases, whole paragraphs I knew to be redundant, usages of English almost comically arcane, words even Shakespeare would have shunned as too antique in his time.

"I labored mightily on this work. Nights meant nothing — I slept at the office — until

one day a bright, shining and new indenture of mortgage and deed of trust emerged, three pounds lighter and the forerunner of even greater works I would fashion for S&C and the entire Wall Street legal world.

"A telephone call from Art Dean's secretary confirmed my suspicion that I had broken through the S&C reserve, and that this summons would herald a series of congratulations.

" 'George', he said, in that rasping voice, 'I've seen your work. You can be a poet and also a lawyer but not both at the same time.' He took the time to explain to me the value of precedent in these documents and the tacit if not judicial agreement among lawyers on the usages in them. He even thanked me for putting some creative thought into a mundane job.

"I've never forgotten this, and most curiously a very substantial part of my practice has consisted of drafting contracts and documents of all kinds in many parts of the world and even in other languages, where no precedents existed. But ironically, I've used Art's principle to keep the language clean and, I hope, precise, and have lived to see some of these very constructions of mine become precedents in themselves."

315 **MITCHELL BROCK** S&C 1953-

Mitchell Brock joined Sullivan & Cromwell in September 1953 and became a partner on January 1, 1960. During the last months of his three year tour in charge of the firm's Paris office, he was able to observe the historic French general strike and student riots in Paris in 1968. Throughout his career at the firm he was in the general practice group with a particular interest in international transactions, such as foreign joint ventures, Eurodollar offerings and financings by governmental and other foreign issuers in the United States. More recently he has been considerably occupied with Kennecott Copper Corporation's divestiture of Peabody Coal Company, its acquisition of Carborundum, the proxy fight with Curtiss-Wright and related litigation.

A descendant of Quakers who acquired a land grant in Pennsylvania from William Penn, Mitchell was born and received his early schooling in the Philadelphia area. Upon graduation from boarding school in 1945 he enlisted in the United States Navy. The training received in service permitted him to emerge in 1946 with the exalted rating of Electronic Technician's Mate, Third Class, and inspired him to commence his studies at Princeton University with the view of becoming a physicist. Though studies in that area were stimulating, a growing interest in non-measurable values and international affairs led him to major in the Woodrow Wilson School of Public and International Affairs. He graduated from Princeton, cum laude, in 1950, and while waiting to hear the results from the Foreign Service examination, entered law school at the University of Pennsylvania, the law being

something of a tradition on both sides of his family. He found law so much to his taste that he declined the proffered Foreign Service position and continued in law school, where he was a member of the Law Review, to graduate in 1953, summa cum laude.

In the course of his last year in law school Mitchell married Gioia Connell after a courtship that significantly strengthened his life-long interest in trout fishing because of the possibility of visiting her at her family's place along the West Branch of the Neversink River in the Catskills. His continuing association with the Catskills led him to become a member of the Temporary State Commission to Study the Catskills. The Brocks are now building a house of Japanese inspiration in the Catskills as their permanent residence. They have four children — Felicity, age 26, a throwback to the family tradition who has become active in Quaker affairs and is studying at the Earlham School of Religion; Marina, age 23, a Vassar graduate seeking a career in the fashion industry; Hovey, age 20, a junior at Princeton intending to make his career as an artist; and Laura, age 18, a freshman at Vassar, with a growing interest in stage design.

Director and Treasurer, Neversink Association; United States Council of the International Chamber of Commerce.

Director: Adela Investment Company, S.A.; American Foundation for the Blind; Helen Keller Int'l.

Member: ABCNY; NYSBA; ABA (Member, Committee on Federal Regulation of Securities and its Subcommittee on Municipal Securities); Union Internationale des Avocats; American Society of International Law; The Fellows of the ABA.

316 **JAMES F. HALEY** (1926-1969) S&C 1953-1957

James Haley was born in Worcester, Massachusetts on March 14, 1926, and grew up in Cleveland, Ohio. From 1944 to 1946, he served as chief clerk to the Commanding General of the Fifth Air Force. He graduated from Princeton in 1950, having attended the University of Vienna during the summer of 1949.

Mr. Haley entered S&C as an associate in September of 1953, the year he received his LL.B. degree from Harvard Law School.

Following his four years with S&C, where he worked primarily in the tax group, Mr. Haley joined Cluett, Peabody & Co., Inc. in New York. He was Vice President and Secretary of Cluett, Peabody at the time of his death in a drowning accident at Bridgehampton, Long Island on August 24, 1969.

317 **ANN PFOHL KIRBY** S&C 1953-1954

Born in Oak Park, Illinois, Ann Pfohl came to S&C following graduation from the College of New Rochelle (A.B. 1949) and Harvard Law School (LL.B., C.L. 1953).

Regarding her days at S&C, where she was a litigator, there is "no need to reminisce since I am still part of S&C — by marriage!" On October 30, 1954, she married William J. Kirby, then a fellow associate, and now a partner of S&C.

"While way back then I had to wait for 'the partner who interviewed women' — (Pearce Browning) — once hired, I always felt I had an 'equal opportunity'."

Ann Kirby is currently an executive and general counsel of her family's private group of corporations. She is Second Vice President of the National Harvard Law School Association (1978-1980). A Director of the Jacob A. Riis Neighborhood Settlement since 1977, she was elected Treasurer of the Settlement in 1979.

Mr. and Mrs. Kirby have seven children: Mathis Ann (9/8/55); William J.Jr. (5/15/57); Andrew Louis (8/6/58); Brian Pfohl (7/8/60); Peter Stenwall (8/27/62); Paula Celander (6/27/66); and Julia Fischer (6/26/69).

The Kirbys live in Forest Hills, New York.

318 **WILLIAM F. GLEASON III** S&C 1953-1956

Since 1965, Mr. Gleason has been Counsel to the International Division of Bristol-Myers Company in New York City and in Rome; he has been resident abroad for eight of the past thirteen years. The legal problems of the International Division have brought him into contact with the laws of 55 countries of Europe, the Mid-East and Africa.

Prior to joining the Bristol-Myers Company, Mr. Gleason was a partner in the New York City law firm of Lowenstein, Pitcher, Hotchkiss & Parr (1962-1965). He was previously associated with Hall, Haywood, Patterson & Taylor in New York (1960-1962) and before that was an instructor in the philosophy of law and government at St. John's College in Maryland (1956-1959). His practice at S&C was in general corporate matters, particularly securities law and banking problems.

Mr. Gleason was born on October 2, 1926. He graduated from Harvard College (B.A. 1950) and Harvard Law School (LL.B. 1953); he also has degrees from the University of Chicago (A.B. 1947) and from St. John's College (M.A. 1959).

Mr. Gleason has four grown children; a fifth is still in high school.

Member, ABCNY.

319 **HENRY POLLARD** S&C 1954; 1956-61

"I joined Kaplan, Livingston, Goodwin, Berkowitz & Selvin (in Beverly Hills, California) as an associate in November 1961 and became a partner shortly thereafter. The firm consisted of 12 lawyers at the time I joined it, and handled primarily business litigation matters and matters relating to the motion picture industry. I was hired as the nucleus of a Corporate Department to be formed. The firm now consists of 71 lawyers, and the Corporate Department which I head includes 13 lawyers and several others who split their time between my department and other departments. I am a member of the firm's Management Committee.

"The work of our Corporate Department consists largely of the types of matters I worked on at S&C, i.e., public and private offerings, purchases and sales of businesses, representing lenders and borrowers, etc.

"My wife, Adele, and I have been married 23 years, and we have a son in college and a daughter in high school. I occasionally lecture at USC Law School, and am a member of the faculty of the UCLA Extension.

"The following observations may be of some interest to younger lawyers. My career-long attempt to be an excellent and successful lawyer has been very demanding, and has taken its toll with respect to my non-professional life. I realize that this may have been due in part to my own personality and upbringing. However, the practice of law, involving as it does the necessity of responding to time and other pressures imposed by other people (i.e., clients and colleagues), creates a particular risk of undue impingement on one's personal life. Accordingly, while the law may be a jealous mistress who requires attention, one's family requires and deserves much more attention."

Mr. Pollard was born in New York City on January 10, 1931. He received his B.A. from City College in 1953, and his LL.B. from Columbia in 1954. He was a general practice associate while with S&C.

"My six years at S&C contributed more to my professional development than any other experience I have had, including law school. More important than the engagements I worked on were the *people* at S&C I worked with. There is no question that whatever technical and other lawyer skills I may now have are attributable in large part to my having had the opportunity to work with people such as Bob McDonald, Pearce Browning, Curtis Pierce, Bob McDowell, Dick Powell, Henry Ess, Stu Thayer and others."

Member: Beverly Hills, Los Angeles County and American Bar Association.

320 **JOHN N. LEDBETTER III** S&C 1954-1958

Mr. Ledbetter is President of the American Leasing Corporation of Dover, Delaware. He lives in Village of Golf, Florida.

Born in New York in 1927, he received his B.S. degree from Yale in 1950, and his LL.B. from Columbia in 1954. He practiced in the tax group during his association with S&C.

321 **EDWARD C. STEBBINS, JR.** S&C 1954-55, 1958-64

As Vice President and Director of Operations Review with Freeport Minerals Co., Edward Stebbins finds himself "completely adrift from the law, in general corporate management, consorting more with M.B.A.'s than LL.B.'s."

Born in Niagara Falls, New York, he is a graduate of Williams College and Harvard Law School. He practiced litigation during his years with S&C.

Mr. Stebbins and his wife, Bernadine, who were married in 1954, live in New Canaan, Connecticut. They have three children: Victoria, 23, who lives in Boston; Charles, 21, finishing up at Yale; and Robert, 19, a student at Duke University.

Member, ABCNY.

322 **RAYMOND S. TROUBH** S&C 1954-1958

Raymond Troubh is an independent financial consultant, with offices at Ten Rockefeller Plaza, New York, New York 10020.

Born on May 3, 1926 at Portland, Maine, Mr. Troubh was educated at Bowdoin College (A.B. 1949) and Yale Law School (LL.B. 1952). Between his graduation from law school and his arrival at S&C, he served successively as law clerk to Chief Judge Thomas W. Swan on the Second Circuit and Justice Harold H. Burton of the U.S. Supreme Court.

Mr. Troubh left S&C's general practice group to become Secretary and Treasurer of the Lazard Fund. He was an associate of Lazard Freres & Co. from 1961 until 1968, when he became a General Partner, his last position before striking out on his own in 1974.

Mr. Troubh has lectured extensively on mergers, acquisitions and tender offers in such forums as Yale University, Wharton School of Finance and New York University Law School seminars, and financial and legal seminars sponsored by the ABA, American Management Association, Harvard Business Club of New York City, and New York Law Journal. He is the author of "Purchased Affection: A Primer on Cash Tender Offers," *Harvard Business Review* July-August 1976.

Mr. Troubh married Jean Loeb on May 28, 1971. They live in New York City. He has two children from a previous marriage.

Director: Alaska Interstate Company; Becton, Dickinson and Company; NFC Corporation (Chairman, Executive Committee); Warner Communications, Inc.; American Stock Exchange (Public Governor); Bowdoin College (Overseer); Legal Aid Society; Legal Action Center; Cooperative Assistance Fund.

323 **FREDERICK BLACKWELL** S&C 1954-1955

Fred Blackwell is in private practice at 4 Professional Drive, Suite 148, Gaithersburg, Maryland 20760.

Born in Gastonia, North Carolina on March 8, 1925, and raised in Greenville and Columbia, South Carolina, Mr. Blackwell was educated at the University of South Carolina (LL.B. 1954). He served in the Marine Corps from 1943 to 1946.

He initially left S&C for the Columbia, South Carolina law firm of Cooper & Gary. Later, he moved to Washington, D.C. to serve as a professional staff member for the Senate Committee on Labor and Public Welfare, and in 1968 became Chief Counsel to that Committee's Subcommittee on Labor. He established his own practice in Washington, D.C. before moving to Gaithersburg.

324 **JOHN W. DICKEY** S&C 1954-

John Wallis Dickey has been a partner in S&C's litigation group since 1963. Born in Springfield, Missouri in 1927, he was educated at the University of Missouri (A.B. 1950) and Oxford University (B.A., M.A. 1952), where he read law as a Rhodes Scholar. He attended Harvard Law School (LL.B. 1954). He served in the Army from 1946 to 1948.

Mr. Dickey and his wife, Emilie (Brace Kiekhofer), live in New York. Their children are Elizabeth Blaine (b. 2/14/66) and Charles Wallis (b. 8/3/69). Mr. Dickey also has a son, Stephen John (b. 10/16/55).

Member, ABCNY; NYSBA; ABA; American College of Trial Lawyers (Fellow).

325 **LAWRENCE C. McQUADE** S&C 1954-1961

Mr. McQuade is a Senior Vice President and Director of W. R. Grace & Co. He is also Group Executive of the Corporate Administration Group at Grace.

Born in Yonkers, New York in 1927, Mr. McQuade graduated from Yale (B.A., cum laude 1950) and attended Oxford University as a Rhodes Scholar (B.A., M.A. 1952). At Yale, he was elected to Phi Beta

Kappa. He joined S&C as an associate in the general practice group in 1954, after receiving his LL.B. (cum laude) from Harvard Law School.

Of his S&C experience, Mr. McQuade writes: "I enjoyed most working on the LAMCO financing because it brought together Swedes and Americans, using a Canadian holding company, to undertake an iron ore mining venture in Liberia with the output being sold, among other places, in Germany. I negotiated the geology section of the prospectus with the SEC and drafted the subordinated debenture, etc.

"I also enjoyed working with Arthur Dean on many of his articles on international issues. I got my start helping out when Jack Stevenson was out of town and Arthur was putting together the then famous 'two Chinas' article for *Foreign Affairs* magazine.

Evenings at Massoletti's with John Dooling as the resident philosopher stimulating us young associates gave me intellectual food to supplement my other goal — a free meal at a time when my salary was $4,200/year."

Mr. McQuade left S&C to become Assistant to the Assistant Secretary of Defense in International Security Affairs. In 1963, he joined the U.S. Department of Commerce as Deputy Assistant Secretary for Financial Policy. From 1967 to 1969, he was Assistant Secretary of Commerce for Domestic and International Business.

In 1969, Mr. McQuade joined Procon Incorporated as President. He became President and Chief Executive Officer in 1971, and Vice President of UOP Inc., the parent company, in 1972. He joined W. R. Grace & Co. in 1975 as Vice President, and has held his present positions with Grace since 1978. He is also a Director of Chemed Corporation.

Mr. McQuade lives in New York, and has a 4-year-old son, Andrew Parker McQuade. His first wife, de Rosset Morrissey McQuade, known as Cissy, died in October 1978. She worked for TIME Inc. for thirteen years, and was a White House correspondent for LIFE at the time of their marriage in August 1968.

In 1980, Mr. McQuade married Margaret Osmer. After 17 years in the television news, during which she was a producer for *60 Minutes*, UN correspondent for CBS and co-anchor for the news on ABC's *Good Morning America*, she joined the Council on Foreign Relations in 1979 as Director of Programs.

Mr. McQuade offers the following advice to young lawyers: "The law is a wonderful foundation for a life of multiple careers, but for your own sake *practice* law for several years after you get out of law school before moving on to other occupations. You're not really a lawyer and won't really have understood it without doing so."

Member: Atlantic Council of the United States (Director and Chairman of Trade Committee); Council on Foreign Relations (New York); Foreign Bondholder's Protective Council (Director); International House (Trustee); International Management and Development Institute (Director); National Foreign Trade Council (Director); Overseas Development Council (Director and Member of Executive Committee).

326 **GEORGE De GENARO** S&C 1954-1960

George De Genaro is a partner of Shea & Gould, 330 Madison Avenue, New York, N. Y. 10017. Chiefly engaged in SEC and corporate matters, he "represented Security National Bank in connection with the sale of its assets to Chemical Bank, and liquidated SNB, in the first bank liquidation of this type, by distributing its assets (cash) to its stockholders."

Mr. De Genaro was born in New Haven, Connecticut, on November 7, 1924. He graduated cum laude in 1951 from Wesleyan University, having served in World War II from 1942 to 1947 as a U.S. Naval aviator. He received his LL.B. from Yale in 1954 and entered S&C that September, where he worked in the estates and personal, real estate, and banking groups. He particularly recalls his work on the Estate of Bela Bartok, his involvement in the Lincoln Center Urban Renewal matter, and "closing Zeckendorf transactions at midnight on December 31, working with Pearce Browning and Curtis Pierce."

Mr. De Genaro is a Director of Staff Builders, Inc. A member of the City Bar Association, he was on its Banking Law Committee from 1975 to 1978.

He lives on Palmer Island, Old Greenwich, Connecticut, with his wife, the former Mary Jane (Lloyd) Bloomer. He has three children from his previous marriage.

327 **WILLIAM W. CRAWFORD** S&C 1954-1958

William Crawford lives in Chicago, Illinois, where he is Senior Vice President and General Counsel of Dart & Kraft, Inc.

A native of Mobile, Alabama born on October 7, 1927, Mr. Crawford served in the U.S. Army in Seoul, Korea (September 1946 to January 1948) before entering Georgetown University, from which he received his B.S. degree in 1950. He came to S&C following graduation from Harvard Law School in 1954. Among the cases he worked on as a litigator in the office was the *Dulles v. Johnson* matter.

Member, ABCNY; ABA.

328 **JAMES M. CARTER** S&C 1955

Mr. Carter is tax counsel of ICI Americas Inc. in Wilmington, Delaware. Born in Tampa, Florida, on November 3, 1927, he received both his undergraduate and law degrees from the University of Virginia. He served in the U.S. Air Force from 1946 to 1949.

Positions Mr. Carter has held since leaving S&C include: trial attorney with the Internal Revenue Service (1960-1964); associate with the Pittsburgh law firm Rose, Houston, Cooper & Schmidt (1965-1967), and associate of a Philadelphia firm, Goodis, Greenfield, Narin & Mann (1967-1969).

Mr. Carter is married and has two children. He lives in Cochranville, Pennsylvania.

Other professional activities are: Pennsylvania Civil Liberties Union (Secretary, 1967-1969); Member, Lawyers' Constitutional Defense Committee, 1966 (Louisiana); Founder, Farmworkers' Civil Liberties Project, Kennett Square, Pennsylvania (1977); Advisory Council, United States Civil Rights Commission (1977-1980); Board of Directors, Delaware Civil Liberties Union (1980).

329 **DAVID L. RATNER** S&C 1955-1964

David L. Ratner is a Professor of Law at Cornell Law School. He began his teaching career at Cornell in 1964 and became a full Professor in 1968.

At the same time, he has served in a number of other capacities: as Executive Assistant to the Chairman of the Securities & Exchange Commission (1966-1968); as Chief Counsel to the U.S. Senate Banking Committee on its Securities Industry Study (1971-1972); as a Visiting Professor at Arizona State (Spring, 1974) and Stanford (Fall, 1974); as the Planning Chairman and Director for Post-Graduate Courses in Federal Securities Law of the ALI-ABA Joint Committee on Continuing Legal Education (1973-1974), and as a consultant to the U.S. Treasury Department and U.S. Department of Housing and Urban Development.

Born on September 2, 1931, in London, England, Professor Ratner attended both Harvard College (A.B., magna cum laude, 1952, Phi Beta Kappa) and Harvard Law School (LL.B., magna cum laude, 1955, Article Editor of *Harvard Law Review*). While at S&C, he worked in the general practice group.

Professor Ratner married Charlotte Fickett in 1957. They have three children, Nicholas Harvey (born in 1960), Charles Edward (born in 1962), and Anthony David (born in 1964).

330 **DONALD GORDON BLACK** S&C 1955-1957

After a varied career of law and industry (of which more below) Mr. Black now practices in the firm of Black and Dietrick in Walnut Creek, California. He resides with his family (of which more below) at 710 Montecillo Road, San Rafael, California 94901.

Mr. Black prepared this biographical sketch "in honor of the one hundredth anniversary of the founding of the law firm of Sullivan & Cromwell in April 1879." The Editors have dubbed it *"The Ballad of Black"*:

Bad Axe, Michigan, was its name,
A small town, little known to fame.
The year was Nineteen Twenty-Eight,
The Sixth of March, the fateful date.

To Myrtle Foster Black, great joy,
T'was Donald G., her first, a boy!
Proud father from his pulpit smiled,
E. Gordon Black beheld his child.

In Thirty, Presbytery's call,
The family led to Flint that fall.
The great depression stalked the land,
God held us safe within his hand.

The clan with Don had just begun,
A brother, Ron, in thirty-one.
A sister, Marilyn, soon arrived,
In Nineteen Hundred Thirty-Five.

Don's boyhood years — sweet reverie,
Through public schools — grades mostly B.
In Forty-Five, as war years past,
From high school, Don was "sprung" at last.

Next, college bound, a ship of fools?
The age-old groping for life's rules.
At last, B.S. in Forty-Nine,
(Don's major clearly wasn't rhyme!)

For Donald G., then came grad school,
Research Assistant at Chi U.
Korea's guns in Fifties' days,
Disturbed the peaceful summer haze.

The Navy beckoned, Donald heard,
(Spurred on by Draft Board's frightening word!)
At last 'twas home in Fifty-Two,
With Sixth Fleet duties he was through.

Ole' Lady Luck took him in tow,
And to Ann Arbor bade him go.
In Fifty-Five, his law degree,
Prepared at last for S&C!

But wait — his greatest day
we've passed —
His dogged pursuit — reward at last!
Fair Catherine, his bride, became,
In Fifty-Four's sweet summer wane.

Hardly a man is still alive
Recalls the fall of Fifty-Five
When to New York came Donald G.,
The greenest horn at S&C.

Illustrious bastion of the law,
The names which still hold me in awe,
The Dulles brothers, Arthur Dean,
Foshay, Piel, Seligman and Green.

And then among the peers I knew,
Tomorrow's giants were in that crew.
Clarke, Ivey, Willis, Erickson,
To name a few, I've just begun.

If in these lines your name's not seen,
That you're forgot it does not mean;
It's only that in space and time,
This poor 'ole bean can't find the rhyme.

The work exact, the pace full bore,
John Dooling standing by with more.
Roy Steyer's World Cartel Case,
Which led us all a merry chase.

And then the White House, Washington,
Where Tracey Voorhess had begun
To aid escaping refugees
From Hungary — Help sorrows ease.

To S&C t'was back at last,
White House's stimulation past.
Then in the Spring of Fifty-Seven,
The Blacks decided West was heav'n.

Left S&C with fond regret,
Shorter commute — might be there yet!
One's first law firm's somewhat akin
To one's first love and though the din
Of life may each one supersede,
Yet each one fills a special need.
Superseded, yes, perhaps,
But not forgotten while life lasts.

To San Francisco, by the Bay
Catherine and Donald made their way.
So at Pillsbury, Madison,
And Sutro, Don had soon begun.

And there he stayed till Sixty-Three.
When came the call of industry.
Across the bay to Oakland's shore,
To Kaiser's waiting corporate door.

The Law Department first, in stride,
In Sixty-Eight, the business side.
Around the world (I had a ball!).
Joint ventures international.

Along the way the children came,
God's greatest blessing in this game.
C. Gordon, first, in Fifty-Nine,
With Kirsten Jane, a year behind.

T'was Sixty-Five when finally,
Sweet William rounded out our three.
The children grew and full of hope
We somehow learned with them to cope.

In Seventy-Five, fled Kaiser's nest,
To do the things we loved the best.
Our own law partnership to seek,
Don's shingle's now in Walnut Creek.

And farming too, is now our game,
Although t'will never bring us fame.
We find great joy — great peace of mind,
With God's good earth — forever kind.

A glass to thee fair S&C,
Held now in distant reverie,
To those of you who've made her great,
To those, like me, within her gate
But few brief moments in her years,
A toast — join each with all his peers —
"One hundred years behind thee stand,
May hundreds more be thy life span!"

331 **GORDON ERICKSON** S&C 1955-1961

Gordon R. Erickson is Director, General Counsel and Secretary of General Housewares Corp., Stamford, Connecticut and practices law in Stamford and New Canaan, Connecticut.

Born in St. Cloud, Minnesota on July 14, 1928, Mr. Erickson attended St. Cloud State College and, after serving in the U.S. Army from January 1951 to May 1952, went on to receive his B.S.L. (1953) and LL.B. (1955) degrees from the University of Minnesota. He entered S&C upon graduation from law school and practiced in the litigation and tax groups. Particularly memorable was "the tax refund case for Merck which was at that time the largest in history." His advice to students and young practitioners: "Join an excellent firm when you begin your practice. Sullivan & Cromwell can't be beat. I will always remember my associations with an excellent group of lawyers; particularly Bill Piel, John Dooling, Inzer Wyatt, Norris Darrell, Bill Ziegler, and Arthur Dean."

Mr. Erickson initially left S&C to join the Stamford firm of Cummings & Lockwood, of which he was a member until joining General Housewares in January 1973.

"Happily married since 1953," Mr. Erickson has three children: "Cynthia attending Purdue, Keith attending University of Tulsa, Gordon, Jr. attending University of Wisconsin."

Mr. Erickson is a Director of the following corporations, several of which he assisted in "getting off the ground": General Housewares Corp., Bolt Associates, Inc., Metraplex Corporation, Dataline Corporation, Creative Services International, Inc., and The J.R. Clark Company. In addition, he is a member of the Executive Committee of the Section on Corporations and other Business Organizations of the Connecticut Bar Association and he is an arbitrator for the American Arbitration Association.

332 **WILLIAM J. IVEY** S&C 1955-

Mr. Ivey joined the office in 1955 after graduating from Yale (B.A. 1952) and Harvard Law School (LL.B. 1955).

He has practiced in the general practice group, concentrating on securities work, and has also had internal administrative responsibilities in the firm, including the chairmanship of the committee which planned the new office at 125 Broad Street.

Mr. Ivey became a partner in the firm on January 1, 1963, ten days after his marriage to Ellen Perkins. Mr. and Mrs. Ivey have two sons, Frederick (b. 1964) and Edward (b. 1965) and two daughters, Laura (b. 1968) and Alison (b. 1969). They live in Short Hills, N.J.

"I have been privileged to be associated with many outstanding lawyers at S&C. John Raben in particular was extraordinary as a lawyer, teacher and inspirational leader. I am proud to have been one of the generation of young lawyers whose careers were so greatly influenced by his example."

Born August 8, 1930, DeLand, Florida; brought up in Washington, D.C.

Involved in many matters relating to regulation of the securities industry; one of the original members of the Committee on Securities Regulation of the Association of the Bar of the City of New York upon its establishment in 1962. In addition to ABCNY, also a member of NYCLA, NYSBA, and ABA.

Former member, Board of Education of the Township of Millburn, N.J.; former trustee, St. Barnabas Medical Center, Livingston, N.J.

Active in Yale alumni affairs; former class officer and present class representative to Association of Yale Alumni.

333 **FREDERICK C. SEIBOLD, JR.** S&C 1956-1965

Frederick C. Seibold is a Financial Officer with Mobil Corporation.

Born in Madison, Wisconsin on May 5, 1927, he attended the University of Wisconsin where he received his B.A. in 1949 and his LL.B. in 1956. He also attended Harvard University from which he received his

M.A. in Regional Studies of the Soviet area, concentrating on Soviet Law.

Mr. Seibold joined Sullivan & Cromwell in 1956 as an associate in the general practice group and remained with the firm until 1965. Among the corporate transactions he worked on were the first publicly issued ADR's for a Japanese company, SONY Corporation, and the first publicly issued convertible debentures for another Japanese company, Shin Mitsubishi. "Some will remember that Hitachi closed a private placement of convertible debentures a few days before the Mitsubishi issue became effective with the SEC, claiming a first but failing to give credit for indispensable help they got from our spadework and documents."

"The unique attribute of S&C is the uniformly high quality of all the partners and associates at the firm. In that regard, mention should be made of the debt I owe to the late John Raben, Esq., who spent many hours, often late at night, teaching me drafting and the law I didn't learn in law school."

Mr. Seibold is married to Eleanor Stoddard; they have two children, Mark and Emilia, and live in New York City.

Member, Wisconsin and American Bar Associations.

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334 **STUART W. THAYER** S&C 1956-

Mr. Thayer was born in Charleston, West Virginia, on May 4, 1926, and grew up in that city. After attending public schools there, he attended Princeton University, from which he graduated summa cum laude in 1947. He served in the U.S. Navy from 1944 to 1946.

In 1947-48 Mr. Thayer worked in several government agencies in Washington, D.C. as an intern with the National Institute of Public Affairs.

In 1948 Mr. Thayer entered Yale Law School, from which he graduated cum laude in 1951. He was the Editor-in-Chief of the Yale Law Journal.

From 1951 to 1952 Mr. Thayer served as a law clerk to Justice Tom C. Clark of the U.S. Supreme Court. From 1952 to 1954 he was an associate with Covington & Burling in Washington, D.C., and from 1954 to 1956 he was an associate with Spilman, Thomas, Battle & Klostermeyer in Charleston, West Virginia.

In 1956 Mr. Thayer saw the light and moved to New York City, where he became an associate with Sullivan & Cromwell. He became a partner in the firm on January 1, 1960. Throughout his stay at Sullivan & Cromwell he has been a member of the general practice group.

Mr. Thayer (together with Don Osborn) opened the firm's mid-

town office in June 1971. In October 1972 Mr. Thayer moved to London to open the firm's London office. He was the partner in charge of the London office until September 1976, when he returned to New York City.

Mr. Thayer married Ann Hart on July 1, 1949. They have five children: Janet (b. 5/2/54), a graduate of Franconia College, now employed by the New York City Human Resources Administration; Mark (b. 5/14/57), now a student at Niagara County Community College, Niagara Falls, N.Y.; David (b. 8/22/60), now a student at Bennington College, Bennington, Vt.; Lucy (b. 12/13/63), now a student at Chapel Hill-Chauncy Hall School, Waltham, Mass.; and Peter (b. 1/16/67), now a student at Saint David's School, New York City. Mr. and Mrs. Thayer live in New York City.

Admitted to bar in New York, District of Columbia and West Virginia. Member: ABCNY; NYSBA; ABA; W.Va. State Bar Association.

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335 **JACKSON B. GILBERT** S&C 1956-57; 1959-65

Jackson B. Gilbert is Senior Vice President and Head of the Latin American and Caribbean area, Morgan Guaranty Trust Company of New York, 23 Wall Street, New York, New York 10015.

He was born in Houston, Texas on September 13, 1932, and attended the University of Virginia, where he received his B.A. in 1953 and his LL.B. in 1956. That year, he entered S&C as an associate in the tax group. Mr. Gilbert left the firm temporarily in January 1957 to serve with the Judge Advocate Branch of the U.S. Air Force in Madrid, Spain, returning in December 1959.

In 1965 he left S&C again, this time for Adela Investment Company, S.A. of Lima, Peru as Vice President and Counsel.

Mr. Gilbert joined the Morgan bank in 1967, serving as the Representative in the Iberian Peninsula and thereafter in New York. From 1973 to 1976, he lived in Italy, where he was Managing Director and General Manager of Banca Vonwiller in Milan. In recognition of his service to the Italian Republic during that period, the President of Italy conferred on him, on July 26, 1977, the honorary title "Commendatore" (Knight Commander) in the Order of Merit of the Italian Republic.

Mr. Gilbert is married to Maria M. Diez de Gilbert. They have two daughters, Victoria Elizabeth and Maria Allegra.

Member: Virginia State Bar; New York State Bar; ABCNY; Council on Foreign Relations, New York; Trustee, Council of the Americas, New York. Director, Banco de Desarello Español, Madrid; Director, Finalvén, Caracas.

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336 **P. GRIFFITH GARLAND** S&C 1956-1958

Still a practicing Brazilian attorney, Paul Griffith Garland is a partner of the law firms Gouvea Vieira in Rio de Janeiro, and Franca Ribeiro, Garland e Almeida in São Paulo. He also has an office in New York and a house in Scarsdale. He is the author of four books (on Brazilian economics, finance and law) and recipient of the Order of the Southern Cross from the Brazilian government.

Dr. Garland was born in Moscow, Idaho in 1930. He graduated from Yale College (A.B. 1952) and Harvard Law School (LL.B. 1956). He spent the academic year 1954-1955 in Brazil, studying in the Faculty of Law of the University of São Paulo. Directly from law school, he came to S&C, where he worked on corporate matters with an emphasis on Latin American practice.

Dr. Garland is married to the former Joan M. Bruder. "We had four children. Bonnie, born while I was with S&C, was murdered in 1977 and the crime and its aftermath has made us very interested in victims' rights, a grossly neglected area."

Director, Brazilian American Chamber of Commerce; Lecturer on Brazil (Johns Hopkins, American Management Association, etc.)

Member, Order of Attorneys of Brazil; Association of Attorneys of São Paulo; New York, Florida, California and Illinois bar associations.

337 **DONALD F. FRENCH** S&C 1956-1961

Donald French is a partner in the firm of Cole & Deitz, 40 Wall Street, New York, N.Y. 10005.

Born in Milwaukee, Wisconsin on October 12, 1929, Mr. French attended Harvard University, from which he received his A.B. in 1951 and his LL.B. in 1954. After two years in the Army, he became associated with S&C working in the general practice group. He left the firm in 1961 to join the New York firm of Ehrich, Stock, Valicenti, Leighton & Holland. Among other legal accomplishments, he succeeded in "securing from the SEC the exemption now contained in Rule 22d-2 under the Investment Company Act of 1940."

Mr. French is married to the former Joyce Norton, who is head of the Department of Teacher Education at Manhattanville College. The Frenches have two children, Susan and Richard, and live in Old Greenwich, Connecticut.

Member, NYSBA.

338 **HENRY J. STEINER** S&C 1956-57; 1958-62

Henry Steiner is a Professor of Law at Harvard Law School.

He was born in 1930 in Mt. Vernon, New York, and lived there and in New York City until 1947. He attended Harvard College, from which he received his B.A. magna cum laude in 1951. His field of concentration was modern European history and literature. He then enrolled in the combined program at Harvard's Law School and the Graduate School of Arts and Sciences which led to receipt of his M.A. in International Affairs and his LL.B. (magna cum laude) in 1955. He served on the *Law Review* for two years, and was developments editor during his third year of Law School. The following year, as a Sheldon Travelling Fellow, he travelled in Southeastern Europe and the Middle East. He also assisted in a French law office and attended German court proceedings to study civil procedure.

Professor Steiner became associated with S&C upon his return to the United States, leaving the following year to go to Washington as clerk to Mr. Justice Harlan of the Supreme Court of the United States. He rejoined the firm in 1958, and stayed for three and one-half more years. His work at S&C primarily involved international investment transactions and foreign operations of United States companies, including securities issued by foreign governments or companies and underwritten in the United States. He worked "principally with Jack Stevenson and also a good amount with Gar Horn." He "particularly enjoyed transactions, and related trips, involving France, Sweden, Denmark, Mexico and Japan."

He left the firm permanently in May of 1962, spent that summer as a consultant to the Agency for International Development in the Department of State in Washington, and joined the Harvard faculty in September 1962 as Assistant Professor of Law. Today, as a full Professor, his interests are "taking ever more general — theoretical, conceptual, historical — directions." He has expanded his curriculum to include courses in the "international field, in common law (torts), and in jurisprudential and social-theoretical aspects of law."

Professor Steiner, a bachelor, has been a Visiting Professor at Stanford and Yale Law Schools. He has taught summer courses in Mexico and France, and spent 18 months in Brazil as a consultant and teacher in a project supported by the Ford Foundation and A.I.D. looking towards the reform of legal education. He continues to write dominantly in the international field, through coursebooks and articles in legal and political journals. A project he is working on currently explores the structure of argument within international law. He is also engaged in a

long-run project seeking to relate common law change to changes in political and social structures and thought. His advice to students and young practitioners of the law: "Follow your deep instincts about self and career, come what may."

Member, ABCNY.

339 **ROBERT E. MITCHELL** S&C 1956-1963

Bob Mitchell is Assistant General Counsel of J. P. Stevens & Co., Inc., Stevens Towers, 1185 Avenue of the Americas, New York, N.Y. 10036.

Born in Port Washington, New York in 1929, he was graduated from the University of Michigan (B.S. 1952), where he was treasurer of the student body of the College of Engineering. At Georgetown Law School (J.D. 1956) he was an Editor of the Georgetown Law Review. He served on active duty in the U.S. Navy from 1952 to 1955.

At S&C, Mr. Mitchell worked in estates, real estate, banking and on general corporate matters. He particularly recalls his work on the construction of The Interchurch Center and the purchase of 250 Park Avenue by The Marine Midland Trust Company.

"I look back with fond memories on the monthly luncheon meetings of the estates group at the Down Town Association which were presided over initially by Pearce Browning and subsequently by Curtis Pierce. I found the interchange of ideas and information invaluable."

Mr. Mitchell left S&C in January 1963 to join Lambert & Co., a Wall Street venture capital company, as Vice President, Secretary and General Counsel. From 1965 to 1980, he was a partner in his own law firm, Campbell & Mitchell (and predecessor firms).

Mr. Mitchell's primary outside interest is offshore yacht racing and cruising. He was a navigator in the 1969 Marblehead-Halifax Race, and the Bermuda Races of 1970, 1972, 1974 and 1976. He has edited books on boats and sailing published by Stein & Day, Inc., Publishers, of which he is a Director.

He married Anne Nordquist in 1957. They have three children: Anne, born in 1958, Maura, born in 1962, and Michael, born in 1963. The Mitchells live in Sands Point, Long Island.

Village Justice, Village of Sands Point. Village Attorney, Village of Baxter Estates. Asst. Scoutmaster, Troop 1001, Port Washington, New York. Member NYCLA, NYSBA, Bar Association of Nassau County. Clubs: Manhasset Bay Yacht Club, Commodore, 1972 & 1973; Frostbite Yacht Club, Commodore, 1972; The Storm Trysail Club.

340 **HAMILTON F. POTTER, JR.** S&C 1956-

"When interviewing I was so charmed by George Sharp and challenged by the lean, slightly raffish elegance of John Dooling and the deft sophistication of Ward Foshay that, when asked to join the office, I said I needed a week to think it over. I thought too ready a display of enthusiasm might lead to revocation of the offer.

"While buffeted in my first year by the then-famous *Waldron* case in which Art Dean, Roy Steyer and I defended Standard Oil Company (New Jersey) — now Exxon — against a food broker's claim to be the principal victim of an international conspiracy to boycott Iranian crude oil, I was pleased to meet and start friendships with such lawyers outside the office as Milton Pollack, Si Rifkind and George Leisure.

"Other early matters included: negotiating a settlement in Stuttgart of a wrongful discharge case for a former member of the German General Staff, a client of Art Dean; a trade-secret-theft case opposing the former head of the U.S. Attorney's Criminal Division: a battle of injunctions in two courthouses (one in New York, the other in Puerto Rico), with John Dooling in charge, arising out of the misconstruction of an oil refinery along unexpectedly experimental lines.

"In 1961 I joined the Banking and Estates Group and will always be indebted to Chester Dale's bequest of French impressionist paintings to the National Gallery for reviving my school-day interest in the arts.

"Nevertheless, I found myself giving commercial matters increasing priority; I enjoyed the tidy world of unsecured term loan agreements, transactions that could (sometimes) begin and end in a single day, that were building blocks for sprawling, more innovative and complicated tasks such as the engineering of financings of a company pioneering in the containerization of freight in which ships with 'flags of convenience', and charters and the containers themselves all served as security.

"Bank acquisitions were increasingly attacked in the courts. Economic briefs setting forth an advocate's presentation of the 'good' and 'bad' facts in sufficient detail to be of manifest use as trial briefs should litigation arise — now *the* way to prepare applications — obtained regulators' approvals and Department of Justice acquiescence for acquisitions others thought 'impossible'. Offshore borrowings and investments based on high hopes (which led to major disappointments) were accompanied by work-outs and reorganizations, a bankruptcy practice including a textile mill, an arms manufacturer, a member of REITs and a recent election as stand-by Trustee in Bankruptcy of The Chase Manhattan Mortgage and Realty Trust.

"A small 'Belgian-owned' client became European American Bank, which led to representation in its takeover of the Franklin National Bank's branch system coming out of the biggest bank failure on record, the anticipation of which had unsettled the financial communities around the world and more recently, with Philip Graham, Jr., and Lista Cannon in the front lines, the defense of the bank's position vis-a-vis other lenders respecting uncollectible loans it had originated.

"The person who first suggested I 'talk' to Sullivan & Cromwell said whenever one Sullivan & Cromwell lawyer introduced another, he could see a special pride in their relationship, something you could almost touch. I find this shared, affectionate respect continues and still enhances the stimulation and excitement of our professional lives."

Born: Brooklyn, New York, December 21, 1928. Hometown: Smithtown Branch, Long Island, N.Y. Children: Virginia Patterson (10/22/54); Hamilton Fish III (7/2/56); Robert Burnside (5/18/59); Carolyn Seccomb (8/28/63-1/6/66); Elizabeth Stuyvesant (3/23/68).

Harvard College B.A. 1950, Economics; Harvard Law School LL.B. 1956 (Secretary, Harvard Voluntary Defenders).

United States Navy 1950-1953, Ensign, Ltg. USN, Mediterranean and Far Eastern theatres.

Joined Sullivan & Cromwell 9/4/56. Partner since 1965.

Member, ABCNY since 1960 (Arbitration Committee 1963-1966; Committee on Copyright Unfair Competition 1961-1964; Banking Committee [Member 1968-1974; Chairman 1972-1974]).

Member, NYSBA since 1962 (Banking Law Committee [Member 1964 - present; Chairman 1974-1977]; Banking, Corporation, Business Law Section [Chairman 1977-1978; Executive Committee Member 1974 - present]; House of Delegates 1978 - present).

Member, ABA since 1971 (Banking Law Committee [Vice Chairman 1971-1974; Chairman 1975-1980]; Stock Certificates Committee [Member 1971-present; Vice Chairman 1973-1979]).

Member 3-4-8 Committee, American Law Institute 1975-present.

Chairman and speaker, various programs on bank holding company legislation, bank acquisitions, secured transactions and bankruptcy proceedings.

Member, Board of Correction City of New York 1963-1971; Director, Berkshire Farm for Boys 1962-1973; Trustee, Episcopal School of N.Y.C. 1969-1976; Trustee, Chapin School, Ltd. 1975-present.

Director, European-American Bank & Trust Company; European-American Banking Corporation; European-American Bancorp.

Past Warden, Church of the Resurrection (Episcopalian); Vestry, All Saints Episcopal Church.

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341 **STEPHEN R. KAYE** S&C 1956-1963

Mr. Kaye is a Managing Partner of Proskauer Rose Goetz & Mendelsohn, 300 Park Avenue, New York, N.Y. 10022.

Born in Nyack, New York, he was graduated from Cornell University in 1952, and Cornell University Law School, with distinction, in 1956. He was Managing Editor of the Cornell Law Quarterly in 1955-1956, and was elected to membership in the Order of the Coif and Phi Kappa Phi.

Mr. Kaye practiced in the litigation group during his association with S&C, and has remained a litigator. Most recently he was chief trial counsel for SCM in *SCM v. Xerox*, a landmark antitrust case resulting in jury damage awards of $37 million subsequently set aside by the district court on post-trial motions and now on appeal.

In 1964, he married Judith A. Smith, who is also a former S&C associate. They have three children, Luisa, Jonathan, and Gordon. The Kayes live in New York City.

Member: ABCNY (Committee on Administrative Law, 1964-1970; Committee on Trade Regulation, 1968-1972; Committee on Professional and Judicial Ethics, 1972-1975); NYCLA; NYSBA (Special Committee to Review the Code of Professional Responsibility, 1975-1976); ABA.

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342 **BARBARA A. LINDEMANN** S&C 1956-1959

Barbara Lindemann Schlei, (who was Barbara A. Lindemann while at S&C), is Administrator of the Agricultural Marketing Service of the U.S. Department of Agriculture. This Federal agency, comprised of 3200 employees located in 150 cities in addition to its Washington headquarters, operates with an annual budget of $68 million, administering a variety of marketing, antitrust and regulatory programs under 33 Federal laws, including a nationwide market news reporting system, the Packers and Stockyards Act, the Perishable Agricultural Commodities Act, the Federal Seed Act, the Plant Variety Protection Act and all dairy and fruit and vegetable marketing orders under the Agricultural Marketing Agreement Act of 1937 and the Capper-Volstead Act. Ms. Schlei develops and implements agency policy.

Ms. Schlei graduated from Sarah Lawrence College (B.A. 1954) and the Yale Law School (J.D. 1956), where she was a member of the Board of Editors of the Yale Law Journal and was elected to the Order of the Coif.

Following her four years as an associate in S&C's litigation department, she became Assistant United States Attorney for the District of Columbia, Criminal Trial Division. She then spent two years as Chief of the Advice and Analysis Division of the Equal Employment Opportunity Commission in Washington, D.C. From 1967 to 1977, when she was appointed to her present position, she was District Counsel in Los Angeles.

Ms. Schlei has taught at the University of Southern California Law Center, University of California at Los Angeles Law School and Loyola

University School of Law. She has published several books and articles on law and discrimination. She produced and moderated weekly television discussion and interview programs on current events from 1969 to 1976. From 1966 to 1967, Ms. Schlei participated actively with Norbert Schlei in his statewide campaign for election to the office of Secretary of State of California.

Ms. Schlei is currently living in Washington with her four children, ages 13, 11, 9 and 6.

Publications: Schlei & Grossman, supplement to *Employment Discrimination Law*, (Bureau of National Affairs, "BNA", 1979); Schlei, "Sex Discrimination", *Labor Law Developments 1977* (Matthew Bender, 1977); Schlei & Grossman, *Employment Discrimination Law*, (Bureau of National Affairs, "BNA", 1976); Schlei, *Employment Discrimination* (2d ed. University of Southern California, 1975); Schlei, *Employment Discrimination* (University of Southern California 1974).

Member: The Nature Conservancy; Board of Trustees, the Urban Child Center; American Federation of Television and Radio Artists; National Association for the Advancement of Colored People — Legal Defense and Education Fund, Inc.; Coalition of Labor Union Women; League of Women Voters; National Women's Political Caucus; Sierra Club; Executive Committee, Los Angeles Mayor's Labor-Management Advisory Committee; Yale Alumni Association of California (Vice President); California State Attorney General Task Force on the Status of Women; Advisory Council, American Arbitration Association; Los Angeles, Mayor's Committee for Commission Recommendations; Delegate 1968 Democratic National Convention; Chairperson, Subcommittee, United Way Committee on Day-Care; Member, Mayor's Advisory Committee on Child Care; Los Angeles Advisory Committee on Day-Care. Organized a Primary School which is presently operating with an enrollment of 120 students, including 25% scholarship students and 25% minority students.

343 **NORRIS DARRELL, JR.** S&C 1956-

Mr. Darrell was born on May 10, 1929 in Berlin, Germany, to Norris Darrell (*q.v.*) and Doris Clare Williams. He grew up in New York City and attended Harvard College (A.B. 1951) and Harvard Law School (LL.B. 1954 cum laude). After graduation and the bar exam, he spent two years as a draftee in the Army. Upon joining the office in late 1956, he "was glad to find a basic training effort only hinted at by earlier rigors." Working principally on a wide variety of corporate and financing matters for underwriting and corporate clients, he became a partner on January 1, 1965. From 1968 to 1971 he was the firm's resident partner in Europe in charge of the Paris office.

Beginning in 1958, "to maintain balance," he had begun annual ski vacations in Austria. In 1962 he married Henriette Maria Haid of that country, and their son Andrew was born in New York in 1963. They now reside in Cold Spring Harbor, Long Island.

In addition to memberships in various professional organizations,

he is a director or trustee of Schroders Incorporated, J. Henry Schroder Bank & Trust Company, United Student Aid Funds, Inc., and Cold Spring Harbor Laboratory, Inc.

344 **ROBIN T. TAIT** S&C 1956-57, 1957-64

Robin Trevor Tait is a partner of Coudert Frères in Paris and of Coudert Brothers, New York. Born in New York in 1929, he graduated from Cornell University (B.A. 1951) and Yale Law School (LL.B. 1956). He is also an alumnus of Cambridge University in England, where he received his B.A. (Economics) in 1953 and his M.A. in 1957.

While at S&C, Mr. Tait practiced in both the litigation and general practice groups. He particularly recalls working on *U.S. v. Brown Shoe Company* with Henry Ess and Arthur Dean; Cuban insurance claims with Norris Darrell; U.N. Conferences on Law of the Sea with Arthur Dean; and foreign claims with Jack Stevenson.

Key accomplishments of his career include drafting and negotiating the first Eurodollar loans and the first syndicated bank loan to the Bank of China, acting as special legal counsel to UNCTAD in negotiating and drafting the 1980 Agreement on the Common Fund for Commodities, and acting as counsel to several governments for investment projects financed by the World Bank.

Mr. Tait lives in Paris and in Cresskill, New Jersey. His advice to students and young lawyers is to "practice law only as long as it is enjoyable; there must be easier ways to make a living."

Member, ABCNY; ABA; International Bar Association (Commercial Banking Committee); British Institute of International and Comparative Law; various clubs in London and Paris.

Conseil Juridique et Fiscal in France; Member, Board of Trustees of American Center for Students and Artists, Paris.

345 **KENNETH E. SCOTT** S&C 1956-1959

Kenneth E. Scott is a Professor of Law at Stanford University in California.

Prior to his present position, he was General Counsel, Federal Home Loan Bank Board, Washington, D.C. (1963-1968), Chief Deputy Savings and Loan Commissioner for the State of California (1961-1963), and in private practice with Music, Peeler & Garrett of Los Angeles (1959-1961). Since joining the Stanford faculty in 1968, he has also served as a consultant to the F.D.I.C., Citicorp, State of California Energy Resources Conservation and Development Commission, the

Board of Governors of the Federal Reserve System, the Administrative Conference of the United States, the President's Commission on Financial Structure and Regulation and the Federal Home Loan Bank Board.

His work at S&C was in the general practice group and he remembers well "life in Liberia in connection with the LAMCO mining concession and financing."

Professor Scott was born in Western Springs, Illinois on November 21, 1928. He has degrees from William and Mary College (B.A. in Economics, 1949, Valedictorian, Phi Beta Kappa), Princeton University (M.A. in Political Science, 1953) and Stanford Law School (LL.B., 1956, Order of Coif). From 1973-1975, he was Chairman of the Banking Committee of the Administrative Law Section of the American Bar Association, and is now serving as a Council member of the Section.

Professor Scott lives with his wife and three children in Portola Valley, California.

Books: Retail Banking in the Electronic Age: The Law and Economics of Electronic Funds Transfer (co-author with William Baxter and Paul Cootner), Allanheld, Osmun & Co., Montclair, New Jersey (1977). Economics of Corporation Law and Securities Regulation (co-editor with Richard Posner), Little, Brown and Company, Boston, Mass. (1980). *Articles*: "The Dual Banking System: A Model of Competition in Regulation," *30 Stan. Law Rev.* 1-50 (1977), reprinted in *Hearings on the Federal Bank Commission Act of 1977*, Senate Committee on Banking, 95th Cong. 1st Session 364 (1977); "In Quest of Reason: The Licensing Decisions of the Federal Banking Agencies," 42 *U. Chi. Law Rev.* 235-98 (1975), reprinted in *Compendium of Major Issues in Banking Regulation*, Senate Committee on Banking, 94th Cong., 1st Sess., 42 (May 1975); "Two Models of the Civil Process" 27 *Stan. Law Rev.* 937-50 (1975), reprinted in 4 *Class Action Reports* 51 (1975); "Standing in the Supreme Court," 86 *Harv. L.Rev.* 645-92 (1973); "Risk and Regulation in Banking," 23 *Stan. L. Rev.* 857-902 (1971) (with T. Mayer); "Fears and Phobias: Management Liability and Insurance in Thrift Institutions," 88 *Banking L.J.* 124-51 (1971); "Savings Association Directors and Officers: Liability, Indemnification and Insurance," 36 *Legal Bulletin* 115-35 (1970); Review, Cary, "Politics and the Regulatory Agencies," 20 *Stan. L. Rev.* 608-10 (1968); "Some Answers to Account Insurance Problems," 23 *Bus. Law* 493-509 (1968); "Some Problems of Insurance of Accounts in Financial Institutions," 20 *Bus. Law* 399-409 (1965). "The Patchwork Quilt: State and Federal Roles in Bank Regulation," 32 *Stan. L. Rev.* 687-742 (1980); "Interrelationships Between Federal and State Bank Regulatory Statutes" in L. Lapidus and others, *State and Federal Regulation of Commercial Banks*, v. 1, pp. 139-97 (FDIC, 1980).

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RICHARD M. PRICE — S&C 1957-1967

Dick Price is a partner in the law firm of Wallerstein, Goode & Dobbins, The Ironfronts, 1011 East Main Street, Richmond, Virginia 23235.

Born in Pittsburgh in 1930, he is a graduate of Allegheny College (B.A. 1951) and Yale Law School (LL.B. 1954). He entered S&C's general practice group in January 1957, following two years of army service with the Counter Intelligence Corps in Kassel, Germany.

Among the engagements he worked on in the office were: "*U.S. v. Kennecott Copper Corporation* (Section 7 Case re Okonite); the Chesapeake Bay Bridge Tunnel Bond issue; and the Montreal Metropolitan Corporation issue." Other recollections of life at S&C include "John Raben's pronunciation of 'issue'; Arthur Dean's definition of a 'conflict'; John Cannon's liberalism; Walter Coakley's inability to select a quality wine; and Stuart Thayer singing 'Presby' hymns."

Mr. Price is married to the former Nancie Cornell. They have one son, Jeffrey Llewellyn, and three daughters, Catherine Ann, Elizabeth Jane, and Marion Roberta.

Member, Richmond and Chesterfield County Bar Associations; Virginia State Bar.

Chairman of the Board, Virginia Ballet Theatre, 1976-77; Vice Chairman, Chesterfield County, Virginia Planning Commission, 1972-76; Member, Republican State Central Committee of Virginia, 1973-77; Member, Board of Directors of Family and Children's Service of Richmond, 1975-present.

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STEPHEN K. WEST — S&C 1957-

Mr. West was born in Pittsfield, Massachusetts in 1928 and continues to maintain the farm there where he and his family have lived for many generations. He was graduated from Andover, Yale (B.A. 1950) and Harvard Law School (LL.B. 1953). After law school Mr. West joined the Army infantry and was graduated from Officers' Candidate School at Fort Benning, Georgia.

"I remember the best part of the Army experience was acting as defense counsel in many court martials ranging from serious subversive cases to malingering. Being one of the few lawyers who was not attached to the Judge Advocate General's office, I was considered to be independent from the Army's justice system and thus in great demand." Mr. West was with the CIA until he joined Sullivan & Cromwell in 1957. He became a partner in 1964.

Mr. West married Ann Langford Wick of Cleveland, Ohio in 1955. They have four children, Timothy (b. 1/2/57), Lucy (b. 11/15/59), Todd (b. 1/30/61) and Daniel (b. 5/6/65). The Wests live in Bernardsville, New Jersey where they both have been active in city government.

"My practice has been concentrated in the corporate and financial areas. It has provided and continues to provide a fascinating mix of experiences and challenges involving delightful and stimulating partners, associates and clients. It is particularly rewarding to be involved with projects which become productive enterprises. One which involved substantial time during the 1960's was the organization and financing of the Mt. Newman iron ore mine in Australia for a joint venture of American Metal Climax, Broken Hill and Colonial Sugar. I re-

member it well as being the first major project for which I was responsible and particularly because the legal concepts involved in a joint venture were unknown to the Australians at that time.

"Along with the general corporate and securities work, a good part of my time has been spent working with investment companies and learning the intricacies and peculiarities of the Investment Company Act of 1940 under the guidance of Alfred Jaretzki, Jr., one of the drafters of the legislation. Mr. Jaretzki was a delightful and inspiring mentor and it was with great pleasure that I was able to utilize this background in drafting the first substantial amendments to that Act in 1970 on behalf of our client, the Investment Company Institute. During the 1970's my practice became very active with international financial and banking clients, acquisitions and tender offers."

Director: Midwestern United Life Insurance Company, Neuwirth Fund, Inc.; Lecturer various PLI and ALI-ABA and Mutual Fund Conferences; Board of Trustees, Far Hills Country Day School. Member River Club, Links, Recess and Down Town Association. Member ABCNY, NYSBA, ABA.

348 **EDWARD G. BEIMFOHR** S&C 1957-1965

Edward George Beimfohr is a partner of Casey, Lane & Mittendorf, 26 Broadway, New York, New York 10004.

Born in Marissa, Illinois in 1932, he received both his undergraduate and legal education at Washington University (A.B. 1953, LL.B. 1956).

"One of my first assignments as a new associate in S&C's tax group was to deal with a tax dispute involving the Prince estate in Chicago in connection with local counsel. This involved an asserted tax deficiency which at the time was reputedly the largest in the history of the Federal estate tax laws. This was a prompt application of the 'sink or swim' philosophy of providing substantial responsibility immediately to beginning lawyers, and was a harbinger of things to come."

Mr. Beimfohr has served for a number of years as an executor and trustee of the Estate of Charles W.Engelhard, "a prominent industrialist, philanthropist, Democratic supporter, and sports enthusiast. In addition to the usual problems of a large and complex estate with business and personal interests around the globe, we had to supervise a thoroughbred racing and breeding operation scattered over three continents which at the time of his death was among the leading such establishments in the world."

Mr.Beimfohr is married to the former Joella White. They have three children: Catherine Jane, who is teaching in Michigan; Laurence Edward, a student at Ithaca College, and Douglas Alan, a student at

the University of Virginia. The Beimfohrs live in Short Hills, New Jersey.

Director, American Heritage Publishing Co., Inc.; Engelhard Hanovia, Inc.; Fulfillment Corporation of America; Vice-Chairman and Trustee, Paper Mill Playhouse; Trustee, The Charles Engelhard Foundation; Lecturer and Contributor, N.Y.U. Institute on Federal Taxation, Practising Law Institute, American Management Association. Member, ABCNY; NYSBA; ABA.

349 **RONALD M. DWORKIN** S&C 1957, 1958-62

Since leaving S&C, Ronald M.Dworkin has had a notable career as a law professor and legal philosopher.

He was born in Worcester, Massachusetts on December 11, 1931 and was raised in Providence, Rhode Island. He graduated from Harvard in 1953 and received a degree in jurisprudence at Oxford in 1955. He graduated from Harvard Law School in 1957 and joined the firm in June of that year. He was away from the firm from October 1957 to September 1958, while serving as law clerk to Judge Learned Hand.

While in the office, Dworkin specialized in international business and financial matters. He left in 1962 to join the Yale Law School faculty. While at Yale, he was also Master of Trumbull College. In recent years, he has served both as a professor of jurisprudence at Oxford and a professor of law at New York University. He has been a visiting professor at Princeton, Stanford and Harvard.

In 1977 his book, *Taking Rights Seriously*, was published. This book was hailed by one reviewer as "the most sophisticated contribution to jurisprudence yet made by an American writer." He has also contributed articles on legal philosophy and other legal subjects to a variety of professional journals.

Professor Dworkin is married to the former Betsy Ross. Their children, Anthony Ross and Jennifer, are twins, born on June 23, 1961.

Visiting Professor of Philosophy, Princeton, 1963, 1974-1975; Gauss Seminarian, 1966; Visiting Professor of Law, Stanford, 1967; Visiting Professor of Law and Philosophy, Harvard, 1977; Rosenthal Lecturer, Northwestern University, 1975; Academic Freedom Lecturer, U.Witwatersrand, 1976.

Chairman of Democrats Abroad, 1972-1974; Delegate, Democratic National Convention, 1972, 1976; Member, Democratic Charter Commission, 1974.

350 **JEROME K. WALSH** S&C 1957-1965

When Mr. Walsh left S&C in 1965, he formed a law partnership with E. Roger Frisch (*q.v.*), another S&C alumnus, under the name of Walsh & Frisch. The firm, located at 250 Park Avenue, now consists of twelve lawyers. In 1969-1970 Mr. Walsh served with Robert MacCrate (*q.v.*) as

special counsel to Lieutenant General W. R. Peers in the conduct of the Department of the Army's investigation into the My Lai incident in Vietnam.

Mr. Walsh was born April 4, 1932 in Kansas City, Missouri; he graduated from Georgetown University in 1952 and the University of Michigan Law School in 1957. He served as an officer in the Army between college and law school. Mr. Walsh, now divorced, has three children, Lawler Elizabeth, Katherine Bowen, and James A.H.

Member, ABCNY.

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351 **GENE E. OVERBECK** S&C 1957-1959

Gene Overbeck is with American Airlines, Inc., as Senior Vice President, Administration.

He was born in St. Louis on June 16, 1929, and attended the University of Michigan, where he received his B.A. in Economics in 1951, and his J.D. in 1953. Before entering S&C, he served for three years with the Judge Advocate General's Corps in Washington, D.C. He briefed and argued appeals of convictions by general courts-martial before Army boards of review and the United States Court of Military Appeals on behalf of the accused.

Mr. Overbeck practiced in the litigation group at S&C, and particularly recalls working on the El Paso Natural Gas Co./Pacific Northwest Pipeline Corp. antitrust case. "Dinner at Massoletti's at John Dooling's table," he remembers, "was never conducive to a good night's work."

Mr. Overbeck and his wife, the former Patricia June Bay, have four children: Richard, Thomas, Elizabeth and Katherine.

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352 **DONALD R. OSBORN** S&C 1957-

"My path to the practice of law was a product of the general disruption in American life caused by World War II and its aftermath. Having an aptitude for science and mathematics, I always had planned on becoming an engineer, and when the Navy sent me to Cornell University on an NROTC scholarship, I enrolled as a chemical engineering student. After two years of carrying a full engineering course load plus courses in Naval Science, I transferred into Arts and Sciences and graduated in 1951 during the Korean conflict, with a major in chemistry and the prospect of three years of active duty as a regular officer in the Navy.

"My first exposure to the world of law occurred after reporting to my first duty assignment, the U.S.S. Corry (DD817), in Guantanamo

Bay, Cuba. After one month aboard ship, I was sent to Newport, Rhode Island, to attend a six-week course at the Naval Justice School. I thereafter became the legal officer of my destroyer, and during the course of a year, participated in over 50 summary and special courts-martial. My executive officer during this period was Donald W. McCoy, a recalled World War II veteran (the youngest commanding officer of a combatant Navy vessel during the war) and a well known practicing lawyer and judge from North Carolina. He was the greatest single influence in convincing me to go to law school.

"My aptitude for science and mathematics induced me to specialize in the field of taxation following graduation from law school. It was a very natural progression. This affinity for numbers also resulted in my assignment early in my career at Sullivan & Cromwell to the then considered thankless task of reviewing every tax return prepared by the Firm's fiduciary accountants. It was this assignment that has structured my legal career, since it led me into the estate planning field and eventually to a broad general practice on behalf of the principal individual clients of the office."

Born New York City October 9, 1929, son of Robert W. and Ruth B. (Compton) O.; B.A., Cornell U., 1951; LL.B., Columbia U., 1957; m. Marcia J. Lontz June 4, 1955; children — David, Judith, Robert. Admitted to N.Y. State bar, 1957. Director CBS, Inc. 1975-; Trustee, Treas. Kirkland Coll. 1969-1978; Trustee Hamilton Coll. 1978-; member Council White Burkett Miller Center of Public Affairs 1976-; Trustee Museum of Broadcasting, 1975-; Director, Sec. The Stevens Kingsley Foundation, Inc. 1967-; Trustee, Sec. & Treas. The Dunlevy Milbank Foundation, Inc. 1974-. Member American, New York State Bar Associations, American Bar Foundation, Association of the Bar of the City of New York. Presbyterian. Clubs: Scarsdale Golf, Fox Meadow Tennis, Stratton Mountain Country, Madison Square Garden, Statler, India House, Board Room, Cornell, Club at the World Trade Center (N.Y.C.). Home: 51 Butler Road, Scarsdale, N.Y. 10583.

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353 **C. MURRAY ADAMS** S&C 1957-1964

Charles Murray Adams is a partner of Reavis & McGrath, 345 Park Avenue, New York, N.Y.

Born April 18, 1931 in Ithaca, New York, he received his B.A. in 1952 from Cornell, where he was elected to Phi Beta Kappa, and his LL.B. (cum laude) from Harvard in 1957. In September of that year he became associated with S&C. His work here encompassed the estates and personal, real estate and general practice (banking) groups.

"Among many happy recollections I remember John Dooling holding forth at the 'firm table' at Massoletti's — in those days his dinner usually consisted of a couple of martini's and a bowl of shredded wheat — and invariably he had read all the latest books, knew all the latest news, and was a great inspiration to a young lawyer!"

Mr. Adams has been with his present firm since leaving S&C in November 1964. "I do a lot of real estate, some banking, and some corporate work. Recently I was elected a Trustee of the East New York Savings Bank. I've enjoyed working with Irv Flinn on aspects of Mr. Solow's building at 9 West 57th Street, where I represented Solow in all the financing; also with Don Christ in one Solow matter and in the First Boston lease at One New York Plaza in which Irv was also involved."

For the past ten years, Mr. Adams has been actively involved with the Long Island College Hospital in Brooklyn, of which he is now Vice-Chairman of the Board of Regents. "I am also treasurer and a trustee of Cobble Hill Nursing Home, Inc., a not-for-profit corporation formed to take over the operation of a nursing home near the hospital from Eugene Hollander. You suspect correctly that I have become very interested in the problem of delivering health care at a price society can afford — a problem I think no one — (and certainly not I) — has any real solutions for yet."

Mr. Adams married Lucy Porritt Green in 1959. A Radcliffe graduate, she received her M.L.S. from the Pratt Institute and is now the high school librarian of Brooklyn Friends School, where two of their three children, Jonathan and Neil, are students. Their eldest son, Kenneth, is a junior at Middlebury College.

Member, ABCNY (Chairman, Committee on Recruitment of New Lawyers, 1972-1974; Member, Committee on Real Property Law, 1979); NYCLA; NYSBA; ABA.

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354 **DENNIS C. MAHONEY** (1924-1970) S&C 1957-1963

Born July 20, 1924, Mattapoisett, Mass.; died May 12, 1970, New York City.

During World War II his college education was interrupted by service, 1943-46, as Pfc Infantry Rifleman, Europe 82nd Division, Office of Military Government. He graduated from Williams in 1947 and from Harvard Law School in 1950. He married Barbara Smith; they had four sons: Dennis (1952), Curtis (1954), Nicholas (1956) and Seamus (1959).

A warm and witty man with winning ways, Mr. Mahoney won for himself an exception to S&C's general practice of taking new associates directly from law school or after a judicial clerkship or comparable brief interlude experience. He had been working with a smaller firm, and sought exposure to what he called S&C's "more heady" litigation and a chance for greater responsibility. His colleagues knew him as an unstinting, hardworking teammate on litigation assignments. They knew him also as an equally unstinting, ebullient, convivial celebrator when work was done or in suspense. What they did not comprehend, and

for which he maintained a resolute face of denial to them and to himself, was that even before he left the office he was far gone in the grip of alcoholic illness.

Mr. Mahoney was associated briefly with Avis, Inc., but progressively and rapidly lost control and direction of his life which ended with cirrhosis of the liver at the age of 46. The terror and suffering inflicted on a fine man and his desolated family by the disease of alcoholism, "incompatible with life", is powerfully and compassionately portrayed in a beautifully written book, *"A Sensitive, Passionate Man"* (David McKay Company, Inc., New York, 1974), by his widow, Barbara Mahoney.

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355 **JOHN F. GEER** S&C 1957-1965

Mr. Geer is Vice President, General Counsel and Secretary of American Standard Inc. A native New Yorker, he was graduated from Princeton (A.B. 1952) and, following two years of service in Korea as a first lieutenant in the Army Artillery, from Columbia (LL.B. 1957). Following his association with S&C in the general practice group, he joined the predecessor firm of Whitman & Ransom, of which he became a partner in 1967. He remained there until April 1973, when he moved to his present position at American Standard.

Member, ABCNY.

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356 **WILLIAM R. LUNEY** S&C 1957-1964

William Ross Luney is Vice President and Assistant General Counsel of Squibb Corporation.

He was principally engaged in litigation during his association with S&C, left the firm to enter corporate practice with Beech-Nut Life Savers, Inc., and was elected to his present position following the subsequent merger of Beech-Nut and Squibb.

His professional engagements with the firm included a broad range of litigated matters in areas which involved antitrust, real estate, libel, admiralty, bankruptcy and labor contract negotiation and arbitration and included *U.S. v. American Natural Gas, et al.*, an indictment alleging a conspiracy among natural gas companies and individuals to violate the antitrust laws, *New Haven R.R. v. New York Central*, a suit involving the right in perpetuity to income from Grand Central area properties, and *U.S. v. Bentvena, et al.*, trial of a Federal conspiracy indictment as counsel assigned by the Southern District of New York.

Mr. Luney was born in Chicago, Illinois on March 7, 1930. He is a graduate of Northwestern University (B.S. 1951) and the University of Michigan School of Law ((LL.B. 1957), where he served as a Senior Editor of the Law Review, a Judge of the Case Clubs and a member of the Society of Barristers, and was the author of "Municipal Corporations — Zoning — Exclusion of Churches from Residential Areas", 55 Michigan Law Review 601, "Trademarks — Extraterritorial Application of the Lanham Act", 55 Michigan Law Review 887, and "Cumulative Voting and Classified Corporate Boards", 55 Michigan Law Review 997. He married Mary Connelly in 1960. Their children are Bill (now 19), Maggie (17) and Michael (12), and they have lived for the past 17 years at 252 Crest Drive, Tarrytown, New York 10591. Mr. Luney is Chairman of the Zoning Board of Appeals in their community and is President of The Sleepy Hollow Country Club in Scarborough, New York.

Member, ABCNY.

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357 **FREDERICK A. TERRY, JR.** S&C 1957-

Mr. Terry has been a partner since January 1965. He is Managing Partner for the Estates and Personal Group and Managing Partner for the Midtown Office.

Born in Buffalo, New York in 1932, he is a graduate of Williams College (B.A. 1953) (where he was valedictorian of his class) and Columbia Law School (LL.B. 1956) (where he was a Kent Scholar and Articles Editor of the Columbia Law Review). After law school, he spent a year as clerk to the Honorable Sterry R. Waterman, U.S. Court of Appeals for the Second Circuit, before joining S&C in September 1957.

Mr. Terry is married to the former Ruth A. Beynon. He has a daughter, Valerie Lynn Hasely. Mr. and Mrs. Terry live in New York City and East Hampton.

Trustee, Harold K. Hochschild Foundation; Trustee, Hochschild Family Foundation; Director and Secretary, The McIntosh Foundation, Inc.; Trustee, Korg Foundation; Vice President and Director, The Third Street Music School Settlement, Inc.; Director, Gourmet, Inc.; Member, ABCNY, NYSBA, ABA.

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358 **PETER T. JONES** S&C 1957-1961

Peter Jones is Senior Vice-President and General Counsel of Levi Strauss & Co., 2 Embarcadero Center, San Francisco, California 94106. He began his legal career as a general practice associate at S&C following graduation from Yale College (B.A. 1951) and Harvard Law School (LL.B. 1957), and three years in the Army between college and law

school. Of his S&C years he remembers working on "All World Bank Borrowings in U.S.; Japan, City of Oslo and Province of Quebec Financings; World-Wide licensing for Cluett Peabody; acquisition of Seymour Manufacturing by Bridgeport Brass; Tariff and Trade Legislation work."

He also remembers being one of "three to five first-year associates having martinis and dinner with the Rt. Rev. John Dooling and trying vainly before and during dinner to think of a subject, *any* subject, on which we knew more than he. It *never* worked! Other fond memories include: Art Dean on Korea and the 200 mile limit, past and present U.S. foreign policy and politics, the SEC or anything else — at the office or during long walks at Nantucket or Oyster Bay — fascinating and unforgettable. Learning law, life, excellence and judgment from marvelous men and great lawyers and lovers of the law including Bill Foshay, Gar Horn, Bob McDonald, Jack Jaqua, Bill Piel and Jack Stevenson, etc. etc. — all most patient with a young upstart."

Upon leaving S&C, Mr. Jones spent "the three Kennedy years and the first Johnson years working on AID, Alliance for Progress, Peace Corps, the Trade Expansion Act of '62, the Civil Rights Act of '64, etc.; seven years in Peru, Chile and Argentina combining law, business, living and exploring from the Amazon and Andes to Tierra del Fuego; six years learning the Mid-West and especially Chicago and its views of the world as General Counsel of Marcor-Montgomery Ward."

Mr. Jones has written, lectured and testified extensively on various topics, including "Latin America, Corporate Governance, Social Responsibility and Consumerism." He married Elizabeth W. Jones in 1951. Their children are Paul McClure, age 22; Katharine Powell, age 21, and Sarah Wellington, age 15.

"No better post-graduation nor multi-lateral stretching process than S&C; nor fonder memories or lasting relationships with some truly exceptional people. Cherish it well."

Chairman of the Board of Directors of the Inter-American Foundation (an experimental agency responding to Latin American initiatives in fields of economy and social development); National Steering Ctte. of Lawyers' Committee for Civil Rights Under Law; Trustee of the World Affairs Council of San Francisco; Director of the Newfound Corporation; member of the Board of Visitors, Stanford University Law School and Council on Foreign Relations in New York City.

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359 **R. LEIGH DUEMLER** S&C 1958-1966

Mr. Duemler is a member of Casey, Lane & Mittendorf, 26 Broadway, New York, N.Y. 10004.

He was born November 2, 1932 in Philadelphia, Pennsylvania,

graduated from Princeton in 1954, and received his LL.B. from Columbia in 1957. He entered S&C as a general practice associate in January 1958, leaving for his present position in February 1966.

Mr. Duemler and his wife, the former Catherine J. Merrill, have one daughter, Merrill A. Duemler. They live in Greenwich, Connecticut.

Member, ABCNY; ABA.

360 **ROBERT M. JEFFERS** S&C 1958-1959

Bob Jeffers is a partner of Hogan & Hartson, 815 Connecticut Avenue, Washington, D.C. 20006.

He was born in Denver, Colorado on July 2, 1931. A 1952 graduate of Princeton, he also studied in Norway at the University of Oslo. He served in the U.S. Army for two years before entering Columbia University Law School, where he was a member of the Board of Editors of the Columbia Law Review. He received his LL.B. in June 1958 and became associated that summer with S&C as a tax lawyer.

Mr. Jeffers married the former Virginia Davis on June 9, 1956. They have two children, Robert, age 21, and Susan, age 19, and live in Bethesda, Maryland.

Member: The Bar Association of the District of Columbia; Federal and American Bar Associations.

361 **JEFFREY A. FILLMAN** S&C 1958-1962

Jeffrey Fillman is a partner of Finley, Kumble, Wagner, Heine, Underberg & Casey, 425 Park Avenue, New York, N.Y. 10022.

A native New Yorker born in 1934, Mr. Fillman was graduated from Amherst College (B.A. magna cum laude 1955) and Harvard Law School (LL.B. magna cum laude 1958), where he was Editor of the Harvard Law Review. He left S&C's litigation group to join the faculty of Northwestern University Law School as Assistant Professor, remaining there until 1966.

In addition to practicing litigation as a partner of Finley, Kumble, Mr. Fillman is an Adjunct Professor at the Benjamin N. Cardozo Law School, a position he has held since 1978.

Member: New Jersey State and American (Member, Sections on: Antitrust Law; Litigation) Bar Associations.

362 **RICHARD SEXTON** S&C 1958-1964

Mr. Sexton is Vice President, General Counsel and Secretary of SCM Corporation, 299 Park Avenue, New York, New York 10017.

Born in Madison, Wisconsin in 1929, he attended Amherst College for two years, and then transferred to the University of Wisconsin, from which he received his B.S. degree in 1951. During the Korean War he served in the U.S. Navy (Lt.JG). He then attended Yale Law School (LL.B. 1958), where he was a member of the Yale Law Journal.

A litigator while at S&C, Mr. Sexton's case assignments in the office included: *"U.S. v. Eli Lilly , Allied Laboratories, et al.* (U.S. Dist. Ct., N.J., 1959), polio vaccine price fixing claims, jury trial; Bill Piel representing Allied Labs (Tom Dewey represented Lilly; Gerry Gesell represented Parke-Davis); Judge Foreman directed verdict for defendants. *FTC v. Grand Union*, claims under Section 7 of the Clayton Act re acquisition of two grocery store chains; Howard Milman was lead counsel for Grand Union; the FTC Hearing Examiner dismissed the claims; and the case was settled by consent order granting limited prospective relief."

"I remember the long hours with some regret — for the burden on Joan and the children. Still, it was a wonderful place to learn the practice of the law as a profession — to learn to analyze, question, dig for truth, and, maybe, better to understand people and the way the world works.

"But the best part of learning to be a lawyer was working with the lawyers who taught, perhaps most by example. I am most grateful to Bill Piel, John Dooling, George Kern, Steve Kaye and Alfred Jaretzki, who made me proud to be a lawyer.

"That the S&C discipline had a broad reach was nicely illustrated by the relative ease with which I was able to become a corporate and business lawyer after having almost exclusively litigation experience at Sullivan & Cromwell. That I can now deal with some confidence with the diverse problems of a large company is in no small measure a result of that early learning experience. And, when confidence wanes, it's great — for me and the client — to be able to get the advice of John Merow, Bill Willis and their partners and associates."

In his present position, Mr. Sexton has been "responsible for overall direction of a Section 2 monopoly case, *SCM v Xerox*: 14-month trial; jury verdicts — $37.3 million, trebled under the antitrust laws to $112 million; damages set aside by Judge J.O. Newman; on appeal as of December 1980. Also, recent experience has included heading up the management team in two successful proxy contests."

Mr. Sexton married Joan Fleming in 1956. They have six children: Molly, Joseph, Lucy, Michael, Ann, and Kate. The Sextons live in Brooklyn, New York.

1974-76: Member Antitrust & Trade Regulation Committee, Association of the Bar of the City of New York;

1977-80: Member Antitrust and Corporate Policy Committee, United States Chamber of Commerce.

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363 **EDWARD W. KEANE** S&C 1958-

Mr. Keane has been a litigating partner of the firm since 1966. The wide variety of matters in which he has been involved includes certain notable and well-noted lawsuits rising out of takeovers, tender offers and other forms of corporate discord.

To the extent that professional demands permit, Mr. Keane is active in the affairs of Grace Church, of which he is a Vestryman, and of the National Fund for Medical Education, of which he is a director. He is also an inveterate opera and concert-goer.

Born in Detroit, Michigan, in 1930 and raised in New Canaan, Connecticut, Mr. Keane is a graduate of Phillips Exeter Academy (1945-48), Harvard College (A.B. 1952) and Harvard Law School (LL.B. 1957). Between college and law school, he spent two years as an artillery officer in the U.S. Army (Japan, Korea). Before joining S&C, he served as a law clerk to Mr. Justice William J. Brennan, Jr., United States Supreme Court.

Mr. Keane and his wife, the former Mary Burdell, have three children: Edward Webb, Jr. (b. 9/13/55), Jennie (b. 2/23/57) and Metthea (b. 10/9/60). They live on Gramercy Park in New York City.

Member, ABCNY; NYCLA; ABA; American Judicature Society; Supreme Court Historical Society.

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364 **JOHN E. MEROW** S&C 1958-

John Merow grew up in the western New York State village of Little Valley. After a year at U.C.L.A., he completed his college education at the University of Michigan, receiving a degree in civil engineering in 1952. While at Michigan he was active in student affairs, serving as president of the organization representing the students of the engineering college, vice-chairman of the university student disciplinary committee, business manager of the campus humor magazine, president of his fraternity and a member of various boards and honor societies. An NROTC student, three years of active Navy duty followed

graduation, with service on a destroyer during the Korean conflict and later in the western Pacific and Atlantic.

With his Navy commitment completed, John entered Harvard Law School, graduating in 1958. While at Harvard he served as the chairman of the board of student advisers. He also met and married Mary Alyce Smith, a Smith College graduate, then a member of the Law School staff. Two daughters were born to them, Alison (1960) and Ellen (1962).

At Sullivan & Cromwell since 1958, and a partner beginning in 1965, John has been a member of the general practice group. Among his sustained efforts have been a tour as coordinator of the Blue Sky work of the office, and work for Kaiser Aluminum and affiliated companies, SCM, American International Group, Kidder Peabody, investment companies and Australian clients.

Born December 20, 1929. Directorships: Broad Street Investing Corporation, Kaiser Aluminum & Chemical Corporation (member of its Executive Committee and Chairman of its Audit, Corporate Conduct and Affiliated Company Contract Committees), Kaiser Aluminum & Chemical Sales, Inc., Kaiser Bauxite Company, National Investors Corporation, Union Cash Management Fund, Inc., Union Capital Fund, Inc., Union Income Fund, Inc., Protestant Episcopal Society for Promoting Religion and Learning in the State of New York.

Member: Association of the Bar of the City of New York (Chairman, Committee on Securities Regulation, 1974-77), St. Thomas (Episcopal) Church (Vestryman, 1967-70, Warden, 1971-78), Council on Foreign Relations, New York Law Institute, New York State Bar Association, American Bar Association, Union Internationale des Avocats, Advisory Council of the Center for the Study of Financial Institutions of the University of Pennsylvania Law School.

Clubs: The Down Town Association, The Links, Piping Rock Club, Metropolitan Opera Club, The Church Club of New York, Chatham (Mass.) Beach and Tennis Club.

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365 **HANS SMIT** S&C 1954-55; 1958-60

Hans Smit, Stanley H. Fuld Professor of Law at Columbia University, was born in Amsterdam, Holland on August 13, 1927. He received his B.A. from the Vossius Gymnasium, Amsterdam, in 1945, his LL.B. and J.D. with highest honors from the University of Amsterdam in 1946 and 1949, respectively, and his A.M. from Columbia University in 1953. He also earned a Columbia LL.B. in 1958. While at Columbia he was Editor of the Columbia Law Review and recipient of various awards, including the Ordronaux Prize (first in class).

Hans Smit first came to S&C as a visiting foreign lawyer in 1954, and left the following year to become a member of the firm of Blackstone, Rueb, Haardt, Bloemsma & Smit in The Hague. In 1958, he returned to S&C, spending two years with the firm before joining the Columbia Law Faculty in 1960.

Hans Smit principally teaches Civil Procedure, International Business Transactions, International Law, EEC Law, and Conflict of Laws. His other professional activities have been predominantly of an international nature. He was the Reporter to the U.S. Commission on International Rules of Judicial Procedure from 1960 to 1970, has been Director of the Project on International Procedure since 1960, and Director since 1967 of the Project on European Legal Institutions. Since 1963, he has been Executive Director of the Leyden-Amsterdam-Columbia Summer Program in American Law. From 1970 to 1974, he was advisor to the U.S. Delegation to UNCITRAL. In 1976, he served as member and principal spokesman for the U.S. Delegation to the U.N. Conference on Prescription in International Sales. He spent the academic year 1976-1977 as a visiting professor at the University of Paris I (Sorbonne-Pantheon). In 1980, he was appointed Director of the Parker School of Foreign and Comparative Law. He is a noted arbitrator in international arbitration under ICI Rules and has served as an expert witness and consultant on foreign and international law in numerous litigations. He has also written the amicus briefs for the winning sides in leading cases — such as *Orr* v. *Orr*, 440 U.S. 268 (1979), and *General Telephone Co.* v. *EEOC*, 100 S. Ct. 1698 (1980).

As a water polo player, Hans Smit was elected to All-Dutch and All-American teams and gained many national championships. He and his wife Beverly, to whom he was married in his first year at S&C, have two children, Robert Hugh and Marion Tina.

Member: ABCNY; American Society of International Law; American International Law Association; Foreign Law Association; International Bar Association; International Fiscal Association. Member, the Bar of the Supreme Court of the Netherlands and the New York Bar. Publications include: *International Business Transactions* (with R. C. Pugh); *The Law of the European Economic Community* (with P. Herzog, 5 vols.); *International Ao-Operation in Litigation; Elements of Civil Procedure* (with H. Korn, M. Rosenberg, and J. B. Weinstein); *International Law* (with L. Henkin, R. C. Pugh, and O. Schachter).

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ROY L. PROSTERMAN — S&C 1959-1965

Roy Prosterman is Professor of Law at the University of Washington in Seattle. He joined the faculty there upon leaving S&C's litigation group in August, 1965. Born in Chicago in 1935, he was graduated from the University of Chicago (A.B. with General Honors, 1954) and Harvard Law School (LL.B., now J.D., m.c.l. 1958).

Of his S&C years, he particularly recalls "supervising the 'Memorandum on the Facts' for the creditors' group in the Anthony DeAngelis-American Express 'salad oil' imbroglio, which led to one of the largest settlements in history; preparing, in Liberia, for litigation involving

one of the world's largest iron mining complexes (completed, with its own harbor and railroad, after the contractor settled); the complexities of the Commonwealth-Lummus arbitration (which taught me that lawyers could learn as much about economics and engineering as economists and engineers — a lesson that has served me well in a decade of efforts to make our development-aid programs more effective); and a series of 'learning experiences' on products, markets and economics in advising for or against mergers."

Among his post-S&C experiences and achievements, Professor Prosterman "drafted the basic Land-to-the-Tiller land-reform law implemented in South Vietnam in 1970-74, and fought to get that implementation (as large and successful a land reform as those of Japan and Taiwan, this one received little coverage; it is, oddly enough, still in effect and the *Chicago Tribune* once editorially commented that it may be the one lasting thing the Americans leave behind in that country); worked with land reform, rural development, and other grass-roots programs (dealing with legal, administrative, and financial feasibility questions, and with making old programs more supportive and effective in support of such measures) in a dozen other less-developed countries; drafted, testified on, and did the briefings that saw through Congress § 102 (d) — now § 102 (b) (4) — of the Foreign Assistance Act, which, for the first time, sets standards for concentrating our aid on those recipient countries that show commitment and progress with respect to specified, quantifiable criteria, including increased production per acre on small farms, reduced infant mortality, and reduced birth rates; established a system for 'grading' the performance of the Agency For International Development, the World Bank, and other aid-giving institutions project-by-project, which is now widely accepted and appears to hold out hope that the money spent on aid can really help the poor towards self-sufficiency; at present, I am 'taking on' our Egypt aid program, which is abysmal.

"I like to think that I am probably the only lawyer who has ever published an article (as a co-author) in the *Journal of Tropical Pediatrics;* as well as publishing in other scholarly or 'serious' journals, I have also published in such 'un-serious' places as *The Wall Street Journal* and *The Washington Post*. My 1972 textbook, *Surviving to 3000: an Introduction to the Study of Lethal Conflict* (Duxbury), which is now aging and in need of a revised edition when I can find the time, was for several years the principal textbook in use in conflict-studies and international-order courses at the undergraduate level around the country. I was also pleased to be the first recipient of the Ralph Bunche Award, bestowed by the Seattle-King County Bar Association for effort to achieve world

peace through world law. I am on the national boards of New Direction, the Hunger Project, and the World Without War Council".

Professor Prosterman reflects: "The conviction has steadily grown in me that JFK was right: 'This will either be the best generation, or the last generation, of mankind.' The choice is entirely ours."

367 **KENNETH K. HOWELL** S&C 1959-1960

Ken Howell is a partner of Sidley & Austin, One First National Plaza, Chicago 60603.

Born December 30, 1931 in San Pedro, California, Mr. Howell received his A.B. from the University of Alabama in 1956 and his J.D. from the University of Chicago in 1959. In law school, he was elected to membership in the Order of the Coif and was Managing Editor of the University of Chicago Law Review for the 1958-59 year. He initially left S&C, where he was a litigator, for the Birmingham, Alabama firm of Moore, Thomas, Taliaferro, Forman & Burr. He served as Executive Director of the Legal Assistance Foundation of Chicago from 1969 to 1976.

Mr. Howell and his wife, Gail, have three children, Kenneth, Jr., Adam and Katherine.

Member, Chicago Bar Association.

368 **CHARLES B. FARR** S&C 1959-1961

As Manager of Corporate Personnel Relations with J.C. Penney Company in New York, Charles Farr is primarily responsible for directing labor relations, E.E.O. matters and compliance with personnel/employment-related laws.

Mr. Farr first became associated with S&C on a part-time basis, while completing graduate law work at New York University. In 1959, he became a full-time associate in the general practice group, concentrating on labor law. He remained with the firm until October 1961 when he left to join J.C. Penny as an attorney in its personnel department.

Charles Farr was born in Ely, Nevada on September 19, 1931. He received his B.A. in 1953 from Westminster College, his LL.B. in 1958 from the University of Utah, and his LL.M. in Labor Law in 1960 from New York University. Between college and law school, he served as an agent in the Army Counter-Intelligence Corps.

Mr. Farr is married and currently lives in Ridgefield, Connecticut. He has five children, two girls and three boys, ranging in age from nine to twenty-six.

369 **WALTER J. COAKLEY** S&C 1959-60; 1963-

Born in Lenox, Massachusetts and raised in New York City, Mr. Coakley came to the firm's general practice group from Columbia College (B.A. 1956) and Columbia Law School (LL.B. 1959). After a few months in the office, he left temporarily to spend three years in the Air Force. He has been a partner of S&C since 1969, and was head of the Paris office from July 1, 1971 to August 31, 1979.

Member, ABA; International Bar Association.

370 **MARTIN HEYERT** S&C 1959-1968

Martin D. Heyert is a partner of Kelly Drye & Warren, 350 Park Avenue, New York, N.Y. 10022. His specialty is representing management in all aspects of labor law, including proceedings before federal and state courts, the NLRB, state labor boards, civil rights agencies, the OSHRC, arbitrators and collective bargaining.

Born in New York on May 14, 1934, Mr. Heyert received his A.B., magna cum laude, from Colgate University where he was awarded University and New York State Regents Scholarships, and was elected to membership in Phi Beta Kappa. Upon graduating from Colgate in 1956, Mr. Heyert entered the law school at New York University where he was awarded further academic honors including the Root-Tilden Scholarship, the Goldman Prize and membership in the Order of the Coif. He was also Head Note and Comment Editor of the Law Review.

As an associate at S&C, Mr. Heyert first began to practice labor law, in addition to general litigation, estates and personal, and real estate work. In May 1968, he left S&C to become associated with the Detroit firm Dykema, Gossett, Spencer, Goodnow & Trigg, concentrating on the practice of labor law. In May 1969, he returned to New York City as an associate with his present firm. He became a partner in January 1972.

Mr. Heyert lives with his wife and three children in North Tarrytown, New York.

Member: ABCNY (formerly a member of its Trusts, Estates and Surrogates' Courts Committee); NYSBA (member, Labor Law Committee); ABA (member, Labor Relations Law Section; Committee on Practice and Procedure Before the National Labor Relations Board; formerly a member of the Committee on Information and Disclosure by Federal Agencies, and the Committee on Labor Arbitration and Law of Collective Bargaining); State Bar of Michigan.

Speaker for American Management Association; listed in *Who's Who in the East*; *Who's Who in American Law*.

371 **SHIRLEY A. O'NEILL** S&C 1959-1972

Miss O'Neill is a member of the firm of Riker, Danzig, Scherer & Hyland, 744 Broad Street, Newark, New Jersey 07102.

Born in Rutland, Vermont, on January 1, 1935, she was graduated in 1956 from the University of Vermont (where she was elected to Phi Beta Kappa), and in 1959 from Columbia Law School. She began practice with Sullivan & Cromwell in 1959, in the area of trusts and estates, and developed particular expertise in drafting wills.

Member: Essex County, New Jersey State and American Bar Associations.

372 **WILLIAM J. NICOSON** S&C 1959-1968

Mr. Nicoson is an attorney and urban affairs consultant in Washington, D.C. Among recent assignments, he has acted as Special Counsel to the Subcommittee on Housing and Community Development of the House of Representatives in reviewing the proposed National Development Bank.

He has advised the U.S. Department of Transportation on legal and financial aspects of joint public-private development projects; the U.S. Department of Energy on institutional solutions to problems associated with "boom-town" growth based on a review of U.S. and European precedents; the Saudi Arabian Ministry of Municipal and Rural Affairs on the redesign of municipal government throughout the Kingdom and planning institutions for its capital city; and development subsidiaries of the Central Savings Bank of France on transferability to the United States of institutional elements of the development process in France. He is a co-author of *Large-Scale Development* (ULI 1977) and of *New Perspectives on Community Development* (McGraw Hill 1976).

From 1970 to 1972 Mr. Nicoson served as the first director of the new community assistance programs at the U.S. Department of Housing and Urban Development. He has served as a member of the New Towns Task Force of the Twentieth Century Fund, has lectured on new community development at the New School, New York City, and has spoken and written extensively on growth policy formulation, land development and land use controls. Mr. Nicoson has also furnished advice on tax reform legislation pending before the Congress. He is currently a member of the Bar of the District of Columbia and the State of New York, an executive group member of the Federal Policy Council of the Urban Land Institute and a member of the American Bar Association's Section on Local Government Law.

While at S&C, Mr. Nicoson specialized in corporate and international finance and was the first associate in the firm's post-war Paris office. He is a graduate of Harvard Law School (LL.B. 1959) and Princeton University (B.A. 1954) and has studied at the Sorbonne, Paris, France.

373 **NICHOLAS J. COOLIDGE** S&C 1959-1966

Mr. Coolidge was born in Brookline, Massachusetts in 1932. He joined S&C as an associate after graduation from Harvard (A.B. 1954; LL.B. 1959) and left the firm in 1966 to join Kidder, Peabody & Co. Incorporated, of which he was a Vice President, stockholder and Director, with responsibilities for the firm's project finance, real estate and Canadian activities. In February 1978 he left to set up his own firm, Coolidge & Co., Inc., to engage in the general investment banking business, specializing in project finance. His firm's principal office is at 800 Fifth Avenue, New York, N.Y. 10021 (212-355-0450), with branch offices in Boston and Washington, D.C..

374 **W. LOEBER LANDAU** S&C 1959-

Loeber Landau was born in New Orleans on September 9, 1931. He is a summa cum laude graduate of both Princeton (A.B. 1953), where he majored in a special English and Humanities program, and Harvard Law School (LL.B. 1956), where he was on the Law Review.

His first exposure to S&C was as a summer associate in 1955 and he did not return permanently until 1959. During the intervening three years, he served as an attorney in the office of the General Counsel to the Secretary of the Air Force.

Mr. Landau has been a partner in the general practice group since 1966. His work has involved many aspects of securities law, both in the United States and abroad.

In 1970, when the Republic of Zambia announced its intention to nationalize the Copper Belt mines, including those of Roan Selection Trust Limited ("RST") which was 42% owned by Amax, Inc., Mr. Landau acted for Roan Selection Trust Limited in the negotiations of the various agreements and arrangements to effect the nationalization. "At a later stage, together with Arthur Dean and Robert J. McDonald, I acted on behalf of Amax, Inc. in the related reorganization of RST from which the present Copper Belt company, Roan Consolidated Mines Limited, emerged. In the course of the nationalization, $118 million principal amount of Zambian Government guaranteed bonds were issued, all of which were redeemed in full by Zambia in 1973."

Mr. Landau represented Botswana RST Limited ("BRST") in 1968

in the first public offering of its shares to finance the initial investigation and planning of nickel-copper mines and a smelter in the Republic of Botswana. "By 1971 the decision to develop the project was made, and in 1971-72 Robert Thomas and I acted for AMAX Inc., which was a major shareholder of BRST, in an extensive reorganization and financing of the project involving a total of approximately $220 million of financing from the World Bank, a group of German banks headed by Kreditanstalt für Wiederaufbau, the Industrial Development Corporation of South Africa, the Canadian International Development Agency, the United States Agency for International Development, BRST shareholders and others.

"In 1973, Robert McDonald, Robert Thomas, Scott Feldman and I represented Amax in the acquisition and development carve-out financing of a one-half interest in a major open pit copper mine and related properties near Tucson, Arizona. The venture involved the organization of the Anamax partnership between Amax and The Anaconda Company and the acquisition by Amax of The Banner Mining Company and Tintic Standard Mining Company.

"In 1969, with Arthur Dean, Richard Powell and David Huggin, I acted for The Bank of New York in the formation of the Bank of New York Company, Inc. as a statewide bank holding company. Previously in 1966 I had acted for The Bank of New York in conjunction with Messrs. Dean and Powell in its acquisition of Empire Trust Company, a New York City bank. The formation of The Bank of New York Company, Inc. involved simultaneous acquisitions by the holding company of six existing New York banks (including The Bank of New York) in New York City, Westchester, Albany, Syracuse, Endicott and Olean and of a seventh newly formed bank in Buffalo.

"With David Henkel and Richard Howe I have since 1966 acted for USLIFE Corporation, an insurance holding company, in its initial formation and in its ensuing extensive acquisition program. This program has included acquisitions throughout the country of twelve life insurance companies, two title insurance companies, two savings and loan associations, a mutual fund management company and several consumer finance companies.

"Among a number of other corporate transactions involving acquisitions or dispositions in which I have acted are the 1962 organization of Printing Corporation of America and its sale in 1968 to American Can Company; the merger of American Agricultural Chemical Company into Continental Oil Company in 1963; the acquisition by AMAX, Inc. of Hunter Aluminum Company in 1963; the acquisition by tender offers in 1964 and 1965 and a merger in 1967 of the public interest in Condé Nast Publications, Inc. by the Newhouse group; the incorporation in 1965 of Model, Roland & Co., Inc.; the acquisition in 1975 by Exxon Corporation of 100% ownership of Creole Petroleum Corporation in connection with the nationalization by Venezuela of Creole's properties; the sale to Esselte AB by instalment sale, tender offer and merger of Oxford Pendaflex Corporation in 1976-77; the acquisition of Booth Newspapers, Inc. by the Newhouse group by tender offer and merger in 1976-77; and bank acquisitions by Marine Midland Bank of New York and The Bank of New York Company Inc.

"I have acted for underwriters and issuers in a large number of public and private financings by industrial, insurance and banking corporations, including financings for AMAX Inc., USLIFE Corporation, Oxford Pendaflex Corporation, American Re-Insurance Company, International Paper Company, Alcan Aluminium Limited, Continental Can Company, Inc., Technicon Corporation and N.V. Philips.

"With William Ward Foshay, I was active during 1974-75 in the efforts of a group of New York lawyers to reach an accommodation with the auditing profession on the scope of audit inquiry letters to lawyers which would not unduly intrude upon the confidentiality of the lawyer-client relationship or place unrealistic requirements on the Bar. As a member of the Committee on Audit Inquiry Responses of the ABA Section on Corporation, Banking and Business Law, I participated in the preparation of the ABA Statement of Policy on Lawyers' Responses to Auditors' Requests for Information which was adopted by the Board of Governors of the American Bar Association in December 1975."

Mr. Landau is a member of the Association of the Bar of the City of New York; the New York State Bar Association; the American Bar Association (and its Committees on Audit Inquiry Responsibilities and Liability, Development in Business Financing, and Long Range Planning); the American Law Institute; and the Federal Bar Association. A Fellow of the American Bar Foundation, he has also lectured at PLI annual securities institutes and has participated in bar association programs, including the 1977 ABA Airlie House Conference on Ethical Responsibilities of Corporate Lawyers.

He is a Director of the United States Life Insurance Company in the City of New York, and of Esselte Pendaflex Corporation, and is a trustee of the Reace School.

Mr. Landau and his wife, the former Barbara Jane Gordon, live in New York City. They have two daughters, Donna (born in 1959) and Blair (born in 1961), and one son, Gordon, born in 1967.

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NORMAN A. BIKALES

S&C 1959-1967

In addition to practicing law as a partner of the Boston firm, Sullivan & Worcester (100 Federal Street, 02110), Norman Bikales, having sucessfully completed "his first and only marathon," is returning to his "second unpublished novel. The law, a stern mistress, too often takes precedence, but this time, I hope, not to the exclusion!"

Mr. Bikales was born in New York City on August 10, 1935. He received his B.A. in 1956 from Cornell and his LL.B. in 1959 from Yale, where he was a member of the Board of Editors of the Yale Law Journal. That November, he entered S&C as a general practice associate, leaving New York and Sullivan & Cromwell in October 1967 for Boston and Sullivan & Worcester.

Mr. Bikales married Ann Burbank in 1961. They live in Lincoln, Massachusetts, with their two sons, John (born 9/21/63) and Tom (born 11/11/67).

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376 **JOHN G. BROADBENT** S&C 1959-1965

Mr. Broadbent is a partner of Dunnington, Bartholow & Miller, 161 East 42nd Street, New York, N.Y. 10017.

He was born March 19, 1933, in Cleveland, Ohio. After receiving his B.A. in 1955 and his J.D. in 1959, both from The Ohio State University, Mr. Broadbent spent five years as an associate in the tax group at S&C. He left in 1965 to begin three years as an attorney with the Internal Revenue Service, in the office of the Chief Counsel, Legislation and Regulations Division. He served with the Joint Committee on Taxation of the United States Congress from 1968 to 1971, when he joined Dunnington, Bartholow & Miller.

Mr. Broadbent has been married since August 1961 to the former Jeanne Hiscox. They live in Tarrytown, New York.

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377 **BARTLETT A. JACKSON** S&C 1960-1963

Mr. Jackson is senior litigation partner in the law firm of Petty, Andrews, Tufts & Jackson, 650 California Street, San Francisco, California 94108.

Born in St. Paul, Minnesota, on April 4, 1933, he is a graduate of Marquette University (B.S. 1955). At the University of Michigan Law School, from which he received his J.D. with distinction in 1960, he was a member of the Board of Editors of the Michigan Law Review, and a member of the Order of the Coif. Between college and law school, he attended graduate school at the University of The Philippines.

Mr. Jackson practiced in the litigation group during his association with S&C. "My experience with the firm was the most provocative, demanding, and exciting postgraduate experience imaginable and the lessons learned provided the bedrock for all subsequent efforts to hone my capabilities as a trial lawyer."

Upon leaving S&C, he spent a year as Associate Professor of Law at the University of Colorado before joining his present firm.

Mr. Jackson has contributed to the ABA journal, *Litigation*, and lectures frequently for the Practicing Law Institute. He is single and lives in Sausalito, California.

Member, NYSBA; ABA.

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378 **PETER R. FISHER** S&C 1960-1966

Mr. Fisher is a partner of Rogers & Wells, 200 Park Avenue, New York City 10017. He became associated with the firm, then known as Royall, Koegel, Rogers & Wells, upon leaving S&C in 1966, and he was admitted as a partner in 1969. He is a member of the firm's tax department.

Born in New York City on May 24, 1933, he is a graduate of Harvard College (B.A. 1954) and the University of Virginia Law School (LL.B. 1960), where he was elected to the Order of the Coif. He practiced in the litigation group while at S&C. Married since 1957, Mr. Fisher and his wife, the former Cary Randolph Fox, have three children, Diane (born 1959), Julian (born 1960) and Elizabeth (born 1966).

"I spent an interesting two years representing the Indonesian government in connection with restoring the foreign tax credit to American oil companies there, and I made several trips to Indonesia with Mike Ambler (also an S&C alumnus), who is now at Mobil."

Mr. Fisher is active in various local historical societies on Long Island: Oyster Bay Historical Society (President), Society for the Preservation of Long Island Antiquities (Trustee), and Theodore Roosevelt Association (Treasurer). He is a member of the Town of Oyster Bay Landmarks Commission and is Village Justice of the Village of Oyster Bay Cove.

Member, ABA.

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379 **E. ROGER FRISCH** S&C 1960-1965

Roger Frisch is a member of Walsh & Frisch, 250 Park Avenue, New York, New York 10017, a law partnership he formed in 1965 with Jerome K. Walsh (q.v.).

Mr. Frisch is a native Vermonter, born on December 30, 1933. He was graduated from Yale College (B.A. 1955) and the University of Michigan Law School (J.D. 1960), where he was Associate Editor of the Michigan Law Review and a member of the Order of the Coif.

His assignments as an associate in S&C's litigation group included various mutual fund cases, the "Salad Oil" cases, and litigation arising out of the Iranian oil problems of the 1950's.

Member, ABCNY; NYSBA; ABA.

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380 **SAMUEL W. INGRAM, JR.** S&C 1960-1967

Now a partner in the law firm of Shea & Gould, 330 Madison Avenue, New York, N.Y. 10017, Mr. Ingram began his legal career at Sullivan & Cromwell after graduating from Columbia Law School in 1960. He received his B.A. from Vanderbilt University in 1954.

Married since 1961 to Jane S. Ingram, Mr. Ingram has two children, Victoria and William. He has been very active in social and legal affairs as a Member of the New York City Council Against Poverty, Chairman of the Council's Legal Services Committee, President of the South Brooklyn Anti-Poverty Corporation, and a Member of the Board of Directors of Community Action for Legal Services. He is now a Member of the Board of Directors and Secretary of the Legal Aid Society and Co-chairman of its Volunteer Division.

Mr. Ingram practiced in the areas of banking, real estate and estates and personal during his seven years at Sullivan & Cromwell. Among his most vivid recollections of his work at S&C are the "*Wyatt v. Fulrath* litigation with Bill Piel, the Webb & Knapp Chapter X Proceeding with John Dickey, the Kress Stores sale and leaseback transaction with George Kern, and the Chrysler Building mortgage financing with Dick Storrs."

Mr. Ingram was born on March 30, 1933 in Utica, New York and now resides in Pound Ridge, New York.

Member, ABCNY; NYSBA; ABA.

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381 **JOHN H. HIGGS** S&C 1960-1968

John H. Higgs, a resident of Beech Tree Lane, Pelham Manor, New York, is a partner in the law firm of Morgan, Lewis & Bockius, located in the New York office. He is a graduate of Dartmouth College (A.B. 1956) and the University of Pennsylvania Law School (LL.B. 1960).

Mr. Higgs is an active participant in the community affairs of Pelham Manor. He has served as Village Attorney, Trustee in charge of Administration, and Commissioner for the Public Works and Fire Departments. He has also devoted time and energy to the Huguenot Memorial Church, the United Fund, and various civic committees. Mr. Higgs was elected Mayor of Pelham Manor in March, 1979. He is also Police Commissioner of the Village and a member of the Board of Trustees.

He has lived in Pelham for much of his life. He attended the Pelham public schools until his parents moved to Connecticut. Following his graduation from law school, he returned to Pelham and has lived there since except for a brief period when he was recalled to the Army Reserves.

Prior to commencing the practice of law, Mr. Higgs established and operated an asphalt paving company and served as a Captain in the United States Army's Corps of Engineers. Now, in addition to his law practice, he owns and operates industrial real estate properties.

Mr. Higgs and his wife, the former Helen Platt, are the parents of four children, Sarah, Anne, Julia and Susan. Sarah and Anne are now studying at Wellesley and Dartmouth, respectively, and Julia is a senior at the High School. Susan attends Prospect Hill.

During his years at S&C, Mr. Higgs worked on banking, real estate and personal matters. Looking back, he describes his S&C experience as "Lots of hard work and good training, both of which left me with good feelings."

Member, ABCNY.

Director of The Industrial Bank of Japan Trust Company and other companies. Lecturer PLI, NCC Institute, Banking Law Institute, ABA Institutes, etc. Author of *Business Lawyer* articles; contributing editor, *Modern Banking Forms*.

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382 **TEODORO D. REGALA** S&C 1960-1962

Mr. Regala is a senior partner in the firm of Angara Abello Concepcion Regala & Cruz in the Philippines. The firm, which he opened in 1972 with several classmates, has grown from seven to forty-nine lawyers and is now the largest firm in the country. In addition, Mr. Regala teaches International Business Transactions in the University of the Philippines Master of Law Program.

Born in Manila, Philippines, on December 27, 1933, Mr. Regala was graduated from the University of Sydney in 1953, and the University of the Philippines Law School (LL.B. cum laude 1959), where he also received a B.S. (cum laude) in Jurisprudence that same year. He has an LL.M. degree from Harvard, which he received on scholarship.

Among his professional experiences as an associate in S&C's general practice group, he recalls "Blue Sky work and the problems at that time in dealing with the Blue Sky administrators in Illinois, California and Michigan; some problems of doing business abroad; two months in going over documents in connection with an anti-trust case against Inco; a memorandum, and discussions thereon, to Mr. William Pierce on the effect of Article III of the Uniform Commercial Code on the New York Negotiable Instruments Law. Particularly significant for me was my first trip out of town to Minneapolis to read minutes in connection with Blue Sky work for Pillsbury. Some exposure was also given to me in corporate reorganization, a tender offer and general corporate law."

Other memories of life at S&C include "the lunches at Seamen's Institute and the dinners at Masoletti's; the sympathetic concern manifested by the senior partners for myself as a foreign lawyer, and the wonderful time I had with the Deans in Oyster Bay on Thanksgiving Day and Christmas 1960 and 1961; the feeling that as a lawyer in S&C, you were obliged to do your best; the luncheon given for me attended by general practice lawyers prior to my departure from the Firm; the good natured competition between litigation lawyers and general practice lawyers."

Mr. Regala was an Assistant Professor of Law at Far Eastern University during the 1963-1964 academic year. He also taught at The College of Law, University of the Philippines as a Special Lecturer in 1973-1974.

He married a concert pianist, Carminda de Leon, on July 25, 1964. They have four children, Karina, 13; Teodoro, Jr., 12; Risa, 11; and Pia, 9.

"For any lawyer entering into the practice of law, I do not believe that you can have a better experience than having first been exposed to S&C. While there were some 'worse' times, it certainly was the best of times. Words cannot fully express my gratitude to S&C for having provided me with an opportunity to gain experience in the U.S."

Member: Philippine Society of International Law.

Member: Rotary Club of Makati West; Harvard Club of the Philippines (Director – 1972/73); Chamber of Commerce of the Philippines; Christian Family Movement; Makati Sports Club, Inc.; Valley Golf Club, Inc.; Canlubang Golf Club; Batulao Inc.

Member: Church Council, Union Church of Manila.

Chairman, Business Division, Group VIII, Philippine Cancer Society.

Director of: Banco Filipino, Savings and Mortgage Bank, F. Villareal & Co., Inc., United Coconut Oil Mills, Inc., Southern Island Oil Mills, Inc., Southern Luzon Coconut Oil Mills, Inc. and Company of Angels (cultural).

Corporate Secretary of: Republic Glass Corporation, Agchem Manufacturing Corp., Oceanic Wireless Network, Inc., United Coconut Oil Mills, Inc., and Nobel Philippines, Inc.

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383 **ROBERT B. HIDEN, JR.** S&C 1960-

"The son of transplanted Virginians, I grew up in the Berkshire Hills of Massachusetts and New Canaan, Connecticut, attending the local public schools until I entered Deerfield Academy in 1948. Following graduation from Princeton in 1955, I received a commission in the U.S. Naval Reserve, serving six months on a destroyer escort out of Newport, and eighteen months on a Long Beach, California-based destroyer. While on active duty I married Ann McCracken of Barrington, Illinois, spent six months in the Far East including the Formosa patrol,

and my oldest son, Bob, was born. After separation from active duty in July 1957, I entered the University of Virginia Law School and graduated in 1960 shortly after my daughter Lisa was born. Our third child, John, arrived in 1963.

"I first heard of Sullivan & Cromwell in 1957 when, before leaving for Charlottesville, I had the opportunity to discuss law school and law generally with Pearce Browning, then a Sullivan & Cromwell partner, and the father of a close friend and former S&C associate, Bill Browning. In the succeeding months others in New Canaan, including clients and friends of Dave Hawkins and Judge Peck, also spoke highly of the firm, so at Thanksgiving of my second year I went to interview at 48 Wall Street, despite warnings from law school peers about Dave Henkel's interviewing style. As things turned out, seeing Dave was by no means unpleasant, and I was fortunate enough to be ushered in to see Ward Foshay, who at that time was in charge of hiring. Ward was in a very generous mood that day, and offered a position in the 1959 summer program which I promptly accepted, though subsequently Law Review obligations made it necessary to stay in Charlottesville that summer. The following Thanksgiving brought another interview with Ward, and an offer for permanent association which I accepted on the spot.

"As it turned out, prompt acceptance was one of the most sensible things I have ever done. Immediately after the interview, I dropped by to see Bob McDonald who was in the process of authoring an article with Don Osborn for the Virginia Law Review. After a few pleasantries during which I announced the firm's offer and my acceptance, Bob asked what my class standing had been at the end of my second year. Upon hearing my response, and perhaps with fear for his article, Bob observed, 'How did you ever get on the Law Review?' Bob was too courteous to ask the next question, even rhetorically, but I was sufficiently undone as to forget to see Alfred Jaretzki who was also writing a Law Review article, despite having written to schedule an appointment with him.

"The past nineteen years with the firm have been extremely rewarding in professional terms. The three things that stand out in my mind are the quality of the people, both within and without the firm, I have been exposed to and have dealt with; the diversity, excitement and sophistication of the firm's practice; and the firm's unique quality of disorganized organization and its policy of giving young lawyers, at the very beginning of their careers, immediate exposure to clients and as much responsibility and opportunity to exercise their judgment as they can handle. These fundamental qualities have not changed as the firm grew from the approximately 85 to 95 lawyers in 1960 to the over

200 in the five offices we have today, and as long as these qualities mark the firm and its practice, the professional experience at S&C will continue to be unmatched."

Born in Boston, Massachusetts on May 8, 1933. Educated at Deerfield Academy, Deerfield, Massachusetts (1948-51), Princeton University (B.A., History, 1955), and the University of Virginia Law School (LL.B. 1960). Residence: 2 Walnut Avenue, Larchmont, N.Y. 10538.

Member: ABCNY; NYCLA; NYSBA; ABA.

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384 **PAUL R. GRAND** S&C 1960-1964

As a member of his own firm, Grand & Ostrow, 641 Lexington Avenue, New York, N.Y. 10022, Paul Grand specializes in "white collar" criminal defense work involving IRS, SEC and grand jury investigations. "The subject matter of our work generally involves securities, questionable payments and taxes. We also handle a variety of commercial litigation. The one I am proudest of is *Perma Research and Development Corp.* v. *The Singer Co.* in which I obtained a $7.5 million judgment after a 7 month trial before Honorable Kevin Duffy, U.S.D.J.".

Mr. Grand was born in St. Louis, Missouri in 1933. He is a graduate of Harvard University (B.A. 1955) and Columbia University Law School (LL.B. 1960) where he was Editor of the Columbia Law Review and a Kent Scholar.

Upon leaving S&C, where he practiced in the litigation group, he became Assistant U.S. Attorney in the Southern District of New York. From 1968 to 1969, he was Chief of the Securities Fraud Unit in the U.S. Attorney's Office. In 1969, he joined the firm of Poletti, Freidin, Prashker, Feldman & Gartner, where he remained until forming his present firm in 1976.

Mr. Grand is married to the former Anne Morgenthau. They have two children, Hillary, age 7, and Noah, age 4, and live in Riverdale, New York.

Member, ABCNY (Special Committee on the Proposed New Federal Criminal Code, 1975-); ABA; Federal Bar Council. Appointed Member, U.S. Court of Appeals Criminal Justice Act Screening Committee, 1976. Faculty Member, Columbia Law School, Continuing Legal Education Program, "How to Try a Securities Case", 1977-.

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385 **W.E.S. BROWNING** S&C 1960-1967

William E.S. Browning is Director of Private Placements at Bankers Trust Company in New York.

Born in New York in 1932, he is a graduate of Princeton University (A.B. 1953) and Harvard Law School (LL.B. 1960). As an associate in

S&C's general practice group, Mr. Browning recalls participating actively "in the hot new issue era of the early 1960's which may go down in history as a unique financial phenomenon."

Highlights of his post-S&C career include his experiences while at Bache Halsey Stuart Shields, "representing the firm as a managing agent for the largest private placement consummated (Hydro Quebec $1,060,000,000) in 1976." He was also "a member of the team which, in the same year, acted as a managing underwriter for the then largest common stock financing in history — for AT&T."

Mr. Browning married the former Amy Connelly in 1964. They have four children and live in New Canaan, Connecticut.

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386 **H. CLAYTON COOK, JR.** S&C 1960-1963

Clay Cook has been a partner of Cadwalader Wickersham & Taft since 1974, practicing in the firm's Washington office.

Born in 1935 in Washington, D.C., he attended the Capital Page School, and received his B.S.E. in Chemical Engineering from Princeton University in 1956. He joined S&C as an associate after graduation from the University of Virginia Law School (LL.B. 1960). He left New York for Denver, Colorado, and spent a year as an associate with Holme Roberts & Owen there. He returned to the East coast to join Pepper Hamilton & Scheetz in Philadelphia, where he was an associate from 1965-1969 and a partner from 1969-1970. A year with Sun Oil Company as senior tax counsel followed, and from 1971 to 1973 he was General Counsel of the Maritime Administration and member of the Maritime Subsidy Board, United States Department of Commerce, Washington, D.C. During this period he was also a member of the United States delegaion to the Soviet Union for Maritime Agreement between the United States of America and the Union of Soviet Socialist Republics.

Mr. Cook lives in McLean, Virginia. He has three children: Christianne, Nicole, and Harry Clayton III.

Member: Order of the Coif; The Raven Society; Phi Delta Phi. Executive Editor, University of Virginia Law Review 1959-1960.

Member: American Bar Association (Chairman, Maritime Transportation Subcommittee, Administrative Practice Section); District of Columbia Bar Association; Federal Bar Association (Committee of General Counsels, 1970 to present); American Law Institute; Administrative Conference of the United States; The Racquet Club of Philadelphia; The University Club (N.Y.).

Author: *Unconscionable Sales Contracts and the Uniform Commercial Code* §2-301, 45 Va. L. Rev. 583 (1959); *Government Assistance in Financing Title XI Federal Guarantees*, 47 Tul. L. Rev. 653 (1973); *Regulation of the Maritime Industry in the United States: "The Maritime Administration*, Fordham Corporate Law Institute, International Regulation of Mar-

itime Transportation", 1977 Ford. Corp. L. Inst. 155 (1977); *Financing with Assistance from the Merchant Marine Programs*, World Trade Center Institute, "Financial and Tax Aspects of International Shipping", Hyatt Regency Hotel, Houston, Texas, October 1978; *Maritime Administration Programs: Their Financial and Tax Aspects*, World Trade Center Institute, "International Shipping: Financial and Tax Aspects", New York World Trade Center, May 1979; *Leveraged Lease Ship Financing in the United States*, Seatrade Academy, "Ship Finance", Royal Aeronautical Society, London, U.K., June 1980.

387 **KENDYL K. MONROE** S&C 1960-

"Kendyl K. Monroe joined Sullivan & Cromwell in September 1960 and became a partner on January 1, 1968. From among the practice group divisions of responsibility within the firm, he selected the tax practice as affording the widest possible exposure to the variety of the firm's clients and matters, and, continuing the sound but demanding policy pioneered by Norris Darrell (*q.v.*) and Robert J. McDonald (*q.v.*), he has versed himself in all legal and commercial phases of the matters in which he has been engaged. His practice now includes clients as varied as industrial corporations, insurance and reinsurance companies, insurance brokers, investment banking firms, commercial banks, institutional investors, utilities, trade associations, educational organizations and charities, and the matters vary from purely local to national, foreign, international, multinational and space.

"He was born and, until completing public high school in 1954, lived in the tiny and remote prairie town of Clayton, New Mexico. He attended Stanford University (A.B. 1958), where he was a co-founder and editor of the Stanford Literary Magazine, and Stanford Law School (L.L.B. 1960), where he was the Comment Editor of the *Stanford Law Review*. In 1956 he married Barbara Ann Sayre, who had resided on a ranch outside Clayton, and they now have three sons — Sidney, who was born in Palo Alto, California, and Dean and Loren, who were born in New York.

"As a practitioner, he has been active in the adaptation of old and the creation of new methods of financing in response to significant changes in the tax laws that have occurred during the years of his practice. Examples include lease financings after the investment tax credit was enacted in 1962, Eurodollar financings after the Interest Equalization Tax was adopted in 1963, industrial development bond financings after certain interpretations of the tax laws became settled in the early and mid-1960's, and pollution control, solid waste disposal and certain other public facilities financings after certain restrictions and exceptions relating to industrial development bond financings were enacted in 1968. He has recently been instrumental in the drafting of New York

State tax legislation initiating the International Banking Facilities proposal, and in the implementation of the tax and other provisions of the legislation creating the New York Insurance Exchange.

"He has served and continues to serve in a variety of professional and public service positions advising on tax and other laws and implementing regulations at all levels of government in the United States which have reflected or attempted to regulate or influence changes in economic conditions and social thought during the years of his practice. These have included the Federal tax reform laws of 1969, 1971, 1976 and 1978, the Interest Equalization Tax Act of 1963, the Foreign Direct Investment Regulations, the New York State Constitutional Revision which failed of adoption in 1967, the revision of the New York State Franchise Tax on Banking Corporations and the entire package of New York City corporate and personal income taxes enacted in 1966.

"He recently completed a two year term as Chairman, following two years as Secretary, of the Board of Trustees of Grace Church School in Manhattan. He is a member of the Dean's Council at Stanford Law School, having served on the School's Board of Visitors from 1976 to 1978 (Executive Committee 1978), and he has been chairman of the New York area Inner Quad fund raising program for Stanford Law School for many years.

"Mr. and Mrs. Monroe have restored the original features of a brownstone home in Greenwich Village which was built in 1866 and had not subsequently been altered materially when they purchased it in 1971. They also helped to organize a group which purchased and has preserved in its natural condition a large area of timberlands, streams and lakes in the Adirondack Mountains near Keeseville, New York, where they and their children spend summer holidays and long weekends."

Bar associations and other professional memberships include: ABCNY (former Secretary of the Committee on Taxation); NYSBA, Tax Section (Executive Committee member and chairman of various committees at various times) and Aeronautical Law Committee (former Chairman); ABA, Tax Section (Committee on Standards of Tax Practice); California State Bar; Multinational Fiscal Associates; The Tax Club (current Secretary); Tax Advisory Committee, New York Insurance Exchange.

Clubs and other memberships include: Down Town Association; Downtown Athletic Club; Village Homeowners Association; Charles Street Association; Brownstone Revival Committee; Air Force Association; National Pilots Association; Aircraft Owners and Pilots Association; American Meteorological Society.

388 **MICHAEL A. COOPER** S&C 1960-

"The choice of a profession was not as difficult for me as the choice of a place to practice it. I was the only child of a lawyer who derived great

satisfaction from his calling, and it seemed more challenging and potentially rewarding than any other for which I showed the slightest aptitude.

"During my second year at Harvard Law School, I sought an interview at Sullivan & Cromwell because of a visit my father had paid to the firm when I was in my early teens. He had returned with a handful of yellow pads stiffer and more serviceable than any I had seen, and reported with awe in his voice that the firm employed a separate cadre of stenographers to work in the evenings. A good Stevensonian Democrat, I did not expect to feel comfortable at a firm formerly headed by John Foster Dulles, but after two hours of conversing with (or rather listening to) John Dooling, I realized that my stereotyped preconceptions needed overhauling. And after assisting Bill Piel and others during the summer clerkship Mr. Dooling was kind enough to offer me, the decision to return following law school proved an easy one.

"In the nearly nineteen years that have since passed, I have been blessed not only with a great diversity of litigation challenges, but also — and more importantly — with the opportunity to work with and learn from a galaxy of legal talent, from Arthur Dean and Judge Peck to recently arrived associates, that from my observation is without peer.

"Happily, the rewards of practicing law at Sullivan & Cromwell have been matched and complemented by the rewards of living with my wife Ann, whose succession of careers has left me occasionally breathless, and our three children, Jeffrey (b. 1964), Sarah (b. 1966) and Paul (b. 1969)."

Born, Passaic, New Jersey, March 29, 1936. Attended Horace Mann School, New York (Class of 1953). A.B. Harvard 1957 m.c.l.; LL.B. Harvard 1960 c.l. Director (1977-) and member of the Executive Committee (1978-), The Legal Aid Society. Member, Board of Trustees (1976-), The Lawyers' Committee for Civil Rights Under Law. Chairman, Major Gifts Committee, Harvard Law School Fund. Member, ABCNY; ANCLA; NYSBA (Antitrust Law Committee; former Chairman, Committee on Civil Rights); ABA (Section of Litigation); American College of Trial Lawyers (Committee on Qualifications for Trial Lawyers; Emil Gumpert Award Committee); American Law Institute.

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389 **JOSEPH L. RICE III** S&C 1960-1966

Mr. Rice is a member and founder of the firm of Clayton & Dubilier in New York. Since leaving S&C, he has founded various private companies, including two high-risk investing firms.

Born in Brooklyn in 1932 and raised in Katonah, New York, Mr. Rice is a graduate of Williams college (B.A. 1954) and Harvard Law School (LL.B. 1960). He served in the United States Marine Corps from August 1954 to September 1957. Among his engagements as a general

practice associate with S&C was his participation in the *Rath v. Rath Packing Company* matter.

Mr. Rice married Kathryn Spence in 1967. They live in Bronxville, New York with their children, Kimberly, born in 1969, and Daniel, born in 1973.

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390 **PETER J.H. BENTLEY** S&C 1960-1961

As a partner of Baker & McKenzie in Chicago, Mr. Bentley is an advisor on English and Commonwealth law with particular emphasis on American investment and business in the United Kingdom, the Republic of Ireland and Nigeria.

Mr. Bentley was born in Bournemouth, England in 1934. He received his B.A. in law with double first class honours in 1957 from Trinity Hall, Cambridge, where he was a Major Foundation Scholar. In 1965, he was awarded an M.A. From 1957 through 1960, Mr. Bentley "articled" with Linklaters & Paines in London, working mainly in the field of commercial and company law. He came to S&C in November 1960 under an exchange plan between the two firms.

In 1961, Mr. Bentley returned to London and Linklaters & Paines for four years. He became associated with his present firm in 1965, and has been a partner since 1967.

Mr. Bentley has two children, Marion (born December 10, 1962) and Paul (born August 17, 1964).

Solicitor (Honours) of the Supreme Court of England and Wales; Member of the Illinois Bar.

Member, National Panel of Arbitrators, American Arbitration Association; Board of Directors, Legal Assistance Foundation, Chicago. Former Lecturer in Law, Northwestern University.

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391 **ROBERT C. BICKFORD** S&C 1961-1966

Mr. Bickford is now engaged in general practice, with emphasis on corporate work, at Hardy, Peal, Rawlings, Werner & Coogan, 750 Third Avenue, New York, N.Y. 10017.

Born in New York City on October 28, 1935, Mr. Bickford received both his undergraduate and law degrees from Harvard University (A.B. 1956; LL.B. 1960). In 1961 he became associated with S&C, first in the estates and personal group (1961-1962) and then in general practice (1963-1966).

Upon leaving S&C, Mr. Bickford became Assistant General Counsel and Chief Legal Officer of the Financial Services Group for Deltec

International, Inc. In 1971, he joined The Vincent Astor Foundation as Director (chief operating officer) and Treasurer. He returned to the practice of law in 1975, first spending three years with the firm of Lankenau Kovner & Bickford, then joining his present firm in 1978.

Mr. Bickford has two children, Louis N. Bickford (born 5/17/65) and Elisabeth C. Bickford (born 3/1/69), and lives in New York City.

Member: ABCNY; NYSBA; The District of Columbia Bar; ABA.

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392 **WILLIAM S. GREENAWALT** S&C 1961-1965

Bill Greenawalt is a Senior Partner in Litigation of Rogers & Wells in New York.

Born in Brooklyn in 1934 and raised in Hartsdale, New York, where he now lives with his family, Mr. Greenawalt came to S&C from Cornell (B.A. 1956) and Yale Law School (LL.B. 1961), where he was Initial Note Editor of the Yale Law Journal. Between college and law school, he served in the United States Navy as a Communications Officer based in New York and London, achieving the rank of Lieutenant Commander.

Mr. Greenawalt left S&C in October 1965 to become Director of the Legal Services Program, Northeast Region, U.S. Office of Economic Opportunity. He joined his present firm in April 1968.

Member, ABCNY (Committee on Civil Rights, 1970); NYSBA Chairman, Committee on Availability of Legal Services, 1968-1970; Chairman, Action Unit No. 3, 1979-); ABA.

Co-author: "Discharging the Inactive Reservist for Activities Affecting His Security Status," 60 Yale Law Journal 474, 1960; articles in Journal of the International Commission of Jurists, Trial Magazine, New York State Bar Journal.

Candidate for United States House of Representatives, 1974; *Who's Who in American Law*.

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393 **HUGH N. SCOTT** S&C 1961-1963

Hugh N. Scott is Assistant General Counsel of the International Bank for Reconstruction and Development in Washington, D.C.

Mr. Scott was born in Philadelphia in 1928. He is a graduate of Princeton University (B.A. 1950) and Harvard Law School (LL.B. 1953). He was associated with the general practice group while at S&C, and particularly recalls working with Jack Stevenson on two Danish Government bond issues. In connection with his present work, he adds, "I have been lucky to maintain contact with S&C, who represent the World Bank's New York underwriters."

Mr. Scott married Alexandra Korff in 1966. They have three children: Eliza (9), Alexander (8) and Laura (4). The Scotts live in Washington, D.C.

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394 **HAMILTON ROBINSON, JR.** S&C 1961-1967

Tony Robinson is Executive Vice President of Bradford Ventures Ltd., 1212 Avenue of the Americas, New York, N.Y. 10036. The company is an investment advisor to Bessemer Securities Corp., the Phipps family holding company.

Highlights of working in this area of the investment field include "the constant stimulation of working with new businesses, new people and new problems in a variety of places; the fun of helping some smaller companies to grow large (although some grow smaller!). It is similar to a professional practice but with the advantages and disadvantages of direct financial participation — and without the purely intellectual content of practicing law."

Born in New Haven in 1934, Mr. Robinson was graduated from Princeton (B.A. cum laude 1955) and Harvard Law School (J.D. 1960). From October 1955 to September 1957, he served in the U.S.N.R.

As a general practice associate in the office, he participated in "various transnational securities offerings, both in the United States and in Europe; ADELA; the Inco FTC case; the incorporation of Smith Barney . . ." He recalls "the 'blue sky' furor of the sixties; John Dooling holding forth at Massoletti's; professional seminars in elegant European surroundings."

Mr. Robinson and his wife, the former Roxana Barry, live in Katonah, New York. He has two children from a previous marriage.

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395 **JOHN S. ALLEE** S&C 1961-1965

Mr. Allee is a partner of Hughes, Hubbard & Reed in New York.

Born in Bronxville, New York in 1932, he was graduated from Wesleyan University (B.A. 1954) and Columbia Law School (LL.B. 1960). He served as Assistant U.S. Attorney for the Southern District of New York from 1965 to 1969, and as Executive Assistant until 1970, when he joined Hughes, Hubbard & Reed.

Member, ABCNY.

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396 **RICARDO A. MESTRES, JR.** S&C 1961-

Born in New York City on August 12, 1933, Mr. Mestres was educated at the Phillips Exeter Academy (1948-51), Princeton University (A.B. 1955, Phi Beta Kappa) and Harvard Law School (L.L.B., 1961). Following graduation from Princeton he served in the United States Navy aboard a destroyer from June 1955 until June 1958.

Mr. Mestres joined Sullivan & Cromwell in September 1961 as a member of the general practice group and became a partner of the firm in January 1968. During his practice with the firm Mr. Mestres worked with Oliver Merrill on the financing of the Chesapeake Bay Bridge and Tunnel complex which spans the entrance to Chesapeake Bay and also with Mr. Merrill on the financing of the Verrazano Narrows Bridge across the entrance to New York harbor. He also worked with John Raben in 1964 on the $314 million financing for Columbia Storage Power Exchange which permitted the implementation of the Columbia River Treaty between the United States and Canada. More recently he has been involved in tender offer battles involving a number of major companies, including the contest for Babcock & Wilcox among United Technologies and J. Ray McDermott and the successful defense of McGraw-Hill in the offer by American Express.

Mr. Mestres married Ann Farnsworth of Lake Forest, Illinois on June 18, 1955 and they have four children: Laura, 24; Ricardo III, 22; Lynn, 20; and Anthony, 10.

Mr. Mestres is a member of the American Bar Association and the New York State Bar Association and of the Association of the Bar of the City of New York where he has served on the State Legislation Committee, the Corporation Law Committee and the Committee on Securities Regulations. Mr. Mestres also has served as a member of the Board of Trustees of the Unitarian Church of All Souls in New York City.

397 **JOHN B. CLARK** S&C 1961-1966

John Clark is a partner of Pettit & Martin, 600 Montgomery Street, San Francisco, California 94111.

Mr. Clark was born on August 2, 1936, and raised in Fort Collins, Colorado. He received both his undergraduate and law degrees from Stanford University (B.A. 1958; LL.B. 1961), and was a member of the Board of Editors of the Stanford Law Review.

Among his assignments as an associate in S&C's litigation group was "the preparation of an answer to interrogatories in *United States v. El Paso Natural Gas Company*, which weighed 1,328 lbs., took 88 days to prepare, and required 28,000 man hours of time (not all of which hours were mine)."

Other facets of his S&C experience were "unforgettable dinners with John Dooling, accompanied and followed by lengthy arguments and discussions; sharing an office with two other associates; watching Judge Peck try a case." Highlights of his post-S&C career include "the successful initiation and prosecution of litigation arising out of the acquisiton by Hughes Airwest of Hughes Air Corp., which litigation was terminated by a default judgement and settlement for $72,000,000."

Mr. Clark is not only a trial lawyer; he is also a co-owner of world famous Sam's Anchor Cafe in Tiburon, California. He is married to Susanne Vordenburg. They have six children — James, Michael, Scott, John, Oliver and Robin — and live in Belvedere, California.

398 **G.K.M. HIGHET** S&C 1961-1963

Keith Highet is a partner of Curtis, Mallet-Prevost, Colt & Mosle. His practice centers primarily on public and private international law, including work in the corporate and securities fields with particular emphasis on international financial and business transactions. Since 1975 he has concentrated on oil contract negotiations and settlement of oil contract disputes, as well as management of foreign litigation and liquidation proceedings and U.S. litigations related to the Foreign Sovereign Immunities Act of 1976. He is currently working in the International Court of Justice as Counsel in the continental shelf litigation between Libya and Tunisia.

Mr. Highet was born in Oxford, England on May 20, 1933, the only son of Gilbert Highet and Helen MacInnes Highet. He came to live in the United States when he was five years old, and became naturalized thirteen years later. He was educated at Phillips Exeter Academy; Harvard College (B.A. magna cum laude with highest honors in English literature; Phi Beta Kappa, 1954); Balliol College, Oxford, where he read the first year of the B. Litt. degree in English literature, and Harvard Law School (LL.B. 1960). During his last year of law school, he worked for Professors Louis B. Sohn and Richard R. Baxter as a research assistant on the preparation of the Harvard Draft Convention on the Responsibility of States for Injuries to Aliens. He served on active duty as an infantry officer in the U.S. Marine Corps between graduation from Harvard College and his postgraduate year at Oxford.

Mr. Highet went to Ghana in 1960 as the recipient of the first Africa-Asia Public Fellowship of the Maxwell Graduate School of Political Science of Syracuse University, sponsored by the Ford Foundation. He served as assistant in the Office of the Attorney-General in Accra and

he lectured at the Ghana School of Law at Accra and in the Law Department of the University of Ghana at Legon. In 1961, he returned to New York and entered S&C as a general practice associate. He recalls "working with Jean Lambert during the financings of the early 1960's and before Atlantic Acceptance, and with Dick Powell and Inzer Wyatt on LIO/LAMCO; learning about securities law thanks to the patience of Bill Ivey, and drafting agreements under the aegis of June Merrill and John Raben."

Featured among Mr. Highet's recollections of life at S&C are "various forms of pencils: John Raben's sharp pencils, June's blue pencil, and Lambert's broken pencil. I also remember John Slobadin's mythical supply of S&C carpeting (raisin-colored); many nights at the printer; many dinners at Massoletti's; and many good times. Holding down the fort in the Paris office when it was still a room in a hotel. Learning a great deal in what was too short a time."

Mr. Highet left S&C in 1963 to become Counsel to the Governments of Ethiopia and Liberia in the litigation of a public international law dispute (*The South West Africa Cases: Ethiopia and Liberia v. The Republic of South Africa*) pending in the International Court of Justice at the Hague. After 1966, he remained with Curtis, Mallet-Prevost "because I very much enjoyed the professional atmosphere and the subject matter of foreign and international legal transactions."

A partner of the firm since 1968, Mr. Highet has served as Chairman of its Executive Committee and is now Co-Chairman. "I have acquired a lifetime ambition to avoid all aspects of firm administration; have so far been unsuccessful."

Mr. Highet and his wife, Nancy Blair Highet, live in Englewood, New Jersey. His children are Ian Douglas (b. 1/5/65), Eliot Chace (b. 6/7/68), and Mary Marshall (b. 10/30/77).

"My only regret about leaving Sullivan & Cromwell was in leaving what is undoubtedly the best big law firm in the world, and many good friends and mentors. The regret was balanced by satisfaction in doing the other things which I have been lucky enough to become involved in. And, one always hopes, the best is yet to come! My very best wishes to the S&C Family."

Member: ABCNY (Member, Committee on International Law, 1967-69; Member, Secretary and Treasurer, Committee on Banking Law, 1969-72; Member, 1969-72, and Chairman, 1974-77, Committee on Post-Admission Legal Education [Lectures and Continuing Education]; Member, Special Committee on the Second Century, 1972-); NYSBA; ABA (Chairman, African Law Committee, 1972-74; Chairman, Committee on Continuing Legal Education of the Section of International Law, 1973-76; Chairman, ABA National Institute on Current Legal Aspects of Doing Business in Black Africa, 1974; Member of the Council of the Section of International Law, 1974-75; Member of the Advisory Committee, Standing Committee on Continuing Education of the Bar, 1975-77); The

American Society of International Law (Member, Panel on the Future of the International Court of Justice, 1971-72; Chairman, Committee on Regional and Local Activities, 1974-76; Member, Executive Council, 1980-).

Member: American Foreign Law Association (Member, Board of Directors, 1978-); American Law Institute; Federal Bar Council (Member, Board of Trustees, 1976-); International Law Association (American Branch); Societe de Legislation Comparee (Paris); The New York Law Institute; Union Internationale des Avocats; U.S.A. Branch, International Fiscal Association.

Director: Robert Fleming Incorporated, 8/1/73-6/27/78; The American Ditchley Foundation, 10/9/73 – present (and Vice President since 1975).

Trustee: Sterling and Francine Clark Art Institute, Williamstown, Massachusetts (Trustee and Treasurer, 9/23/72-5/13/78; Trustee and Vice Treasurer, 5/13/78-).

Member: Century Association; Consilium; Coram Nobis; Council on Foreign Relations; Downtown Athletic Club; Edgartown Yacht Club; Englewood Field Club; India House (Governor).

Publications: "The South West Africa Cases"; *Current History*, March, 1967; Editor (with Cormac K.H. O'Malley), *Current Legal Aspects of Doing Business in Black Africa*, American Bar Association, 1975; Book review of *Evidence Before International Tribunals, Revised Edition*, 71 *American Journal of International Law* 155-59 (January, 1977).

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MICHAEL N. AMBLER

S&C 1962-1968

Michael N. Ambler was born on August 25, 1936 in New York City. He received his A.B. from Princeton in 1958 and graduated from Columbia Law School in 1961. Mr. Ambler began the practice of law with Sullivan & Cromwell in February, 1962. He worked predominantly on tax matters.

Mr. Ambler has the "fondest memories of S&C . . . . wonderful group of lawyers — no exceptions". He left Sullivan & Cromwell in 1968 to join the legal staff of Mobil Oil Corporation as a tax lawyer. Today, he is in charge of the Office of Tax Counsel, with fifteen lawyers in New York City and others in Dallas and London. He finds his work with Mobil a "fascinating career involving all facets of tax law".

The time which his busy career does not fill, Mr. Ambler devotes to his family and their Long Island retreat, reading (archaeology especially) and other private pursuits.

Mrs. Ambler is the former Marsha Dancy. They have two children, Michael Jr. and Christian, and reside at 655 Park Avenue, NYC 10021.

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WILLIAM J. WILLIAMS, JR.

S&C 1962-

"I have a long history of making the major decisions in my life wrong. To wit, I attended Archbishop Stepinac H.S. in White Plains, N.Y., rather than applying for Regis H.S. in New York City (few who read these words will appreciate that one), I attended Holy Cross College in

Worcester, Mass., rather than apply to an Ivy League college (now you are beginning to get the gist), I attended New York University School of Law as a Root Tilden Scholar rather than the Harvard Law School where a family friend insisted on paying my tuition in an effort to get me to go there, and finally I signed on with Sullivan & Cromwell rather than going with Cravath, Swaine & Moore. In a collection of this sort the last choice probably requires some explanantion. But first a note about how I was hired.

"I came down to the office shortly before Christmas in 1960, which was late in the 'season' even in those ancient times. I spoke with Messrs. Stevenson, Horn, Henkel and Foshay in that order — as impressive then as they are now. Foshay made me an offer on the spot and then tried to crowd me into accepting it on the spot. When I said that I needed ten days to make up my mind between S&C and Cravath, he said he was already overextended in the number of outstanding offers and one could never tell when another John Foster Dulles might walk in the door, in which case there might not be a position for me by the time I made up my mind. I replied that I would just have to take that chance. Fortunately for me, a reincarnation of John Foster Dulles did not show up or at least I don't think he (or she!) did. Or, if so, it didn't interfere with my being hired.

"As to the choice between S&C and Cravath, I was the second N.Y.U. Law School student to be hired by S&C. Accordingly, I was almost as much an unknown quantity to S&C as it was to me. (Currently approximately 7.5% of the lawyers at S&C are graduates of N.Y.U. School of Law.) On the other hand, by that time Cravath had hired a fair number of N.Y.U. law students who had already achieved some measure of success: Moreover, my father, as general counsel to a corporation, had used Cravath for various specific matters, knew them well and regarded them highly. Principally as a result of a perverse streak in my nature I opted for the challenge of the unknown Sullivan & Cromwell. I have never had occasion to regret that decision. Few at Sullivan & Cromwell knew where I went to law school, and even fewer cared. I was always judged by what I did.

"With my background I came to Sullivan & Cromwell with the conceit that my ethical standards were at least as high as those I would find at the firm. However, whenever I have been uncertain as to the right course or hesitant to insist on it, I have found that my colleagues at the firm have helped identify the right and strengthen my resolve. I hope that I have on occasion returned the favor. Perhaps one of the great things about Sullivan & Cromwell is that we reinforce each other's ethical standards.

"I suppose it might be interesting to speculate where I would be if I had made all the wrong decisions right. However, to paraphrase a baseball player of another era, 'It is great to be alive and a partner of Sullivan & Cromwell'."

As to that closing quotation, Mr. Williams says, "Bartlett's does not carry this very important quotation. On consulting oral history, some authorities believe Larry Doyle said, 'It is great to be alive and a Giant'. Others believe that Joe DiMaggio said, 'It is great to be young and a Yankee'. The ravages of time have required me to adopt the Doyle formulation."

Born February 6, 1937, New Rochelle, N.Y. A.B., Holy Cross 1958, LL.B., N.Y.U. 1961. Army Reserve 1961-1967. Joined S&C March 5, 1962 (partner since 1969).

N.Y.U. School of Law: Trustee, Law Center Foundation. Member of American, New York State and City of New York bar associations; American Society of International Law; American Bar Foundation.

United States Golf Association: General Counsel, 1974-1977; Executive Committee, 1978- ; Secretary, 1980- .

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401 **ROBERT A. KRANTZ, JR.** S&C 1962-1966

Mr. Krantz is Vice President, Secretary and General Counsel of Kidder, Peabody & Co. Incorporated.

Born in Hutchinson, Kansas in 1932, he received his B.A. from Yale University in 1954, his M.A. from the University of Wichita (now Wichita State University) in 1957, and his LL.B. from Harvard Law School in 1960.

Mr. Krantz practiced in the litigation group from 1962 to 1964, and in the tax group from 1964 to 1966. Looking back, he reflects, with characteristic modesty, "My advice to students and young practitioners of the law is to obtain, if possible, at least two years of experience in Sullivan & Cromwell's litigation department and at least two years of experience in Sullivan & Cromwell's tax department. This will prepare them for practically anything except becoming general counsel of a securities firm."

Mr. Krantz married Ann-Charlotte Ljung in 1961. They have three children: Eric (1964), Sarah Chandler (1968), and Christopher (1973). The Krantz family residence is at 35 Lake Road, Short Hills, N.J. 07078.

Director: Kidder Peabody & Co. Incorporated; Dux Industries, Inc.; Heatherwreath Corporation.

Member: ABCNY; ABA; The Colorado Bar Association.

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402 **JOHN F. CANNON** S&C 1962-

"My father, Peter, left the family farm in Fanad, County Donegal, Eire, and landed in New York on October 3, 1926. My mother, Frances (nee Cannon), a neighbor's daughter, set sail ('came chasing after me')

within a few months. It was six years, however, before they married and set about raising five children in the South Bronx. I was the second oldest.

"Four years at Regis High School (1949-1953) awakened the mind and provided early on a sense of real accomplishment that made so many more things seem possible. Because I attended Fordham at night (1953-1959), and majored in mathematics, a brief but exciting daytime career at IBM in the nascent computer industry was made possible. While at Harvard Law School (1959-1962), the IBM skills so acquired made remunerative part-time employment possible. But the greatest dividend IBM ever paid, and to me alone, was Jean Wagner. Computer programmer, wife since June 25, 1960, mother of Mary Lou (b. 1961), John (b. 1962), Paul (b. 1964), and Liz (b. 1968), patience personified, she made everything else possible.

"Even in a firm that justly prides itself on the breadth of experience and degree of responsibility it offers to young lawyers, the range of substantive legal problems and clients I was exposed to as a litigation associate was particularly wide, and it often seemed that colleagues and clients were relying upon me to the limits of prudent behavior. In the end, the energy spent making sure that such wonderful people have not exceeded those limits is what makes the lawyer, and gives him a great deal of personal pleasure and satisfaction.

"After about seven years with the general run of litigation, Bill Piel said that he thought it would be a good thing if S&C had two partners who could practice labor law like Bill Ziegler. I agreed and said I would try. I still do agree and I am still trying to master the field to the degree Bill has. In any event, the molding, forging, extruding and annealing of the lawyer goes on, and the fun, too."

Member, Labor Law Advisory Committee, Practising Law Institute; Regis High School Development Council.

Member, ABCNY; NYSBA; Federal Bar Council.

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403 **ROBERT E. BARNETT** S&C 1962-1966

Managing partner, Washington office, Kutak Rock & Huie.

"After leaving Sullivan & Cromwell I returned with my wife [Jinky Peabody] to Lincoln, Nebraska where I spent four years. During that time I served as Counsel to the Governor of Nebraska and then as Executive Director of the Nebraska Constitutional Revision Commission. Highlights of those years would include my drafting of a sales tax and an income tax (the State's first) and my stewardship of the Constitutional Revision Commission (again, a first). My two oldest children, Tony and Abby, were born while we were in Nebraska.

"We moved to Washington, D.C. in late 1970 and I spent the next seven years with the Federal Deposit Insurance Corporation, initially as Deputy Chairman and then as Chairman. These were the years when major banks in the country were failing and the FDIC had the responsibility for arranging purchase and assumption transactions to limit the impact of those failures. Franklin National Bank was one of those banks that failed (interestingly enough, Sullivan & Cromwell successfully represented European-American Bank, the purchaser of the Franklin corpus).

"I left my position as Chairman of the FDIC in June of 1977 and since that time I have been the managing partner of the Washington office of Kutak Rock and Huie, a firm located in five cities throughout the United States at the present time. I am primarily engaged in banking law, and in that area spend most of my time in agency and legislative practice. While in Washington we have had two more children, Michael and Carrie."

Harvard gave Mr. Barnett his A.B. degree in 1957 and LL.B. in 1962. His practice with S&C was in litigation.

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404 **RONALD O. DEDERICK** S&C 1962-1968

Mr. Dederick is a partner of the firm, Day, Berry & Howard. Resident in his firm's Stamford, Connecticut office, he writes, "The past year has been particularly interesting as I have been working on the firm's expansion in the Fairfield County area, successfully shepherding extensive changes in the probate laws through the State Legislature and continuing my specialty in the probate and real estate fields."

Born in Chicago in 1935, Mr. Dederick came to S&C from the University of Virginia (B.A. 1957, LL.B. 1962). "I started at S&C with the intention of becoming an 'international' lawyer but soon found that due to the variety of work assignments I preferred a general practice with emphasis on personal service to individuals. I can say without qualification that my years at S&C bring only the fondest of memories; of association with superb lawyers who, in the aggregate, developed a unique esprit de corps rarely found in other firms. When I do find time for reflection, my years at Sullivan & Cromwell, filled with exciting challenges, come frequently and pleasantly to mind."

Among his particular recollections are: "(1) My first case — argued before the Supreme Court of Vermont in an interesting problem of Constitutional law — which we won much to Ham Potter's surprise. (2) Under George Kern's watchful eye, helping to negotiate the acquisition of a large tract of virgin timber land for a paper company. (3) Working with Stoddard Stevens and Ted Terry on the Chester Dale estate. (4)

The most tedious — reviewing every lease for the Pan Am building."

Mr. Dederick is married to Dorothy Hope Spence. Their children are Cynthia Rae (18) and Kenneth Scott (14). The Dedericks live in Greenwich, Connecticut.

Currently: President, Milbrook Country Club, Greenwich, Ct.; President, Stamford Rotary Club; President, Estate Planning Council of Fairfield County; Commander, USNR and Commanding Officer, Naval Intelligence Reserve Unit; Executive Committee, Estates and Trusts Section, Conn. Bar Assoc.; Chairman, Special Task Group of Conn. Bar Association on the Revision of Title 45 — Probate and Trusts laws of the State; Author, 1978, "Estate Planning Analysis"; Member, International Estate Planning Committee of ABA Probate Section; Fellow, American College of Probate Counsel; Chairman, ABA Probate Section, Committee on Special Problems of Migrant Clients.

Formerly: Deacon, Elder and Clerk of Session, Presbyterian Church; President local PTA; Director, Voluntary Action Center; various other civic and community organizations.

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405 **CHARLES E. ALLEN, JR.** S&C 1962-1968

Mr. Allen has been a partner of Squire, Sanders & Dempsey in Washington, D.C. since March 1976.

Born in Miami, Florida in 1936, he is a graduate of Yale College (A.B. 1958) and Harvard Law School (J.D. 1962). He spent the academic year 1958-1959 in England at Jesus College, Cambridge University.

He left S&C's general practice group to join Hogan & Hartson in Washington, D.C., where he was an associate from October 1968 to December 1970, and partner from January 1971 to April 1972. He was General Counsel of Federal Home Loan Bank Board and Federal Savings and Loan Insurance Corporation from May 1972 to February 1976.

Mr. Allen is a former Chairman of the Savings Institutions Law Committee of the Federal Bar Association, and the recipient of the Federal Bar Distinguished Service Award in 1976 as Committee Chairman.

He married Gloria Seaman in May 1965. They have three children: Charles Edward Allen III, born in October 1966, Christopher Seaman Allen, born in August 1968, and Jennifer Seaman Allen, born in February 1973. The Allens live at 9009 Clewerwall Drive, Bethesda, Maryland, 20034.

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406 **JUDITH SMITH KAYE** S&C 1962-1964

Judith Kaye is a litigation partner at Olwine, Connelly, Chase, O'Donnell & Weyher.

Judy came to Sullivan & Cromwell as Judith A. Smith in 1962,

practiced in the litigation group, and two years later married her S&C colleague Stephen R. Kaye, now a managing partner in Proskauer, Rose, Goetz & Mendelsohn. She was a 1958 graduate of Barnard, and a 1962 graduate of N.Y.U. Law School where she received her LL.B. degree cum laude and was a member of the Order of the Coif.

She won her litigation spurs defending a suit against *the tire manufacturer* for theft of four Dual-90 tires from a turquoise Cadillac convertible parked overnight on a Harlem street, but recalls with equal satisfaction the fact that she was able to handle securities law litigation at a time when it was possible for her to write "a comprehensive memorandum on the entire case law under Rule 10b-5 less than 15 pages long".

During the "pregnancy years" — which augmented the family by Luisa (1965), Jonathan (1967) and Gordon (1968) — Judy contributed actively to bar association work and collaborated on a number of articles on judicial selection and other professional subjects with Dean Russell Niles of the N.Y.U. Law School who became City Bar President.

She is presently a member of the President's Nominating Panel for the United States Second Circuit Court of Appeals. She is a director of the Legal Aid Society and has served as a member of the ethics committees of the City and State Bar Associations, and of the Federal Courts Committee of the City Bar Association.

Although now a partner in an outstandingly successful and respected rival firm, Judy generously observes that "the next best thing to actually being at Sullivan & Cromwell is to *have been* there — in terms of the experience, the credential, and the personal ties both within the firm and with its marvelous alumni."

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407 **EDWARD V.K. CUNNINGHAM, JR.** S&C 1962-1963

Mr. Cunningham is a partner of Van de Water & Van de Water in his native Poughkeepsie, New York. A graduate of Cornell University (B.A. 1956, LL.B. 1962), he practiced in the estates and personal group while he was associated with S&C.

Mr. Cunningham has been substantially involved in politics throughout his career. He has served as Chairman of the Taconic State Park Commission, Vice-Chairman of the New York State Council of Parks and Recreation, and Campaign Manager for various Congressional candidates and candidates for judicial office.

Mr. Cunningham and his wife, Jean M. Cunningham, live in La Grangeville, New York. They have two children, "daughter just graduated from Kent School and admitted to Denison College; son just grad-

uated from Rectory School and admitted to Middlesex School, Fourth Form." Despite having survived, intact, three years between college and law school as a jet bomber pilot in the Strategic Air Command, Mr. Cunningham holds the conviction that "Murphy's law is undoubtedly the strongest law in the Universe."

Director: Marine Midland Bank of Southeastern New York, 1967-1979; Fishkill National Bank, 1978-to date; Fargo Mfg. Co., Inc., 1967-to date; A. C. Dutton Lumber Corp., 1967-to date; James L. Taylor Mfg. Co., 1974-to date; Wire-O Corporation, 1974-1978 (Now a Division of Standex); and Counsel to Dutchess County Republican Party, 1977 to date.

Member: NYSBA (Committee on Professional Ethics, 1975-1978); Dutchess County Bar Association.

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408 **JAMES E. McKINNEY** S&C 1962-1967

James E. McKinney is now Counsel, Employee Benefits, for Mobil Oil Corporation.

Mr. McKinney joined Sullivan & Cromwell's tax group in 1962 following his graduation from Yale (B.A. History, 1955) and the University of Virginia (LL.B., 1962) where he was on the editorial board of the Virginia Law Review. Between college and law school he was on active duty for three years in the Far East as a commissioned officer in the U.S. Naval Reserve.

Mr. McKinney left S&C in 1967 to become a consultant for EBS Management Consultants and simultaneously to pursue studies in the M.B.A. program of the N.Y.U. Graduate School of Business. While with EBS he wrote a two-volume study on the origin and operation of Federal revenue sharing laws, and edited a tax news letter for clients of that firm. In August 1968 he became an associate of Lord, Day & Lord, specializing in employee benefit plans, and in January 1971 moved to the New York office of Coopers & Lybrand, where he specialized in the design and implementation of all types of executive and employee compensation and benefit arrangements. In September 1978 he took his present position with Mobil Oil.

Mr. McKinney, his wife, the former Ann Macklin Marrow, and their son, Robin Laurence, born May 7, 1980, reside at 125 East 87th Street, New York City 10028.

"It would be idle to pretend that my career at S&C was a happy one. It was not; I am not fitted by temperament or conviction to practice in a big city law firm. Fortunately, S&C realized this long before I did. On the other hand I cannot be insensitive to the lessons learned at S&C; high standards, and instruction in how to write the English language in a concise, easy to understand manner. For these lessons I shall always be grateful."

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409 **PETER S. PAINE, JR.** S&C 1962-1970

Mr. Paine is a partner of Cleary, Gottlieb, Steen & Hamilton, conducting a varied, basically international, law practice.

A native New Yorker, Mr. Paine holds the B.A. Jur. and M.A. degrees from Oxford University, which he attended following his 1957 graduation from Princeton. In 1961, he received the LL.B. degree from Harvard Law School. Having eaten the prescribed dinners and met the other more exacting requirements, on February 2, 1964 he was admitted as a Barrister at Law to the English Bar of the Inner Temple.

At S&C Mr. Paine was a member of the general practice group, with side excursions into litigation, notably working with Robert MacCrate on the complex Bata litigation raging in the courts of several countries besides New York and Pennsylvania. He also spent three years in the Paris office, handling "everything from soup to nuts, with some wine to be sure!"

Outside his practice, he is deeply involved with the future of the Adirondack Park. He was named by former Governor Rockefeller to the Temporary Study Commission on the Future of the Adirondacks, and to the Adirondack Park Agency and was subsequently reappointed to the Park Agency by Governor Carey. He has been closely associated with the creation and administration of land use controls on private and state land in the Park and with the development of the New York State wild, scenic and recreation rivers system which is centered in the Park.

Mr. Paine is also a Director of the Essex County Champlain National Bank in Willsboro, New York.

Mr. Paine married Constance Martha Murphy in 1960; they have three children: Peter S. III (1963), Lea Warren (1966) and Alexander G. (1969); and have a house at 16 Henderson Place, NYC 10028 as well as a farm in the Adirondacks, at Willsboro.

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410 **STEPHEN ANTHONY BENTON** (1938-1965) S&C 1963

Mr. Benton was born in Minneapolis on May 25, 1938. He graduated from Yale College (B.A. 1960) and the University of Michigan Law School (J.D. 1963).

After a summer internship with S&C in 1962, Mr. Benton returned for three months in 1963 before traveling to Germany on a Ford Foundation grant for a year of study in Heidelberg and work at the Max Planck Institute for International Law. A year later he had just arranged for his return to S&C on November 2, 1964, when it was discov-

ered that he was afflicted with Hodgkin's disease, for which he would have to undergo prolonged radiation therapy. The following Spring his parents telegraphed the firm, "Sorry to inform you that our son Tony passed away June 12 exhausted by his valiant fight against Hodgkin's disease. He never gave up the hope that he could some day return to Sullivan and Cromwell quote the finest law firm in the world unquote."

411 **DAVID A. DONOHOE** S&C 1963-1966

Mr. Donohoe is a partner in Akin, Gump, Hauer & Feld in Washington, D.C.

A native of Wilmington, Delaware, Mr. Donohoe took his A.B. (1959) and LL.B. (1962) degrees at Catholic University in Washington, D.C. After three years with the S&C litigation group, and following his effective work for the American Insurance Association in the *Independent Appraisal Plan* antitrust cases, he was offered the post of Associate General Counsel to the Hartford Insurance Group and accepted that appointment. He moved from Hartford in 1969 to become a partner in Rowley & Scott of Washington, D.C. and, simultaneously, a Lecturer in Law for his alma mater. He joined his present firm in 1973.

Mr. Donohoe married Constance Ann Sapia in 1961. They have three children: David, Jr. (1964), Carolyn (1965) and Christopher (1967).

Member: The District of Columbia Bar; Bar Association of the District of Columbia; ABA.

412 **LESTER L. COOPER, JR.** S&C 1963-1970

As the Corporate Director of Political Action for Motorola, Inc., "Terry" Cooper now has his office in Washington, D.C.

Born in Charlottesville, Virginia in 1938, Mr. Cooper earned his B.A. degree at Princeton University in 1960 and his LL.B. degree at the University of Virginia in 1963 (Research Editor, *Virginia Law Review*; National Moot Court Team; Order of Coif; Raven Society; Pi Delta Epsilon Honorary Journalism Fraternity).

He entered S&C's office in 1963 and, after practicing in litigation and labor law, tells us, "Never have I seen in one organization such a uniformly high caliber of people or such uniformly high quality of professional service . . . Keep up your fine tradition of public service to the profession, the community and the Nation!"

Other activities of Mr. Cooper's include his memberships on the Board of Directors of Project LEAP ("Legal Elections in All Precincts")

and the Glencoe (Illinois) Hockey Association. The father of "three fine sons", John Abbott Root, Alexander Sanford and Timothy Kemp, Mr. Cooper has been a children's ice hockey coach as well. He is divorced.

413 **ROBERT G. KLECKNER, JR.** S&C 1963-1970

Mr. Kleckner was born in Reading, Pennsylvania on March 14, 1932, the son of Robert G. and Elizabeth Endlich Kleckner. In 1954, he graduated from Yale College, where he won election to Phi Beta Kappa. For two years before completion of law school, he served on active duty as Lieutenant in the United States Army Reserve, principally in Korea as Political Liaison Officer on the G-2 Staff of AFFE/Eighth Army. In 1959 he graduated from the University of Pennsylvania Law School and, in 1960, started a general practice as a country lawyer in Reading, Pennsylvania. He came to S&C as a litigator in 1963.

"My most vivid general impressions of the years at S&C are of meeting a great many interesting people and doing a great deal of interesting work." Among his many engagements, he did extensive work on corporate matters for British Petroleum in connection with its absorption of the marketing, refining and transportation properties acquired from Atlantic Richfield, acquisition of a stock interest in Sohio, and organization of the Trans Alaska Pipeline System.

Following his association with S&C, Mr. Kleckner served for eight years as House Counsel with Goldman, Sachs, & Co. He subsequently acted as a consultant to Adela Investment Company S.A. and is now serving in a legal capacity with Johnson & Higgins.

Mr. Kleckner married Carol Espie in 1955. They have two children: Anthony, born in 1961, and Susan, born in 1966. The Kleckners live in New York City.

Member: Committee on Securities Regulation, Association of the Bar of the City of New York (1975-1978); ERISA Committee, Securities Industry Association (1976-1977).

414 **JOHN E. DONNELLY** S&C 1963-1970

Mr. Donnelly is Vice President and General Counsel of the New England Petroleum Corporation, in New York City. He is also a Director of various Nepco group companies.

"I am engaged in the supervision of the legal and tax departments of a group of privately-owned companies engaging in international shipping, oil exploration and production in the Persian Gulf, oil refining in the Bahamas, and oil trading and marketing in the United States and Europe. The general practice of law for this international

group of companies involves eurodollar loan agreements, tax planning, anti-trust matters, tanker financings and litigation matters."

Mr. Donnelly was in the litigation group at S&C, with which he became associated upon graduation from Columbia Law School (LL.B. 1963). He received his undergraduate education at Cornell University (A.B. 1960). "My principal assignments at S&C were with Bill Piel and Bill Willis, working on the defense of government and private anti-trust cases."

He was born in Atlantic City, New Jersey on October 29, 1938, and now has residences in New York City and Port Washington, New York.

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415 **MARTIN A. STOLL** S&C 1963-1967

Mr. Stoll, who practiced with the S&C tax group, is now a partner in the firm of Lovejoy, Wasson, Lundgren & Ashton in New York City.

Born November 23, 1938 and raised in Albany, New York, he received the B.S. in Economics in 1960 from the Wharton School of Finance & Commerce, University of Pennsylvania, and the J.D. in 1963 from Cornell Law School. During his last year in law school he was President of the Cornell Legal Aid Clinic.

In 1966, while associated with S&C, he acted as special consultant on tax policy to City Hall and participated in drafting Mayor John V. Lindsay's tax reform program enacted that year. In 1969 and 1971, he served as special counsel to State Senator Roy M. Goodman of Manhattan.

Contributor to tax magazines and bar association reports to Congress and Treasury Department. Author of chapter in Practicing Law Institute's 1978 treatise "Income Tax Treaties". Member of tax sections of various bar associations. Lecturer at tax seminars in Los Angeles, Chicago and the East sponsored by Young Presidents' Organization, AMR International, Practicing Law Institute, World Trade Institute and Stamford (Conn.) Tax Association, dealing with subjects which include executive compensation, corporate acquisitions, international shipping and representing entertainers.

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416 **CORNELIUS B. PRIOR, JR.** S&C 1963-1969

Senior Vice President, Corporate Finance Department (Utility Group), Blyth Eastman Dillon & Company.

Mr. Prior was an NROTC scholarship student at Holy Cross College, graduating in 1956 with an A.B. cum laude. He served three years in the Navy as a supply officer, first on a destroyer and then as technical supply officer (Lt. j.g.) in a special weapons post. He went to Harvard Law School, where he graduated in 1962, and spent the next year studying law at the University of São Paulo Law School on a Fulbright

Scholarship. He also worked with the Brazilian law firm of Pinheiro Neto and Cia. before joining the litigation group at Sullivan & Cromwell in September 1963.

With the litigation group, Mr. Prior spent most of his time on securities-related cases, but also found time to assist John R. Stevenson as case editor for the American Journal of International Law, and to write an article for the *Harvard Law School Bulletin* entitled "Legal Studies in Brazil." He worked with John F. Arning in a lengthy jury trial in the Southern District of New York, which upheld the George A. Fuller Company's "going private". He assisted Judge Peck in a successful appeal of the Polo Grounds condemnation award. He was the principal associate for Marvin Schwartz in a case that denied the right to jury trial in a stockholder's derivative suit, even though the Second Circuit and the Supreme Court subsequently saw things differently.

Mr. Prior left Sullivan & Cromwell early in 1969 to help organize the Private Investment Company for Asia (PICA), in Tokyo, and to take a position as that company's first Secretary and Counsel. He worked closely with Mr. Stevenson and later with Garfield H. Horn in that capacity, and enjoyed working with local lawyers in most of the developing countries of Asia as part of his responsibilities. He returned to New York in 1971 and joined Bill Browning (*q.v.*) in the Corporate Finance Department at Drexel Firestone, Inc., where he worked on both international and domestic corporate financing assignments. He moved to Blyth Eastman Dillon & Company, Inc. in 1976 as Vice President in the utility finance group of the Corporate Finance Department and was made a First Vice President in 1977 and a Senior Vice President in January, 1979.

His wife, Sandra, is currently about half-way through her work for a Ph.D. in English Literature at Columbia University.

Born February 26, 1934, Hartford, Ct. Married Sandra Pierson 1961; children: Elizabeth 1962, Michael 1964, Sarah 1972. Admitted to New York and Connecticut bars. Director and Treasurer, Holy Cross Club of New York. Founding Director, Brooklyn Legal Services Corporation. Member: Association of the Bar of the City of New York; University Club. Speaker at Asian Conference on Industrialization (1970), World Trade Institute Conference on Global Business (1972), UCLA Conference on Multinational Firms (1973), Business and Industry Association of New Hampshire (1978). Residence: 547 Third Street, Brooklyn, New York 11215.

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417 **J. DEXTER WALCOTT** (1937-1979) S&C 1963-1967

Mr. Walcott was born in Atlanta, Georgia, and grew up chiefly in Burlingame, California. A graduate of Princeton (A.B. 1959) and Harvard Law School (LL.B. 1963), he was a general practice associate dur-

ing his years with the firm. He left S&C to join Amax as Assistant Secretary. In 1973, he became a Vice President of Amax, Inc.

Other positions he held within Amax were Vice President of the International and Chemical Groups and President of the Iron Ore Division. Mr. Walcott was also Chairman of Rowan Consolidated Mines, Chairman of TSUMEB Corporation, and Chairman of BOTSWANA RST Ltd.

In 1977, he became Senior Vice President of Atlas Corporation; during that time he was consultant to Amoco Minerals, Ltd. He was on the Board of Trustees of Davidson College.

Mr. Walcott was living in Princeton, New Jersey at the time of his death, of leukemia, on June 8, 1979. He left his wife, Susan Barclay Walcott, and two children: Ann Barclay (born February 22, 1960) and James Dexter, Jr. (born June 21, 1962).

418 **ROBERT D. KRUMME** S&C 1963-1969

Mr. Krumme is Vice President, General Counsel and Secretary of Cluett, Peabody & Co., Inc., 510 Fifth Avenue, New York City, 10036.

Born in St. Joseph, Missouri on October 13, 1938, he graduated from the University of Nebraska (B.S. 1960) and George Washington University Law School (J.D. 1963). He practiced in S&C's litigation group for six years.

In addition to his many professional activities, Mr. Krumme is a member of the Board of Directors of The George Washington Law Association of George Washington University and also is a member a member of the Board of Directors of The Episcopal Camp and Conference Center. He and his wife, Judith, have four children: Dorothea, Robert, Ann and Matthew.

Member: ABCNY (Past member of ABCNY Committee on State Courts of Superior Jurisdiction and Committee on Corporate Law Departments). NYSBA; ABA (Corporate Law Departments Committee, Section on Corporation Banking and Business Law); American Society of Corporate Secretaries; Chairman of the Legal Committee, American Apparel Manufacturers Association.

419 **PAUL L. TRACTENBERG** S&C 1964-1965

Paul Tractenberg is a Professor at Rutgers University School of Law.

"Sullivan & Cromwell introduced me to the world of law. It was my first job after law school and, as such, it played a powerful role in my development as a lawyer. Since then, although my legal career has taken me in varied directions, many of them far removed from S&C,

the skills I developed there (especially careful analysis and organization of problems, and great attention to detail) have been important ingredients in my success.

"One of my first major assignments was an external bond offering of the Republic of the Philippines; S&C represented the Government and Cravath represented the underwriters. A group of us (Jack Stevenson and I from S&C) spent three weeks in Manila moving from cabinet officer to cabinet officer to collect information for the registration statement. I remained in Manila after the rest of the group returned to New York in order to explain the transaction to the Minister of Finance and the Governor of the Central Bank, who had been out of the country. This was pretty heady stuff for someone just a year out of law school. In a way, it whetted my appetite for international opportunities and contributed to my decision to leave S&C for a stint with the Peace Corps Office of the General Counsel.

"During the past ten years I have become very much involved in the application of law to public education. In that connection, I have served as special counsel to the New York City Board of Education for School decentralization and as special counsel to the New York City Human Rights Commission for hearings about alleged discrimination in the employment of school personnel.

"Since 1971, I have been deeply involved in reforming public education in New Jersey through litigation and otherwise. *Robinson v. Cahill* is the best known and most broadly important case in which I have served as counsel.

"Under a Ford Foundation grant, I established a major public interest law firm, the Education Law Center. It has been active for six years, in New Jersey and Pennsylvania, representing parents and students who have grievances against the public education system. Under another Ford Foundation grant, I am currently writing a book about the role of the Courts in educational reform."

At Rutgers, Professor Tractenberg teaches courses in Contracts, Public Education Law, Local Government Law and Legal Writing. In his course and clinical seminar in Public Education Law, he directs teams of students on live education law projects referred by such agencies and officials as the N.J. Commissioner of Education, the Newark Board of Education, the American Civil Liberties Union, and the NAACP Legal Defense and Educational Fund, Inc.

Born in Newark, New Jersey in 1938, Professor Tractenberg came to S&C via the University of Michigan Law School (J.D. 1963, Order of the Coif) and Wesleyan University (B.A. 1960). Upon leaving S&C, he served for two years as Associate General Counsel of the Peace Corps in Washington, D.C. Six months as Associate Counsel to the

Governor's Commission to Review the Human Rights Law in New York State followed, and then a two-year association with Fried, Frank, Harris, Shriver & Jacobson, before joining the Rutgers faculty in 1970.

Professor Tractenberg has published, lectured, advised and consulted extensively, particularly in the field of public education and the law. He married Neimah Simon in 1978. He has two children, Beth, 18, and Joel, 14, from a previous marriage, and a stepson, Ilan, 8. Professor Tractenberg and his family live in Millburn, New Jersey.

Member: Association of American Law Schools, Special Committee on Civic Education and Law and Education Section; Society of American Law Teachers; Council of New York Law Associates; ABA, Local Government Section.

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420 **JOHN LANE, JR.** S&C 1964-1965

John Lane is Administrative Judge of the Armed Services Board of Contract Appeals, part of the Department of Defense, in Alexandria, Virginia.

Born in New York, Judge Lane is a graduate of Holy Cross (A.B. 1961) and Fordham University Law School (LL.B. 1964), where he was Editor-in-Chief of the Law Review. As an associate in S&C's litigation group, he worked on the "Salad Oil" case. Upon leaving S&C, he began three years as an Attorney-Advisor with the office of the General Counsel to the Secretary of the Air Force at the Pentagon. He then remained in Washington and became an associate, specializing in government contracts, with the firms of Sellers, Conner & Cuneo (1968-1970) and Jones, Day, Reavis & Pogue (1970-1972). In December 1972, he took his present position. He has written numerous articles and lectured extensively on government contract matters.

Judge Lane and his wife, the former Penelope Jeanne Sichol, have five children: Jennifer (14), Gregory (13), Sharon (11), David (10), and Alexander (8).

Member: NYSBA; ABA; Federal Bar Association.

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421 **ROGER W. TOMPKINS** S&C 1964-65, 1967-70

Roger W. Tompkins II lives in Charleston, West Virginia, where he is a partner of Bowles, McDavid, Graff & Love.

Born in Cedar Grove, West Virginia in 1936, he was graduated from West Virginia University (B.A. 1958) and Yale Law School (LL.B. 1964). Between college and law school, he attended The Queen's College, Oxford University, as a Rhodes Scholar, and there earned his

M.A. in Politics, Philosophy and Economics. His years with S&C were interrupted by two years of service in the Army.

He left the firm on May 25, 1970 to become Deputy Superintendent and General Counsel of the New York State Insurance Department.

Order of the Coif; Phi Delta Phi. Managing Editor, *Yale Law Journal*, 1963-1964. Member, West Virginia House of Delegates, 1974- . Chairman, 1976-1978 and Majority Leader, 1978- , Committee on Judiciary. Member, ABCNY (Member, Insurance Committee, 1970-1971); Kanawha County, West Virginia and American Bar Associations; West Virginia State Bar.

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422 **J. STEPHEN SHEILS** S&C 1964-1967

Mr. Sheils is now practicing with the firm of Olwine, Connelly, Chase, O'Donnell & Weyher, 299 Park Avenue, New York, New York.

Born in New York in 1940, he received his B.A. degree from Harvard in 1961 with honors in history, and his LL.B. degree in 1964 from the University of Virginia Law School, where he was an honors student and a member of the *Law Review*.

While at S&C, Mr. Sheils practiced in both the estates and personal group and general practice group, specializing in banking. He particularly recalls working on the original bank merger transactions of Marine Midland/Grace and Bank of New York/Empire Trust.

Mr. Sheils married Merrill McLoughlin in 1968. He has one daughter, Stephanie, by a previous marriage.

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423 **ROBERT P. BORSODY** S&C 1964-1969

Robert P. Borsody is a partner of Epstein, Becker, Borsody & Green in New York City.

A native New Yorker, he received his B.E.E. from the University of Virginia School of Engineering in 1961, and his LL.B. from the University of Virginia School of Law in 1964. He and his wife, Paula, live in Larchmont, New York. Their children are Lisa (17) and Daniel (3).

Mr. Borsody joined S&C in August 1964 as an associate with the litigation group. His experience included litigating in state and federal courts.

In March 1969, he left S&C to join the Center on Social Welfare Policy and Law at Columbia University. While associated with the Center he founded a project, Legal Services for the Elderly Poor, which concentrated on law reform cases, research, and advising legal services attorneys in various parts of the country on laws affecting the elderly. In

the fall of 1970 he founded and directed the Community Health Law Project which was funded by the Office of Economic Opportunity. His work with the project was in the field of public interest Health Law reform including class action test-case litigation and legislative drafting. Mr. Borsody has published several articles on health care. Before joining his present firm in August 1978, he was in individual practice in New York City.

Member: Statewide Health Coordinating Council; National Health Lawyers Association; ABA (Committee on Medicine and Law); New York State Council on Health Care Financing; Chairman of the Public Health Committee, NYSBA, from 1974 to 1978. Listed in *Who's Who in Health Care, Who's Who in the East* and *Who's Who in America.*

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424 **DONALD C. CHRIST** S&C 1964-

Born in Mineola, New York, Mr. Christ is a graduate of Yale College (1958) and Yale Law School (1964), where he was on the *Yale Law Journal* and received the Order of the Coif.

Mr. Christ practiced in the litigation group from 1964 to 1970. Since 1971, he has worked on estates and personal and real estate matters. He has been a partner of the firm since 1972. Mr. Christ married Iris Smith on September, 18, 1976. He has three children: Margaret C. (12/29/60), Timothy C. (7/16/63), and Sarah N. (4/6/66). The Christs live in Mill Neck, New York.

Member: NYCLA; ABCNY (Chairman, Committee on Trusts, Estates, and Surrogates Courts; Committee on the Judiciary; Committee on Requirements of the Courts); NYSBA; ABA; Nassau County Bar Association; American College of Probate Counsel (Fellow). President of Board of Trustees of Port Washington Public Library, 1971-1976. Acting Village Justice of the Village of Oyster Bay Cove, 1980-; Acting Village Justice of the Village of Mill Neck, 1980-.

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425 **J. MARSHALL WELLBORN, JR.** S&C 1964-1971

Mr. Wellborn is Assistant Secretary and Assistant General Attorney of National Broadcasting Company, 30 Rockefeller Plaza, New York City 10020.

Born on March 13, 1938 in Chattanooga, Tennessee, he was graduated from Vanderbilt University in 1960 and from New York University Law School in 1963. He served a clerkship with Judge Frederick G. Hanley of the United States Court of Appeals for the Ninth Circuit before joining S&C.

Mr. Wellborn and his wife, Fritzie Riechmann Wellborn, have been active in Legal Aid Society affairs.

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426 **IRVINE D. FLINN** S&C 1964-

Born in Wilmington, Delaware, the youngest of four sons of a physician, Mr. Flinn grew up on his parents' farm near Wilmington, attended Wilmington Friends School and then Woodberry Forest School in Virginia before Princeton. After college he spent three years in the Navy aboard a destroyer. With a view to teaching English, he spent a year at Harvard before he decided upon law as a career. He then attended Columbia Law School, from which he graduated in 1964. Since graduation he has been with the firm working first as part of the banking, estates, personal and real estate group and then as part of the general practice group with a special interest in commercial real estate. In 1968 he married Elizabeth Haight from Ann Arbor, Michigan, who is in charge of the Junior Museum at the Metropolitan.

Director, Search and Care, Inc.; Director, The New York Chamber Soloists Inc. Member, ABCNY; NYSBA; ABA.

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427 **EDWIN D. WILLIAMSON** S&C 1964-

"My path to the law and Sullivan & Cromwell seems to have had a little more of an element of chance than the paths of many of my partners and associates. As a senior at the University of the South in Sewanee, Tennessee in the autumn of 1960, I found myself undecided as to what to do and finally decided to accept an offer to stay on at Sewanee for a year to help the recruiting efforts of the admissions office. Prior to that decision, I had applied for a Root-Tilden Scholarship at New York University School of Law. Quite frankly, I was surprised when I was informed in the spring of 1961 that I had won a Root-Tilden Scholarship, having been told by the head of my department that he would have supported me for a scholarship at a lesser law school if he had thought that I would work hard enough to hold on to it. In any event, I accepted the Root-Tilden Scholarship on the theory that a minimum of a year in Greenwich Village would not hurt anyone, and in the autumn of 1961 I boarded the Atlantic Coast Line Railroad in Florence, South Carolina for the first trip to New York City.

"The contrast between Darlington, South Carolina and Sewanee, Tennessee, on the one hand, and Washington Square, on the other, could not have been greater. Fortunately, the competition at NYU, which I had been led to believe I should fear, was not so fierce, and I not only managed to hold onto my scholarship, but I made the *Law Review* as well.

"Throughout law school, I assumed that I would fulfill my three-

year Marine Corps commitment (arising out of participation in an officer candidate program during the summers of 1958 and 1960, which led to a commission upon graduation from college) and then return to South Carolina to practice law. In the spring of my last year of law school, the Navy Medical Corps finally realized that my congenitally defective shoulders made me ineligible to be a Marine Corps Second Lieutenant. Having turned down an offer to appeal this decision to the Navy's Bureau of Medical Appeals, I accepted an offer of retirement (I can wear my uniform on ceremonial occasions and use my rank in my business). This left me with three unexpected years of freedom. Deciding that one or two of those years could be relatively well spent in Wall Street before returning to South Carolina, I started working at Sullivan & Cromwell in the fall of 1964. The excitement of my Sullivan & Cromwell practice led to a series of extensions of my initial two-year term. The excitement of the Sullivan & Cromwell practice, as a lure to staying in New York, was augmented by the appearance of Kathe Gates in the summer of 1967. After waiting patiently until she finished Duke in 1969, I married her in July 1969. We have three children — Sammy (born 1971), Edwin (born 1973) and Bess (born 1976).

"My practice at Sullivan & Cromwell has consisted principally of work on a very wide range of financings, both domestic and foreign, public and private, with issuers ranging from child day-care centers to foreign sovereign governments. In August 1979 I finished a delightful three-year term as the resident partner in the firm's London office.

"I hope the bicentennial version of this book records as much delight in my practice in the latter part of my career as I hope this records for my first 15 years."

Born, Florence, S.C. September 23, 1939. B.A. The University of the South, 1961; LL.B. New York University, 1964. Joined S&C November 1964, became a partner January 1, 1971.

Member, ABCNY; ABA; International Bar Association.

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428 **FRANCIS S. M. HODSOLL** S&C 1965-1966

Mr. Hodsoll is with the Department of State as Deputy U.S. Special Representative for Non-Proliferation Matters.

A graduate of Yale College (B.A. 1959), he received his M.A. and LL.B. degrees from Cambridge University in 1963, and his J.D. from Stanford University in 1964. Since leaving S&C's general practice group, Mr. Hodsoll has held positions in several branches of the United States government. From 1966 to 1967, he served in the American Embassy in Brussels; the next two years were spent as Assistant Political Adviser, Saceur, SHAPE; from 1969 to 1971, he was with the Department of State in U.S. Political Affairs. In 1972, he became Special Assistant to the Chairman of the Council on Environmental Quality. The following year, he served as Special Assistant to the Administrator of the EPA, and then, in 1974, he joined the Department of Commerce as Director of the Energy Conservation Division. He became Executive Assistant to the Under Secretary of Commerce in 1975, and, in 1976, Deputy Assistant Secretary of Commerce for Energy and Strategic Resource Policy. In 1977, before taking on his present position, Mr. Hodsoll was Director of the Office of Law of the Sea, and Vice Chairman of the NSC Interagency Group for Law of the Sea.

Mr. Hodsoll married Mimi McEwen in 1963. Their children are Francis Hamill McEwen Hodsoll, born in 1965, and Lisa-Monaise Hodsoll, born in 1966.

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429 **WALTER F. GILGES** S&C 1965-70

Mr. Gilges was born in Johannesburg, South Africa on April 14, 1937 and first entered the office as a visiting foreign lawyer. Later, he became a regular associate in the general practice group and practiced with the firm for five years. He attended Queen's College, Oxford University (B.A., 1959; B.C.L., 1960) and Yale Law School (LL.M., 1961).

Now handling international matters as an attorney in the Legal Department of Eastman Kodak, Mr. Gilges regards his tenure at S&C as "probably the most professionally rewarding and stimulating period of my life. Also the toughest physically — in the 15 months after I left S&C I put on 20 to 25 pounds. I still remember working on two separate registration statements which were going effective on the same evening — luckily at the same printer — and then preparing and filing a new registration statement the next evening. My memory is that in 60 hours I got 6 hours of sleep. But the real triumph was that John Raben signed an opinion I had prepared in the middle of all this without making a change."

Mr. Gilges now resides in Pittsford, New York with his wife, the former Patsy Mantz, and their three children, Kent, Julie and Keith.

He is President of Rochester International Friendship Council, Inc. and a member of the Board of Directors of Rochester Confederation of International Organization, the A.B.A. Sections on Antitrust and on International Law, the Taskforce on Technology Transfer of Subcommittee on International Trade and the American Society of International Law.

430 **ALLEN I. YOUNG** S&C 1965-1971

Mr. Young is Associate General Counsel of Price Waterhouse & Co., and has been a Principal of Price Waterhouse since July, 1978.

A native New Yorker, Mr. Young is a graduate of Yale College (B.A. 1961, summa cum laude) and Harvard Law School (LL.B. 1964, magna cum laude), where he was on the *Harvard Law Review*. He had been with S&C for three summers before joining as a general practice associate.

Mr. Young married Linda Hobbs Rousselot in April, 1976. He has a daughter, Ridgley Larkin Young, a son, Nathaniel Hobbs Young, and two step-children, Laura and Richard Rousselot.

Treasurer, British American Educational Foundation. Member, ABCNY; ABA.

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431 **JOHN L. WARDEN** S&C 1965-

"I was raised in Cairo, a small town at the southern tip of Illinois, and came east to Harvard in 1958. My years on the banks of the Charles are memorable, though not for diligent pursuit of academic distinction. In addition to bridge, poker, moviegoing and other such character-building avocations, I managed the lightweight crew and dabbled in Republican politics.

"Phillis (also from Cairo) and I were married in 1960, Anne was born in 1961, and we went south to Virginia in 1962. The first few weeks of law school confirmed the intuition of my youth as to my life's work, and our three years in Charlottesville were as memorable as those in Cambridge — and for me, far more productive. After a summer in Los Angeles, Phillis and I easily concluded the Northeast was more to our liking, which led us to New York and me to S&C in 1965.

"My early years at S&C were heady indeed, and after working initially with Bill Piel and then with Art Dean, I thanked the goddess of fortune for leading me to 48 Wall. My cup has since overflowed with antitrust (*e.g., Kennecott-Peabody, Potash, Berkey*), securities (*e.g., Kohn* v. *Amax, Chris-Craft*) and a miscellany of other litigated and non-litigated matters, including constitutional questions (*e.g., Lutheran Church*).

"After John (1966) and Carson (1967) enlarged our family to five, Phillis and I began to look for a place with more green grass than 77th Street and settled on Bedford, N.Y., where we have lived for ten years now and fully expect to remain."

Born Evansville, Indiana, September 22, 1941, son of Walter W. and Juanita V. Warden. A.B. Harvard 1962; LL.B. Virginia 1965. Editor-in-Chief, *Virginia Law Review* 1964-1965. Member: American Law Institute; ABCNY; NYCLA; NYSBA; ABA. Member: Knickerbocker Club; Bedford Golf & Tennis Club; The Down Town Association.

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432 **DAVID M. HUGGIN** S&C 1965-

Mr. Huggin, a member of S&C's general practice group, has been a partner of the firm since 1973. Born in Nashville, Tennessee in 1941, he spent most of his childhood and youth in Knoxville, Tennessee. After graduating from Duke University (B.A. 1962) where he met his wife, Nancy, he attended Columbia Law School (LL.B. 1965). Having survived Morningside Heights, he began as an S&C associate in the estates and banking group under the tutelage of Curtis Pierce and continues to concentrate his practice in the area of the firm's commercial banking work.

Mr. Huggin and his wife live in the Park Slope section of Brooklyn and when possible enjoy weekends in Salisbury, Connecticut. They have one daughter, Katherine (b. 5/10/67).

Member, Board of Trustees, Methodist Hospital of Brooklyn. Member: ABCNY; NYSBA (Banking Law Committee); ABA.

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433 **WARD B. STEVENSON, JR.** S&C 1965-1968

Mr. Stevenson is a partner of Schreiber, Klink, Schreiber, Lehnardt & Carney, P.C., 733 Third Avenue, New York, N.Y. 10017.

Born in the Midwest (Minneapolis, Minnesota), and educated in the South (Duke University, A.B. 1963; J.D. 1965), Mr. Stevenson settled in New York. Following his association with S&C, which he describes as "a lot of hard work, but rewarding in the end," Mr. Stevenson continued to practice in the corporate law area, with "primary emphasis on financings, mergers and the like." He was a partner in Rogers & Wells before joining his present firm on October 1, 1980.

Mr. Stevenson, who is divorced, has three children, Peter, Scott and Laura.

Member, ABCNY; NYSBA.

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434 **DANIEL D. ADAMS** S&C 1965-66, 1968-71

Mr. Adams is now Chairman of Advanced Genetic Sciences N.V., a new, high technology corporation, and of GrenVen Ltd., a management and venture capital firm. He has had a "highly successful career managing venture capital — one of the best records in the industry."

Born in Duluth, Minnesota on May 7, 1940, Mr. Adams received degrees from Cornell University (A.B., 1962) and New York University Law School (J.D., 1965). He served in the United States Army as an artillery captain in Korea and won the Army Commendation Medal.

Mr. Adams is a member of the Order of St. John and The Links. He is married to Kathryn Anderson and has three children, Samuel, Amanda and Edmund. They live in Hamilton, Bermuda and Greenwich, Connecticut.

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435 **THOMAS E. PATTON** S&C 1965-1969

Mr. Patton is engaged in federal litigation and energy law practice as a partner in Schnader, Harrison, Segal & Lewis, 1111 Nineteenth Street, N.W., Washington, D.C. 20036.

A graduate of Catholic University (A.B. cum laude, 1962, Phi Beta Kappa; LL.B. summa cum laude, 1965; Editor-in-Chief of Law Review), Mr. Patton spent four years in S&C's litigation group. Among the cases he recalls most vividly are *United States v. Simon* and *Preminger v. Columbia*. He remembers "Otto Preminger and Marvin Schwartz discussing the fine points of cheese blintzes at Gasners . . . Judge Peck, index finger poised, taking after government witnesses in *U.S. v. Simon* . . . also, Judge Peck, age 65, telling me, age 30, to go home at 4:00 a.m. because I looked tired." He adds, "Those who consider Wall Street firms as heartless do not know S&C".

After leaving S&C, Mr. Patton held a number of positions: counsel to Senator Winston Prouty (1969-1970); member of Williams, Connolly & Califano (1970-1975); and further private practice, first as a partner of Seymour & Patton (formed in 1975) and then as a partner of Kurrus & Ash (1975-1977). Immediately prior to his present affiliations, Mr. Patton was Acting Assistant General Counsel, U.S. Department of Energy. Mr. Patton is also a lecturer in Energy Law, Administrative Law and Federal Civil Practice at Catholic University.

"The defense of Otto Kerner has got to be the 'highlight' of my cases to date. Also, there is the satisfaction of contributing to government in a significant way in the Energy Department."

Born Novemeber 25, 1940 in Indianapolis, Indiana to Colonel and Mrs. Thomas E. Patton; married to Barbara Wood Patton with one child, David. Memberships: New York and District of Columbia bars; Bar of Supreme Court; Bars of several federal circuit courts (2nd, D.C., 4th, 5th, 7th, 10th), Temporary Energy Court of Appeals; D.C. Bar Association and committees thereof; A.B.A. and Sections on Administrative Law, Corporations, Banking and Business and Litigation; Federal Bar Association and Federal Energy Bar Association; American Judicature Society.

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436 **MICHAEL M. MANEY** S&C 1965-

A partner in S&C's litigation group since 1971, Mr. Maney is based in the firm's Washington, D.C. office. He was born in Taihoku, Taiwan in 1936, and was graduated from Yale (B.A. 1956), the Fletcher School of Law & Diplomacy (M.A. 1957), and the University of Pennsylvania Law School (LL.B. 1964). He served in the Air Force from 1958 to 1961. After law school, he spent a year as clerk to Mr. Justice John M. Harlan of the United States Supreme Court.

Mr. Maney and his wife, the former Suzanne Cochran, have one daughter, Michele, born 12/13/68. They live in Washington, D.C.

Member: University of Pennsylvania Law Review Alumni Advisory Board; American Law Institute; ABCNY; NYSBA (Committee on Action Programs); D. C. Bar Association; ABA.

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437 **WILLARD B. TAYLOR** S&C 1965-

"My father, C. Harold Taylor (*q.v.*), was with Sullivan & Cromwell from 1932 to 1940, and while I decided to become a lawyer only shortly before graduating from Yale College in 1962 my intention from that time on was to join Sullivan & Cromwell, if possible. I graduated from Yale Law School in 1965 and came to Sullivan & Cromwell the same year. With the exception of a brief period of military service, I have been in the tax group there since 1965."

Born: September 17, 1940; graduated from Philips Exeter Academy (1958), Yale College (B.A., 1962) and Yale Law School (L.L.B. 1965, *Yale Law Journal*). Married: Frederieke Sanders, June 28, 1963; children: Martien Alexandra Taylor (January 28, 1967) and Dorothy Severn Taylor (February 24, 1971). Association of the Bar of the City of New York (Committee on Taxation, 1970-1972 and 1977-1979); New York State Bar Association (Chairman, Committee on Bankruptcy, 1976-1977, Co-chairman, Committee on Corporations, 1977-1978); Chairman, Committee on U.S. Activities of Foreign Taxpayers, 1979- ; Executive Committee-Tax Section, 1976- ; American Bar Association-Tax Section (Vice-Chairman, Committee on Regulated Investment Companies, 1979-); Director, Lower Manhattan Cultural Council (1975-); Director/Trustee, North European Oil Royalty Trust and predecessor corporation (1970-1972, 1975-); Board of Governors, Phelps Association.

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438 **ROGER L. WALDMAN** S&C 1965-67, 1968-72

Mr. Waldman is a partner of the firm of Webster & Sheffield, One Rockefeller Plaza, New York, New York 10020.

Born in New York in 1938, he graduated from Dartmouth College (A.B. 1959) and Harvard Law School (LL.B. 1965). Mr. Waldman prac-

ticed in the litigation group and particularly recalls working on *Chris-Craft v. Bangor-Punta*. During his association with S&C, he left temporarily to spend 1967-1968 as Assistant General Counsel to the National Advisory Commission on Civil Disorders, the Kerner Commission. From February to May, 1977, he served as full-time Consultant to the National Security Council Staff.

Mr. Waldman married Virginia Heyman in 1976. They have one child, Jill Anne, born on April 11, 1978. The Waldmans live in New York.

Member, ABCNY.

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439 **TERRENCE C. REILLY** S&C 1965-1972

Terrence Carroll Reilly lives in Washington, D.C., where he is an independent consultant.

He was born in San Francisco in 1937. A 1960 graduate of Yale College, he spent a year pursuing graduate studies at the London School of Economics before entering Stanford University Law School (LL.B. 1964). After law school, he was clerk for a year to Justice Preston Devine, California District Court of Appeals, 1st District, 3rd Division, San Francisco.

Until July 1980, Mr. Reilly was with the Securities and Exchange Commission, first as Director of Internal Policy, and finally as Senior Advisor for International Security.

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440 **LEO S. ULLMAN** S&C 1965-1968

Mr. Ullman is a member of Ullman, Miller & Wrubel, P.C., 30 Rockefeller Plaza, New York City, 10112. He writes, "I have found the small private practice, including the entrepreneurial aspects thereof, immensely fulfilling. My great fear is growth, as we have now established our first committees. Perhaps the most important aspect of the practice for a lawyer is to feel valued by his client; in the context of our small firm and our many foreign clients, we have indeed felt very important to our clients.

"The importance of S&C to me has been a sense of respect for facts and for the written work product. I am proud to have been at the firm, and I value the learning experience and the friends acquired there."

Mr. Ullman was born in 1939, The Netherlands. After receiving his A.B. from Harvard in 1961, he was awarded both a J.D. and M.B.A. from Columbia in 1964. After serving for a year as Editor of European Taxation at the International Bureau of Fiscal Documentation in Amsterdam, Mr. Ullman worked in the tax group at S&C. In 1968 he became Deputy Assistant Chief Counsel and a Consultant to The Office of Foreign Direct Investments in The Department of Commerce. He has published a number of monographs and articles on various aspects of international taxation and investment in the U.S. by foreign persons.

In 1961 he married Katherine Marbut. The have four children: Laura (18), Susan (16), Valerie (13), and Frank (10).

Member, NYSBA (Committee on International Trade and Investment, Tax Section, 1972-), ABA (Committee on U.S. Activities of Foreigners and Tax Treaties, 1978).

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441 **JOHN M. NIEHUSS** S&C 1966-1969

Mr. Niehuss and his wife, the former Rosemary Neaher, and their two-year-old daughter, Julie, live in Washington, D.C., where he is an international investment banker with Merrill Lynch, Pierce, Fenner & Smith, Inc. He previously practiced international law as a partner in the firm Arter, Hadden & Hemmendinger in Washington.

Mr. Niehuss was born in Ann Arbor, Michigan in 1937. He graduated summa cum laude from Amherst College (B.A. 1958), where he was elected to Phi Beta Kappa. He taught economics for a year at the University of Buffalo, before going on to the University of Michigan Law School (J.D. 1962), where he was assistant editor of the Law Review.

After law school, he spent a year in London studying "International Economics, Comparative Law and the European Economic Community" at the London School of Economics and Political Science. He then proceeded to Luxembourg where he received the "Certificat d'Etudes Comparative de Droit" from the Universite Internationale de Science Comparees (Faculte Internationale de Droit Compare).

Mr. Niehuss was associated as a litigator with the Cleveland firm of Squire, Sanders & Dempsey for two years before joining S&C's general practice group. "My most vivid recollections of S&C-related work came after I left S&C. In 1969, I was a member of the Zambian government team that negotiated and implemented the copper mines nationalization. Loeber Landau was the main lawyer for the copper mines and one was always aware of his fine mind behind many of the mining company positions. Later, as a World Bank loan officer in 1971-73, I worked on the Shashe mining project in Botswana. Again S&C was the lawyer for the mining company (Amax) and Loeber Landau and Bob Thomas were handling the legal aspects of the negotiations. The most memorable aspect of the deal was the closing in the Town Hall of Ga-

berone, the capitol of Botswana. The closing involved 43 agreements, and 65 men from at least six different countries.

"Most of my work since leaving S&C has been in the international investment and finance area in jobs as a Legal/Economic Advisor in the Zambian Ministry of Finance, a World Bank Loan Officer, and Assistant Director of The White House Council on International Economic Policy, a Deputy Assistant Secretary of the Treasury, and in international investment banking at Merrill Lynch."

Board of Trustees, Institute for International and Foreign Trade Law, Georgetown University Law Center.

Member: Council on Foreign Relations; American Bar Association (International Law, Corporate Law and Natural Resource Law Sections); American Society of International Law.

Publications: "Foreign Investment in the United States: A Review of Government Policy," *Virginia Journal of International Law* (Vol. 16:1, Fall 1975); Contributor to *Economic Independence and Zambian Copper – A Case Study of Foreign Investment*, Praeger Special Study in International Economics and Development (1972); "International Law — Sovereign Immunity — The First Decade of the Tate Letter Policy," *Michigan Law Review* (Vol. 60, 1962).

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442 **ROBERT G. SUGARMAN** S&C 1966-1972

Mr. Sugarman, a graduate of Yale College (1960) and Yale Law School (1963), is a partner of Weil, Gotshal & Manges in New York. A litigator while at S&C, Mr. Sugarman now specializes in international property and advertising litigation, lectures on depositions, and is in the process of writing a treatise on depositions.

He is President of District I, B'nai B'rith (New York and New England), a member of the Board of Governors of B'nai B'rith International, and of the National Commission, Anti-Defamation League of B'nai B'rith.

Mr. Sugarman spent two years after law school in the U.S. Army on active duty as a First Lieutenant and Captain. He served as an Infantry Battalion S-4 and Infantry Company Commander.

Mr. Sugarman married Brenda R. Harrison on September 8, 1963. They live in Port Chester, New York, with their two daughters: Dana, born August 28, 1969, and Alison, born May 8, 1971.

Member: NYSBA, ABA (Litigation Section).

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443 **THOMAS WYNNE MORRISS** (1941-1977) S&C 1966, 1971-1973

Mr. Morriss was born in St. Louis, Missouri on October 1, 1941. He graduated from Yale College (B.A. 1963) and Harvard Law School

(LL.B. 1966, J.D. 1969). After six months with S&C, he left for military service. He served first as an enlisted man and in June, 1967, after receiving his commission, went on to the United States Military Academy at West Point as Assistant Professor of Law. He taught at West Point until rejoining S&C in 1971.

Mr. Morriss left S&C in 1973 to return to St. Louis, where he became associated with the law firm Bryan, Cave, McPheeters & McRoberts. He then joined the McDonnell Douglas Corporation, of which he was Assistant Secretary and Counsel at the time of his death, of cancer, on October 8, 1977.

Mr. Morriss was married to Frances Harrison Prosser.

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444 **ALAN D. GRANQUIST** S&C 1966, 1967-69

Mr. Granquist practiced in the tax group while at S&C, and is now a partner of Davis, Polk & Wardell in New York. Born in 1941 in Chicago, Illinois, he is a graduate of Yale College (B.A. 1963) and Harvard Law School (LL.B. 1966).

Mr. Granquist is married and has two children: John, eight, and Karen, five. The Granquists live in Darien, Connecticut.

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445 **WENDELL FENTON** S&C 1966-1971

Mr. Fenton is a partner of Richards, Layton & Finger in Wilmington, Delaware, his native city.

After graduating from Yale in 1961, he studied at Christ Church College, Oxford University for a year and then went on to Harvard, where he received his LL.B. in 1965. He worked in general practice at S&C; his accomplishments here included a triumph with Judge Peck against a team led by Ward Foshay — on the golf course.

He married Jeannie Woolston in 1967 and has three children: Joshua Woolston (1968), Nicholas Wendell (1970), and Lewis Douglas (1973).

Member: ABCNY; Delaware State Bar Association; ABA.

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446 **RICHARD E. CARLTON** S&C 1966-

"Following graduation from Maynard High School in 1957, I spent four years in Cambridge, where I had the pleasure of studying under such luminaries of the Harvard Government Department as Henry Kissinger, Robert Bowie, McGeorge Bundy and Wiliam Yangdell

Eliot. Inspired by this experience, I decided to go on with the study of political science, and enrolled at the University of Chicago in the Fall of 1961. Two months of graduate study, however, convinced me that I was temperamentally unsuited to academic life, and I decided, for want of a better idea, to return to Harvard Law School.

"My next three years were marked by election to the Law Review, which left little time for anything else, by meeting my future wife, and by two summers at S&C, where I worked first for Bob MacCrate on the 'Salad Oil Scandal' and later for a variety of partners in general practice and litigation. I returned to S&C in 1966, following a year at the London School of Economics and my marriage to Elizabeth Ann Blundell, and became a 'litigator.' Since that time, my practice has involved a wide variety of matters, including the 'Standard Oil' trademark cases, the mutual fund 'give up' cases, much antitrust work (*U.S.* v. *Phillips*; *U.S.* v. *GM and Ford*; *U.S.* v. *Blue Bell*) and an occasional tender offer (*Babcock & Wilcox* v. *United Technologies*)."

Member: ABCNY; NYSBA (Committee on Federal Courts); ABA.

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447 **MICHAEL W. WEIR** S&C 1966-

Mr. Weir has been a partner of the firm since October 1, 1974. Born in Dubuque, Iowa in 1940, and raised in Cairo, Egypt and Stamford, Connecticut, he was graduated from Duke University (B.A. 1963) and Columbia Law School (LL.B. 1966). Although carried on the firm's organizational charts as a member of the estates and personal group, Mr. Weir started his practice at S&C in the predecessor practice group, the estates, personal, real estate and banking group. As a result he continues to fill in as a "utility infielder" on commercial banking and real estate matters.

Mr. Weir and his wife, Pidge, live in New York. They have two sons, James Marshall Weir, born on July 31, 1979 and William Hubball Weir, born September 22, 1980.

Member, ABCNY.

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448 **JAMES W. BOWERS** S&C 1966-1974

Mr. Bowers is Counsel for Trade Regulation and Corporate Law with the International Paper Company, 77 West 45th Street, New York City 10036.

A native of Columbus, Ohio, born October 18, 1939, Mr. Bowers received his B.Ch.E. (1961), M.S. (1961), and J.D. (1966) degrees from Ohio State University. He practiced in S&C's litigation group. Among the cases he worked on were *Dolgow v. Anderson*, with Roy Steyer, and the RKO case, with Bill Willis.

Member: ABCNY.

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449 **HENRY Q. CONLEY** S&C 1966-1973

Mr. Conley is a Vice President and Counsel of The Equitable Life Assurance Society of the United States. A graduate of Williams College (B.A. 1962) and Harvard Law School (LL.B. 1965), Mr. Conley studied for a year in Belgium, earning a Certificate from the University of Brussels, before joining S&C's general practice group. Of his S&C days, he particularly recalls "the wonderful experience of working with John Raben on his Canadian public offerings."

Mr. Conley married Laura M. Huizinga in 1968. They live in Darien, Connecticut with their two children: ten-year-old Alexander Thomas, and seven-year-old Martine Cecilia.

Member: ABCNY; NYSBA.

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450 **ROBERT H. CRAFT, JR.** S&C 1966-74, 1976-

"As a student in the Woodrow Wilson School of Public and International Affairs at Princeton, my interest in law was sparked by Professor Alpheus Mason's course in constitutional interpretation and developed as I researched my senior thesis on Chief Justice Morrison R. Waite (1868-1888), spending the summer on a grant researching Waite's manuscripts at the Library of Congress in Washington. Graduating from Princeton in 1961, where I also played 150-lb. football and was President of Cap and Gown Club, I read law at University College, Oxford, for two years, receiving a degree in 1963. Following my first year at Harvard Law School, I spent the summer in the corporate finance department at Kidder Peabody and in 1965 was invited by William Ward Foshay to join the S&C summer program. Upon graduation in 1966, I returned to S&C to join the general practice group.

"In December 1974, I left S&C for Washington to serve as Special Assistant to the Undersecretary of State for Security Assistance, who at the time was Carlyle E. Maw (a former partner of Cravath, Swaine & Moore). While at the State Department, among other things, I dealt

with the Office of the President's Special Representative to the Law of the Sea Conference (who for much of the period was John R. Stevenson). In February 1976, I was asked by Roderick M. Hills, newly appointed Chairman of the SEC, to be his Executive Assistant. I returned to S&C in November 1976 and was one of the group that went to open the Washington office in late July 1977.

"I married Jamie Ford of New York in February 1971 and am the father of two sons: Robert III, born in August 1976, and Gerard Ford, born in August 1979."

Born September 24, 1939, New York, New York. Scarsdale High School, 1957; Princeton University, A.B. 1961; Oxford University, B.A. 1963; Harvard Law School, LL.B. 1966. Member: ABCNY, ABA, Federal Bar Association, American Society of International Law.

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451 **STEPHEN A. GRANT** S&C 1966-

Stephen Allen Grant graduated from Yale College in 1960, receiving a B.A. summa cum laude in History, the Arts and Letters. During two years as a junior officer in the U.S. Navy, he published his only contribution to scholarship in poetry and literature, his first love, in the form of an article on Alfred Tennyson in *Studies in English Literature*. In 1962 he entered the Columbia Law School where he became Editor-in-Chief of the *Law Review*. After graduating from Columbia, he served as law clerk to Judge Henry J. Friendly, United States Court of Appeals for the Second Circuit — the first non-Harvard graduate to have the privilege to clerk for such a staunchly loyal Harvard alumnus.

Mr. Grant joined Sullivan & Cromwell in 1966 as an associate in the general practice group. Since his assignment to the Paris office in 1969-71, his practice has involved a significant amount of work in international transactions, particularly in financings for the Canadian provinces, Scandinavian countries and institutions of the European Economic Community. At the same time, "notwithstanding litigation skills far too meager to foist on paying clients," as a *pro bono* contribution he successfully briefed and argued in the United States Supreme Court the case of *Bivens v. Six Unknown Named Agents of the Federal Narcotics Bureau* which established an implied right of action to sue federal officers for damages for violation of the Fourth Amendment of the Constitution.

Born November 4, 1938, New York, N.Y. Married Dr. Anne-Marie Laignel-Lavastine. Four children. Member ABCNY, NYSBA, American Society of International Law, Council on Foreign Relations. Also The Links, Down Town Association. Residence – 1021 Park Avenue, New York, N.Y. 10028.

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452 **GORDON T. WALKER** S&C 1967

Mr. Walker was enrolled as an S&C associate for only one month, and spent only two days in the office, due to a sudden and unexpected illness. He had pursued his undergraduate studies at the University of Michigan and Tufts University and had obtained his law degree at Harvard in 1967.

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453 **DAVID L. McLEAN** S&C 1967-1973

"I joined S&C in early 1967, following graduation from Yale Law School in June 1966 and a brief period of active duty in the United States Marine Corps Reserve. I was initially a member of the litigation group and participated in a representative range of matters for a young litigation associate. Two unusually interesting matters to me were assisting Bill Piel in the trial of *U. S. v. FMC Corporation*, where S&C and its client FMC stood firm (while all the co-defendents took consent orders), tried the case, and ultimately effectively beat off the Antitrust Division; and assisting Bob MacCrate in the trial of *General Foods v. Louis Ender*, in which General Foods successfully asserted its exclusive right to use the now well-known trademark *Maxim* for instant coffee.

"Thereafter I had the somewhat unusual experience of changing practice groups, and for my last three years at S&C was a member of the general practice group. Two of the more interesting assignments during that period were working with Ham Potter in representing the Banking and Securities Industry Committee (BASIC), a group composed of the chief executives of several major New York banks and the stock exchange, as BASIC attempted to solve the brokerage industry's 'back office' crisis; and working with John Raben (at the time I was deciding to leave S&C to join Lybrand, Ross Bros. & Montgomery as Associate General Counsel) on an analysis, for two major corporate clients, of Arthur Andersen & Co.'s performance in its auditing engagements for Four Seasons Nursing Homes, which has led to the indictment of two partners and a manager of Arthur Andersen. (All were eventually acquitted.) As the last assignment in particular proves, S&C always tries to provide its associates with relevant and useful experience!

"I left S&C in early 1973 to join Lybrand, Ross Bros. & Montgomery (now Coopers & Lybrand) as its Associate General Counsel. I have subsequently become a Principal (non-CPA partner) of this major international accounting firm and conduct my practice at its Executive Office here in New York.

"I married Sheila Avrin, a lawyer and Yale Law School classmate, on April 27, 1968, while she was an Associate at Cravath, Swaine & Moore. Sheila is now Associate General Counsel of the Ford Foundation. We have two children, Alexandra Andrews McLean, born April 5, 1971, and David Benjamin Avrin McLean, born April 4, 1974. Both children (who because of their tender ages don't know better) have stated intentions (at one time or another) of becoming lawyers when they grow up. Apparently, it's in the blood . . ."

Born on May 5, 1941 Longview, Washington; A.B. cum laude, Princeton University (1963); LL.B., Yale Law School (1966).

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454 **JAMES A. HUNTER** S&C 1967-1974

Mr. Hunter is a partner of Morgan, Lewis & Bockius in Philadelphia.

A graduate of Catholic University of America (A.B. 1963; LL.B. 1966), he practiced in the banking group during his S&C years. "I remember in particular my first assignment in the office: researching the question of whether a Chapter X trustee of Webb & Knapp had standing to assert a bondholders' claim against the debtor's indenture trustee, a question eventually decided by the U.S. Supreme Court in a 5 to 4 decision. The Bruce Estate also stands out in my mind. Evenings at Masoletti's; confabulating with Henry Ess; drafting lessons from Ham Potter, and things I learned about legal opinions and the law in general from Eustace Seligman. . . . I think I was fortunate to have had the opportunity to begin my legal career at S&C."

Mr. Hunter and his wife, Donna Gabriele Hunter, have one son, James A. Hunter, III, born in 1978. They live in King of Prussia, Pennsylvania.

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455 **JOHN MAYNARD** S&C 1967-1974

Mr. Maynard is a partner in the firm of Reboul, MacMurray, Hewitt, Maynard & Kristol, 45 Rockefeller Plaza, New York City 10020. A native New Yorker and a graduate of both Harvard College and Harvard Law School (B.A. 1962, LL.B. 1965), he was in the general practice group while at S&C.

Mr. Maynard and his wife, Penelope Kirton, have two children: Geoffrey (born October 2, 1969) and Nicholas (born September 16, 1971).

Member: ABCNY, ABA.

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456 **RICHARD R. HOWE** S&C 1967-

Dick Howe's work in the general practice group at the firm has been concentrated primarily in the fields of corporate, securities and international law.

"I have worked on a large number of mergers, acquisitions and similar transactions for a wide variety of clients. When I joined the office in 1967, one of my first assignments was the USLIFE Corporation team. Incorporated the previous year as a holding company for The United States Life Insurance Company in the City of New York, USLIFE embarked upon a vigorous program that kept me quite busy for the next few years working on acquisitions primarily of life insurance companies, consumer finance companies, savings and loan associations and a mutual fund management company. This laid an important foundation for my subsequent practice in which there has hardly been a time when I have not been involved in a merger or acquisition in one way or another.

"At the time I joined the office in 1967, we were in one of the last real bull markets. This placed heavy demands on Sullivan & Cromwell and resulted in great responsibility being placed upon the shoulders of younger associates, forcing them to learn quickly." Dick Howe soon became an accomplished securities lawyer and has since worked on a wide variety of financings — common stocks, preferred stocks, bonds and debentures, revenue bonds, industrial development bonds, pollution control bonds, equipment trust certificates, etc. In most of these financings the firm has represented the underwriters. Over the years Dick Howe's principal underwriting clients have been The First Boston Corporation and Lazard Freres & Co., but he has also worked for many other clients. One of the bellweather financings he worked on was the $100 million first public offering of H. F. Ahmanson & Company in 1972 in which the firm represented Goldman, Sachs & Co. and Kidder Peabody & Co. Incorporated. Among the issuers which have frequented Wall Street over the years with which he has been associated representing the underwriters have been The Toledo Edison Company and Nebraska Public Power District, both of which commenced heavy financing programs beginning in the late 1960's in order to construct nuclear power plants. In recent years he has also been involved in financings for The International Bank of Reconstruction and Development.

"Another important part of my work has been what might be described as routine representation of corporate clients in their day-to-day affairs. One of my major assignments in this regard has been the LAMCO-LIO team — i.e., The Liberian American-Swedish Mineral

Company and its Canadian holding company, Liberian Iron Ore Limited, as well as the major investors in LIO, particularly Granges AB, a Swedish corporation. During 1972 through 1974, I participated in an extensive revision of the LAMCO Concession Agreement initiated by the Liberian government after the death of former President Tubman." This brought him into close contact with the Skandinaviska Enskilda Banken of Stockholm, LAMCO's financial adviser, which in turn led to a number of other assignments, including Scandinavian Securities Corporation, their wholly-owned subsidiary in the United States, and Stora Kopparbergs Bergslags AB, which he represented in a negotiation for a timber concession in Liberia. More recently, he has been involved in negotiations to extend the LAMCO project into the Republic of Guinea which has required him to learn French.

Outside the office, he has been an active member of the Democratic Party since the first Nixon administration and worked in the McGovern campaign in 1972. He has played on the Sullivan & Cromwell softball team every summer since 1967, and for the past three years he has managed a Little League team in Short Hills, New Jersey. For recreation, his most rewarding activity is playing the piano. He has "managed to maintain a fairly good technique over the years, concentrating primarily on works of the nineteenth and twentieth centuries, although he managed to get through law school exclusively on Beethoven sonatas."

Born in 12/21/42, in Portland, Oregon; Yale University (B.A. 1964, magna cum laude); elected to Phi Beta Kappa and to Pi Sigma Alpha; Harvard Law School (J.D. 1967, magna cum laude). Married Elizabeth Anne Crowell, 8/29/64. Son Richard, Jr., born 11/7/67.

Member, Democratic Committee of the Township of Millburn, N.J. 1976-present; President, The Merrywood Association (a civic association in Millburn-Short Hills, N.J.) 1978-present.

Vice President and Trustee, Yale Club of Central New Jersey, 1974-present.

Member: ABCNY (Committee on Corporation Law); NYCLA; NYSBA; ABA; American Society of International Law.

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457 **WILLIAM R. NORFOLK** S&C 1967-

Mr. Norfolk has been a partner in S&C's litigation group since October 1, 1974. Born in Huron, South Dakota, he is a graduate of Miami University (B.A. 1963) and Duke University Law School (LL.B. 1967).

Mr. Norfolk and his wife, Marilyn, have three children: Stephanie, born on 1/23/73 and Allison and Meredith, both born on 7/26/77. They live in Brooklyn, New York.

Member, NYSBA, ABA.

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458 **CHRISTOPHER R. SEPPALA** S&C 1967-1972

Mr. Seppala, a graduate of Harvard College (1963), and Columbia Law School (1967), was born in Guildford, England in 1941. During his five years with S&C, he was a member of the general practice group.

Mr. Seppala has returned to Europe, practicing in Paris as a partner in Law Offices of S.G. Archibald, where he continues to be engaged in general practice. He is a trustee of the United States Council of the International Chamber of Commerce.

Mr. Seppala and his wife, the former Angelica Möring, live with their three-year-old daughter, Emma, and one-year-old son, James, outside Paris in Neuilly-sur-Seine.

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459 **DANIEL A. DEAN, JR.** S&C 1967-1970

Mr. Dean is a member of the law firm Yamada, Condemi, Thomas & Dean in New York City. He was born in Elmira, New York in 1943 and graduated from Fordham University (A.B. 1964) and Yale Law School (J.D. 1967). While at S&C, he practiced in the general practice group, with an emphasis on banking, and the estates and personal group.

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460 **JOHN M. LIFTIN** S&C 1967-1971

Mr. Liftin is a member of Rogers & Wells, practicing in their Washington office.

He was born in Washington, D.C. in 1943, and graduated from the University of Pennsylvania (A.B. 1964) and Columbia University Law School (LL.B. 1967, cum laude). Upon graduation from law school, where he was a Stone scholar, Mr. Liftin joined S&C's general practice group. Concerning his association with S&C, he reflects, "I know of no law firm that maintains higher standards in its practice, or that takes it responsibilities to the financial community and the corporate bar more seriously, than does Sullivan & Cromwell, nor could I direct a graduating law student to a better place to be trained as a corporate lawyer from the ground up."

Of his post-S&C experience, Mr. Liftin writes: "After leaving S&C, I served for a year as Special Counsel to William Casey while he was Chairman of the SEC. Then I spent almost two years as Associate Director of the Commission's newly formed Division of Market Regulation.

"Since 1977 I have taught a graduate course in regulation of securities markets at the Georgetown University Law Center.

"In 1978 I was named chairman of the Subcommittee on Securities Markets and Market Structure of the ABA Committee on Federal Regulation of Securities.

"In March 1979 an article I authored on the extraterritorial application of the proposed Federal Securities Code was published in the Vanderbilt Law Review.

"In 1980 I was appointed to the Advisory Board of BNA's Securities Regulation & Law Report."

Highlights of Mr. Liftin's career include "development work on a 'national market' system while serving as an Associate Director of the SEC's Division of Market Regulation. Since leaving the government, I have remained involved in this undertaking indirectly."

He and his wife, Ellen C. Liftin, have two children: Eric (b. 2/4/68) and Hilary (b. 8/12/69).

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461 **JOSEPH P. MARTORI** S&C 1967-1968

"I am a member of the law firm of Martori, Meyer, Hendricks & Victor, which I founded with two others in 1971 in Phoenix, Arizona. The Firm will consist of 21 attorneys by next summer and we fully expect a continuation of our past growth. . . . It has been particularly rewarding to share in the formation and growth of a law firm. We have endeavored to pattern ourselves after the larger eastern firms. Particularly noteworthy is having prevailed in several substantial anti-trust cases and, most recently, a major desegregation case in Tucson, Arizona."

Mr. Martori was born in New York City on August 19, 1941. He attended the University of Notre Dame and New York University (B.S., summa cum laude, 1963; M.B.A., 1968). He received his legal education from Harvard Law School and the University of Notre Dame (J.D., cum laude, 1967), acting as Executive Editor of the Notre Dame Lawyer. While at S&C, Mr. Martori practiced in the tax group, and "I can honestly say that I learned more from Robert J. McDonald than any other professional with whom I've dealt."

Mr. Martori is now divorced; he has two children, Joseph P. II and Christina Ann.

Mr. Martori was the President of Goldmar Incorporated (1970-1971).

His memberships and listings include: Board of Trustees, The Lawyers' Committee for Civil Rights Under Law; Board of Directors, Boys' Club of Phoenix, Inc.; Board of Directors, Goldmar Incorporated; Who's Who in the West.

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462 **ROBERT I. FISHER** S&C 1967-1972

Mr. Fisher is now a partner, specializing in commercial law, of the firm of Greenbaum, Wolff & Ernst, 437 Madison Avenue, New York City 10022.

He was born in New York City on July 10, 1939, and received his B.A. degree from Columbia University in 1960 and his law degree from Harvard Law School in 1963. Mr. Fisher studied International Law at New York University, receiving his LL.M. in 1967.

Mr. Fisher, his wife, Debra Kram Fisher, and their son, Daniel Israel, live in Great Neck, New York.

Member, ABCNY.

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463 **DONALD P. de BRIER** S&C 1967-1970

Mr. de Brier is Vice President, General Counsel and Director of Gulf Resources & Chemical Corporation in Houston, Texas.

He was born in New Jersey in 1940, the son of Brig. Gen. Daniel and Ethel de Brier. He received his B.A. in History from Princeton University in 1962, and his LL.B. cum laude from the University of Pennsylvania in 1967. From 1962 to 1964, he served as an officer in the Navy.

Of his S&C association, he writes: "I greatly enjoyed (and benefited from) the opportuity to work (night, day and weekends) with John Jaqua, Robert McDowell, Steve West and John Raben. They and many others at Sullivan & Cromwell set standards for me by which I will always judge other lawyers."

He left S&C to join Patterson, Belknap & Webb in New York, where he remained until 1976, when he moved to Texas and Gulf Resources.

Mr. de Brier married Nancy Lee McElroy in 1964. Their children are Lesley Anne, Rachel Wynne, and Danielle Verne.

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464 **WILLIAM BRADFORD REYNOLDS** S&C 1967-1970

Mr. Reynolds practices litigation as a partner of Shaw, Pittman, Potts & Trowbridge, 1800 M Street, N.W. Washington, D.C. 20036. He has been with the firm since 1973.

Mr. Reynolds was born in Bridgeport, Connecticut on June 21, 1942. He received his B.A. from Yale University in 1964 and his J.D. from Vanderbilt Law School in 1967 (Order of the Coif, Editor-in-Chief, *Vanderbilt Law Review*). Upon leaving S&C, he became Assist-

ant to the Solicitor General of the United States and argued eleven cases before the United States Supreme Court, including the landmark First Amendment case, *Branzburg v. Hayes*.

Since 1964, Mr. Reynolds has been married to Lynn Morgan. They have four children: Wm. Bradford Reynolds, Jr. (3/8/66); Melissa Morgan Reynolds (9/28/68); Kristina du Pont Reynolds (10/4/70), and Wendy Riker Reynolds (2/17/72).

Member: The District of Columbia Bar; The Bar Association of The District of Columbia; NYSBA; ABA.

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465 **JOHN H. GROSS** S&C 1967-1969

Mr. Gross is a member of the New York firm, Anderson Russell Kill & Olick, P.C.

Born in Shaker Heights, Ohio on April 2, 1942, Mr. Gross received his B.S. in 1964 from the Wharton School of Finance and Commerce, and his LL.B. from George Washington in 1967, where he was a member of the law review.

Though his primary experience at S&C was as a general practice associate, concentrating on banking matters, he worked in Mississippi for the Lawyers Committee for Civil Rights Under Law during the summers of 1968 and 1969, courtesy of S&C. "I appreciate the training I received at S&C and the opportunity the firm gave me to participate in the Civil Rights Movement as an attorney."

When he left the office he turned to litigation, serving as Assistant United States Attorney for the Southern District of New York. In 1974 he became Assistant Chief of the Criminal Division.

As an Assistant U.S. Attorney, he represented the United States in *U.S.* v. *John Egan*: the prosecution of the head of the narcotics division of the New York City Police Department. He also represented the U.S. in an eighteen-defendant international narcotics case, *U.S.* v. *Herbert Sperling*, and in *U.S.* v. *Inglese*, the prosecution of narcotics dealers who paid $100,000 to bribe two policemen. Also, Mr. Gross represented the Trustee in the multi-district litigation, *In re Investors Funding Corp. Securities Litigation*.

In March 1974, Mr. Gross served as Special Assistant U.S. Attorney, Northern District of Florida. He has also been Special District Attorney for New York County in which position, as a volunteer, he wrote briefs for Robert Morgenthau.

In 1979, Mr. Gross was appointed Associate Special Counsel to the United States Department of Justice to investigate President Carter's peanut business. During the course of that investigation, he took the deposition of President Carter, the first time a President has been deposed in a criminal case.

Mr. Gross has lectured on trial practice at Harvard Law School, the Department of Justice and the Association of the Bar of the City of New York. He has written columns on criminal law and constitutional law for the National Law Journal and the New York Law Journal.

Mr. Gross married Marlene Ruth Anscher in June 1974. They live in New York City.

Member, ABCNY; NYSBA.

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466 **MICHAEL H.P. BELKNAP** S&C 1967-1970

Michael Belknap is the founder and President of The Belknap Company, 270 Greenwich Avenue, Greenwich, Connecticut 06830, a real estate service, investment and development company.

A native of South Bend, Indiana, he received his B.A. from Harvard College in 1963, an LL.B. from Cambridge University in 1965 (the result of an English Speaking Union scholarship), and joined S&C's general practice group following graduation from Harvard Law School in 1967. In 1970, he became Director of the Mayor's Council on the Environment in New York City. From 1972 to 1975, he was Vice President-General Counsel of Corporate Property Investors. In 1975, he moved to Levitt & Sons, Incorporated in Greenwich as Vice President/General Counsel and Secretary, hired by Victor Palmieri Company, Incorporated, divestiture trustee, for the reorganization of Levitt. Mr. Belknap founded The Belknap Company in February 1978.

Mr. Belknap is married and the father of three children.

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467 **PATRICK L. KELLEY** S&C 1967-1969

Mr. Kelley is now a partner of the Brussels law firm of DeBandt, van Hecke, Lagae & Van Bael.

Born on December 28, 1941 at Toronto, Ohio, Mr. Kelley attended Princeton University (B.A., 1963), London School of Economics (1963-1964), and Harvard Law School (LL.B., 1967).

"At S&C, I started my career in international tax practice, which has now taken me across the ocean to Brussels.

"After cutting my fiscal teeth with Bob McDonald, Bernie Aidinoff and other members of S&C's tax group, I returned to Harvard to work two years with Ollie Oldman as a Teaching Fellow and Research Associate of the International Tax Program. After a further two years as Assistant Professor of Law at SUNY-Buffalo, I joined Gulf Oil as Euro-

pean Tax Manager. In 1977, I joined the DeBandt firm, where I was made a partner on January 1, 1979. The firm is thoroughly international, with members coming from many different national backgrounds.

"In Brussels I continue to specialize in tax work, especially in the field of tax treaties. I am also active in EEC law, especially in the trade area."

Mr. Kelley's favorite (perhaps apocryphal) reminiscence about S&C: "The story of a harassed associate working 12-14 hours a day during World War II, who discovered she had incorrectly advised a client about Minnesota Blue Sky law. Upon confessing her trespass to the Managing Partner, John Foster Dulles, she found he was not alarmed, but quickly put in a call to a friend in Minneapolis. Two weeks later the Minnesota legislature amended its Blue Sky law to correspond to the S&C opinion. Practicing law in reverse, perhaps, but what style!"

"One of the unexpected pleasures of ten years plus of legal practice: it's a small world. Nothing is more pleasant than encountering old friends and colleagues, even when you're living on the other side of the Atlantic!"

Old friends and colleagues may find Mr. Kelley in Brussels, where he lives and works, or sailing and wind-surfing off the coast of Holland.

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468 **HOWARD A. KNIGHT** S&C 1966-1969

Woody Knight was born in Providence, February 20, 1942. He is a graduate of St. George's School, Williams College (B.A., 1963) and the Yale Law School (J.D. 1966, *Yale Law Journal*, Order of Coif). He began his career in 1966 with Sullivan & Cromwell in New York, taking a year's leave of absence to become law clerk to Honorable Caleb M. Wright, Chief Judge of the United States District Court for the District of Delaware, and returning to S&C in 1967.

Mr. Knight joined Cummings & Lockwood in Stamford in 1969. He practices corporate law, concentrating in the fields of corporation finance and venture capital, and is General Counsel to Farrell Lines Incorporated.

He is married to the former Bonnie McCormick Shields and is a member of the Wee Burn Country Club in Darien and The Union League Club in New York City. Mr. and Mrs. Knight have three daughters, Heather A., Heidi McC. and Elizabeth R., and one son, Howard A., Jr., and reside in New Canaan.

Member: Stamford Bar Association; Connecticut Bar Association; ABA.

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469 **JOHN C. FLEXNER** S&C 1967-1969

Mr. Flexner was born in Kansas City, Missouri in 1940. He received his B.S. degree from Washington University in 1962, and his LL.B. from Columbia in 1966. He left S&C on September 30, 1969 to return to Missouri. Mr. Flexner's current affiliation is unknown.

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470 **WILLIAM F. PEDERSEN, JR.** S&C 1968

William Francis Pedersen, Jr. is Deputy General Counsel of the Environmental Protection Agency in Washington, D.C.

Mr. Pedersen was born in New York in 1943. He is a graduate of Harvard College (B.A., magna cum laude, 1965) and Harvard Law School (LL.B., cum laude, 1968).

He married Ellen Frost in 1974. They have two children, Mark (born 2/26/75) and Claire (born 1/26/77). Mr. Pedersen and his family live in Washington, D.C.

He is the author of "Formal Records and Informal Rulemaking" 85 *Yale L.J.* 38 (1975) and "The Decline of Separation of Functions in Regulatory Agencies", 64 *Va. L. Rev.* 991 (1978).

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471 **MICHAEL P. PORTER** S&C 1968-1971

Mr. Porter is a partner of the Honolulu, Hawaii law firm, Cades Schutte Fleming & Wright. Among his professional activities outside the office, he has organized the Corporate and Securities Section of the Hawaii State Bar Association. He serves in several civic organizations.

Mr. Porter was a member of the general practice group while associated with S&C, which he considers "the best education I have received during my entire life."

Born in Indianapolis, Indiana on March 31, 1940; graduate of Tulane University (B.A., 1961) and Tulane Law School (LL.B., 1963); divorced with one child, Genevieve Natalie, born August 5, 1970. Member: ABCNY; Hawaii State Bar Association; Louisiana State Bar Association; ABA.

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472 **JAMES E. BLACKWOOD** S&C 1968-1971

Mr. Blackwood lives and works in Erie, Pennsylvania, where he is engaged in the general practice of law as a member of the firm Carr & Blackwood. He is married to the former Sandra Lee Young. They have two children, James Y. Blackwood (born in 1962) and Torey Lynne Blackwood (born in 1961).

Mr. Blackwood was born in Philadelphia in 1939, and graduated from the University of Pittsburgh (B.S. 1961 and LL.B. 1966). He joined S&C as a litigator in 1968. "It seems to me that the key to the 'S&C experience,' if you will, is the opportunity to work with a group of very different personalities, the sole consistent characteristic being brilliance and dedication to professional excellence. For the associates, it is an opportunity to learn and grow, and one of the marvelous — and perhaps unique — things about S&C was the policy of the firm to promptly give each associate assignments involving genuine responsibility. . . I would certainly commend the opportunity to work at S&C to any outstanding law student."

473 **JOHN M. McCARTHY** S&C 1968-1969

Mr. McCarthy came to S&C with a 1965 B.S degree from Michigan Technological University and a 1968 J.D. degree from the University of Michigan. At S&C, he was a member of the litigation group, participating in such matters as the FTC action resulting from the Kennecott/Peabody merger.

In 1969, Mr. McCarthy left S&C to return to Michigan, where he has been a partner of McLean & McCarthy, in Houghton, for the past nine years. He was recently certified by the National Board of Trial Advocacy as a civil trial specialist. He now lives in his birthplace, Hancock, Michigan, with his wife, Frances, and their son, Christopher.

474 **JAMES W. BEASLEY, JR.** S&C 1968

Mr. Beasley opened his own law firm, Beasley & Olle, in Miami, Florida in 1978.

He was born in Atlanta, Georgia in 1943. In 1965, he received his A.B. degree cum laude from Davidson College. He was awarded his law degree cum laude from Harvard Law School in 1968, having been a Teaching Fellow at the university in his last year there.

Mr. Beasley's association with S&C, where he practiced in both the litigation and general practice groups, was cut short by his departure for Army service. "I believe my tenure at S&C, although brief, was the single most important factor in shaping my professional career. . . . John Raben was the best law professor I ever had."

His assignment as a U.S. Army Officer (Intelligence Branch) was in the Office of the Assistant Secretary of Defense at the Pentagon. When, in 1970, he returned to practicing law, Mr. Beasley spent two

years at Wilmer Cutler & Pickering in Washington, D.C. and then moved to Miami, Florida to practice with Paul & Thomson, serving as managing partner from 1975 to 1978.

The highlights of his professional experience include writing briefs in *Miami Herald Publishing Co.* v. *Tornillo*, 418 U.S. 241 (1974), in which a 9-0 reversal of the Florida Supreme Court was obtained, and writing briefs and arguing the case in *Collins Securities Corp.* v *SEC*, 562 F.2d 820 (D.C. Cir. 1977) in which an SEC broker-dealer decision was reversed.

Chairman, Securities Regulation Committee of the Corporation, Banking & Business Law Section of The Florida Bar, 1975-1977; Member, Federal Securities Regulation Committee of the Corporation, Banking and Business Law Section, American Bar Association, 1976 to date; Co-Author, *Florida and Federal Securities Regulation*, The Florida Bar, 1975, 2d ed. 1979; Draftsman, Florida Investor Protection Act of 1977, Section 517.35, Florida Statutes; President, Havard Law School Association of Florida (1980); Member, Board of Directors: Coastland Corporation of Florida; Cutler-Federal, Inc.; Community Television Foundation of South Florida, Inc.; Commodore, South Florida Ocean Racing Association, 1977-1978. Member: Coral Reef Yacht Club (Miami); University Club of Miami; Standard Club of Miami; Bankers Club (Miami); New York Yacht Club.

475 **MICHAEL T. STAPLETON** S&C 1968-1976

Born in Milwaukee in 1943, Mr. Stapleton was graduated from Marquette University (B.A. 1965) and Yale Law School (LL.B. 1968). He left S&C to join Amerada Hess Corporation in New York, where he is with the legal department.

476 **PETER P. MILLER** S&C 1968-1974

"Looking back along the road leading to where I now find myself," Mr. Miller writes, "it has been my experience that for the long range one can only plan one's life directionally. The exigencies of the moment and the opportunities that present themselves from time to time have their own compelling force, leading one in new directions and into new situations one would not necessarily have dreamed about when starting out. But an evolving, changing life, if it is to grow in meaningfulness, must proceed from a basis and must build on each successive experience. I do not see how I could have arrived at my present practice of the law had I not developed a thirst for learning in college, acquired a love for the law in law school, and been given the opportunity to begin my practice in the inestimable company of the lawyers at Sullivan & Cromwell."

Born in New York in 1938, Mr. Miller was graduated from Yale

University with a B.A. in Political Science in 1960 and received his LL.B. from Stanford University School of Law in 1968, where he was on Law Review. He served in the U.S. Army from January 1962 to February 1967, achieving the rank of captain.

Among his memorable experiences as a general practice associate at S&C, Mr. Miller recalls:

"Accomplishing the Alp Sport merger despite all odds, including (i) use of a police notary in Boulder at the same time (midnight) the policeman was booking three suspects for breaking and entering, and (ii) loss of the merger documents from the back end of a messenger's motorcycle on the Van Wyck Expressway (they were subsequently found and filed, somewhat the worse for wear).

"Shortly after having moved into a new office on the 22nd floor of 48 Wall Street, I ducked down to the library in my shirt-sleeves to check a legal point. On the way back up, George Sharp entered the elevator on an intervening floor. He looked me over, inquired whether I was in one of the new offices on 22, and when I replied 'yes', he remarked, 'It must be springtime up there'."

From May, 1971 to June, 1973 Mr. Miller practiced in the Paris office. In April, 1974 he joined Mobil Oil Corporation in New York as Counsel, International Division. In September, 1974 he moved to London as Counsel, Mobil Producing Northwest Europe Inc. In 1976 he became General Counsel of Mobil Exploration Norway Inc. in Stavanger, Norway, "an exploration and producing affiliate which, as operator, is developing the Statfjord Field, the largest oil field thus far discovered in the North Sea."

Effective April 1, 1979, Mr. Miller was appointed Vice President and General Counsel, Mobil Oil Indonesia Inc., the Mobil affiliate that operates the Arun gas field. He expects to be based in Jakarta for two to three years.

Mr. Miller married Gloria Jean Everson, of Willmar, Minnesota, on July 26, 1978. They have two children: Jonathan Putnam Miller, born in Paris in 1972, and Kristen Iversen Miller, born in Stavanger in 1977. The Millers hope to return to their home in Darien before it has been fully depreciated.

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477 **WILLIAM F. INDOE** S&C 1968-

Mr. Indoe, a member of S&C's tax group, has been a partner of the firm since October 1, 1976. Born in Paterson, New Jersey in 1942, he is a graduate of Lehigh University (B.A. 1965) and the University of Virginia Law School (LL.B. 1968).

Mr. Indoe and his wife, Jane, have three children: Shannon Kent (b. 5/17/73); Hardy Tucker (b. 5/11/75) and Merrill L. (b. 8/12/78). They live in New York and Mantoloking, New Jersey.

Member: ABCNY; NYSBA (Executive Committee, Tax Section).

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478 **MARTIN BUDD** S&C 1968-1976

Martin Lewis Budd is a partner in Day, Berry & Howard, One Constitution Plaza, Hartford, Connecticut 06103.

Born in Brooklyn in 1940, Mr. Budd graduated summa cum laude from Dartmouth College in 1960. He went to Oxford as a Marshall Scholar and received his second B.A. in 1963. After military service he received his LL.B. magna cum laude from Harvard Law School in 1968.

The same year, he became associated with Sullivan & Cromwell. During his eight years in the general practice group here, he worked primarily on securities law, with an emphasis on international securities transactions. After leaving S&C, he became Executive Director of The National Market Advisory Board (of the SEC) for a year. The Board was a Federal Advisory committee established by Congress to advise it and the SEC on the establishment of a National Market System for securities trading.

From December 1977 to June 1978 he was Director of the SEC's Special Study of the Options Market. He joined his present firm in August 1978.

Mr. Budd married Elizabeth Topham Kennan in July 1977. She is now the President of Mount Holyoke College; he notes that "the role of Prince Consort at an all women's college is a rather interesting extracurricular activity". Their children are Robin Budd, 14 years old; Alexander Kennan, 13 years old; Randall Budd, 12 years old; and Harlan Budd, 10 years old.

Member: ABCNY; ABA; American Society of International Law; American Academy of Political and Social Science.

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479 **DAVID M. KIES** S&C 1968-

Following "a fairly typical suburban childhood" in Yonkers, New York, Mr. Kies attended Haverford College where as a history major he decided to become a lawyer. Mr. Kies remains actively interested in the affairs of Haverford College and for the past six years has been Secretary-Treasurer of the Haverford Society of New York. Following his graduation from Haverford in 1965, Mr. Kies attended New York University Law School as a Root Tilden Scholar. He entered the office im-

mediately after graduating in 1968, joining the general practice group. Mr. Kies was admitted to the firm on October 1, 1976. His practice has included extensive work on securities issues principally for Kidder Peabody & Co. Incorporated, numerous mergers, acquisitions and takeover matters and numerous public and private transactions involving foreign governments and foreign corporations.

In 1966 Mr. Kies married his high school classmate, Emily Bardack, a graduate of Bryn Mawr College and Columbia University Graduate School (M.A. and Ph.D.), who teaches History of Art at the College of New Rochelle. Their three children are Abigail, Adam and Laura, ages 7, 11, and 12.

Member, NYSBA, ABA.

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480 **ROBERT M. OSGOOD** S&C 1968-

After receiving his undergraduate degree from Syracuse University, with honors (English Literature), and brief excursions into teaching and the ministry, Robert Mansfield Osgood settled upon law, graduating summa cum laude from Syracuse University College of Law in 1969 where he was editor-in-chief of the *Syracuse Law Review*. He became a partner in the firm in 1976, specializing in corporate litigation.

Mr. Osgood and his wife, the former Susanne G. Mykel, reside in Glen Ridge, New Jersey with their three children Christopher Mykel (15), Elizabeth Chase (9) and Abigail Mansfield (1).

Member, ABA.

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481 **DONALD A. GOLDSMITH** S&C 1968-1977

Now a partner at Kronish, Lieb, Shainswit, Weiner & Hellman in New York City, Mr. Goldsmith heads that firm's trusts and estates group. He is also the Secretary of the Committee on Trusts, Estates and Surrogates' Courts of the Association of the Bar of the City of New York.

While at S&C, he practiced in the areas of trusts and estates and real estate. He recalls especially the administration of the estate of the brother-in-law of Chiang Kai Shek and former Finance Minister and Prime Minister of the Republic of China, and his involvement in the acquisition by European-American of the assets of 125 Franklin National Bank branches.

Mr. Goldsmith was born in Brooklyn, New York on May 24, 1943. He attended Bowdoin College (B.A. 1965) and Columbia Law School (LL.B. 1968). He is married to Mary Lee Thompson Goldsmith, formerly an estates assistant at S&C. Their first child, Lindsay Thompson Goldsmith, was born on May 10, 1979.

"As a result of my years at S&C," Mr. Goldsmith writes, "it has become virtually impossible for me to read anything at all without a pencil in hand."

Member: ABCNY (Secretary, Committee on Trusts, Estates and Surrogates' Courts, 1978-); ABA (Member, Real Property, Probate and Trust Law Section).

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482 **ROGER D. CHESLEY** S&C 1968-1977

Mr. Chesley is now Attorney and Assistant Secretary for Amstar Corporation, 1251 Avenue of the Americas, New York City, 10020.

He was born at New Britain, Connecticut on June 23, 1943 and attended the University of Pennsylvania (B.S., 1965) and Harvard Law School (LL.B., 1968). In the general practice group while at S&C, Mr. Chesley reminisces about "Foreign assignments with Gar Horn; keeping up with the pace set by Roy Steyer; working with several interesting business personalities; Dick Powell's great ability to teach and explain to his young associates during the course of giving advice to a client or negotiating with the other parties to the transaction."

Mr. Chesley is a member of the Millburn Board of Education. He has been married since 1968 to Joan B. Stressenger and they have three children: Carol Brooks, Robert William and Susan Blake.

Member: ABCNY; NYSBA; ABA.

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483 **EARL D. WEINER** S&C 1968-

"My father and mother each left Russia in the early part of the century and settled in Baltimore. I was the youngest by far of their four children, and I was the one who got to go to college. A highlight of my first year at Dickinson College was my role as the villain in the freshman play opposite a villainess played by a classmate from New York named Gina Ingoglia. After a whirlwind courtship we were married — two years after graduation. A brief sojourn pursuing graduate work and teaching in the English department at Johns Hopkins was enough to persuade me that I didn't really care why Spenser's witches weren't like Shakespeare's witches. Naval Officer Candidate School and four plus years in the Navy followed, first as communications officer aboard a fleet oiler and then as instructor in Naval operations and tactics at OCS. On leaving the Navy and Newport, R.I., Gina, our three-month old daughter Melissa and I headed south to law school in New Haven.

At Yale my focus soon changed from teaching to practice, and, after spending the summer at a law firm in Cleveland, I returned to the East and joined Sullivan & Cromwell as a member of the general practice group. We have lived in Brooklyn Heights ever since moving to New York, where our son Barr was born. Gina is a free-lance writer of children's books."

Born, August 21, 1939; A.B. Dickinson College, 1960; married Gina Helen Priestley Ingoglia, March 30, 1962; Melissa Danis Balmain born, May 28, 1965; LL.B. Yale Law School, 1968; joined Sullivan & Cromwell, August 19, 1968; John Barlow born, February 11, 1969; admitted as partner of Sullivan & Cromwell, October 1, 1976.

Governor, Brooklyn Heights Association; Governor and Treasurer, The Heights Casino; Governor and Vice President, The Merriewold Club, Incorporated. Member, American Bar Association.

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484 **LOUIS F. COMUS, JR.** S&C 1968-1973

Mr. Comus is a member of the law firm of Fennemore, Craig, von Ammon & Udall in Phoenix, Arizona.

While with S&C, he practiced in trusts, estates, banking and real estate and of that experience, he says: "The firm's unwritten and unspoken but inflexible demand that every attorney produce work of only the highest quality is the solid foundation of my professional life. I am grateful to all of my friends at Sullivan & Cromwell for their parts in making my 4.592 years at the firm instructive and enjoyable. I will particularly remember my work with Arthur Dean, Curtis Pierce and Ted Terry on the Dulles Estates and the Nantucket Ornithological Association, and with Stoddard Stevens on the Bruce Estate, as highlights of my professional career."

Born at Celina, Ohio on February 26, 1942, Mr. Comus attended Antioch College (B.A., 1965) and Vanderbilt University Law School (J.D., 1968). He lives in Phoenix with his wife, Patricia Ann Wentworth and two children, Louis F. Comus III and Christopher T. Comus. With his sons, he is active in Scouting and Y.M.C.A. Indian Guides. He is also Chancellor in the Episcopal Parish of Saint Barnabas-on-the-Desert.

Member: The State Bar of Arizona; Maricopa County Bar Association; NYSBA; ABA.

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485 **M. BLANE MICHAEL** S&C 1968-1971

Mr. Michael was born in Charleston, South Carolina in 1943. He graduated from West Virginia University (A.B. 1965), where he was elected to Phi Beta Kappa, and New York University Law School (J.D. 1968).

Following his association with S&C as a litigator, Mr. Michael became Assistant United States Attorney for the Southern District of New York. He then returned to West Virginia as counsel to the Governor of West Virginia, John D. Rockefeller IV.

Mr. Michael is married to Mary Anne Eckert and they have one daughter, Cora, age 5. They live in Charleston, West Virginia.

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486 **STANLEY F. FARRAR** S&C 1968-1973

Now a partner in the Los Angeles firm of Lillick McHose & Charles, Mr. Farrar resides in Pasadena, California.

He was born in Santa Ana, California on March 24, 1943. He received his B.S. from the University of California, Berkeley in 1964 and his J.D. from the University of California, Boalt Hall in 1967.

After law school he joined Sullivan & Cromwell as an associate in the general practice group. Of his five years with the firm, Mr. Farrar writes: "Bob McDonald will recall all the fun with the Wilshire Oil acquisition of Trust Company of New Jersey; Dick Powell, the foreclosure on some aircraft for GECC and Ham Potter, Harvard Industries. . . . I had a wonderful time at S&C and cherish the friendships developed there."

He notes that he finished 863rd in the New York City Marathon of October 1976, and can recommend not going to Morocco on vacation.

Member, Los Angeles County Bar Association; NYSBA; ABA.

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487 **RICHARD deCOURCY HINDS** S&C 1968-1971

Mr. Hinds is a partner in the law firm of Cleary, Gottlieb, Steen & Hamilton, 1250 Connecticut Avenue, N.W., Washington, D.C. 20036.

Born in Boston, Massachusetts on November 28, 1941, Mr. Hinds graduated from Tufts University (B.A., 1964) and from Columbia Law School (LL.B., 1967). He was in S&C's litigation group, leaving after three years to become Assistant to the Assistant Secretary for Operations and Trade and Tariff Affairs, Department of the Treasury.

He recalls "working with Ted Keane on Pepperidge Farm cases; Howard Milman on supermarket advertising case; and Roy Steyer on Sapphire Steamship Lines antitrust case. I particularly enjoyed working with Roy & Marvin Schwartz.

"There were no places to eat lunch which were reasonable and nice when the offices were on Wall Street. Bowers and I were regulars at The B&G and Scotties. I was also an occasional member of the dinner

crew at Masoletti's, since departed, I understand, training table and all."

Mr. Hinds is married to Pamela Dee Hinds. They live in Washington, D.C.

Member, The District of Columbia Bar; NYSBA; ABA.

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488 **ALLAN M. CHAPIN** S&C 1968-

Mr. Chapin, a partner in S&C's general practice group since October 1, 1976, was born in Boston in 1941. He is a graduate of Yale (B.A. 1964; LL.B. 1968), where he spent a year as an instructor in history between college and law school.

Mr. Chapin and his wife, Carley, live in Bronxville, New York. Their children are Samuel (born 1/16/68), Jessica (born 1/27/70), and twins, Anthony and Amanda, born 3/12/74.

Member, ABCNY.

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489 **PETER FRELINGHUYSEN** S&C 1968-1974

Mr. Frelinghuysen is a partner of the New York firm of Morris & McVeigh.

A native of Morristown, New Jersey, he was graduated from Princeton (A.B. 1963), spent the academic year 1964-65 studying in Paris at L'Institut d'Etudes Politiques, and came to S&C's general practice group via Yale Law School (LL.B. 1968).

In 1964, he married the former Barrett Brady. They have four children: Peter H. B. Frelinghuysen II (born May 21, 1966), Elizabeth B. Frelinghuysen (born April 24, 1970), Cyrus T. Frelinghuysen (born July 8, 1974) and Anson B. Frelinghuysen (born March 24, 1980).

The Frelinghuysens live in New York City.

Trustee: The Buckley School, New York, N.Y.; The Achelis Foundation; Nichols Foundation, Inc. Director: Spoleto Festival U.S.A. and Festival Foundation, Inc. (Spoleto, Italy Festival). Member, ABA.

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490 **KRISTINE STRACHAN** S&C 1968-1970

Kristine N. Strachan is a Professor of Law at the University of Utah.

"A student of international politics (B.F.S. 1965, Southern Cal.), English literature (C.A. 1964, Cambridge) and law (J.D. 1968, Berkeley); a drudge (Phi Beta Kappa, Law Review, Coif); a short-term toiler (1968-70 Sullivan & Cromwell — banking and corporate practice

group); a transient publicist (1970-71, Office of the Legal Advisor, Department of State); an exhausted parent (Lauren, age 7; Adam, age 2 1/2); an exhuberant pedant (Professor of Law, University of Utah); a gregarious codifier (American Law Institute); a teacher of civil procedure, remedies and evidence — and in connection therewith, a writer of law review articles ('In Personam Jurisdiction in Utah', 1977 *Utah Law Rev.* 235; 'Self-Incrimination, Immunity & Watergate', 56 *Texas Law Rev.* 791 (1978))."

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491 **JOEL M. MILLER** S&C 1968-1976

Joel Miller is a partner of Ullman, Miller & Wrubel, P.C., 30 Rockefeller Plaza, New York, N.Y. 10020. He was born in 1942 in Scranton, Pennsylvania, and graduated from Amherst College (1964) and Columbia Law School (1967). While at S&C, he practiced in the litigation group.

Mr. Miller has an eight-year-old son, Jethro Owen.

Member: ABCNY; NYSBA (Committee on International Trade, Antitrust Section, 1978-); ABA.

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492 **JOSEPH McLAUGHLIN** S&C 1968-1976

Mr. McLaughlin is Vice President and House Counsel of Goldman, Sachs & Co. in New York.

He was born in Newark, New Jersey in 1941, graduated from Columbia (B.A. 1962; LL.B. 1965), and came to S&C as a general practice associate.

Mr. McLaughlin married Maria Elisabeth Lippold in 1965. They live in Riverside, Connecticut with daughters Elisabeth Hope, six, and Jessica Ann, five.

Member, ABCNY; ABA.

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493 **JOHN C. SABETTA** S&C 1968-1971

Mr. Sabetta is a member of the New York firm Townley & Updike.

Born in New York in 1942, he is a graduate of Amherst College (B.A. cum laude 1963) and Columbia University Law School (LL.B. 1966). He received the M. Sc. degree from the London School of Economics & Political Science in 1967.

He left S&C's litigation group in 1971 to become Assistant U.S. Attorney for the Southern District of New York. From 1975 to 1976 he was Chief Appellate Attorney. He became associated with his present firm in August 1976, and has been a partner since January 1, 1979.

Mr. Sabetta was an Instructor in Legal Writing at the Benjamin N. Cardozo School of Law in 1978-79. Since 1976, he has been a Participating Attorney with the Community Law Offices in New York.

Member, ABCNY.

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494 **DAVID A. TEGETHOFF** S&C 1969

David Tegethoff was born in Pittsburgh, Pennsylvania in 1942. He grew up in Winter Park, Florida and went to Emory University. He spent his junior year in France studying at the Université of Aix-Marselle Aix-en-Provence. Upon graduation from Emory in 1964, Mr. Tegethoff travelled back to Europe for further study. He was graduated from the London School of Economics in 1966 and returned to the United States to study law. He was graduated from the University of Florida in 1967 and from New York University Law School in 1969 (LL.M. in Taxation).

Mr. Tegethoff joined S&C in March 1969 as an associate in the tax group. He was admitted to the U.S. Court of Claims and the U.S. Tax Court. Mr. Tegethoff left the firm in December 1969 to practice law in San Francisco.

Mr. Tegethoff is married to Marcella Spain West. He is a member of the American Bar Association and the Florida Bar Association.

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495 **ROBERT M. THOMAS, JR.** S&C 1969-

Mr. Thomas, a member of S&C's general practice group, has been a partner of the firm since October 1, 1975. Born in Kansas in 1941, he is a graduate of the University of Kansas (B.A. 1962) and Harvard Law School (LL.B. 1966).

Mr. Thomas and his wife, the former Rebecca Ann Myers, have two children, Brooke Johnson (born 11/11/69) and Austin Bradford (born 12/6/73). Mr. Thomas recently returned from a tour of duty as the resident partner in charge of S&C's London office.

Member, ABCNY; ABA.

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496 **JOHN W. LUHTALA** S&C 1969-1970

Born in De Kalb, Illinois, Mr. Luhtala received his A.B. degree from Bradley University in 1965, and his J.D. degree in 1968 from New York University Law School, where he was a Root-Tilden Scholar. After graduation from Law School, Mr. Luhtala spent a year as Assistant District Attorney in the New York County District Attorney's office. He then came to S&C, where he practiced in the litigation group. Mr. Luhtala describes his S&C experience as "one of those rare opportunities that you thank yourself for having accepted, no matter what else you do in the law or in your life thereafter."

In 1970, Mr. Luhtala left S&C to join the Paris office of Richardson Merrell Inc., where he remained until 1977, when he began the MBA program at Stanford Business School. He completed the MBA program in June 1979 and returned to Paris as Director of Finance for the European operations of Sensormatic Electronics Corporation.

In addition to his other activities, Mr. Luhtala founded Redwood Vineyards Ltd. in Napa, California, in 1978.

Mr. Luhtala's advice to young lawyers is to "keep all things in perspective and spend some time developing yourself as a person." His varied interests and accomplishments provide evidence that he has followed his own advice.

Mr. Luhtala resides at 1 Place Pereire, 75017, Paris.

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497 **CHARLES L. BROCK** S&C 1969-1974

Mr. Brock is Vice President, Secretary and General Counsel of Scholastic Magazines, Inc. He is also Publisher and President of the company's overseas subsidiaries, located in the United Kingdom, Canada, Australia, New Zealand and Japan. Born in Ottumwa, Iowa in 1943, he is a graduate of Northwestern University (B.A. 1964) and Harvard Law School (J.D. 1967).

Among the highlights of Mr. Brock's experiences are new ventures, acquisitions, and the development of long-term business strategy. At S&C, he recalls, he learned "the continuing importance of management as well as the practice of law."

Mr. Brock married Mary Jane Hipp on June 17, 1978. They live in New York City.

Vice Chairman, Harvard Law School Fund; Tenth Anniversary Gift Chairman, Harvard Law School, Class of 1967; Legal Aid; Lenox Hill Hospital Chaplaincy; Deacon, Brick Church; various committees, Association of American Publishers; various committees, American, State, County, City bar associations. Completed Harvard Business School Advanced Management Program, 1979.

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498 **HENRY CHRISTENSEN III** S&C 1969-

Terry Christensen graduated with honors from The Peddie School in 1962 and Yale College in 1966. An interest in journalism (Managing Ed-

itor of *The Peddie News* and News Editor of *The Yale Daily News*) was redirected to one in law, and he graduated with honors from Harvard Law School, where he was Executive Director of the Legal Aid Bureau. He joined the office after graduation in 1969, and has since then practiced in the estates and personal group "with great pleasure and satisfaction." He became a partner in September 1977.

His wife, Constance, is also a lawyer, presently associated with Davis, Polk & Wardwell. They have two sons, Alexander and Gustavus.

Consultant, Income Tax Project on Revision of Subchapter J, American Law Institute; Director, Freedom Institute, Inc.; Secretary and Assistant Treasurer, The Museum of Broadcasting; President and Director of Alumni Association, The Peddie School; Member, ABCNY and ABA.

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499 **LEE B. SPENCER, JR.** S&C 1969-1976

Mr. Spencer is Deputy Director and Chief Operating Officer in the Division of Corporation Finance of the Securities and Exchange Commission in Washington, D.C.

Born in Miami Beach, Florida in 1943, Mr. Spencer is a cum laude graduate of Princeton (A.B. cum laude 1965). He joined S&C's general practice group after graduation from Yale Law School (J.D. 1969). Of his S&C experience, he writes: "The interesting and important matters are legion. The one I always talk about had me and a client travelling to Hamburg, Frankfurt, Milan, Lugano, Geneva, Paris and London, smoking Cuban cigars all the way."

Mr. Spencer joined the SEC staff in 1976 as Chief Counsel in the Division of Investment Management. "Among other duties, I supervised the preparation of the Commission's 'Bank-Study,' a 550 page report on bank securities activities. Appointed as Associate Director in that division in 1978, I supervised the work related to the Commission's approval and implementation of its new registration and reporting forms for management investment companies, its new institutional investor disclosure program and its new registration and disclosure system for investment advisors."

Mr. Spencer has published several articles on the securities laws, and has made over thirty public appearances on panels and speeches (for such organizations as PLI) in the securities area.

He is "happily married to Alice Dana Spencer, who works at the Smithsonian Institution arranging programs of interest to its members." The Spencers live in Washington, D.C.

Mr. Spencer's advice to students and young lawyers: "For those who in their careers find any appeal in public service, I would recommend it as an aspect of the contribution a Sullivan & Cromwell lawyer can make. The proclaimed virtues are real, the disadvantages shadows."

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500 **ROY I. WEITZER** S&C 1969-1972

Mr. Weitzer is Vice President, Secretary and General Counsel of the Kay Corporation in New York City.

"My position involves overall responsibility for the legal affairs of a one billion dollar (sales) corporation listed on the American Stock Exchange. In addition to being involved in most major business decisions, I have direct executive responsibility for certain of the Company's endeavors."

A native New Yorker, Mr. Weitzer was graduated from the University of Miami (B.B.A. 1966 magna cum laude) and New York University Law School (J.D. 1969), where he received the John Norton Pomeroy Scholarship for two years.

Mr. Weitzer lives in New York City. He is married and has two children: Robyn Jennifer, born in 1970, and David Alan, born in 1972.

Member: NYSBA, ABA.

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501 **DONALD W. FARLEY** S&C 1969-1973

Mr. Farley is with Exxon Corporation in New York as counsel. He returned in the Spring of 1979 from three years in Rome as counsel, first, of Esso Europe, and then of Esso Italiana.

Born in Oneida, New York on April 15, 1943, Mr.Farley received both his A.B. (1965) and J.D. (1969) degrees from Catholic University, and was Editor-in-Chief of its law review (1968-1969).

Mr. Farley married Jo-Ann Dubeck in 1972. Their daughter, Claire Elizabeth, was born in Rome on December 12, 1978.

Member: ABCNY, NYSBA, ABA.

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502 **CARROLL E. NEESEMANN** S&C 1969-1977

Mr. Neesemann is a partner of Parker Auspitz Neesemann & Delehanty, 415 Madison Avenue, New York, N.Y. 10017.

Born in Baltimore, Maryland on December 28, 1940, he attended Princeton University (B.A. cum laude 1963) and the University of Maryland Law School (J.D. 1969, Order of the Coif, *Law Review* Editor). Between college and law school, he served as a captain in the U. S. Marine Corps.

He practiced in S&C's litigation group until opening his firm in June 1977. One of his partners, Barrington D. Parker, Jr., is a fellow S&C alumnus.

Mr. Neesemann married Helena K. Neesemann on July 29, 1977. Their daughter, Eva Carolyn, was born on July 4, 1978.

Member: ABCNY (Committee on Federal Courts).

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503 **ALFRED C. JONES III** S&C 1969-1974

Alfred C. Jones, III is an attorney with the New York firm of Windels, Marx, Davies & Ives. With his wife, Sally Jeanne Colegrove, he resides in Ridgewood, New Jersey.

Mr. Jones was born on August 22, 1944 in Loma Linda, California. He received his A.B. degree from Columbia University in 1966 and his J.D. with distinction from Cornell Law School in 1969. Mr. Jones practiced in S&C's tax group until July 1974, when he joined the Babcock, Wilcox Company as Assistant Secretary. He has been with his present firm since 1978.

Member: ABCNY; NYSBA; ABA.

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504 **JOHN W. TIMBERS** S&C 1969-1973

John William Timbers is a partner of Ide & Haigney, 41 East 42nd Street, New York, New York 10017.

Born in Brooklyn, New York in 1942, he is a cum laude graduate of both Dartmouth College (B.A. 1964) and Harvard Law School (LL.B. 1968). Mr. Timbers spent the year 1968-69 as law clerk to the Honorable Inzer B. Wyatt, an S&C alumnus, before entering S&C as a litigator in September 1969. He left S&C in 1973 to begin three years service in the Office of the United States Attorney for the Southern District of New York as Assistant United States Attorney.

Mr. Timbers is married to the former Marilyn Helen Donovan. They live in Stamford, Connecticut and have five children: Katharine, Meredith, Edward, Caroline and Elizabeth.

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505 **MARSHALL P. BARTLETT** S&C 1969-1977

Marshall Prentiss Bartlett is Chief Tax Attorney of Exxon Company, U.S.A. in Houston, Texas. Prior to joining Exxon, he was a partner in the New York firm of Satterlee & Stephens.

Mr. Bartlett, who was born in New York on June 6, 1943, practiced in the tax group while at Sullivan & Cromwell. He received his A.B. magna cum laude from Princeton in 1965, attended Trinity College, Cambridge in 1966, and received his J.D. cum laude from Harvard Law School in 1969.

Mr. Bartlett is currently the managing editor of *The Tax Lawyer* which is the quarterly publication of the Tax Section of the American Bar Association; "it consumes all of my extra-curricular time and more."

He married the former Margaret Wilmer December 14, 1968 and has two sons, John Prentiss, age 9, and Stephen Wilmer, age 6.

Member: ABCNY (Tax Committee); NYSBA; ABA.

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506 **HANDSEL B. MINYARD** S&C 1969-1972

Since leaving S&C, Handsel B. Minyard has taught law at Temple University School of Law in Philadelphia, where he has become a full Professor and for an interim period served as Vice Dean.

Professor Minyard was born in 1943 in Phoenix, Arizona. He is a graduate of Phoenix Union High School, Stanford University (A.B. 1964), and Yale Law School (LL.B. 1967). He has worked in government, education and in the private practice of law. He worked for the National Labor Relations Board in San Francisco, and was Assistant to the Executive Vice President of Fordham University. From September 1969 through July 1972 he was with S&C, working largely with bank clients.

Professor Minyard is married to Karen Flavell of Milford, Connecticut and has two children, Stacey and H. Blair. Mr. Minyard is admitted to practice in California, New York and Pennsylvania.

Director, Northern Pennsylvania Branch, American Red Cross. Member, Northern Pennsylvania School District Authority.

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507 **BENJAMIN F. STAPLETON III** S&C 1969-

Mr. Stapleton was born in Newport News, Virginia in 1943. He is a graduate of Harvard College (B.A. 1965, magna cum laude) and Yale

Law School (LL.B. 1969). A member of the general practice group, he has been a partner of the firm since 1977.

Mr. Stapleton married Jane Fulton on July 2, 1976. Their son, Benjamin F. Stapleton III, was born on September 7, 1978. The Stapletons live in New York City and Cornwall, Connecticut.

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508 **DAVIS R. ROBINSON** S&C 1969-1971

Davis Robinson is a partner of Leva, Hawes, Symington, Martin & Oppenheimer, 815 Connecticut Avenue, N.W., Washington, D.C. 20006.

Born in New York City on July 11, 1940, he received his B.A. in 1961 from Yale University magna cum laude and was a member of Phi Beta Kappa. From Yale he attended Harvard University where he received his LL.B. cum laude in 1967. During his third year, he was president of the Harvard Legal Aid Bureau.

Mr. Robinson spent the summer of 1966 as a summer associate at S&C, and returned to the office in September 1969 as an associate in the general practice group, completing his career change from Foreign Service officer (which he had been since 1961) to practicing lawyer. Among the matters he worked on were "Detroit Edison with Bob Hiden; General Recreation with Dick Mestres; Urban Development Corporation with Ken Monroe, and Mitsubishi Electric with Mitch Brock." Of his S&C experience, Mr. Robinson remembers "the very special people who have made and make Sullivan & Cromwell what it is."

Of his legal career in the capital he writes that he has enjoyed "experiencing the law in Washington, combining financial law and litigation, and winning in the Supreme Court of the United States."

Mr. Robinson is married to Suzanne Walker. They have two children, Christopher Champlin Robinson II, born March 5, 1968, and Gracyn Walker Robinson, born April 19, 1971.

Trustee, Phillips Exeter Academy; Class Agent for both Exeter and Yale; Director, Foreign Student Service Council; President, American Refugee Committee (Greater Washington Area). Member: The District of Columbia Bar; ABCNY; Federal Bar Association; ABA (Section on International Law); American Society of International Law.

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509 **G. SCOTT ROMNEY** S&C 1969-1972

Born in Detroit, Michigan in 1941, Mr. Romney is a graduate of Michigan State University (B.A. 1966) and Harvard Law School (J.D. 1969). He is a partner of the Detroit firm Honigman Miller Schwartz & Cohn, 2290 First National Building, Detroit, Michigan 98226.

Mr. Romney and his wife, Ronna, have four children: Kevin Scott (b. 12/14/63), George Matthew (b. 11/14/69), Ronna Eileen (b. 3/20/73) and Mark Hopkins (b. 11/5/75). The Romneys live in Bloomfield Hills, Michigan.

Board of Directors, Children's Center of Wayne County; Junior Council, Detroit Institute of Art; Cranbrook Alumni Council.

Member: NYSBA; State Bar of Michigan.

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510 **BARRY P. SIMON** S&C 1969-1972

Mr. Simon is Vice President and General Counsel of Teleprompter Corporation, 888 Seventh Avenue, New York City, 10019.

He was born on November 22, 1942 in Paterson, New Jersey and attended Princeton University (A.B., magna cum laude, 1964, Phi Beta Kappa) and Yale Law School (LL.B., 1967).

One of the highlights of his career has been conceiving the formula for solving — after ten years of debate — the question of copyright liability for cable television, and drafting the sections of the new Copyright Act which deal with cable. He has successfully worked on the enormous amount of litigation in which the cable industry, and Teleprompter in particular, has been involved.

Mr. Simon and his wife, the former Hinda Gale Bookstaber, have three children. They live in Upper Montclair, New Jersey.

Member: ABCNY.

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511 **CHARLES P. RUSS III** S&C 1969-1972

Mr. Russ was born in New York City in 1944. He received his B.A. degree from Amherst in 1966 (Dean's List), and his J.D. degree three years later from Columbia, where he was a Harlan Fiske Stone Scholar.

During his association with S&C, Mr. Russ practiced in both the litigation and general practice groups. He is now Vice President, General Counsel and Secretary of Geo International Corporation in Stamford, Connecticut.

Mr. and Mrs. Russ, who have one child, live in Wilton, Connecticut.

Member: ABCNY.

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512 **DENNIS E. GARTNER** S&C 1970-1975

Mr. Gartner was born in Chicago in 1944. A magna cum laude graduate of both Brown University (A.B. 1966) and Harvard Law School (J.D.

1969), he was a general practice associate during his years with S&C. From January 1973 to January 1975, he practiced in the firm's Paris office.

Mr. Gartner currently lives and works in Los Angeles, California. He is Vice President and General Counsel of Pacific Energy Corporation; California LNG Associates; Pacific Marine Associates; Pacific Indonesia LNG Company; Pacific Alaska LNG Associates; and Western LNG Terminal Associates.

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513 **WILLIAM A. NITZE** S&C 1970-1972

Mr. Nitze is a native New Yorker, born in 1942. He is a graduate of Harvard College and Law School (B.A. 1964; J.D. 1969), and also holds a B.A. (1966) from Oxford University. After serving for a number of years as General Counsel & Director of Mobil Sekiyu Kabushiki Kaisha in Tokyo, Mr. Nitze returned to New York City in October 1980 to become Assistant General Counsel, Exploration and Producing Division, Mobil Oil Corporation.

Member, ABCNY; ABA.

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514 **MACK M. BRALY** S&C 1970-1972

Mack Muratet Braly is currently a practitioner of general civil litigation with Mack Muratet Braly & Associates, P.C., in Tulsa, Oklahoma.

Mr. Braly was born on April 13, 1939 in Ada, Oklahoma. He received his B.A. from the University of Oklahoma in 1961, his M.A. from Emory University in 1966, and his J.D. in 1970 from New York University where he was a member of the *Law Review*. After graduating from N.Y.U., Mr. Braly began to practice law with Sullivan & Cromwell as an associate in the litigation group. He writes that his most memorable cases were *CBS* v. *ASCAP, Prudential* v. *Phillips*, and the Penn Central Litigation. He left the firm to spend four years managing a cattle ranch, and two and a half years practicing with Hall, Estill et al. of Tulsa. He opened his own office in May, 1979.

Mr. Braly is divorced and has two daughters, Marsha and Stacey. He is admitted to the U.S. District Court, Southern District of New York and the U.S. District Court, Eastern District of New York. Member, Tulsa County Bar Association; Oklahoma Bar Association; ABA (Sections of: Corporation, Banking and Business Law; Litigation); Oklahoma Association of Defense Counsel.

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515 **JAMES N. BAXTER** S&C 1970-1976

Jim Baxter is Executive Director of the Merrill Lynch International Bank Group, Senior Vice President of Merrill Lynch International & Co., and General Manager of Merrill Lynch Far East Investment Banking. He and his wife, Mary Ann ("Mac"), and their children, Kathryn Hall Baxter (born 11/19/65) and James Armstrong Baxter (born 6/24/71), are currently living in Tokyo.

A native of Atlanta, Georgia, Mr. Baxter is a graduate of Yale College (B.A. cum laude 1964) and Harvard Law School (LL.B. 1967). He also attended L'Institut d'Etudes Politiques de l' Université de Paris (C.E.P. 1963).

Of the matters he worked on as a general practitioner at S&C, he found the Capco leveraged lease financing and the Fleschner Becker Fund liquidation memorable "for different reasons". He also recalls particularly Northern Natural Gas (now famous as InterNorth) and Alabama Bancorporation, "for other reasons. And of course the U.S. Financial financings."

"I shall, of course, never forget my storied night tour of Omaha and its agriculture, under the supervision of Stuart W. Thayer, Esq., and my happiness that the tour went no further than the lobby of the Omaha Hilton. . . ."

Member, ABCNY; ABA.

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516 **JAMES M. SMITH** S&C 1970-1973

James McLelland Smith is now the Chief of the State of New Jersey Bureau of Securities, 80 Mulberry Street, Newark, New Jersey, 07102.

Born in Queens Village, New York, on Christmas Day, 1937, Mr. Smith attended New York City Community College and the City College of New York. Mr. Smith was first employed by S&C in 1966 as an assistant in the Managing Clerk's office. While in that position, he studied law at St. John's University Law School, where he received his J.D. degree in 1969. Thereafter he was employed by the office of the Corporation Counsel for the city of New York until March of 1970 when he returned to S&C as a "Blue Sky" law specialist.

Mr. Smith left S&C in April 1973 to assume his present position.

Member, ABA, NJSBA.

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517 **BART T. TIERNAN III** S&C 1970-1975

Bart Tiernan is a member of the firm Boyle, Vogeler, Stebbings & Tiernan, 30 Rockefeller Plaza, New York, New York 10020.

Upon leaving S&C's general practice group, Mr. Tiernan became Assistant to the Chairman of the Export-Import Bank of the United States in Washington, D.C. In 1976, he went back to private practice, first with Patterson, Belknap, Webb & Tyler, then in independent practice. He participated in the founding of his present firm in November, 1979.

Mr. Tiernan is a native New Yorker, and a graduate of the University of Notre Dame (1965) and Yale Law School (1968). He is currently studying towards an LL.M. degree in Taxation at N.Y.U. He served in the United States Army from 1960 to 1966.

Mr. Tiernan and his wife, Louise G.("Nini") Tiernan, live in Laurel Hollow, Long Island. He has twin daughters, Olivia and Julia.

Member: ABCNY; NYSBA; ABA.

518 **JAMES B. CRAVER** S&C 1970-1973

Mr. Craver is Senior Corporate Counsel and Secretary of The BFGoodrich Company, 500 South Main Street, Akron, Ohio 44318.

Born in 1943 in Morristown, New Jersey, he is a graduate of Harvard College (B.A. 1965 cum laude) and the University of Pennsylvania Law School (LL.B. 1970). Following his three years as an S&C associate in the general practice group, Mr. Craver joined Massachusetts Financial Services, Inc., Boston, Massachusetts, as Assistant Secretary and Assistant Counsel. In 1976, he became General Counsel and Secretary at Anchor Corporation, Elizabeth, New Jersey. After Anchor's sale of its business, Mr. Craver joined BFGoodrich in May, 1979 as Secretary and Senior Corporate Counsel. His responsibilities include corporate and legal affairs, particularly coordination of the responsibilities and actions of the board of directors and of securities law matters. BFGoodrich is a $3 billion manufacturing company which produces and sells a broad line of chemical, plastic, rubber and other products.

Mr. Craver married Elinor Ladd in 1966. They have two daughters: Elisabeth (born 3/12/67) and Amy (born 1/25/69). The Cravers live in Hudson, Ohio.

Member, American Society of Corporate Secretaries.

519 **EDWIN G. SCHUCK, JR.** S&C 1970-1976

Edwin George Schuck is a partner of Donovan Leisure Newton & Irvine, practicing in their Los Angeles office.

He is a native of New York City and a graduate of Columbia University School of General Studies, Columbia Graduate School of Business, and Columbia Law School. His most memorable assignment as a tax associate at S&C was the Anamax partnership.

Mr. Schuck and his wife, Ann C. Schuck, and daughter Gretchen (born 11/30/73) live in Pasadena.

Member: ABCNY; NYSBA (Tax Section Committee on Partners and Partnerships and Committee on Reorganizations); ABA; Los Angeles County Bar Association; California State Bar.

520 **WILLIAM T. STEPHENS** S&C 1970-1973

William T. Stephens is a native of Huntsville, Alabama. He earned a degree in Aerospace Engineering from Auburn University in 1966. He worked his way through school participating in the Cooperative Education Program by working with NASA's Nuclear Systems Group at the Marshall Space Flight Center in Huntsville. While at Auburn he was a member of Omicron Delta Kappa men's honorary, Tau Beta Pi, and Sigma Gamma Tau aerospace engineering honorary. He was president of the Student Engineers' Council and was a founder and president of the Auburn Cooperative Education Society.

He earned a Juris Doctor degree from Harvard Law School where he served as president of the Harvard Law School Forum and of the Southern Club of Harvard Law School. At Harvard he also studied in the Graduate School of International Studies under Dr. Henry Kissinger.

Mr. Stephens practiced law in New York City for one year with Kenyon and Kenyon, a leading patent law firm, and then for three years with S&C as a trial attorney specializing in antitrust and securities litigation.

He joined the staff of the Attorney General of the State of Alabama in 1973 and served as head of the Civil Division of the Attorney General's Office. He has served as attorney for the State Ethics Commission, the State Personnel Board, the Secretary of State and other state and local offices. He has served as a member of the Attorney General's white collar crime unit and has handled antitrust and energy-related matters for the Attorney General's Office.

He served as counsel to the Code Revision Committee which supervised the preparation of the recently adopted 1975 Code of Alabama and is a member of the Permanent Study Commission on Alabama's Judicial System.

In 1978 he was an unsuccessful candidate for the Office of State Attorney General. In January, 1979 he was appointed Head of the Agencies and Opinion Division of the Attorney General's Office and currently serves in that position. He is also an Adjunct Professor at Auburn University at Montgomery.

Member: Alabama State Bar Association; Montgomery County Bar Association; American Judicature Society.

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521 **JEROME W. ANDERSON** S&C 1970-1973

Raised in Tucson, Arizona, and educated at Stanford, where he received his J.D. in 1969, Mr. Anderson spent a year in Paris under a French Government fellowship before joining S&C in the summer of 1970. For the following three years he practiced in the corporate group. He particularly recalls public offerings of bank-sponsored bond funds, pollution control bonds, and Consolidated Edison and the listing on the New York Stock Exchange of ADR's of Matsishita Electric.

In June 1973, Mr. Anderson left the firm to join the General Counsel's Office at The Ford Foundation. For four years thereafter, he advised the Foundation's Finance Division on a broad variety of investment related topics, mainly those arising out of its real estate, venture capital, and corporate private placement investments. He also worked on a number of grant related projects, including the organization of a new international health research center in Bangladesh.

Since June of 1977, Mr. Anderson has been the Assistant to the Foundation's Vice President and Treasurer, its chief financial officer. In this role, he has helped reorganize several parts of the Finance Division, particularly its real estate program, and has developed policy in other areas, including shareholder responsibility *vis-a-vis* South Africa.

Mr. Anderson's wife, Patricia, is currently a corporate loan officer at The Chase Manhattan Bank. They have one son, Jason, age 12, who is at The Allen-Stevenson School.

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522 **PHILIP L. GRAHAM, JR.** S&C 1970-

Mr. Graham is a native New Yorker, born in 1943. A graduate of Harvard College and Law School (B.A. 1965, LL.B. 1968), he has been a partner in S&C's litigation group since October 1, 1977.

He has a son, Philip, born June 18, 1969, and a daughter, Virginia Elizabeth, born June 24, 1980. Mr. Graham is married to Eileen Chiu, formerly a litigation assistant in the office, and now a reporter-researcher with TIME Magazine.

Member, ABA.

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523 **DAVID M. OLASOV** S&C 1970-1979

Mr. Olasov is a partner in the firm of Edwards & Angell in New York City.

Born in Charleston, South Carolina in 1945, he attended Columbia College (A.B. 1967) and Yale Law School (LL.B. 1970) before joining S&C, where he was an associate in the litigation group. He left the firm for his present position on December 31, 1979.

Mr. Olasov and his wife, Sharon Spellman-Olasov, have a son, Jacob.

Member, ABCNY; NYSBA.

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524 **STEPHEN R. WATSON** (1945-1977) S&C 1970-1977

Mr. Watson was born on February 18, 1945 in Kansas City, Missouri to Mr. and Mrs. Robert F. Watson. He graduated from West Side High School in Omaha, Nebraska and became a fellow of the Telluride Foundation, a pre-college program for outstanding high school graduates. He received a bachelor's degree magna cum laude from Princeton University in 1967.

He graduated from Harvard Law School in 1970 (J.D.), and became associated with S&C, specializing in tax law. He was also an active member of the tax and library committees of the Association of the Bar of the City of New York, and of the state and national bar associations.

On January 14, 1977, Mr. Watson died of cancer, at the age of 31. He is survived by his wife, the former Gail Leslie Goldman.

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525 **JAMES H. CARTER, JR.** S&C 1970-

Mr. Carter grew up in Fort Wayne, Indiana and first came east in 1961 to enter Yale. In addition to the more or less customary academic and extracurricular pursuits, he was an editor of the Yale Daily News and also pursued journalistic interests with newspapers in Fort Wayne and New Haven and with a press relations division of the Deputy Mayor's Office in New York.

Following graduation from Yale, he studied history at Cambridge University as a Fulbright Scholar (1965-1966) and then returned to New Haven and to Yale Law School (1966-1969). During 1969-1970 he served as law clerk to Judge Robert P. Anderson of the United States Court of Appeals for the Second Circuit.

Mr. Carter first arrived at S&C as a summer associate in 1968 and returned full time as a litigator in 1970. He has continued in the same direction ever since, spending large amounts of time at various points with Chilean and Libyan expropriation problems, with sugar industry antitrust litigation and with litigation on behalf of The British Petroleum Company and the National Football League. He was admitted to partnership in 1977.

In 1974 Mr. Carter married Sara N. Meeker, a litigation assistant from Hawaii who had graduated from Wellesley College and who survived the rigors of the Ford fleet discount antitrust trial in Detroit during her career at S&C. Mrs. Carter thereafter attended Columbia Law School, and she now is an attorney with Cummings & Lockwood in Stamford, Connecticut.

Born September 25, 1943, Ames, Iowa; Chairman, New York State Bar Association Committee on International Law. Member: ABCNY (Arbitration Committee); ABA; American Society of International Law. Residence, 131 Oneida Dr., Greenwich, Ct.

Children: Janet K. (born October 4, 1979) and Faith L. (born November 17, 1980).

526 **CHARLES W. SULLIVAN** S&C 1970-1977

Charles W. "Chuck" Sullivan spent seven years in the office, from 1970 through 1977, and served on the litigation teams of three of the most significant cases in the office during that period. He was on the First Boston team which defended the Chris-Craft case, an action which ended with a decision in the United States Supreme Court in which Chris-Craft, the loser in the battle for control of Piper Aircraft, lacked standing to sue our client. Chuck was also a member of the team headed by Bill Piel which defended Ford Motor Company in a three-month trial in Detroit. The government claimed that Ford and General Motors had conspired to eliminate discounts to fleet purchasers of automobiles, but in an unanimous verdict, a jury composed mostly of housewives rejected the government's claim. Chuck also worked with Bill Willis in defending the litigation brought by former quarterback, Joseph Kapp, against the National Football League. While the judge in that case had ruled prior to trial that football's reserve system violated the antitrust laws, the jury accepted the argument urged upon them by Bill and Chuck that Kapp had suffered no damages.

Upon leaving S&C in 1977, Chuck became the partner in charge of the New York office of Edwards & Angell, a prestigious New England law firm. At Edwards & Angell he has continued an active litigation practice. He headed the team which successfully prosecuted the case of the New England Patriots against the University of Colorado for inducing Chuck Fairbank to breach his contract with the Club. Chuck continues to work in association with Sullivan & Cromwell on litigation and other matters including the Lafayette Place project, a real estate development planned by our client, Allied Stores Corporation, to improve the downtown shopping area of Greater Boston.

In addition to his legal practice, Chuck is the Chairman of the Executive Committee of the National Football League Management Council which represents the 28 NFL team owners in negotiations with the Union representing the players.

Director of New England Patriots Football Club, Inc., National Football League Films, Inc., National Football League Properties, Inc., and Graphco American Charts, Inc. Chairman of the Executive Committee of the National Football League Management Council. General Counsel and Director of Foundation for Children with Learning Disabilities.

Born 12/4/42 in Silver Spring, Maryland; A.B. cum laude 1964, Boston College; J.D. 1967, Boston College Law School; LL.M. 1970, Harvard Law School.

527 **MICHAEL F. CUSICK** S&C 1970-1979

Mr. Cusick is with the New York firm of LeBoeuf, Lamb, Leiby & MacRae.

A native New Yorker, Mr. Cusick came to S&C, where he was a general practice associate, from the University of Pennsylvania (B.A. 1967; J.D. 1970). He practiced in the London office from January 1975 to August 1976.

Mr. Cusick and his wife, Cynthia T. Cusick, have two daughters, Cynthia and Tracy. They live in Yorktown Heights, New York.

528 **RUFUS K. GRISCOM** S&C 1970-72, 1973-76

Rufus King Griscom is the resident partner in a new Washington office of Adams, Duque & Hazeltine, a Los Angeles-based firm.

Mr. Griscom majored in Russian Studies as an undergraduate at Brown, and went on to receive his M.A. there in the same field. He then attended Columbia Law School (J.D. cum laude 1970), where he was a Harlan Fiske Stone Scholar and a winner of the Robert Noxon Toppan award in Constitutional Law during 1968-69, and a Teaching Fellow in administrative law during 1980. From Columbia, he came to S&C's general practice group where, he writes, "I was fortunate to experience a working environment where the highest professional standards are observed and the very best I could do was expected and encouraged."

In July 1972 he left S&C for a six-month term as Special Assistant to the Assistant Secretary of Commerce for Domestic and International Business, preparing position papers and assisting with the drafting of portions of the U.S.-U.S.S.R. and the U.S.-Polish Trade Agreements and related documents.

As a member of the U.S. Delegation to the U.S.S.R. on Patents and Licensing in July 1973, Mr. Griscom participated in meetings with Soviet officials to discuss technology development and protection in the U.S.S.R. and the possibilities for its commercial exploitation in the West.

He has written and lectured extensively, primarily on U.S.-U.S.S.R. trade relations. He left S&C in April 1976 to join the Washington office of White & Case. On November 1, 1979 he became a partner of Adams, Duque & Hazeltine, resident in the Washington, D.C. office.

Mr. Griscom is married to the former Nancy Lyon Elwell. Their children are Rufus King, Jr. (b. 9/26/67), Bronson Winthrop II (b. 1/24/70), and Amanda Scott (b. 5/4/74).

Member: District of Columbia Bar; New York State Bar; ABCNY (Committee on Foreign and Comparative Law); ABA.

Deacon, The Brick Church 1972-1976; Assistant to the Rector for Christian Education, 1976-77, The Church of the Heavenly Rest; Chairman, Outreach Committee, St. Alban's Parish, 1979- ; Director, American Refugee Committee (Washington) 1979- ; Chairman, Form I St. Alban's School Annual Fundraising, 1980-

529 **J. JASON KAPLAN** S&C 1970-1972

Mr. Kaplan is a director, shareholder and officer of Kaplan, Christison & Martin, P.C., 530 "B" Street, Suite 1200, San Diego, California, 92101. He is also an Adjunct Professor of Law at the University of San Diego.

He was born in New York City on March 13, 1942, and attended Cornell University (B.S. 1964), the University of Texas (LL.B. 1967) and New York University (LL.M., Taxation, 1968).

After law school, Mr. Kaplan worked for two years as law clerk to Judge Don N. Laramore, U.S. Court of Claims. He then joined S&C as an associate in the tax group. In January 1972, he left New York with "fond memories of a professionally and socially rewarding experience at an outstanding law firm", and moved to Phoenix, Arizona, where he became associated with Snell & Wilmer until moving to California in 1973.

Member: San Diego County Bar Association (Chairman, Tax Legislative Subcommittee, 1975-76; Co-Vice Chairman, Tax Section, 1976-77; Chairman, Tax Section, 1978); NYSBA; Virginia Bar Association; ABA; The District of Columbia Bar; State Bar of Arizona; State Bar of California (committees on Taxation, 1970 -; Corporations, 1975). Certified Specialist in Taxation Law, California Board of Legal Specialization.

530 **A. CLARK WAID III** S&C 1970-1971

Mr. Waid is a partner of Winston & Strawn, One First National Plaza, Chicago, Illinois 60603.

Born in Cleveland, Ohio in 1942, he was graduated from Princeton (B.A. 1966) and Case Western University Law School (J.D. 1970). He lives in Chicago with his wife, Deborah Anderson Waid, and their children, Addison Clark Waid IV ("Tad") and Melissa Anderson Waid.

Member, ABA.

531 **CARROLL J. CAVANAGH** S&C 1970-1979

Born in 1943 in Brooklyn Heights, New York, and raised in East Norwalk, Connecticut, Mr. Cavanagh is a graduate of Yale College (B.A. 1964) and the University of Pennsylvania Law School (J.D., cum laude, 1970). He also holds certificates in both public and private international law from the Academy of International Law, the Hague, The Netherlands. He had two years of commissioned service in the Navy and one year of study and restoration of Georgian buildings in Ireland between college and law school.

During his years with Sullivan & Cromwell, Mr. Cavanagh was an associate in the estates and personal group. He left the firm on June 1, 1979, and became Secretary-General Counsel at the National Gallery of Art in Washington, D.C.

Mr. Cavanagh is married to the former Valerie Mixter and has two children, Dorothy Mixter and Carroll John, III.

Member: New York, Connecticut, Florida, Washington, D.C. and various Federal bars, including the Supreme Court of the United States.

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532 **JAMES G. McKEON** S&C 1970, 1971-76

James Gavin McKeon is a partner of Fried, Fragomen, DelRey, Bernsen & O'Rourke, P.C.

Born in Arlington, New Jersey in 1945, he was graduated from Iona College (B.A. cum laude 1967) and Georgetown University Law Center (J.D., summa cum laude, 1970).

Mr. McKeon and his wife, the former Joan Tortorella, live in Hartsdale, New York.

Author: "Principal Classes of Temporary Workers — Petition and Non-Petition Categories," Ninth Annual Immigration and Naturalization Institute, 1976.

Counsel, State Court Management Task Force, Economic Development Council of the City of New York, Inc., 1974.

Member: ABCNY (Committee on Immigration and Nationality Law, 1979 -); New York County Bar Association; Westchester County Bar Association; NYSBA (Committee on Citizenship, 1975); ABA (Section on International Law); International Bar Association (Immigration and Nationality Law Committee, 1979 -); Federal Bar Council; Association of Immigration and Nationality Lawyers.

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533 **JONATHON W. POLIER** S&C 1970-1974

Jonathon Wise Polier is Assistant General Counsel of Teleprompter Corporation in New York. Born in New York in 1941, he received his B.A. from Oberlin College in 1964, his M.A. from the University of California, Berkeley, in 1965, and his Doctorat en Etudes Politiques from the University of Paris in 1968. He joined S&C as a general practice associate upon graduation from Columbia Law School in 1970.

Mr. Polier lives in New York.

Member: ABCNY; Connecticut Bar Association.

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534 **J. KIRKLAND GRANT** S&C 1970-1972

J. Kirkland Grant is Dean and Professor of Law at the Delaware Law School and Professor of Management at Widener University.

Michigan-born, raised, and educated (The University of Michigan: B.B.A. 1965; J.D. cum laude 1967), Professor Grant taught at the Georgia State University School of Business for two years, and the University of Toledo College of Law for one year before joining S&C's general practice group.

"The demands made by the practice at S&C develop the maturity of thought and experience to produce practicing lawyers and scholars of the highest calibre, skill and attitude. It is an excellent background to meet the challenges of legal life. . . . I always said that after having been intimidated by John Raben as a young associate, there would never be anyone who could do so again. . . ."

Professor Grant left S&C to join the faculty of the University of South Carolina School of Law in August 1972. He was a visiting scholar at Columbia Law School in the 1979-80 academic year and became Dean at Delaware Law School in July 1980. He has participated in numerous Continuing Legal Education Projects under the auspices of the South Carolina Bar, and has published numerous articles and reviews in corporate, securities regulation, insurance, estate planning and other areas. He has been a law school accreditation inspector for the American Bar Association Council on Legal Education.

Member: N.Y., Michigan and S.C. Bars; U.S. Supreme Court Bar; Reporter, S.C. General Assembly Committee on Corporation Law Revision; ABA (Section on Corporation, Banking and Business Law; Committee on Developments in Business Financing; Committee on Commodity Regulation; Section on Legal Education); American Business Law Association. University Club, Wilmington.

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535 **WILLIAM D. HARRINGTON** S&C 1970-1977

Mr. Harrington is Vice President and General Counsel of the Copper Division of AMAX, Inc. in Greenwich, Connecticut.

A native New Yorker, he is a graduate of Holy Cross College (A.B. 1966), Columbia Law School (J.D. 1969), and Columbia Graduate School of Business (M.B.A. 1970). At S&C he was in the general practice group, working primarily on banking matters.

Mr. Harrington and his wife, the former Mary Kathryn Chavis, live in Great Neck, New York.

Member: ABCNY; NYSBA; ABA.

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536 **MARTIN G. McGUINN, JR.** S&C 1970-1977

Effective January 1, 1981, Marty McGuinn will become Senior Vice President, General Counsel and Secretary of Mellon National Corporation and its subsidiary Mellon Bank. To accept this position he is resigning as Managing Counsel for Consumer Products and Litigation with The Singer Company, which he joined upon leaving S&C in 1977.

He was born in Philadelphia, Pennsylvania on September 9, 1942, and raised in Princeton, New Jersey. He was graduated from Villanova University (A.B. 1964) and from Villanova Law School (J.D. 1967) where he served as Editor-in-Chief of *Villanova Law Review* during his third year.

For the six months between graduation from law school and joining the Marines, he was associated with the Philadelphia firm Ballard, Spahr, Andrews and Ingersoll.

Mr. McGuinn served on active duty with the Marine Corps (final rank of Captain) from January 1968 through November 1970, including a 13-month tour in Vietnam with the 1st Marine Division as trial counsel (prosecuted several murder cases), Division Review Officer and Military Judge for Special Courts Martial (awarded Navy Commendation Medal for service in Vietnam), and an almost one-year tour in New York City on the staff of the Commandant, Third Naval District, with responsibilities as trial counsel, Military Judge and Military Justice Officer (but not all on any one case).

Mr. McGuinn joined S&C in November 1970, and was assigned to the general practice group, with primary emphasis on matters involving commercial banking clients.

"In general, I remember the excellent legal training received from so many at Sullivan & Cromwell and treasure the many friendships that resulted from my association with the many fine people there.

"In particular, I remember the almost two years that I worked in the midtown office, starting shortly after it opened, and cherish the memory of working along with the original group of Messrs. Thayer, Osborn, Weir, Thomas and Farrar."

Married to the former Ann Muldoon; one son, Patrick J., by a previous marriage.

Member: ABCNY; NYSBA; ABA; Secretary/Treasurer of the Board of Consultors of Villanova Law School.

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537 **H. RODGIN COHEN** S&C 1970-

Mr. Cohen was born in Charleston, West Virginia in 1944. He was graduated from Harvard College and Law School (B.A. 1965; LL.B. 1968). He served in the Army for two years before joining S&C's general practice group. He has been a partner of the firm since October 1, 1977.

Mr. Cohen and his wife, the former Barbara Ann Latz, live in Tarrytown, New York.

Member: ABCNY (Banking Law Committee); Westchester County Bar Association; NYSBA (Business Law Committee); West Virginia Bar Association; ABA.

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538 **BARRINGTON D. PARKER, JR.** S&C 1970-1977

Mr. Parker is a member of Parker Auspitz Neesemann & Delehanty, 415 Madison Avenue, New York, N.Y. 10017, which opened in June, 1977. One of the highlights of his career thus far has been "working with a great group of people to put together a law firm and develop a practice." Among his partners is another former S&C associate, Carroll E. Neesemann.

Born in Washington, D.C. on August 21, 1944, Mr. Parker received both his undergraduate and law degrees from Yale University (B.A., 1965; LL.B., 1969). Before joining S&C's litigation group, he clerked for the Honorable Aubrey E. Robinson, Jr., United States District Court for the District of Columbia.

Mr. Parker lives in Larchmont, New York with his wife, Toni Trent Parker, and their two-year-old daughter, Christine Trent Parker.

Member: ABCNY (Committee on the Judiciary); ABA (Committee on Commercial Arbitration); Member of the Boards of Directors, Visiting Nurse Service of New York and the NAACP Legal Defense and Education Fund Inc.

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539 **JEFFRY N. GRABEL** S&C 1971, 1973-79

Mr. Grabel left S&C on January 15, 1979 to become associated with Fried, Frank, Harris, Shriver & Jacobson in the New York City. He became a partner in that firm on September 1, 1980.

Born in New York in 1946, he was graduated from Michigan State University (B.A. 1968) and the University of Michigan Law School (J.D. 1971). After five months with S&C in 1971, he left to serve in the U.S. Army, rejoining the office on March 1, 1973. While associated with S&C, he practiced in the estates and personal group.

Mr. Grabel is married to the former Susan Ellen Olson. They have three children, Drew (b. 5/23/69), Brett Stephen (b. 7/14/73) and Jill Patricia (b. 5/3/79).

Member: NYSBA; ABA.

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540 **ULRIC SULLIVAN** S&C 1971-1975

Rick Sullivan is with Price Waterhouse & Co. as Assistant General Counsel.

Born in Holyoke, Massachusetts on May 2, 1941, he received his A.B. from American University in 1967 and his J.D. from Catholic University in 1971. He left S&C, where he was a "litigator", in October 1975 for his present position.

Mr. Sullivan married Marilyn Sims, a former S&C litigation assistant, on February 9, 1980. They live in New York City and Bedford, New York.

Member: ABCNY; NYSBA (Chairman, Committee on Administrative Law, 1975-Action Unit No. 5 on Regulatory Reform, 1979-); ABA.

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541 **SCOTT M. FELDMAN** S&C 1971-1978

Mr. Feldman is a partner of Winston & Strawn in Chicago.

A native New Yorker, he is a graduate of Amherst College (B.A. 1964) and Harvard Law School (LL.B. 1967). He taught Legal Research and Writing at U.C.L.A. for a semester, and then served in the Navy for three years before joining S&C as a general practice associate in April 1971. He left the firm for his present position on March 3, 1978.

Mr. Feldman and his wife, Susan, have two children: James (b. 9/21/70) and Mark Andrew (b. 10/14/73).

Member: ABCNY; ABA; Chicago Bar Association.

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542 **CHARLES F. RECHLIN** S&C 1971-

Charley Rechlin was raised in Lake Forest, Illinois. He received his A.B. degree from Cornell in 1968, and his J.D. from Cornell Law School in 1971. He has been a partner in the firm's general practice group since October 1, 1979.

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543 **GRACEANN M. McKEON** S&C 1971-75, 1977-

Miss McKeon was born in New York in 1943. She is a graduate of the College of New Rochelle (B.A. 1964) and Fordham University School of Law (J.D. 1971). A general practice associate, she left the office in September 1975 to travel in Europe, and rejoined in June 1977.

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544 **RICHARD G. LYON** S&C 1971-

A native Delawarean, Richard Lyon joined the litigation group at Sullivan & Cromwell after graduation from Haverford College and Harvard Law School. He has concentrated in securities practice, especially relating to accountancy, having represented or assisted Deloitte Haskins & Sells before the Securities and Exchange Commission, in connection with a number of its audit examinations, and in litigations in more than ten states. He participated in the final throes of

Kennecott's divestiture of Peabody Coal Company, including litigation with shareholders over the method of divestiture, acquisition of Carborundum, and the proxy fight with Curtiss-Wright. Lately he has assisted another of the litigation group's redheads, Roy Steyer, in looking after Exxon's interests in the Persian Gulf. He is a member of the Development Committee of Haverford College, a dedicated operaphile, a homeowner in Nantucket, and, as a starter on the softball and basketball teams, the firm's first two-letter man.

Member, ABCNY (Secretary, Library Committee).

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545 **NOLAN C. LEAKE** S&C 1971-1972

Partner, King & Spalding, 2500 Trust Company Tower, Atlanta, Georgia 30303.

Mr. Leake left S&C, where he practiced in the litigation group, to serve in the office of the General Counsel of the Air Force, where he remained until July 1973.

He was born in Jasper, Alabama on December 13, 1945. He received his B.A. from the University of The South in 1968, and his J.D. in 1971 from New York University, where he was Editor-in-Chief of the Law Review, and a member of the Order of the Coif.

Mr. Leake is married to the former Patricia Lou Simpson. Their daughter, Rebecca Pace, was born on February 29, 1976 and their son, Edward Nolan, was born on November 10, 1979.

Member: Atlanta Bar Association; NYSBA; ABA; State Bar of Georgia.

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546 **JAMES E. AKERS** S&C 1967-68, 1971-

"Beech Grove, Indiana was not a promising environment for a future advertising executive and the opportunity to attend Columbia College, in the advertising mecca, was grasped eagerly. At Columbia I was a member of the Blue Key Society, Nacoms senior honorary and Sigma Chi Fraternity. A summer in the mail room at Ted Bates Advertising Agency convinced me to seek another career and, because my business board scores were so low, I entered Columbia University Law School upon my graduation from college in 1964. At the law school, I owned my first color TV set, won the National Moot Court competition in 1966 and graduated cum laude in 1967.

"During three years in the Navy's Judge Advocate General Corps I worked as defense counsel and then military judge at courts-martial in Great Lakes, Illinois (near Jack Benny's home town and not too far from Ann-Margaret's high school). In Washington, D.C. I represented the

government before The Court of Military Appeals.

"Returning in 1971 to S&C, where I had spent a law school summer and some pre-Navy months, I was immediately assigned to the defense of the FTC's first 'shared monopoly' case, *Kellogg, et al.* That matter has continued to occupy the majority of my time and attention, and the case is now in its fourth year of trial.

"With first-hand evidence that the practice of law can promote body decay, I began taking dance classes. I subsequently performed with The Gudde Dancers, a New York based modern dance company, and I am now studying toward a masters degree in dance at The American University."

Born June 17, 1942, Beech Grove, Indiana. Married Karen Orth-Pallavicini. Two sons: Jeremy Todd (b. 1974) and Christopher Jordan (b. 1975). Admitted to S&C partnership in 1977.

Member, ABA.

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547 **RAY L. LeFLORE** S&C 1971-1980

Born in Mobile, Alabama, in 1947, and raised in Schenectady, New York, Ray Lenard LeFlore attended Syracuse University both for his undergraduate studies (1968) and for his J.D. (1971), before joining S&C as an associate in the litigation group.

On September 15, 1980, Mr. LeFlore opened his own law office at 99 Wall Street in New York City.

Mr. LeFlore is married to the former Bonita Louise Bacher. They have two children — Brooke Jaeger (born Aug. 28, 1977) and Edward Girard (born Feb. 26, 1979) — and reside at 120 Scarborough Road in Briarcliff Manor, New York.

Trustee, Hampton Institute. Member: ABCNY (Committee on Civil Rights); NYSBA; ABA; American Judicature Society.

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548 **M. JOHN STERBA, JR.** S&C 1971-1974

Mr. Sterba is a partner in the firm of Kensington & Sterba, 545 Fifth Avenue, New York, N.Y. 10017.

Born in Berwyn, Illinois on July 31, 1942, Mr. Sterba graduated from Cornell University in 1965 and continued his education at Harvard, receiving his M.B.A. in 1967. He returned to Cornell for an M.E. in 1968 and, finally, in 1971 he received his law degree from Duke Law School.

Member: ABCNY; NYCLA (Corporation Law Committee); NYSBA; ABA.

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549 **BOYD A. BLACKBURN, JR.** S&C 1971-1975

Mr. Blackburn lives in San Francisco, where he is a partner in the law firm of Bancroft, Avery & McAlister, 601 Montgomery Street, San Francisco, California 94111. He specializes in tax, with a heavy emphasis in corporate taxation, and has recently expanded into general corporate work as well.

Born in Edgewood Arsenal, Maryland, Mr. Blackburn is a summa cum laude graduate of Rice University (B.A. 1966), and a cum laude graduate of Harvard Law School (LL.M. 1969). Before beginning his S&C career as an associate in the tax group, he worked for two years as judicial clerk to Judge Bruce Forrester, United States Tax Court.

Member: ABA (Sections on: Real Property, Probate and Trust Law; Taxation; Corporation, Banking and Business Law); State Bar of California; The District of Columbia Bar (Inactive); San Francisco Bar Association.

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550 **NEIL T. ANDERSON** S&C 1971-

Mr. Anderson has been a partner in S&C's general practice group since October 1, 1979. Born in Jamestown, New York in 1946, he received his B.A. from Columbia College in 1968, and his J.D. from Columbia Law School in 1971.

Mr. Anderson and his wife, Phyllis, have two children, Carrie (b. 9/6/72) and Holly (b. 7/23/75). They live in Ridgewood, New Jersey.

Member, ABA.

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551 **DANIEL DUNSON** S&C 1971-

Mr. Dunson was born in Columbus, Ohio in 1947. At Ohio State University, where he received his B.S. degree summa cum laude in 1968, he was elected to Phi Beta Kappa and Phi Eta Sigma, and was a President's Scholar. He joined S&C as a general practice associate following graduation from Harvard Law School (J.D. 1971, cum laude), and became a member of the firm on October 1, 1979.

Mr. Dunson's primary interest other than the law is mathematics, "including the foundations of mathematics and their relationship to logic and perception of reality."

Mr. Dunson lives in Brooklyn, New York.

Member: ABCNY; NYSBA; ABA.

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552 **EDGAR D. ACKERMAN** S&C 1971-1975

Mr. Ackerman is General Counsel for Exxon Chemical Americas, 13501 Katy Freeway, P.O. Box 3272, Houston, Texas 77001.

Born in Montclair, New Jersey and raised in Bogota, Colombia and Miami, Florida, Monte Ackerman was graduated from Yale in 1966, magna cum laude, with High Honors in Latin American Studies. At Yale, he was elected to Phi Beta Kappa. He received his J.D. from Stanford in 1969, the same year Hamburg University published a treatise he wrote with J.H. Merryman: *International Law, Development and the Transit Trade of Landlocked States: The Case of Bolivia*. Later in his career, as counsel for Esso Inter-America, Inc., he used his knowledge of Latin American countries when he accomplished negotiation of "one of the first hydrocarbon exploration and development service contracts in Brazil when that country changed its long-held policy to allow exploration by foreign oil companies in its territory."

While at S&C he was in the general practice group and particularly recalls working with John Raben and Bob Hiden on the merger of Laird, Bissell & Meeds, Inc. into Dean Witter & Co. Incorporated.

Mr. Ackerman and his wife, the former Sarah Barnard Johnson, have two daughters, Jennifer and Katherine.

553 **RICHARD G. ASTHALTER** S&C 1971-

Mr. Asthalter, who has engaged in general practice for a number of years in S&C's New York and Paris offices, was admitted to partnership in the firm on October 1, 1980. He is now the firm's resident partner in Paris. Born in New York, he is a graduate of Yale College and Yale Law School (B.A. 1966; J.D. 1971). He also attended Trinity College, Oxford, where he received the B. Litt. degree in 1968.

Member: ABA; International Bar Association.

554 **MYRNA R. MACQUARRIE (MRS. R. WOJTEK)** S&C 1971-1972

Mrs. Wojtek was born in Guyana, South America in 1942. She is a graduate of Hunter College (B.A. 1966) and the University of California, Berkeley (J.D. 1970). She left S&C on April 25, 1972, after her marriage to Mr. Wojtek, a German citizen who was studying in this country, in order to return with him to Germany. Her current affiliation is unknown.

555 **MARGARET R. LOSS** S&C 1971-1977

Margaret Ruth Loss (formerly Blomquist, and so listed in the tables at the front of this book) is an Assistant Vice President of The Equitable Life Assurance Society of the United States, acting as executive assistant to the Chief Administrative Officer. She was elected an officer of the company in January, 1979.

Ms. Loss was born in Philadelphia on June 17, 1946, and grew up mainly in Cambridge, where her father, Louis Loss, is William Nelson Cromwell Professor of Law at Harvard Law School. At Radcliffe, from which she graduated cum laude in 1967, she concentrated in Linguistics and Romance Languages. She attended Yale Law School (LL.B. 1970), and spent the summer of 1969 as a summer clerk at S&C. When she returned to the office as a general practice associate in 1971, she recalls "changing the number of women from 2 (Peggy Merli and Shirley O'Neill) to 5 (along with Laurie Malman and Graceann McKeon), and then, a few years later, losing count."

After leaving S&C, Ms. Loss joined the Securities and Corporate Law Division of Equitable's Law Department and expects to return to the Law Department as an Assistant General Counsel in the Pension and Securities Regulation Division in 1981.

Member: ABCNY; NYSBA; Connecticut Bar Association; ABA; ALI.

556 **MARK I. FISHMAN** S&C 1971-1978

Born in New York and raised in Brockton, Massachusetts, Mr. Fishman attended Harvard College (A.B. 1967, magna cum laude) and Harvard Law School (J.D. 1970). Before joining S&C, he was Law Clerk to Justice Robert Braucher, Supreme Judicial Court of Massachusetts.

Mr. Fishman is now engaged in the general practice of law, with an emphasis on litigation work and bankruptcy, at the firm of Cohen and Wolf, P.C., in Bridgeport, Connecticut. His outside activities include membership on the National Young Leadership Cabinet of the United Jewish Appeal.

Mr. Fishman is married to the former Roslyn Paula Schor. They have two sons.

Member: ABCNY (Committee on Legal Assistance, 1975-1977); NYSBA (Special Ethics Subcommittee on Paralegal Assistants, 1975-1976).

557 **FRANK A. RYKOWSKI** S&C 1971-

Frank A. Rykowski was born and raised in New York City and attended Fordham College from which he graduated summa cum laude in 1968. Mr. Rykowski had long been interested in working within the financial community in New York and after having met and consulted with M. Bernard Aidinoff (*q.v.*) in regard to a position at Sullivan & Cromwell became attracted by the breadth of the firm's involvement therewith. After graduation from the Harvard Law School in 1971, he immediately became involved in the affairs of various investment banking clients of the firm, and primarily in those of The First Boston Corporation. In the past years, Mr. Rykowski has worked on numerous transactions initiated by such clients, including approximately $5 billion aggregate amount of U.S. and international financing for the Provinces of Quebec and New Brunswick and their agencies, and financings undertaken by Aluminum Company of America, Anaconda, Armco, Atlantic Richfield, Bradford Computer Systems, Chemical Bank, Citicorp, Cleveland-Cliffs Iron Company, Cummins Engine, International Paper, Jones & Laughlin Steel, Kaiser Industries and its affiliates, the Long-Term Credit Bank of Japan, MacMillan, Matsushita, Norton Simon, Inc., PPG Industries, Queensland Alumina Limited, Republic Steel, Reserve Mining, Sun Oil Company, the Tejon Ranch, and various other domestic and foreign industrial corporations, financial institutions, joint business ventures, public utilities and governments.

In the early 1970's, Mr. Rykowski began advising First Boston's newly created public finance department which over the years has grown to a leadership position in the industry and continues to call upon him extensively in its affairs, which involve federal, state and local governments and their agencies.

Mr. Rykowski in more recent years has worked on a number of acquisitions involving clients of the firm — notably those by Nationale-Nederlanden, Holland's largest insurance holding company, of five affiliates within the United States since 1974. During 1978-1979, Mr. Rykowski has devoted a great deal of time to working out the joint distribution arrangements of Regie Nationale des Usines Renault, of France, with American Motors Corporation and with Mack Trucks, Inc., under which American Motors will distribute Renault passenger cars throughout North America.

Mr. Rykowski and his wife, Jayne M. Kurzman (herself a Harvard educated lawyer with Milbank, Tweed, Hadley & McCloy) have one son (born in June of this centennial year!) and engage in several charitable and philanthropic activities in the greater New York area. Mr. Rykowski, "as a natural extension of my involvement in the firm's multinational business," is an avid student of world political affairs. He also is an accomplished classical pianist.

Member, ABA.

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558 **LAURIE L. MALMAN** S&C 1971-1979

Born in New York in 1946, Mrs. Malman attended Vassar College (B.A. 1968) and New York University Law School (J.D. 1971).

A tax associate during her years with the firm, Mrs. Malman is now an Assistant Professor on the tax faculty of New York University Law School, and counsel to Brown & Malman, 299 Park Avenue, New York City and Miami, Florida.

Mr. and Mrs. Malman, who were married in 1968, have three children, Heidi Elissa (born 4/25/70), Jessica Lynn (born 12/4/73), and Dana Elizabeth (born 9/14/80).

Member, NYSBA.

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559 **JOHN E. KLEIN** S&C 1971-1976

Mr. Klein was born in Buenos Aires, Argentina in 1945. He graduated from Princeton University (A.B. 1967) and the University of Michigan (J.D. 1971). His professional career at S&C was in the general practice group, where he was Blue Sky coordinator from 1974 to 1975.

After leaving S&C, he spent two years as the controller of N.V. Bunge, the Belgian affiliate and European headquarters of the multinational Bunge group of trading and manufacturing companies. In this position, he was "responsible for more than 125 of the 300 employees, including all those involved in administration, accounting, financial firms, reporting, EDP systems and corporate legal functions."

For the past year, Mr. Klein has been a commodity trader of N.V. Bunge, and, recently, of Koniklijke Bunge (the new European headquarters). "I am learning all aspects of trading — the core of the business — before moving on to another management position. I am now living in the Hague and working in Rotterdam." In addition to his professional activities, Mr. Klein is Treasurer and Director of the United Fund of Belgium.

Mr. Klein is married to Susan Verklin Klein. They have one daughter, Jennifer Elizabeth, born on February 8, 1979.

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560 **RICHARD LEVIN** S&C 1971-1974

Mr. Levin is "a diehard Texan" who returned to his native Dallas as a partner of Akin, Gump, Hauer & Feld, 2800 Republic National Bank Building, Dallas, Texas 75201. He is in charge of antitrust litigation for the Dallas office of the firm.

A graduate of Tulane University (B.A. 1967) and Georgetown University Law Center (J.D. 1970), Mr. Levin clerked for a year for the Honorable John C. Godbold, United States Court of Appeals, Fifth Circuit, before becoming associated with S&C. "I have the fondest memories of S&C, 'personally' and professionally. I have been able to bring what I learned there to a Dallas litigation practice. Dallas is a great city with dramatic sunbelt growth and, consequently, there is a need for lawyers in corporate litigation. Thus, what I learned at S&C prepared me very well for Dallas."

Member: Board of Governors and Board of Trustees, Dallas Symphony Association; Executive Board, Dallas Civic Opera; Board of Directors, Dallas Grand Opera Association; Associate, Dallas Museum of Fine Arts; Advisory Board, Arts Magnate School; Board of Trustees, Historic Preservation League.

Member: NYSBA; TBA; ABA.

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561 **JEFFERSON P. ROSTLER** S&C 1971-1973

Mr. Rostler is General Counsel of the Banque de la Société Financière Européenne, 20, rue de la Paix, 75002, Paris, France.

"After leaving S&C I joined the legal department of Morton-Norwich Products, Inc. as Division Counsel to the Norwich International Division. The job involved extensive travelling abroad and as a result I lived in South America, Europe and the Far East for various periods. In June of 1978 I accepted my present job and moved permanently to Paris."

Born in Lowell, Massachusetts on February 22, 1945, Mr. Rostler received both his undergraduate and law degrees from Columbia University (B.A. 1967; J.D. 1971). At S&C, he worked in the general practice group, with an emphasis on banking matters.

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562 **WILLIAM R. BREW** S&C 1971-1975

Bill Brew is a Foreign Service Officer, currently Deputy Director of the Office of Business Practices of the State Department's Bureau of Economic and Business Affairs. His principal activity is the negotiation of guidelines for international licensing agreements.

Mr. Brew was born in Westfield, Massachusetts on February 3, 1941 and raised in Costa Mesa, California. He received his B.S. in 1962 from Harvey Mudd and his LL.B. (J.D.) in 1966 from Columbia. He served as a Foreign Service Officer from 1966 to 1971, when he became associated with S&C. He worked mainly on banking matters in the office, and recalls "serving as one member of the team acting as counsel to BASIC, the Banking and Securities Industry Committee. BASIC spearheaded the reorganization of the securities handling process on Wall Street and, I believe, played a major role in permitting the level of securities transactions now common on the two major exchanges and over-the- counter."

Children: Benjamin W. (born 7/29/68) and Charles E. (born 9/7/72).
Member, ABA.

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563 **RONALD A. DWIGHT** S&C 1971-1974

Ronald Ashley Dwight is an associate of Temkin, Merolla & Zurier, 40 Westminster Street, Providence, Rhode Island 02903. He returned to the practice of law in 1978 after two years as a teacher of the Transcendental Meditation technique in Poland, France, Italy, Switzerland and the United Kingdom, in affiliation with World Plan Executive Council, Inc., a not-for-profit educational institution.

Upon leaving S&C's estates and personal group, Mr. Dwight became Special Assistant Attorney General of Rhode Island. In that capacity, he briefed, argued and won *Baxter v. Palmigiano*, 425 U.S. 308 (1976).

Providence, Rhode Island is Mr. Dwight's birthplace (November 3, 1944). He is restoring and living in a 120-year-old house on a hill overlooking Brown University, from which he was graduated in 1966 (A.B. magna cum laude, Phi Beta Kappa, Francis Wayland Scholar, College Scholar). He completed a combined J.D./M.B.A. program at Columbia in 1970 (magna cum laude, Editor of Law Review, Class of 1912 Prize, Stone Scholar).

"Knowledge is structured in consciousness. Know the Self."

Member: St. Anthony Club; Linnaean Society; Providence Athenaeum; Providence Preservation Society; Rhode Island Bar Association; ABCNY.

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564 **A. PETER LAWSON** S&C 1972-1978

Peter Lawson is with the Law Department of Motorola, Inc. in Chicago.

Born in New Brunswick, New Jersey in 1946, Mr. Lawson was graduated from Dartmouth (A.B. 1968) and Columbia Law School (J.D. 1971). He and his wife, the former Kathy Anne MacFarland, have two children, Matthew and Alexander, born respectively on January 5, 1977 and March 8, 1980.

Member, NYSBA.

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565 **RONALD E. BORNSTEIN** S&C 1972-1979

Mr. Bornstein is practicing with Thelen, Marrin, Johnson & Bridges in San Francisco, California.

Ronald E. Bornstein was born and raised in New York City. He left to attend the University of Pennsylvania and graduated from its Wharton School in 1967 and its Law School in 1970. During the summer of 1969 he was a Ford Fellow at the Academy of International Law in the Hague. Prior to joining Sullivan & Cromwell at the beginning of 1972, he spent a year as a Visiting Fulbright Professor at the University of Dakar in Senegal and doing research on West African law and economics. While at S&C he concentrated in corporate, securities and banking law, often in a transnational context.

Mr. Bornstein was in the Paris office for more than two years in the course of which he was admitted as a *Conseil Juridique*. He participated in a wide variety of matters including numerous financings for multinational corporations and foreign governments, agencies and economic organizations.

In 1979 he left S&C to join the office of Thelen, Marrin, Johnson & Bridges in San Francisco and live in Berkeley where his wife, Lisa Rogers Bornstein, is working on her doctoral thesis for a Ph.D. in Russian Literature from Columbia University. They have a daughter, Amanda Pell Bornstein.

Member: ABA; American Society of International Law; International Law Association.

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566 **SPENCER B. BURKE** S&C 1972-1974

Mr. Burke is a partner of Bryan, Cave, McPheeters & McRoberts, 500 N. Broadway, St. Louis, Missouri 63102, and is currently in residence in that firm's Washington, D.C. office, 1015 Fifteenth Street, N.W., Washington, D.C. 20004.

Born in St. Louis, Missouri on June 23, 1947, he attended the University of Pennsylvania (B.A. 1969) and the University of Pennsylvania

Law School (J.D. cum laude 1972, Order of the Coif). A general practice associate at S&C, he left New York for St. Louis, where he was a Visiting Assistant Professor of Law at the Washington University School of Law before joining Bryan, Cave.

Mr. Burke is married to Phoebe M. Scott.

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567 **DAVID CLAYTON CARRAD** S&C 1972-1974

In November 1976, Mr. Carrad opened his own law practice in Wilmington, Delaware, and enjoys being his "own master". A 1965 graduate of Trinity College in Hartford, he received his J.D. from Harvard in 1972. Mr. Carrad is the author of "The Corporate Opportunity Doctrine in Delaware", *Del. J. Corp. L.* 1-43 (1977) which was reprinted in Matthew Bender's *1978 Corporate Counsel's Annual*, and "A Modest Proposal: Enforcing Child Support Orders" which appeared in the Fall, 1979 *Family Advocate*, published by The American Bar Association's Family Law Section. Since 1978, he has taught at Delaware Law School in Wilmington as an Adjunct Professor. He is the Vice-Chairman of the Family Law Committee of the Delaware State Bar Association and was elected a Fellow of the American Academy of Matrimonial Lawyers in 1980.

"S&C's training of its young lawyers is the best in the world. I still feel that my long hours, nights and weekends at 48 Wall Street were more than repaid by what I learned there."

Born May 19, 1944 at Englewood, New Jersey; married Lynda L. Johnson of Wilmington on July 23, 1977.

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568 **THOMAS A. GRANT** S&C 1972-1975

Mr. Grant is a partner of the Richmond, Virginia firm Hirschler, Fleischer, Weinberg, Cox & Allen. He lives in Richmond with his wife, Martha and their children, Thomas and Christian.

Born in New York in 1946, he received a Bachelor of Electrical Engineering degree from Villanova University in 1968, and graduated from Catholic University Law School (J.D. 1972).

Mr. Grant practiced in the litigation group while at S&C. He writes, "I can imagine no better training ground for young attorneys than S&C."

Member: Virginia Bar Association; ABA (Sections of Antitrust Law; Corporation, Banking and Business Law; Litigation).

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569 **JOSEPH S. ORBAN, JR.** S&C 1972-1975

Mr. Orban is with Johnson & Johnson as General Attorney.

An associate in the general practice group while at S&C, he recalls month-long negotiations with the Vietnamese government in Saigon, shortly before its collapse, on behalf of the American Bank Note Company.

Mr. Orban is a native of South Bend, Indiana and a graduate of Indiana University (A.B. 1966) and the University of Michigan Law School (J.D. 1972). In 1969 he was awarded the Bronze Star Medal while serving as a First Lieutenant with the Army in combat in the Republic of Vietnam.

In 1969, he married Diana M. Ritter. Their son, David E. Orban, was born on April 13, 1976.

570 **MICHAEL M. SULLIVAN** S&C 1972-1974

International Division Counsel, The Singer Company, 8 Stamford Forum, Stamford, Connecticut 06904.

Michael Sullivan was born on March 14, 1942, in Hartford, Connecticut. He received his B.A. from Fairfield University in 1965 and his J.D. from Catholic University of America in 1972. Between college and law school, he served in the Navy.

Mr. Sullivan began the practice of law in S&C's litigation group upon graduation from law school in 1972. He particularly recalls working on the "Bus Tire Antitrust cases with Bill Willis and counsel for co-defendants; the many trips to Kansas City; the taking of twenty-five to thirty depositions throughout the U.S. in a span of two to three months." His advice to students and young practitioners of the law: "Work as hard as you can, for as long as you can."

Mr. Sullivan is married to Gustina Noel Petro; they have two daughters, Tara and Megan, and currently reside in New Canaan, Connecticut.

Member, ABCNY.

571 **WILLIAM F. McCORMACK** S&C 1972-

Mr. McCormack was born in Washington, D.C. He is a graduate of Virginia Polytechnic Institute (B.S. Accounting, 1968), Catholic University of America (J.D. 1971), and the University of Virginia Law School (LL.M. 1972).

Mr. McCormack has been an associate in the firm's tax group since entering the office in June 1972. Among his memorable professional engagements are the sale of Peabody Coal Company by Kennecott Copper Corporation, and the New York Life Insurance Company's tax litigation involving some ten years (1958 through 1968 taxable years).

Mr. McCormack is married to Karen Lee McCormack. They live in Hempstead, New York with their four children, Joseph, Laura, Erin and Christopher.

572 **LAWRENCE W. NELSON** S&C 1972-1975

Lawrence Nelson is a Division Counsel with CPC North America, a division of CPC International Inc.: "I now work on the other side of the street in that I work closely with outside counsel on our litigations."

He was born in Mankato, Minnesota on February 17, 1946, graduated from the University of Michigan in 1968 and received his J.D. in 1972 from Case Western Reserve University. After law school, he began the practice of law with Sullivan & Cromwell as an associate in the litigation group.

Mr. Nelson married Ann Elizabeth Fragomen. They have four children — Lawrence, Peter, Patrick and Timothy — and live in Glen Ridge and Mantoloking, New Jersey.

Director: Glen Ridge American Red Cross (1975-); Director: Amerchol Corporation (1977-).

573 **FRANCIS CARLING** S&C 1972-1980

Mr. Carling is an associate in the firm of Winthrop, Stimson, Putnam & Roberts in New York City.

"The first third-of-a-century of my serendipitous life has been a wild study in contrasts. After a meager, confined and inauspicious beginning, it burst forth and ran in all directions at once, leaving me (sometimes quite breathlessly) to hold on as best I could.

"I started with a childhood eked out amid slums and low-income public housing on Manhattan's Upper West and Lower East Sides (with four older brothers, a struggling artist father who had been disabled in World War I, and an indefatigable and all-patient mother who eventually became a legal secretary at Mendes & Mount to get us all through school); proceeded to terms of imprisonment in various parochial schools (the last of which boasted that it was the scene of Al Smith's entire formal education); progressed to what I then thought was the giddy height of a high school in the Bronx; escaped from it all to

the briefest imaginable stint as a Jesuit seminarian; and, restored to the World, ended up as a student of English and theology (in a balancing act between profane and sacred which is destined, apparently, to go on and on) at Fordham College.

"At Fordham other bugs (mostly political) bit me. This was in the turbulent sixties, and though Fordham was something of a backwater of student activism, I played a hand (as chairman of the Liberal club, member of the student court, member of the National Council and the steering committee of the Student Peace Union, co-founder of the Fordham chapter of the Congress on Racial Equality — the first such chapter at a Catholic college — and, finally, president of the student body) in bringing it a little closer to the mainstream. All this hubbub helped convince me that I would be happiest spending as much time as I could in courtrooms, on my feet, doing battle; unfortunately, it also left me with an annoying habit (not conquered until many years later, and even then not completely) of carrying on rather badly every time I thought I saw a naked emperor.

"The school selected next to suffer such onslaughts was Yale Law School (in spite of a proferred Root Tilden scholarship at N.Y.U. and a similar suicidal inducement from Harvard); notwithstanding the claim of Richard Urowsky (*q.v.*) that I once advocated in a public meeting that that splendid institution be burned to the ground for some trifling failure to meet my expectations, the fact is that it calmed me down considerably at the same time as it provided a ready channel — the local Legal Aid Bureau — for me to burn off excess zeal in litigation. Altogether there were five fine years in New Haven, and I managed there to fulfill two of Goethe's three prerequisities for a happy life by having a son (Duncan Campbell, born 1971 of a marriage that did not survive the transition back to New York, and now a third-grader at Buckley) and writing a book (on religious aspects of student political activism).

"I first came to S&C as a summer associate in 1969, and then returned for the following summer after the firm had kindly granted me a leave of absence to spend the year (later expanded to two years) after graduation as a staff lawyer with the New Haven Legal Assistance Association. Those two years of almost daily exposure to the courtroom were an invaluable supplement to my education, and when I finally made it back to S&C in 1972 I was eager to strike out on my own in litigation. Thanks to having (as always) more than a fair share of good luck — manifested by, among other things, assignment to spend a major portion of my time litigating labor and employment cases — I was not disappointed. It is hard to select highlights in a practice which has been so hectic, but some milestones have been *Harris* v. *Bache* (in

which, not quite six months in the office, I got to present oral argument to the N. Y. Court of Appeals); the *Harlem River Consumers Cooperative* case (in which, by a fluke, I got to act as lead counsel for thirteen defendants in a three-month jury trial); *Unigraphic* v. *Inco* (involving a complaint for several millions of dollars, which I settled for $12,000 and a dinner with plaintiff at Lutece); *Alpex* v. *Pitney Bowes* (memorable as the bitterest of all defeats); *Union Bank of Switzerland* v. *HS Equities* (which gave me my first glimpses of the West Coast and Europe, and which I won at trial); and labor, equal employment opportunity and occupational safety and health cases by the dozens. In the course of this free-wheeling litigating life I may have set a record of sorts — 19 cases tried in fiscal 1978, with three in one week — for an associate with the firm, and just about every minute of it has been a delight.

"In the last few years life has become so crammed and bulging that I hardly know whether any given day will find me in a steel plant or a skyscraper; at a backgammon table surrounded by the fading elegance of a club, or at a bargaining table surrounded by red-hot union delegates longing to flay me alive; at a meeting of one board of trustees which wonders what to do with a million-dollar windfall, or at a meeting of another which scrapes and squeezes to meet the next payroll; in the tenements and modest houses of my family, or on some hilltop in Jamaica or Montreux; or in a concert, or a confrontation, or a courtroom, or a cloister.

"It looks as though the next third-of-a-century will find me still busily pursuing (not necessarily in this order) the law; music; that will-o'-the-wisp, God; love (in the person of Anne Southall Adler, herself an exotic amalgam of hard-driving *New York Times* potentates and genteel Virginian historians); and doing what I can to pay off the enormous debt to Fortune I have piled up along the way."

Born November 2, 1945 at New York City to James Andrew Carling and Mary Amelia Lorenzo; educated at Holy Name School, St. James' School, Cardinal Hayes High School, St. Andrew's Seminary, Brooklyn College, Fordham College (B.A. 1967) and Yale Law School (J.D. 1970). Married in 1969 (later divorced) Elisabeth Morse Kelley.

Author, *"Move Over:" Students, Politics, Religion* (Sheed & Ward: New York, 1969).

Member of the American Bar Association, New York State Bar Association, Association of the Bar of the City of New York. Admitted to practice in Connecticut (1970), New York (1972), various federal courts in Connecticut, New York, Ohio and Washington, D.C., and to the U.S. Supreme Court.

Secretary, General Counsel and Trustee, Big Brothers Inc., of New York City; Vestryman, Clerk of the Vestry and member of the executive committee, St. James' Episcopal Church; director, Friends of French Opera, Inc.; member, Metropolitan Opera National Council.

Member of the Church Club of New York, Down Town Association, Union Club.

574 **JOHN A. NEWMAN, JR.** S&C 1972-1974

Mr. Newman is currently Board Counsel of the California Agricultural Labor Relations Board and a Ph.D. candidate at the Graduate Faculty of the New School for Social Research in New York.

Born in Cambridge, Massachusetts, he is a graduate of Fordham (B.A. 1967, summa cum laude) and Harvard Law School (J.D. 1971). He counts "Ford Motor Blue Sky" among his memorable assignments as a general practice associate at S&C.

Concerning his experiences since leaving S&C, Mr. Newman writes: "I represented patients in public psychiatric hospitals for an agency of the Appellate Division, N.Y. State Supreme Court (the Mental Health Information Service) from 1974 to 1977, also completing course work for a Ph.D. in Political Science at the Graduate Faculty, New School for Social Research. I served as counsel to the Director of the California Department of Consumer Affairs from September 1977 to November 1978, and have been counsel to one of the members of California's Agricultural Labor Relations Board since then. I am also writing a Ph.D. Dissertation on the vision of political leadership in Virgil's *Aeneid*."

Reflecting on his experience and education, Mr. Newman writes: "The human spirit is dynamic in nature. It seeks to fulfill itself through growth in awareness, freedom and relatedness. The practice of law can contribute to this development if it is integrated into a life steadily growing deeper in authenticity, which demands fidelity to truth, kindness to individuals and service to the human community."

575 **JOHN A. BALKOSKI** S&C 1972-1974

Mr. Balkoski is Vice President, General Counsel and Secretary of Howmedica, Inc., 235 East 42nd Street, New York, New York 10017. He is a director of Howmedica and of a number of other corporations.

Born in New York in 1948, he received his B.A. from Columbia in 1968, and his J.D. from Yale in 1971. Following a year of study at Cambridge University, Mr. Balkoski joined S&C, where he worked in the general practice group, concentrating primarily on banking matters.

576 **LOWELL C. WILLIAMS** S&C 1972-1975

In his current position as senior counsel to Texasgulf Inc., Lowell Williams is responsible for its international division. Among other accomplishments, he completed joint venture agreements and project financing agreements for the Cerro Colorado Copper Project, the world's largest known undeveloped copper ore body.

Mr. Williams, a native of Tehachapi, California, came to S&C's general practice group following graduation from the University of California (B.A. 1969) and Columbia Law School (J.D. 1972). One assignment he particularly recalls is his work with Mr. Storrs on "a gold depositary receipt fund which was the first registered offering of gold backed shares when gold was permitted to be privately owned in 1975."

Mr. Williams is married and lives in Scarsdale, New York. He has one child, John Sievert.

Member: NYCLA; NYSBA; ABA.

577 **GARY A. GOODMAN** S&C 1972-1979

Mr. Goodman is a partner in Winston & Strawn, Chicago, Illinois.

Mr. Goodman was born in Memphis, Tennessee in 1947. A graduate of Cornell (A.B. 1969) and Columbia Law School (J.D. 1972), where he was on the Columbia Law Review, he was a general practice associate during his years with S&C.

He left the firm to become associated with Winston & Strawn on May 18, 1979, and has been a member of the Chicago firm since July 1, 1980.

Mr. Goodman is married to the former Gila Berger.

Member: ABCNY; NYSBA; ABA; Chicago Bar Association; Illinois Bar Association.

578 **JOHN D. GREENWALD** S&C 1972-1974

John Doyle Greenwald is Deputy General Counsel in the Office of the Special Representative for Trade Negotiations, Executive Office of the President, 1800 G Street, N.W., Washington, D.C. 20506. He lives in Washington, D.C. with his wife, Maria Teresa Tarujo de Almeida, and their three children, Nicholas, Fransico and Katherine.

Mr. Greenwald was born in New York City on July 27, 1945. A 1967 graduate of the University of North Carolina at Chapel Hill, he received his J.D. from Columbia, where he was a Harlan Fiske Stone Scholar, an International Fellow, and Editor-in-Chief of the Columbia Journal of Transnational Law. He became associated with S&C's general practice group upon graduation in 1972 and practiced with the firm until moving to Washington, D.C. and his present position in January, 1974.

579 **DAVID F. SEXTON** S&C 1972-1977

Mr. Sexton is with The First Boston Corporation as Vice President and General Counsel. He has overall responsibility for legal matters affecting the corporation.

Born in Montclair, New Jersey, he is a graduate of Princeton University (A.B. cum laude, 1966) and The University of Pennsylvania Law School (J.D. cum laude, 1972). He served on active duty as a Naval Reserve officer in the Mediterranean from June 1966 to August 1969. During his association with S&C, he was a member of the general practice group, in which he initally worked largely on public utility offerings, and subsequently primarily on international matters, especially offerings for foreign governments and Japanese corporations.

"The practice of law by an associate in a firm such as Sullivan & Cromwell appears to involve a constant dichotomy between the demands of the firm's workload, a perfectionist attitude toward detail and the need to satisfy the requirements of more senior attorneys on the one hand, and a desire to advise clients directly as one sees fit on the other. This is a false dichotomy, for while I now have total freedom to advise my corporate client based solely on my own judgement, I recognize that I cannot do so except based on the kind of rigorous analysis and attention to detail I learned at S&C. In law, there is no difference between the forest and the trees."

Mr. Sexton and his family live in Rye, New York. He is married to the former Ann Hemelright. They have three children: James Porter Sexton (b. 12/22/72), Ashley Elizabeth Sexton (b. 5/16/75), and Christopher William Sexton (b. 11/11/78).

Member: ABCNY; ABA. Secretary, The Japan Society, Inc. (1976-).

580 **CHARLES E. COSTELLO** S&C 1972-1975

Chuck Costello is Deputy General Counsel of the State Department's Agency for International Development in Washington, D.C.

He was born in Detroit in 1942, and graduated from the University of Michigan (A.B. 1964) and the University of California Law School (J.D. 1972). Between college and law school, he served as a Peace Corps volunteer in Guatemala and was Associate Director of the Peace Corps in Colombia.

581 **MARCIA B. PAUL** S&C 1972-1977

Ms. Paul is a partner of Greenbaum, Wolff & Ernst, 437 Madison Avenue, New York, N.Y. 10022.

Born in New York City on March 27, 1949, Ms. Paul obtained her undergraduate degree from Barnard in 1969 and her J.D. from Columbia in 1972. She left S&C's litigation group on January 14, 1977 to become associated with her present firm, and became a member of the firm on January 1, 1980.

Member: ABCNY (Committee on State Courts of Superior Jurisdiction); Federal Bar Council.

582 **DAVID B. RIGNEY** S&C 1972-1979

David Rigney is General Counsel and Vice Chancellor for Legal Affairs of The City University of New York.

Born in Philadelphia in 1945, Mr. Rigney was graduated from Yale College (B.A. 1966, magna cum laude), where he was elected to Phi Beta Kappa, and Yale Law School (LL.B. 1969).

Before joining S&C's litigation group, he was clerk to the Honorable James L. Latchum (U.S.D.C. Delaware) from 1969 to 1970, and to the Honorable A. Leon Higginbothom, Jr. (U.S.D.C. Eastern District of Pennsylvania) from 1970 to 1971.

Mr. Rigney is married to Olivia Hugill, who was an associate at S&C from 1973 to 1978. They have a daughter.

583 **MICHAEL WINGER** S&C 1972-74, 1975-80

Mr. Winger is (in 1980) in his first year as a student for the ministry at Union Theological Seminary.

"I believe my principal distinction at Sullivan & Cromwell is that I came to work for the firm four different times: May 1969, following my second year at Yale Law School; August 1971, following two years in the Army, to which I had been drafted out of a conference room on the 13th floor at 48 Wall Street (another distinction); September 1972, following my graduation from law school; and February 1975, having followed my wife (Jane Curtis) to Philadelphia the previous September, where she started medical school and I spent five months with a good Philadelphia firm that was not, however, either Sullivan or Cromwell. Thereafter for two-and-a-half years I enjoyed the further distinction of being the only lawyer in the office who had to cross one entire state (albeit New Jersey) to pass between home and office. During this period I considered myself to be a major recruiting asset for the firm, an illustration of the lengths to which one would go to be in our happy little group; but I suppose that the uninitiated might have chosen to draw conclusions, instead, not wholly flatering, about the type of

person who would go to work for Sullivan & Cromwell in the first place, let alone the second, third or fourth.

"At any rate, the doctor and I now live on New York's upper west side, and it is her turn to come home only two nights out of every three, that being the customary schedule, she assures me, for pediatric residents at the Bronx Municipal Hospital."

Michael and Jane's daughter, Emma Curtis Winger, was born on October 4, 1980.

Born June 15, 1946 in Champaign, Ill. Grew up principally in Park Forest, Ill. Yale College, magna cum laude, 1967. Associate Managing Editor, Yale Daily News. Yale Law School 1972. Litigation group at S&C. Member: ABCNY; ABA. Member, American Friends Service Committee (New York Region) Peace Committee.

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584 **PETER H. SULLIVAN** S&C 1972-1975

Mr. Sullivan lives in Manila, The Philippines, where he is Senior Counsel for the Asian Development Bank. He has participated in negotiations for development projects in almost all the developing countries of Asia, "resulting in wonderful travel opportunities." Mr. Sullivan is currently responsible for all legal work on borrowings for the Bank in the United States, Europe, Japan and other capital markets. He practiced in the tax group while at S&C.

Born September 7, 1945 in Rochester, N.Y.; graduate of Princeton University (A.B. 1967) and Yale Law School (J.D. 1972); "still single, and enjoying it greatly."

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585 **ALAN M. REINKE** S&C 1972-1975

Alan Malcolm Reinke returned to his birthplace, San Francisco, where he is Associate Counsel of GATX Leasing Corporation.

While at S&C, he participated in the Penn Central Commercial Paper litigation on behalf of Goldman, Sachs and "the six-week trial of Welch Foods et al. v. Goldman, Sachs, with Mike Maney, Phil Graham and Bill Piel." He values "the lasting friendships which develop despite (or perhaps because of) the intense pressure of the job."

Before joining GATX, he practiced litigation, primarily in the areas of labor relations and employment discrimination, with Cooper, White & Cooper of San Francisco.

Mr. Reinke attended the University of California (A.B. 1969) and Boalt Hall Law School (J.D. 1972). He and his wife, the former Katherine Davis, live in Oakland, California with their three-year-old son, Nathaniel Alan.

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586 **HEIDI B. DUERBECK** S&C 1972-1977

Ms. Duerbeck is a partner in the firm of Walter, Conston, Schurtman & Gumpel, 280 Park Avenue, New York, N.Y. 10017.

Born in Duisburg, Germany in 1947, Ms. Duerbeck graduated from UCLA (B.A. 1968; M.A. 1969) and Stanford University Law School (J.D. 1972), where she received the Mark Franklin Prize in International Law and was Articles Editor of the Stanford Journal of International Studies. At S&C, she was an associate in the general practice group. "The wide spectrum of corporate transactions undertaken in my four years at S&C stands out: tender offers, acquisitions of assets, equipment lease financings, industrial revenue financings, common stock offerings, real estate transactions, etc.

"I remember particularly the camaraderie of the lunch room in 52 Wall Street with the corporate table, the litigation table and the neutral zone."

In her present practice, Ms. Duerbeck specializes in representing German, Swiss and Austrian clients in the United States. In the fall of 1979 she gave a seminar on corporate financings at the University of Muenster, and subsequently had an article published in Germany explaining industrial revenue bond financings.

Ms. Duerbeck writes: "I try to instill in our new associates two approaches which I remember Jack Jaqua passing on to new S&C associates: (1) rely on your common sense — do not assume that legal experience grants a monopoly to answers; (2) stand away from the paperwork regularly in order to distill the essential issues in a transaction in proper priority, i.e. you may save many hours of churning in the outer reaches of a transaction and through the boilerplate of precedents if you first center on (a) the intended structure of a transaction and (b) those points which cause your client to incur immediate costs or significant risks."

Ms. Duerbeck and her family live in New York. She is married to Jenik R. Radon, and they have a seven-year-old daughter, Kaara.

Member: ABCNY; ABA.

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587 **CLARA SUE STEGEMANN** S&C 1972-1976

Miss Stegemann is associated with the law firm of Tung & Drabkin, Suite 4400, One Citicorp Center, New York, New York 10022, "which was formed three years ago by Ko Yung Tung and David Drabkin. The firm's emphasis is on international corporate practice, representing Oriental clients, although it is essentially a general practice.

"I am also continuing the study of dance and am assisting my teacher, Zena Rommett, in writing and publishing a book concerning her specific technique for classical training.

"I also am counsel for Charles Hansen Music & Books, Inc., a music publishing firm."

A native Floridian, Miss Stegemann received both her undergraduate and law degrees from the University of Miami (B.A. 1968; J.D. 1972). Her association with S&C was in the general practice group. "I'm so grateful for my experience with the people of Sullivan & Cromwell, who loom very large in my recollection and for whom I have the utmost esteem, appreciation and affection, and to whom I compare all other associations. The lawyers and support staff as well, all gave me so much that they remain vivid and appreciated in my memory."

Member: Florida, New York and California Bar Associations.

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588 **JIMMIE JOHNSON** S&C 1973-1975

Jimmie Johnson is a Senior Common Stock Analyst with The Equitable Life Assurance Society of the United States.

Born in Los Angeles in 1946, he attended UCLA (B.A. 1967) and Harvard Law School (J.D. 1971). As a general practice associate at S&C, his assignments included the "public offering of Pfizer Inc. in 1974 — $125,000,000 8-1/2% Sinking Fund Debentures Due 1999."

Along the road, Mr. Johnson feels he has been able to "develop a broad perspective of the securities industry," and has "set attainable goals while working therein."

Member: New York Society of Security Analysts; Financial Analysts Federation.

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589 **COSTA N. KENSINGTON** S&C 1973-1977

Costa Nicholas Kensington is a member of Kensington & Sterba, 545 Fifth Avenue, New York, N.Y. 10017. One of his partners, M. John Sterba, Jr., is a fellow S&C alumnus.

Mr. Kensington was born in Bristol, England on February 7, 1948. He received his B.A. from Rutgers/New Brunswick in 1969 and his J.D. from Rutgers Law School/Newark in 1973. His recollections of life as a general practice associate at S&C include "a Toledo Edison closing in 1974, where the snow was so deep that my plane was redirected to Detroit; after renting a car to drive to Toledo, I was the only person at the closing, on behalf of our side."

Also, "Several months after joining S&C, I found myself, after several unforeseen circumstances, alone but with clients in tow, in Washington, D.C. presenting such clients' arguments to the Executive Director of the Federal Trade Commission and looking forward to my next appointment, which I had scheduled at the client's request, with the Assistant Attorney General in charge of the Antitrust Division of the Justice Department. Unknown to me, a certain corporate partner had learned of my destinations and was now circling Washington, D.C., trying desperately to catch up with his client, and his associate, who was not then even admitted to the bar. While perhaps not wholly intentional, a perfect example of the S&C philosophy of putting its associates immediately into the thick of battle. . .".

Part of Mr. Kensington's personal philosophy can be summed up in a phrase from Andrew Carnegie's epitaph: "Enlist in your service . . . better men than yourself . . ."!

Member, ABA.

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590 **JOHN V. WHITBECK** S&C 1973-1978

Mr. Whitbeck has been based in London and Paris as International General Counsel to Ghaith Pharaon's Saudi Research & Development Corporation (REDEC) since March, 1979. Prior to his present position he was practicing law in Jeddah, Saudi Arabia with Erickson, Zerfas and Adams, a Los Angeles-based firm.

Born in New York City on December 21, 1946, Mr. Whitbeck attended Harvard College (A.B., summa cum laude, 1968) and Harvard Law School (J.D., cum laude, 1973). He was in the general practice group at S&C.

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591 **FRANK D. ZAFFERE III** S&C 1973-1980

Frank Zaffere is a partner in the firm of Reuben & Proctor, Suite 2001, 11 South LaSalle Street, Chicago, Illinois 60603.

Mr. Zaffere was born in Easton, Maryland in 1948, and graduated from Notre Dame cum laude in 1970. He came to S&C's general practice group from Georgetown University Law Center (J.D. 1973), where he was Business and Lead Articles Editor of the International Law Journal. His last three years with S&C were spent in the Washington, D.C. office. He left the firm for his present position on February 15, 1980.

Mr. Zaffere and his wife, Hattie, have two children: Frank (born 3/26/70) and Althea Louisa (born 7/16/72).

Member: New York, Illinois and District of Columbia Bars; ABA; D.C. Bar Association.

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592 **OLIVIA I. HUGILL** S&C 1973-1978

Ms. Hugill was born in London, England on October 7, 1948. Following graduation with LL.B. Honors from the University of Bristol in 1969 and from the Inns of Court School of Law in 1970, she was admitted to the United Kingdom Bar as a Barrister-at-Law and a member of Lincoln's Inn. Ms. Hugill practiced in Lincoln's Inn from 1970 to 1972.

On May 2, 1973, she joined the estates and personal group of S&C, and, by virtue of the U.S. Supreme Court decision in 1973 permitting resident aliens to qualify as lawyers, was admitted to the New York Bar.

Her most interesting case was the lengthy litigation involving the Estate of the composer Bela Bartok, and the trusteeship of Victor Bator, "a case of Byzantine complexity." Also, as a participating attorney in the Community Law Office program, she handled and closed some half dozen cases.

While at S&C, Ms. Hugill met and married David B. Rigney, then also associated with S&C. She left the firm in June 1978 to prepare for the arrival of their daughter Alexandra, who was born on September 26, 1978.

593 **DONALD C. WALKOVIK** S&C 1973-

Mr. Walkovik was born in Kenosha, Wisconsin in 1948. A graduate of the University of Wisconsin (B.B.A. 1970) and Harvard Law School (J.D. 1973), he is now an associate in S&C's general practice group.

Member: ABCNY; NYCLA; NYSBA; ABA.

594 **ABRAHAM ZYLBERBERG** S&C 1973-1979

Mr. Zylberberg is practicing with the firm of Sage Gray Todd & Sims in New York City.

Mr. Zylberberg was born in Lodz, Poland, in 1947. He is a graduate of the University of Chicago (B.A. 1969) and the University of Michigan Law School (J.D. 1973). He left S&C's general practice group for his present affiliation on December 31, 1979.

Mr. Zylberberg and his wife, Harriet, live in New York.

595 **BARBARA A. MENTZ** S&C 1973-1977

Ms. Mentz, who practiced in the litigation group at S&C, is now a partner in the firm of Hall, McNicol, Hamilton, Clark & Murray, 330 Madison Avenue, New York, New York 10017.

A native of Kansas City, Missouri, Ms. Mentz is a graduate of the University of Kansas (B.A. 1965) and Notre Dame Law School (J.D. 1973, magna cum laude). She and her husband, Lawrence Mentz, have a daughter, Kathleen, born in 1974.

Member: ABCNY; ABA (Section of Antitrust Law, Committees of Civil Practice and Procedure and Section 7).

596 **JOHN B. TEHAN** S&C 1973-

Mr. Tehan, an associate in the general practice group, was born in Utica, New York. He is a graduate of Le Moyne College in Syracuse, New York, where he was a member of Pi Gamma Mu and the National Social Science Honor Society, and of the Catholic University School of Law, where he was Recent Developments Editor of the Law Review.

Mr. Tehan married Regina A. Callahan in 1970. They have three children: Aaron, born October 5, 1973, Lauren, born March 3, 1975, and Eileen, born November 10, 1980.

597 **WILLIAM G. von GLAHN** S&C 1973-1977

Mr. von Glahn is an attorney in the Legal Division of W. R. Grace & Co. in New York. His work encompasses a wide variety of corporate matters, including acquisitions, divestitures and financings.

Born in Bronxville, New York, on August 29, 1943, he received his B.A. degree from the University of North Carolina in 1965, and his J.D. in 1943 from Washington University where he was a member of the Order of the Coif and Managing Editor of the Washington University Law Review. He is presently completing an LL.M. in Taxation at New York University. After law school he joined S&C as an associate in the general practice group. He notes that his most memorable corporate transactions were the Kingdom of Norway and Republic of Finland financings and that he went on "a hundred trips" and spent "a thousand nights at the printers — the time I spent at Sullivan & Cromwell seemed to go very fast."

An avid golfer, Mr.von Glahn has played in several regional golf tournaments, and had a hole-in-one in the New York State Amateur Tournament in 1978. He and his wife, Joyce, live in Bernardsville, New Jersey. They have three children, Laura, David and Robert.

Mr. von Glahn's advice to young practitioners on Wall Street is to "read as much about the history and past practices of 'the street' as possible. Only this way can they understand current practices."

598 **WILLIAM J. BROWN III** S&C 1973-

Mr. Brown is an associate in the firm's general practice group. He was born in Columbia, South Carolina in 1947, and is a graduate of the University of Notre Dame (B.B.A. 1970) and Boston College Law School (J.D. 1973). He was a member of the National Moot Court Team which represented Boston College at the national finals in 1972.

Mr. Brown and his wife, the former Judy Lindsay Bryan, have two children: Steven Arthur (born September 18, 1977) and Michael Randolph (born April 8, 1979). They live in Chappaqua, New York.

Member: ABCNY; ABA. Director, Colite Industries, Inc.

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599 **BARBARA A. BANOFF** S&C 1973-1975

Barbara Ann Banoff is now Associate Professor at the Univesity of Minnesota Law School. A litigator while at S&C, she left to join the U.S. Senate Select Committee on Intelligence Activities as Staff Counsel, and then was appointed Senior Fellow in Law at Harvard Law School.

Born in Los Angeles, California, Ms. Banoff is a cum laude graduate of Radcliffe College (B.A. 1966) and a summa cum laude graduate of the University of Santa Clara Law School (J.D. 1973). Her writings include "COINTELPRO: The FBI's Covert Action Programs Against American Citizens," in Book III of the Select Committee's Final Report, and "Fraud Without Deceit," 17 Santa Clara Law Review 1 (1977).

"I am now working on an article for the American Bar Foundation — an empirical study of federal securities litigation in the S.D.N.Y. I have also been selected as the Reporter for a revision of the Minnesota Corporations statute. Finally, I am editing a book on Japanese securities regulation. As if all that weren't enough, I have become active in Democratic politics, chairing my Senate district organization and a ward convention."

Ms. Banoff advises her students to " 'Go East, Young Person.' It falls on singularly deaf ears here in Minnesota but I'm working on it."

Ms. Banoff lives in Minneapolis.

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600 **R. DENNIS CALLAGY** S&C 1973-1975

Mr. Callagy, now in private practice in Connecticut, first practiced with S&C's litigation group and then was with Cummings & Lockwood in Stamford, Connecticut. His offices are at 106 Black Rock Turnpike, Redding Ridge, Ct. 06876.

Mr. Callagy was born in Flushing, New York on March 24, 1945, and attended Fairfield University (B.A. 1967) and Fordham Law School (J.D. 1973). He is active in community affairs, serving on the Board of Directors of St. Patrick's Church in Redding Ridge and as a Director, athletic coach, and manager for minor league baseball of the Redding Boys Club. He is a member of the American Bar Association, the Connecticut Bar Association, and the Federal Bar Council.

Mr. Callagy and his wife, Lynne Anne, have four children: Dennis Michael (1964), Michelle Lynne (1967), Scott Christopher (1969) and Kristen Anne (1973).

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601 **SIMON ALEXANDER** S&C 1973-1975

Mr. Alexander is currently Assistant Vice President and Regional Counsel of Bache Halsey Stuart Shields Incorporated in New York, where he is responsible for all legal matters arising out of West Coast Branch offices as well as for other general corporate legal matters. His activities outside his office include career counseling of law students at Brooklyn Law School.

A graduate of the City College of New York (1969), and Brooklyn Law School (1973), Mr. Alexander came to S&C as an associate in the general practice group. Looking back, Mr. Alexander states, "My experience at S&C instilled a methodology for the practice of law that can only be considered as invaluable. It has held me in good stead in my post-S&C career and has provided a foundation upon which I hope to continue to build."

Mr. Alexander and his wife, Helen Diane, live in Greenlawn, New York. They have a daughter, Meredith Jill.

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602 **FRANK V. CALABA** S&C 1973-1979

Mr. Calaba is associated with the Los Angeles firm of Lawler, Felix & Hall.

A native Californian, Mr. Calaba received his Bachelor of Science in Business Administration from the University of California at Los Angeles in 1968, and his Juris Doctorate from Loyola Law School (Los Angeles) in 1971. He worked for a year in the tax department of Touche Ross & Co. and was coauthor of *The Multiple Benefits of Multiple Corporation*, published in The Tax Executive, October, 1972. Upon being accepted into the LL.M. program of the Harvard Law School, Mr. Calaba and his wife, Jeannine, also a native Californian, moved to the East Coast in 1972.

While at Harvard, Mr. Calaba taught legal writing and research at Boston University Law School and wrote "The Analysis and Application of the State and Local Fiscal Assistance Act of 1972 (Review Sharing)", which was published in the *Tax Law Review*, Spring, 1973.

After receiving his LL.M., he joined Sullivan & Cromwell in September, 1973, as a member of the tax group. In August, 1979, he returned to Los Angeles with his wife (Jeannine Lemare Biza), two sons (Victor and John), "and six years of outstanding legal experience", to take on the challenging job of developing a tax practice for Lawler, Felix & Hall.

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603 **LINDA C. QUINN** S&C 1973-1980

Miss Quinn left S&C's general practice group on February 8, 1980 to join the Division of Corporation Finance of the Securities & Exchange Commission as an Attorney Fellow.

Born in Rockville Center, N.Y., in 1948, and having grown up in Westbury, Long Island, she is a graduate of Mount Holyoke College (A.B. 1969), where she was a Sarah Williston Scholar, and won the Merrill Prize for English and election to Phi Beta Kappa.

Miss Quinn received her J.D. degree at Georgetown University Law Center in 1972, and while there was on the Executive Board of the Law Journal. For the court year 1972-73, before coming to S&C, Miss Quinn was law clerk to Honorable J. Joseph Smith, Judge of the United States Court of Appeals for the Second Circuit.

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604 **HARVEY FELDSCHREIBER** S&C 1973-1980

Mr. Feldschreiber left S&C's general practice group on May 6, 1980 to become associated with the firm of Shea & Gould in New York City.

Born in New York in 1946, Mr. Feldschreiber is a graduate of The Cooper Union (B.E. 1968) and Fordham Law School (J.D. 1973). With his wife, Fran, and their son, Andrew Lawrence (b. 10/30/74), he resides at 630 West 246th Street, Riverdale, N.Y., 10471.

Member ABA, NYSBA.

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605 **GEORGE A. SPADORO, JR.** S&C 1973-1976

Mr. Spadoro is a member of the firm Schneider, Frank & Spadoro in Edison, New Jersey. His firm also has an office in New York City.

In 1978 Mr. Spadoro narrowly lost his primary bid in the Democratic nomination for New Jersey's 15th Congressional District seat in the House of Representatives. Running without party backing and against an 8-term veteran incumbent, he still managed to capture 41 per cent of the Democratic vote. In early 1980 he announced that he would try again. His attractive campaign literature pictured him, fit as a fiddle, running in the New York City Marathon, being interviewed by Bill Bogg on "Midday Live", and handsomely greeting his putative constituents with, at his side, his deservedly photogenic wife, Catherine, a TV commercial actress.

Born in Jersey City, N.J., on March 27, 1948, Mr. Spadoro is a graduate of the University of Connecticut (B.A. with Honors, 1970), and of Hastings College of Law, University of California (J.D. with Honors, 1973), where in his last year he was President of the Student Association. His practice with S&C was in the litigation group. He left after three years to become Assistant General Counsel of Teleprompter Corporation. Then in 1978 he returned to his native heath to co-found his present law firm, and to become deeply involved in Middlesex County politics and public service activities.

He is a member of the Edison Democratic Association, the United Democratic Club of Edison and the Middlesex County Hungarian-American Democratic Club. He is also the attorney for the Woodbridge Second Ward Democratic Club. He is a former fundraising chairman for the Northern Middlesex County chapter of the Boy Scouts of America. He is presently Chairman of the Middlesex County American Cancer Society Crusade for 1980 and a member of the Board of Directors of the Regional Health Services Agency. He is a member of the Carteret Italian-American Social Club and of the American-Italian Heritage Society of Iselin.

We are indebted to the Spadoro for Congress Committee for further details: "George Spadoro's rise to political leadership surprises no one who knows him. Whether loading trailers on the nightshift in Jersey City truckyards or practicing law in his own firm, George's life has been characterized by hard work and achievement.

"As a 13-year-old he hurried home from school to deliver *The News Tribune*. During summers he waited on tables at the waterfront Barge Restaurant in Perth Amboy. At Stevens High in Edison, George was elected student council president and earned varsity letters in track and field. His academic accomplishments and leadership qualities won him an American Legion scholarship. That and working nights put George through college at the University of Connecticut.

"At the University of California law school he was student government president and an editor of the law journal. His drive brought him to a prestigious Wall Street law firm as a trial lawyer, then to a top executive position with an international corporation. He became a founding partner in an Edison law firm — all before the age of 30.

"George Spadoro has worked hard to get where he is. He knows what it takes to make a buck. As your Congressman he will vote as if every tax dollar came out of his own pocket."

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606 **NANDO DiFILIPPO, JR.** S&C 1973-1975

Mr. DiFilippo is an associate of the firm of Baker & McKenzie in Washington, D.C.

He was born in West Palm Beach, Florida. He is a 1970 magna cum laude graduate of Georgetown University, where he was elected to Phi Beta Kappa and Phi Alpha Theta (the history honor society), and earned his law degree in 1973 from the Georgetown University Law Center. While at the Center, he was an editor of *Law and Policy in International Business*, which published his note on "Merger Jurisdiction for the Federal Trade Commission?"

Mr. DiFilippo's engagements while with S&C's general practice group included the private placement with 17 institutional purchasers of a $30,000,000 debt issue of Iowa Electric Light and Power Company, the initial financing for Puerto Rico Telephone Company after it had been purchased by the Commonwealth from ITT, and various kinds of financing for Union Pacific Railroad. He left S&C in 1976 to become an associate of Verner, Liipfert, Bernhard & McPherson in Washington, D.C., and in 1978 moved to his present position.

Along the way, he has worked in several Presidential campaigns for senators Birch Bayh and Edmund Muskie, and has worked on a volunteer basis for the Democratic National Committee.

With his wife, Mary Kathrine, and their two children, Fernando Joseph and Francesca, he resides at 8626 Coral Gables Lane, Vienna, Virginia 22180.

Member: ABCNY; NYCLA; ABA; The District of Columbia Bar.

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607 **ROBERT D. OWEN** S&C 1973-

"Following his typically Middle Western childhood in Saint Louis, Mr. Owen attended Northwestern University where he decided to become a lawyer while working in a psychiatric ward. He also served as Student Body Treasurer and was a member of Deru, the senior men's honorary. He graduated in the Class of 1970, of which he is currently Class Agent. He graduated cum laude from the University of Pennsylvania Law School in 1973 and entered the office that year, joining the litigation group. His practice has included participation in *Kennecott v. Curtiss-Wright* and *American Express v. McGraw-Hill*. He married Rebecca Baxter, a graduate of Bryn Mawr College who was a litigation assistant in the office and is now an Assistant Investment Officer with the Monitoring and Consulting Group of Chemical Bank."

Member, ABA.

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608 **JOHN CODY** S&C 1973-1974

Mr. Cody was born in Hoboken, New Jersey in 1947. He is a graduate of the University of Virginia (B.A. 1969) and Harvard Law School (J.D. 1972). He decided to leave S&C after eight months, and his present affiliation is unknown.

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609 **KENNETH M. BIALO** S&C 1973-1980

Mr. Bialo left S&C's litigation group to join the Litigation Group of the Exxon Corporation Law Department in New York City on May 2, 1980.

A native New Yorker, Mr. Bialo is a 1968 graduate of the University of Rochester, and a 1971 graduate of the N.Y.U. Law School, where he was Note and Comment Editor of the Law Review and received the Order of the Coif. Following graduation, he clerked for U.S. District Judge Lawrence W. Pierce, U.S.D.C., S.D.N.Y. for the period June 1971 to August 1972, and then studied for a year at the London School of Economics before coming to S&C, receiving the University of London's LL.M. degree in November 1973.

Mr. Bialo and his wife, Nancy Lynne Kaye, have two children: Darren Andrew and Caralyn Alyssa. The Bialos reside at 37 Locust Avenue, Larchmont, N.Y. 10538.

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610 **RICHARD J. UROWSKY** S&C 1973-

Mr. Urowsky, a native New Yorker born in 1946, has been a partner in S&C's litigation group since October 1980. He is a graduate of Yale College and Law School (B.A. 1967; J.D. 1972) and the University of Oxford (B. Phil. 1970), where he was a student at New College. Before becoming associated with S&C, he worked for a year as clerk to Mr. Justice Reed of the United States Supreme Court. As Justice Reed was on senior status and relatively less active, most of Mr. Urowsky's engagement was in assisting Mr. Justice Stewart and Mr. Justice White.

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611 **RONALD B. ALEXANDER** S&C 1973-1976

Ronald B. Alexander is currently a member of the Tax Counsel's staff at Union Carbide Corporation in New York.

Mr. Alexander was born on November 24, 1947 in New York City. He attended Columbia University, from which he received his A.B. in 1969 and his J.D. in 1973. He became associated with Sullivan &

Cromwell in 1973, and practiced in the tax group. He left the firm in 1976 to become associated with Barrett Smith Schapiro & Simon in New York.

Member, ABCNY; NYSBA.

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612 **PAMELA S. DWYER** S&C 1973-1979

Ms. Dwyer is a native of Perth Amboy, New Jersey and a graduate of Smith College (A.B. 1968) and Columbia University School of Law (J.D. 1972). Before coming to S&C, she served a judicial clerkship for a year with the Honorable John J. Gibbons, Judge of the United States Court of Appeals for the Third Circuit. The litigation relating to the Estate of Mark Rothko was one of her principal engagements as an associate in S&C's litigation group.

Ms. Dwyer married John Brent Stockton on August 6, 1977. She left S&C on June 15, 1979 to prepare for the arrival of their son, Nicholas Philip Dwyer Stockton, born on August 4, and with her plans for future practice deferred.

Member: ABA.

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613 **WILLIAM H. STADIEM** S&C 1973-1974

Currently an author of books, articles, and motion pictures, Mr. Stadiem writes that "S&C really taught me what being 'professional' was all about — highest standards, endless hours, letter-perfect precision. In my new career in publishing, everything seems sloppy by comparison. After writing prospectuses, sale-and-leaseback agreements, and the like, writing books for a living is a holiday. I do miss the free lunchroom, though."

Born in Kinston, North Carolina in 1947, he was graduated from Columbia (B.A. 1969, magna cum laude, Phi Beta Kappa) and Harvard (J.D. 1973; M.B.A. 1973). Memorable assignments during his year with S&C included spending "several weeks in Manila, Philippines on a registration statement for Atlas Mining & Development Corp. — very interesting cross-cultural experience." Mr. Stadiem left S&C's general practice group to become an associate of Tufo, Johnston & Allegaert in New York City, and later gave up law practice to devote himself to writing.

Mr. Stadiem's first novel will be published by Simon & Schuster in 1980. "I am under contract to write two screenplays for major motion

pictures. My articles have appeared in *Town & Country*, and *Playboy* magazine. I travel all over the world in connection with my writing. Throughout the world, S&C is regarded as *the* Wall Street law firm. No professional credential could be held in higher esteem."

Published works: *Passport* (A Travel Guide), Dell, 1977; *Marilyn Monroe Confidential*, Simon & Schuster, 1979.

Forthcoming books: *The Southern Aristocracy*, Crown, 1980; *The Legal Elite*, Dodd Mead, 1981.

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614 **MANUEL R. PIETRANTONI** S&C 1974-1975

Manuel Pietrantoni is a partner in the San Juan, P.R. firm of O'Neill & Borges. He is currently in charge of their recently established office in Miami, Florida.

Born in San Juan, Puerto Rico in 1950, he received his B.A. from Columbia University in 1970, his J.D. from Columbia Law School in 1973, and his M.B.A. from Columbia Business School in 1974. He practiced for two years with S&C's tax group, and then joined the main office of O'Neill & Borges in his native city, becoming a partner there on December 1, 1977. He became the resident partner for their Miami office in June 1979.

Mr. Pietrantoni married Wanda J. Cabrera; they have three infants: Manuel Antonio, Javier Manuel and Wanda Mercedes.

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615 **ROBERT J. KATZ** S&C 1974-

Following graduation from Cornell University in 1969, Mr. Katz attended the Harvard Law School. At Harvard, he received the Sears Prize for academic achievement during the first year, and an invitation to join the editorial staff of the *Harvard Law Review*, which he declined in favor of remaining a member, and subsequently being elected President, of the Harvard Voluntary Defenders. He graduated magna cum laude from Harvard in 1972, and, having been awarded a Knox Fellowship of Harvard University, spent the ensuing year as a graduate student in the law faculty of the London School of Economics.

Following a clerkship with Chief Judge Irving R. Kaufman of the United States Court of Appeals for the Second Circuit, Mr. Katz joined the litigation group of Sullivan & Cromwell in 1974. He has been a member of the firm since October 1980.

Mr. Katz is married to the former Jane N. Lisman, a member of the

senior staff of the Foreign Banking Department of the Federal Reserve Bank of New York.

Member: Planning and Program Committee, Judicial Conference of the Second Circuit; Cornell University Council; Cornell Fund Committee (Class of 1969); ABCNY; NYSBA; ABA; Federal Bar Council.

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616 **JEFFREY I. ZUCKERMAN** S&C 1974-

Practicing with S&C's litigation group, and with one extended tour of duty at the Washington office on the FTC's interminable thrust upon? — no fault? — no conduct? — shared monopoly *Cereal Case*, Jeffrey Ira Zuckerman is another of that rare bird in our aviary, the native New Yorker. He is a graduate of the City College of New York (B.A. 1969) and of the Yale Law School (J.D. 1972), and before coming to S&C served a judicial clerkship for a year with the Honorable Robert P. Anderson, Judge of the United States Court of Appeals for the Second Circuit.

Jeff's wife is Miriam. He is Chairman of the Board of Directors of Lincoln Square Yeshiva.

Member: ABCNY; NYCLA (Committee on Trade Regulation); NYSBA; ABA.

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617 **PHILLIP R. POLLOCK** S&C 1974-1976

Mr. Pollock is an associate of Brown, Wood, Ivey, Mitchell & Petty, in their San Francisco office.

Born on December 10, 1947 in Omaha, Nebraska, Mr. Pollock attended Stanford University (B.A., 1970) and Stanford Law School (J.D., 1974). With the exception of his two years with S&C where he was in the general practice group, he has continued to reside in California. Mr. Pollock left S&C to join the Legal Department of Bank of America in San Francisco, and later moved to the Brown, Wood firm.

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618 **STEPHEN L. POOHAR** S&C 1974-1978

Mr. Poohar is associated with the law firm of Brobeck, Phleger & Harrison in San Francisco.

Born in Richmond, California, he was graduated from Stanford University (A.B. 1970), New York University Law School (J.D. 1974), and New York University Graduate School of Business Administration (M.B.A. 1974).

During his association with S&C, Mr. Poohar's practice was in securities and corporate law in the general practice group. He recalls particularly his work for Kay Corporation in the transactions which led to its becoming an independent company.

Mr. Poohar resides at 3894 Campolindo Drive, Moraga, California 94556.

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619 **MICHAEL G. ZEISS** S&C 1974-

Mr. Zeiss, a member of S&C's general practice group, was born in Indianapolis, Indiana in 1949. He is a 1971 graduate of Dartmouth College (magna cum laude, Phi Beta Kappa) and a 1974 graduate of the University of Michigan Law School (magna cum laude).

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620 **ANDREW I. DOUGLASS** S&C 1974-1979

Mr. Douglass left S&C's general practice group on September 7, 1979 to become Vice President and General Counsel of Hospital Trust Corporation, a $2 billion bank holding company, and Senior Vice President and General Counsel of its principal subsidiary, Rhode Island Hospital Trust National Bank, the nation's leading importer and financier of gold for industrial consumption.

Mr. Douglass graduated with distinction from the United States Naval Academy in 1964. In 1968 he was awarded the Navy Commendation Medal with a combat "V" for his service on board a Navy destroyer in the Tonkin Gulf. He graduated in 1974 from the Stanford Law School, where he was a note editor of the Law Review, and at the same time received a masters' degree in business administration from the Stanford Graduate School of Business. Mr. Douglass married Barbara Heine; they have three children, Scott (1972), Langley (1975) and Anne (1979).

Born June 28, 1943, New York, New York. Admitted to the New York Bar. A former director of the Northwest Greenwich Association, Greenwich, Connecticut. Member of the Army-Navy Country Club, Arlington, Virginia; NYSBA; ABA.

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621 **WILLIAM M. DALLAS, JR.** S&C 1974-

As a second generation lawyer from Iowa, Mr. Dallas had the opportunity to observe first hand the craft of a trial lawyer almost from the day he learned to sit still in an Iowa courtroom. At the age of 10, he decided that it was more fun to be a lawyer than to watch one. Accordingly, in

pursuit of that goal, he attended Oberlin College where he was chairman of the College's Judicial Board, dispensing justice principally to overly enthusiastic student protesters and to budding cultivators of *cannabis sativa*. He interrupted these juridical duties in 1969 to work for a brief time as a photographer for *Life* magazine; shortly thereafter, *Life* ceased publication.

Upon graduating from Oberlin in 1971, he attended Harvard Law School where he graduated cum laude in 1974. That same year he came to S&C's litigation group. His practice has been principally in litigating antitrust matters. In addition, he is presently Chairman of the Committee on Trade Regulation of the New York County Lawyers' Association and Secretary of the Committee on the Judiciary of the Association of the Bar of the City of New York.

In July 1977, he had the good fortune to marry Lynne L. (nee Russo) Dallas (*q.v.*), which incidentally resulted in the distinction of being the first married couple to be both practicing in the firm's litigation group.

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622 **RICHARD G. MENAKER** S&C 1974-

Mr. Menaker was born in 1947 in Niskayuna, New York, and grew up in Waynesboro, Virginia. A graduate of Columbia College (A.B. magna cum laude 1969) and the University of Virginia (J.D. 1974), he also attended the University of Oxford, receiving the D. Phil. degree in 1972.

Mr. Menaker is an associate in S&C's litigation group. His professional engagements have included, on the *pro bono* side, representation of a number of defendants in criminal proceedings as a participating volunteer lawyer for Community Law Offices in East Harlem.

Mr. Menaker married Faith Ann Ritchie on October 9, 1976. They have one child, Jeffrey Andrew, born on August 9, 1978.

Member: NYSBA; ABA.

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623 **JAMES R. DeVITA** S&C 1974-1979

Mr. DeVita left S&C's litigation group on March 16, 1979 to become associated with the firm of Seward & Kissel in New York City. A native of Brooklyn, New York, Mr. DeVita is a graduate of Lafayette College (A.B. 1971) and Fordham University Law School (J.D. 1974).

Mr. DeVita and his wife, the former Elsa C. Brady, have three children, Katharine Elsa (1974), Sarah Elizabeth (1976) and Joanna Grace (1980).

Member: ABCNY, NYSBA, ABA, Federal Bar Council.

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624 **MICHAEL P. O'BRIEN** S&C 1974-1977

Mr. O'Brien is associated with Bingham, Dana & Gould in Boston.

Born in South Weymouth, Massachusetts on September 8, 1950, and a graduate of Yale University (A.B., 1971) and Cornell Law School (J.D., 1974), Mr. O'Brien was in the general practice group at S&C. On his return to his native state he not only survived the Great Boston Blizzard of February 1978, but passed the Massachusetts Bar exam in the midst of it.

"My fondest recollections are of working with the Blue Sky 'regulars' during my abbreviated tenure as Junior Blue Sky Czar from November, 1976 through July, 1977. The 52 Wall Street fire, Operation Sail, and the General Practice Group's stirring come-from-behind softball victory over the heavily favored and arrogant Litigation Group team in 1976 were also memorable."

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625 **RONNIE RINGEL** S&C 1974-1977

Mrs. Ringel, a native New Yorker, is a graduate of Barnard College (A.B., cum laude, 1968) and New York University (J.D., cum laude, 1974). An associate in the trusts and estates group during her years at S&C, Mrs. Ringel is not now practicing law as, she tells us, she is "presently engaged in family interests". Mrs. Ringel and her husband, Dean Ringel, live at 244 Clinton Street, Brooklyn, N.Y. 11201. They have one child, Marion Elizabeth, born August 9, 1977.

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626 **SYDNEY E. UNGER** S&C 1974-1979

Mr. Unger is an associate of the New York City firm of Kaye, Scholer, Fierman, Hays & Handler.

Born in New York City October 11, 1947, and growing up a New Yorker, he studied at the University of Chicago (B.A. 1968) and N.Y.U. School of Law (J.D. cum laude 1974; LL.M. (Taxation) 1979). Between college and law school he trained in accounting at the N.Y.U. Graduate School of Business Administration and earned the degree of M.B.A. *with distinction* and New York State accreditation as a Certified Public Accountant. While at law school he was a member of the board of student editors for the *Annual Survey of American Law*. His practice at S&C was with the tax group.

Syd and his wife, Susan D., whom he married in 1976, reside at 42 Colonial Avenue, Larchmont, N.Y. 10538.

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627 **PHILIP K. HOWARD** S&C 1974-

After a calvinist upbringing in various small southern towns, Mr. Howard escaped in 1962 to Taft School and thence to Yale College, where he sang in the Whiffenpoofs and enjoyed an active and fulfilling social life. After a brief stint as a photographer in New York City, he attended Virginia Law School, where he acquired a fondness for, though no proficiency in, the game of basketball, and also was on the law review. Mr. Howard's note, "Lower Court Disavowal of Supreme Court Precedent," 60 *Va. L. Rev.* 494 (1974), remains (he says) a leading authority in that field.

After joining Sullivan & Cromwell in September 1974, Mr. Howard discovered that the founder of the firm was the same Algernon Sydney Sullivan in whose name an award was given to his father in 1933 as the outstanding senior at Davidson College; this bronze plaque hung prominently both in Mr. Howard's childhood home and by the water fountain on the 16th floor of 48 Wall Street. Mr. Howard's work at Sullivan & Cromwell has been supervised closely by Marvin Schwartz and John Warden; he engaged in tight negotiations with the latter over the form of the briefs in the *Chris-Craft* and *Kodak* appeals. Mr. Howard also was captain of the Undefeated Sullivan & Cromwell Basketball Team in 1976.

Mr. Howard is married to the former Alexandra Cushing, and has a lovely daughter, Olivia Cutting (9/28/78), who (he claims) looks just like him. He is a member of Manhattan Community Board 6 and a director of the Gramercy Neighborhood Associates. He is also a director of Squaw Valley Ski Corporation.

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628 **PAUL P. COLBORN** S&C 1974-1980

Paul Colborn is Staff Counsel to the Senate Select Committee on Ethics in Washington, D.C.

Mr. Colborn decided to become a lawyer while taking time off from Little League in the late 1950's to play on the softball team of his father's law firm in Cleveland. Mr. Colborn attended Princeton University, from which he was graduated *magna cum laude* in 1971. He then graduated from the Georgetown University Law Center in 1974 and entered the office that fall, joining the litigation group. At S&C he engaged in a variety of court cases and commercial and labor arbitrations. One arbitration, involving the construction of a Manhattan skyscraper, resulted after four years, over 100 hearings, and two panels of arbitrators (due to an indictment of the first arbitrator) in a $5.2 million award to the firm's client Sheldon Solow. Fulfilling the destiny established twenty years before, Mr. Colborn captained the S&C softball team in 1978. He left the office March 28, 1980 to accept his present position.

Member: ABCNY; ABA.

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629 **SUSAN J. McCONE** S&C 1974-1980

Ms. McCone, who hid her business bushel under a bright legal light for a number of years, is principal stockholder and President of Jonal & Company. This enterprise, with its offices and salon at 18 East 48th Street in New York City, is engaged in the design, production and sale of high fashion and business women's attire.

Born in Minneapolis, Susan Jonal McCone is a graduate of Smith College (B.A., magna cum laude, 1970) where she was a member of Phi Beta Kappa, a Dean's List student, and a First Group Scholar. At Columbia University (J.D. 1974), she was a Charles Evans Hughes Scholar, a Teaching Fellow in Civil Procedure, and was selected for Law Review.

Ms. McCone's litigation practice with S&C included extensive involvement in aspects of the *U.S. Financial* and *Vesco* litigations. She left the office on March 28, 1980 to organize and head her present business. She resides at 444 East 82nd Street, N.Y.C. 10028.

Member, New York Junior League (Rape Education Committee); Board of Directors, Smith College Club of New York; Director, Career Resources Program for Smith College in New York.

Served as Speechwriter and Director of Research for and member of the Staff of former Vice -President and Senator Hubert Humphrey during the period 1968-1974.

Member: New York State Bar Association (Committee on Federal Courts); Volunteer Lawyers for the Arts.

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630 **LAWRENCE A. DARBY III** S&C 1974-

"I was graduated from Harvard College in 1968 with an A.B. degree cum laude in History and Literature. From September 1968 to June 1969 I worked as a systems analyst at the John Hancock Mutual Life Insurance Company in Boston, Massachusetts. I was graduated from the Harvard Graduate School of Business Administration in 1971 with an M.B.A. degree and from the Tulane Law School in 1974 with a J.D. degree. At Tulane Law School I was an editor of the Tulane Law Review. At Sullivan & Cromwell I am an associate in the general practice group. I maintain a professional interest in legal history, particularly Roman law."

Born January 17, 1947, Washington, D.C. Single. Resides at 25 West 81st Street, New York, N.Y. 10024. Member: ABCNY; ABA.

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631 **SETH E. HERBERT** S&C 1974-

Seth Earl Herbert returned in 1980 from a tour of duty in S&C's London office. A native New Yorker, he is a graduate of Yale College (B.A., 1969, magna cum laude), where he was elected to Phi Beta Kappa and received the Charles E. Clark Memorial Prize for Academic Excellence. After earning his M.A. in East Asian Studies at Harvard University, he went on to Harvard Law School (J.D. 1974). He has been a general practice associate since entering the office. "Somehow," says Mr. Herbert, "my career has felt much more unconventional than it sounds."

Member: ABCNY; NYSBA; ABA.

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632 **RANDALL K. C. KAU** S&C 1974-1978

Mr. Kau, now living and working in Washington, D.C., is Executive Assistant to the Secretary of the Treasury and the Executive Director of the Cabinet Economic Policy Group. He will be rejoining Sullivan & Cromwell as a partner, resident in the Washington, D.C. office, effective February 1, 1981.

Born in Honolulu, Hawaii in 1948, he is a triple graduate of Yale (B.A. 1970; M.A. 1974; J.D. 1974). He practiced in the tax group while at S&C, and during the Spring Term of 1978 was also Adjunct Assistant Professor of Law (Taxation) at New York University. For the years 1975-1978 he served as a Member of the Vestry and Chairman of the Finance Committee for Saint Ignatius Church (Episcopal) in New York City.

Mr. Kau left New York on May 31, 1978 to accept appointment as Attorney-Advisor in the Office of the International Tax Counsel, Department of the Treasury. On June 8, 1979 Secretary Blumenthal appointed him Executive Assistant, and after Mr. Blumenthal's resignation two months later Secretary Miller continued Mr. Kau in the same position and supported his appointment as director of the Economic Policy Group.

Member, ABA.

"I had many memorable professional engagements at S&C, but some of the most interesting were unresolved at the time I left S&C — and remain unresolved at this time. One of the earliest reminiscences I have is being sent out to do battle on behalf of Ford Motor Credit against a prominent member of the tax bar in New York who was representing a client in a lease of railroad equipment. This was S&C's approach to teaching the young lawyer how to survive in combat! It worked, although I emerged with battle scars. My adversary scheduled a crucial meeting for 10:00 p.m. on a Sunday night in the midst of winter in *his* unheated midtown office and kept me in enemy territory until 3:00 a.m. He also casually mentioned that the changes to the agreement were not marked. These were the tactics I came to expect.

"Another reminiscence coincides with the New York blackout of July 14, 1977. Mr. Foshay, who climbed the stairs of 48 Wall Street to get to his office on that day, may not have seen me, but I do want to set the record straight that I was working. Charlie Rechlin and I had been working on what, at the time, was the largest tax exempt industrial revenue bond issue. The cast of characters involved had made each step in the road difficult, but we all thought our problems were behind us when the closing date was scheduled. Consolidated Edison (or does Con Ed say it was God himself) intervened and blacked out N.Y., closing the bank wires as well as making it impossible for the delivery of the bonds. Happily, a 'closing in escrow' was hastily devised by Charlie Rechlin and the transaction was 'consummated' (in escrow)."

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633 **STEVEN L. WOLFRAM** S&C 1974-1977

Steven Lawrence Wolfram is an associate of the firm of Shearman & Sterling in New York.

Born in Dallas, Texas, he was graduated from Harvard (A.B. 1971, J.D. 1974), and came to S&C as a general corporate practitioner. His S&C experience, he says, was "fine training from a variety of excellent teachers and professional camaraderie which I shall always value and remember."

Mr. Wolfram married Mary Jayne Guttridge in December 1977. They live in New York City.

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634 **JANINE A. WOLF** S&C 1974-1976

Janine Wolf (now, by her recent marriage, Janine Wolf-Hill) is a Vice President in the Corporate Finance Department of Salomon Brothers in New York City.

Born in 1948 in St. Louis, Missouri, Ms. Wolf-Hill is a summa cum laude graduate of the University of Paris, where she received a French law degree in 1971. She earned her U.S. law degree at Harvard Law School, 1974. She was a member of the general practice group during her two years with S&C.

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635 **JANETTE PATTERSON** S&C 1974-1980

Ms. Patterson left S&C's litigation group to become an Assistant United States Attorney for the Southern District of New York.

A native of Austin, Texas, Ms. Patterson is a graduate of the University of Texas (B.A. 1969) and the University of Texas School of Law

(J.D. 1973). Before joining S&C, she was a law clerk to Honorable Harold R. Tyler, Jr., U.S.D.C., S.D.N.Y.

Member: Federal Bar Council (Trustee and Treasurer; Committee on Second Circuit Courts); ABCNY; NYSBA (Committee on Judicial Administration); ABA (International Trade Committee); ABA Young Lawyers Division (Chairman, Subcommittee on Criminal Enforcement of Antitrust Laws); Member, Board of Directors, The Dakota, Inc.

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636 **JERROLD J. GANZFRIED** S&C 1974-

Mr. Ganzfried graduated cum laude from Yale College in 1970. While at Yale he was News Editor of the Yale Daily News, a member of the Aurelian and St. Elmo societies, and a recipient of the Kilborne Fellowship. He graduated in 1973 from the Stanford Law School where he was President of the Law Review and author of a law review note entitled "Double Jeopardy and the Waiver of Jurisdiction in California's Juvenile Courts." Before entering the office he served as law clerk to Chief Judge Irving R. Kaufman, United States Court of Appeals for the Second Circuit.

His practice in the litigation group has included participation in the Equity Funding Corporation of America securities litigation and the Eastman Kodak Company antitrust cases.

Among Mr. Ganzfried's other professional endeavors are his membership on the Nuclear Technology Committee of the Association of the Bar of the City of New York and on the Commercial Arbitration Panel of the American Arbitration Association. He is also a member of the A.B.A., and is currently Treasurer of the Yale Class of 1970.

Mr. Ganzfried is married to Sally Jacqueline Canter, a certified public accountant and 1976 graduate of Harvard College.

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637 **CHARLES E. DORKEY III** S&C 1975-

Mr. Dorkey practices with S&C's litigation group. Born in Philadelphia in 1948, he was graduated from Dartmouth College (A.B. 1970) and the University of Pennsylvania Law School (J.D. 1973), where he served as an editor of the Law Review. Prior to his joining S&C, he was law clerk to Honorable Samuel J. Roberts, Associate Justice, Pennsylvania Supreme Court. Mr. Dorkey is married to Phyllis Anne Johnson, and they have a son, Charles Edward.

Member: ABCNY; D.C. Bar Association; NYSBA; ABA; Federal Bar Association.

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638 **IRA A. SCHREGER** S&C 1975-1977

Born in New York in 1950, Mr. Schreger came to S&C from Boston University (B.S. 1972) and Brooklyn Law School (J.D. 1975). Since leaving S&C in 1977, he has been associated with Kronish, Lieb, Schainswit, Weiner & Hellman in New York.

Mr. Schreger and his wife, the former Lesley Jo Bader, live in Brooklyn Heights, New York.

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639 **DONALD A. WASSALL** S&C 1975-1979

Mr. Wassall is an associate of the firm of Hale Russell Gray Seaman & Birkett in New York City.

Born in New Bedford, Massachusetts in 1950, Mr. Wassall was graduated from Massachusetts Institute of Technology (B.S. 1972) and Harvard Law School (J.D. 1975). He left S&C's general practice group for his present position on December 14, 1979.

Mr. Wassall and his wife, Jane M. Jozefek, live at 56 Poe Street, Hartsdale, N.Y.

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640 **MARK A. ANGELSON** S&C 1975-1977

Upon leaving S&C, Mr. Angelson worked for two years in the London office of Fried, Frank, Harris, Shriver & Jacobson. He has been back in New York since April 1979, as an associate with Simpson, Thacher & Bartlett.

A native of Jersey City, New Jersey, Mr. Angelson is a graduate of Rutgers University (A.B. 1972; J.D. 1975), where he was elected to Phi Beta Kappa.

While he was associated with S&C, in the general practice group, Mr. Angelson published a survey of 1976 developments in Banking Law in the New York State Bar Association publication.

Member: NYCLA (Committee on Banking); NYSBA: ABA.

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641 **JOHN E. BAUMGARDNER, JR.** S&C 1975-

A native of Baltimore, Maryland, Mr. Baumgardner was graduated from Princeton University summa cum laude in 1973, and received his J.D. degree in 1975 from Columbia, where he was a Stone Scholar.

"While at Columbia Law School, I was a member of Legislative Drafting Research Fund and worked on projects concerning the Price-Anderson Act (for the Atomic Industrial Forum) and automobile pollution (for the National Academy of Science). Since leaving law school I have remained actively interested in the projects of the Fund.

"I have also participated as a moot court judge at various upper class rounds of moot court competition at NYU Law School."

Among Mr. Baumgardner's professional engagements as a general practice associate have been Kennecott's acquisition of Carborundum, the merger of Babcock & Wilcox with J. Ray McDermott, and the Chrysler Corporation Preferred Stock offering.

"I married Astrid Rehl on September 7, 1974. Astrid is also a practicing attorney, specializing in litigation. From September 1976 to May 1979, she practiced with the firm of Weil Gotshal & Manges. From June 1979 through May 1980 Astrid was associated with the Debevoise firm, and she is now, quite happily, ensconced in the New York office of Edwards & Angell."

Member, ABCNY; ABA.

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642 **MARK F. DALTON** S&C 1975-1979

Mr. Dalton is Associate General Counsel and Assistant Vice President of Kidder, Peabody & Co., Incorporated in New York.

He was born in New York in 1950. He joined S&C as a tax associate after graduation from Denison University (B.A. 1972) and Vanderbilt University (J.D. 1975).

Mr. Dalton is married to the former Susan Katherine Jakust.

Member, ABA.

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643 **MATTHEW C. MOLÉ** S&C 1975-

Mr. Molé is a general practice associate who returned to New York in October 1979 from a three-year term in S&C's Paris office.

Born in Summit, New Jersey in 1948, he is a graduate of Harvard College (A.B. 1970, summa cum laude, Phi Beta Kappa), Harvard Law School (J.D. 1973, cum laude), European Institute of Business Administration (INSEAD) (M.B.A. 1974) and Harvard Graduate School of Business Administration (M.B.A. 1975).

Mr. Molé married Tove Bolstad in 1974.

Member, ABA.

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644 **LISTA M. CANNON** S&C 1975-1980

Lista Cannon lives in London, where she is a member of Messrs. Boodle Hatfield & Company, solicitors, and also of Boodle & King, a firm established jointly by Boodle Hatfield and the Houston firm of Bracewell & Patterson.

A native New Yorker, Miss Cannon received her law degree from the University of London in 1971, and articled with her present firm in London before entering S&C as an associate in the litigation group.

Member: The Law Society (England); Federal Bar Council (Committee on Second Circuit Courts).

Trustee, British-American Educational Foundation.

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645 **BRUCE E. CLARK** S&C 1975-

Bruce Clark, practicing with S&C's litigation group, was admitted to partnership in the firm on October 1, 1980.

"I was born on New Year's day, 1946, in the middle of Manhattan. After ten years of Jesuit education (Loyola, 1957-1963 and Holy Cross, 1963-1967), three years at Harvard Law (1967-1970), a year's clerkship with Judge Edward C. McLean in the Southern District of New York and a third summer at Sullivan & Cromwell, I entered the Air Force in 1971 and served for eleven months at Kincheloe Air Force Base in the Upper Peninsula of Michigan. I was reassigned to the litigation division at Headquarters, Washington, D.C. for three years, defending the air force in a variety of constitutional actions involving the Pentagon and the Air Force Academy. While still in the Air Force, I assisted in the defense of a Freedom of Information Act lawsuit in which the plaintiff was represented by Danny Parker, and in several constitutional tort actions based on principles established in the landmark *Bivens* decisions. In *Bivens*, the plaintiff was represented by Steve Grant. I returned to the Litigation Group at Sullivan & Cromwell a fourth time in September, 1975 to establish some precedent of my own.

"On November 27, 1971, I married Deborah Ann Melia of Hastings-on-Hudson, New York. Debbie and I now live a few miles north of Hastings in Irvington, New York, in a nineteenth century carriage house."

Member, NYSBA; ABA. Admitted to S&C partnership on October 1, 1980.

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646 **MARGARET K. PFEIFFER** S&C 1975-

Born in Elkin, North Carolina, Margaret is a graduate of Duke University (B.A. 1967) and Rutgers University (J.D.-MCRP 1974), where she

was Notes and Comments Editor of the Law Review and winner of Highest Honors.

An associate in the litigation group, she is currently based in S&C's Washington office.

Margaret married Carl F. Pfeiffer on September 2, 1968.

Law Clerk to Judge F.L. Van Dusen, U.S. Court of Appeals, Third Circuit 1974-75; Adjunct Professor of Law, University of Maryland 1978-79; Vista Volunteer 1968-70. Member, ABA.

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647 **BARRY L. DOYNO** S&C 1975-1976

Born in New York in 1949, Mr. Doyno was graduated from the University of Wisconsin (B.A. 1971) and New York Law School (J.D. 1975). Upon leaving S&C, he became associated with Lauterstein & Lauterstein.

Mr. Doyno lives in Riverdale, New York.

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648 **RICK JOHNSON** S&C 1975-1978

Born in Oberlin, Ohio, Mr. Johnson graduated from Columbia (B.A. 1971), Harvard Law School (J.D. 1975) and Harvard Business School (M.B.A. 1975). While at S&C, he worked in the general practice group.

" 'Where were you the night of the Blackout?' 'In New Jersey, working on a tender offer.' Alas, the truth makes for something less than racy cocktail party conversation."

Mr. Johnson, who lives in New York, is now a vice president in the Corporate Finance Department of Salomon Brothers. "So far," he notes, "I have enjoyed immensely functioning in the role of my erstwhile clients. It is a pleasure being the 'caller' rather than the 'callee' at 6:00 on a Friday evening."

Member, ABA.

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649 **DAVID B. TULCHIN** S&C 1975-

After growing up on Long Island, Mr. Tulchin attended the University of Rochester and Harvard Law School, from which he graduated in 1973. From there it was two years in U.S. District Court (S.D.N.Y.) clerking for the late Frederick van Pelt Bryan. In 1975, Mr. Tulchin joined S&C's litigation group.

Born December 2, 1947, New York City. Graduated from University of Rochester, magna cum laude, 1970 (Phi Beta Kappa, President of Delta Kappa Epsilon) and Harvard Law School, cum laude, 1973.

Married Nora Barrett, 1972.

Member: NYSBA; ABA.

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650 **DOROTHY D. PETRAS** S&C 1975-1980

Mrs. Petras is a member of the legal staff of Allegheny Power Service Corporation in New York.

"When at age 32 I decided I must become a lawyer, friends and family concluded I had lost my mind. After all, I couldn't even start college until I completed several high school mathematics courses. Too, if I ran off to school what would become of my small daughters?

"Despite these objections, I enrolled at New York University School of Continuing Education and discovered that geometry was fun. From 1968 to 1972 I attended Brooklyn College where I majored in political science, developed a love for Proust, and completed three years' undergraduate work.

"Having heard that Rutgers Law School (Newark) occasionally accepted a student without a college degree, I decided I had nothing to lose but my application fee and sought admission to the September 1972 term. Imagine my delight when the letter of acceptance arrived! At Rutgers I served as an Editor of the *Rutgers Journal of Computers and the Law*. I graduated in June 1975 and joined Sullivan & Cromwell in October of that year.

"Incidentally, the small daughters managed very well, in large part due to the efforts of my husband, Fred, a free-lance writer. Teresa (b. 1960) has completed her freshman year at Amherst College, is on the Women's Varsity Crew Team, and is considering becoming a lawyer. Karen (b. 1963), will be a junior at Stuyvesant High School, works as a riding instructor, and would no doubt become a jockey but for the fact that she is 5'9"."

Mrs. Petras left S&C in March, 1980 for her present position.

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651 **CHARLES W. SPRAGUE** S&C 1975-

After a childhood in the New Jersey suburbs, Mr. Sprague graduated cum laude from Yale College in 1971. While at Yale he was an editor of the Yale *Daily News* and a member of the varsity squash team. He graduated cum laude from the New York University Law School in 1975, where he was a note and comment editor of the Law Review, and with

distinction from the Graduate School of Business Administration of New York University in the same year. After joining S&C, Mr. Sprague was one of the group lawyers who opened the Washington office.

Born November 14, 1949, Orange, N.J.; Yale College, B.A. 1971; New York University, J.D., M.B.A. 1975. Admitted to New York and District of Columbia Bars. Member: ABCNY; NYSBA; ABA. Residence, 108 East 86th Street, New York, N.Y. 10028.

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652 **JAMES E. TYRRELL, JR.** S&C 1975-

Mr. Tyrrell was born in Passaic, New Jersey in 1949. He is a graduate of Georgetown University School of Foreign Service (B.S.F.S. 1971) and Harvard Law School (J.D. 1974). Before joining S&C, where he is now an associate in the litigation group, Mr. Tyrrell spent a year as clerk to Judge Leonard I. Garth, U.S. Court of Appeals (3rd. Cir.).

Mr. Tyrrell and his wife, the former Kathleen Anita Gilvey, live in West Caldwell, New Jersey.

Member: NYCLA; NYSBA (Federal Legislation Committee); New Jersey State Bar Association; ABA.

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653 **D. STUART MEIKLEJOHN** S&C 1975-

Mr. Meiklejohn was born in Chicago in 1950. He came to S&C, where he is an associate in the litigation group, from Harvard (A.B. 1971; J.D. 1975). He was not aware until after he arrived that his family had an earlier connection with the firm: his mother received the William Nelson Cromwell award at William & Mary.

Mr. Meiklejohn and his wife, Rebecca, live in New York. She enjoys occasional professional contact with the firm, as an attorney at the New York office of the Antitrust Division of the U.S. Department of Justice.

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654 **JOHN SARAFOPOULOS** S&C 1976-

Mr. Sarafopoulos practices tax law in S&C's office in Washington, where his wife, Nancy H. Wojtas, is an attorney at the Securities and Exchange Commission.

Born in Symi, Greece in 1949, Mr. Sarafopoulos is a graduate of the University of Michigan (A.B. 1972 with High Distinction) and the

University of Michigan Law School (J.D. 1975 magna cum laude). In law school, he was editor of the Michigan Law Review and a member of the Senior Judge Carpenter Case Club as a first year legal writing instructor.

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655 **MARTIN P. MESSINGER** S&C 1976-1978

Martin Paul Messinger is with the Legal Department of Westinghouse Broadcasting Company, a subsidiary of Westinghouse Electric Company. A native New Yorker, he is a graduate of the University of Bridgeport (B.S. 1970, cum laude) and St. John's University Law School (J.D. 1974). At S&C, he was a member of the general practice group, specializing in securities.

Mr. Messinger married Luz Guadalupe Abuchaibe in 1973. They live in New York with their daughter, Nicole Roslyn.

Member: NYCLA; NYSBA; Council of New York Law Associates; ABA.

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656 **M. JANICE ELWOOD** S&C 1976-1980

Ms. Elwood, formerly an associate in S&C's general practice group, joined the New York Clearing House Association as counsel in January, 1980. Born in Evanston, Illinois in 1930, she is a graduate of Smith College (A.B. 1951), the University of California (Berkley) (M.A. 1952), and Columbia University (M.B.A. 1976, J.D. 1976).

Ms. Elwood lives in New York. She and her former husband, J. Kenneth Koch, have one daughter, Katherine, born 6/13/55.

Member: NYSBA; ABA.

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657 **L. STANTON TOWNE** S&C 1976-1980

"Against the advice of many who knew him, after high school Mr. Towne left the suburbs of Chicago and came to New York to seek his fortune and attend Columbia College. After two years at Columbia College and fearing permanent unemployability with a degree in philosophy, he transferred to Columbia University School of Engineering. One year later, he enrolled at Columbia University School of Law. Afterwards he joined S&C where he toiled usually diligently and usually happily until November 1980 when he left to open Towne, Dolgin & Furlaud in New York."

Born July 16, 1952, Chicago, Illinois. J.D., Columbia University School of Law, 1976. Member: NYSBA; ABA.

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658 **MARTHA L. SJOGREEN** S&C 1976-1980

Mrs. Sjogreen left S&C's general practice group in July 1980 to become associated with Cahill Gordon & Reindel. Born in Jacksonville, Florida in 1942, she was graduated from the University of Kansas (B.A. 1964) and Fordham University School of Law (J.D. 1976).

Mrs. Sjogreen and her husband, Claes, live in New York. They have a son, Carl Philip, born October 8, 1978.

Member: ABCNY; NYSBA; ABA.

659 **STEVEN E. HARBOUR** S&C 1976-1979

Mr. Harbour left S&C on June 22, 1979 to become associated with the firm of Long, Aldridge, Heiner, Stevens & Sumner in Atlanta, Georgia. During his years with S&C, he practiced in the litigation group.

A native Georgian and a graduate of the University of Georgia (B.A. 1969) and Columbia Law School (J.D. 1975), Mr. Harbour worked as clerk to Honorable Lewis R. Morgan, U.S. Court of Appeals, Fifth Circuit, during 1975-1976. Between college and law school, he served in the United States Marine Corps.

Mr. Harbour and his wife, Gail, have one child.

660 **RICHARD S. STRICKLER, JR.** S&C 1976-1977

Mr. Strickler is an Associate in the Mergers and Acquisitions Department of Morgan Stanley & Co. Incorporated. Born in Baltimore, Maryland, he was graduated from Harvard (A.B. 1970), the University of Pennsylvania Law School (J.D. 1976), and The Wharton School (M.B.A. 1976). While at S&C, he was in the general practice group.

In 1977, Mr. Strickler married Diana B. Hole, a 1979 graduate of Columbia University Graduate School of Business, who joined the Corporate Finance Department of The First Boston Corporation as an Associate. They live in New York City and Madison, Connecticut.

661 **WARREN G. CAYWOOD, JR.** S&C 1976-

Mr. Caywood, an associate in S&C's general practice group, was born in Abington, Pennsylvania in 1951. He is a graduate of Yale University (B.A., cum laude, 1973) and Columbia Law School (J.D. 1976), where he was a James Kent Scholar for one year and a Harlan Fiske Stone Scholar for two years.

Member, ABA.

662 **BRUCE J. GROBE** S&C 1976-1978

Mr. Grobe is now Associate Counsel & Assistant Secretary of American Television and Communications Corporation (ATC), a cable TV systems owner and operator, subsidiary of Time, Inc., located at 160 Inverness Drive West, Englewood, CO 80112.

Prior to that, he was Associate Counsel for Commercial and Real Estate Matters in the Chemicals Group of Olin Corporation, 120 Long Ridge Road, Stamford, CT 06904.

While at S&C, he practiced for one year in the litigation group and then for one year in the general practice group.

Born in New York City on September 8, 1950, Mr. Grobe was graduated from Princeton University (A.B. cum laude, 1973, Fulbright-Hays Scholar, University Scholar) and Columbia Law School (J.D., 1976, International Fellow, Parker School). He married Ellen Joy Katz on July 22, 1979.

Member: NYCLA; NYSBA; ABA; WCBA; WESFACCA (Westchester-Fairfield County Corporate Counsel Association).

663 **GREGORY K. PALM** S&C 1976-

Mr. Palm is an associate in the general practice group. He was born in Binghamton, New York in 1948, and holds degrees from M.I.T. (S.B. 1970) and Harvard (J.D. 1974, M.B.A. 1974, magna cum laude). At Harvard Law School, he was Supreme Court Note and Note Editor of the *Harvard Law Review*.

From 1974 to 1975, he served as law clerk to Honorable Henry J. Friendly, United States Court of Appeals for the Second Circuit, and from 1975 to 1976, he was law clerk to Honorable Lewis F. Powell, Jr., Supreme Court of the United States.

Mr. Palm and his wife, Marie (A.B. Bryn Mawr 1970), have two children: Jennifer (b. 3/27/74) and Katherine (b. 6/22/79). They live in Chatham, New Jersey.

Member, NYSBA; ABA.

664 **MARK J. WELSHIMER** S&C 1976-

Mr. Welshimer, an associate in S&C's general practice group, was born in Canton, Ohio in 1951. He is a graduate of Harvard College (B.A. 1973) and Harvard Law School (J.D. 1976). Mr. Welshimer and his wife, Joan, live in New York. Their daughter, Courtney, was born April 1, 1979.

665 **JEFFREY F. BROWNE** S&C 1976-

Jeffrey Browne received his first law degree (LL.B.) from Adelaide University in 1966 and was admitted after completing articles-of-clerkship with the Adelaide law firm of Wallman & Partners. He spent the next two years serving as an associate (clerk) to a judge of the High Court of Australia and studying at Sydney Law School to receive an LL.M degree in 1968.

During the next five years he handled assignments as a member of the Australian Diplomatic Service in Malaysia, the United Kingdom and Ghana, and attended conferences in Singapore, London, Geneva and New York. His assignments included participation in the negotiation of defense arrangements in southeast Asia following Britain's "withdrawal from east of Suez" and presentation to the International Court of Justice in the Hague of the Australian Government's case against France concerning radiation from atmospheric nuclear testing in the southern Pacific Ocean.

In 1975 he returned to law school and received his LL.M from Harvard Law School in 1976, following which he joined the general practice group of the firm.

He married Deborah West in 1971 and they have two children, Veronique (b. 1972) and Jeffrey James (b. 1975).

Member: American Society of International Law; International Law Association; The Law Council of Australia.

Note: As we go to press, Jeffrey advises that he will be leaving the office about the middle of February to become General Counsel and a member of the Executive Committee of Alcoa of Australia, Ltd., an Australian joint venture company owned 51% by Alcoa and the balance by Australian mining companies. It is the fifth largest company in Australia.

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666 **GARY APFEL** S&C 1976-1980

Gary Apfel is associated with LeBoeuf, Lamb, Leiby & Macrae in New York.

He is a native New Yorker and a 1973 magna cum laude graduate of New York University, where he was a member of Phi Beta Kappa and the Joseph H. Park History Honors Society. At Columbia (J.D. 1976), he was a James Kent Scholar, a Harlan Fiske Stone Scholar, an International Fellow and an Editor of the Columbia Journal of Transnational Law.

Among the transactions he worked on as a general practice associate at S&C was the merger of Dean Witter Organization Inc. with Reynolds Securities International Inc. into Dean Witter Reynolds Organization Inc.

Mr. Apfel and his wife, Serena J. Apfel, live in New York City.

Member, ABA.

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667 **MICHAEL BARRON** S&C 1976-

After graduating summa cum laude from the University of Pennsylvania in 1967, Michael Barron had the option of accepting a fellowship in English at Princeton or proceeding to law school at Penn. He ultimately did both. Picking up an M.A. in 1969, he left Princeton in 1971 as an A.B.D. ("All-But-the-Dissertation") and taught English at Cleveland State University for two years. He returned to Penn, where he was an editor of the Law Review and graduated cum laude in 1976. "After experiencing the excitement of Sullivan & Cromwell's practice as a summer boarder in 1975," he decided to return full time. He joined the firm's litigation group in the fall of 1976 and has worked on cases involving the collapse of the mutual funds controlled by IOS, Sun Company's purchase of Becton, Dickinson stock and antitrust issues concerning the Eastman Kodak Company.

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668 **JAMES I. BLACK III** S&C 1976-

James Isaac Black III practices in S&C's estates and personal group. Born in Lakeland, Florida in 1951, he received his B.A. with High Honors in 1973 from the University of Florida, where he was elected to Phi Beta Kappa. At Harvard Law School (J.D. 1976), he was Parole Board Coordinator for the Prison Legal Assistance Project.

Mr. Black is a Certified Public Accountant; during law school summers, he worked for Arthur Young & Company in Boston, on the Professional Audit and Tax Staff.

Mr. Black and his wife, Vikki Harrison, are the parents of Jennifer Leigh Black (b. 1/6/77) and Katharine Ann Black (b. 11/12/79). They live on Roosevelt Island, New York.

Member: NYSBA; ABA; Florida Bar.

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669 **MARY ELIZABETH FREEMAN** S&C 1976-1980

Ms. Freeman, known as "M.E.", is associated with the firm of Pryor, Cashman, Sherman & Flynn in New York. While at S&C, she practiced in the litigation group.

A native of Greenville, South Carolina, Ms. Freeman is a graduate of Smith College (B.A. 1973) and Columbia Law School (J.D. 1976). She and her husband, Mel Tarr, live on Roosevelt Island, New York.

670 **DAVID T. HALVORSON** S&C 1976-

Born in Kalispell, Montana in 1950, Mr. Halvorson received his A.B. from Harvard in 1972 and his J.D. from Boalt Hall, University of California, Berkeley, in 1976. He is currently an associate in S&C's general practice group.

671 **CHRISTINE M. MARX** S&C 1976-

Ms. Marx, an associate in the firm's general practice group, received her B.S. in Accounting in 1973 from the University of Dayton, where she was a Dean's List student. She is a graduate of Columbia University School of Law (J.D. 1976, Harlan Fiske Stone Scholar).

672 **SUSAN K. ROSENBAUM** S&C 1976-1978

Susan Katz Rosenbaum is associated with the New York law firm of Curtis, Mallet-Prévost, Colt & Mosle, practicing in the firm's corporate group.

Mrs. Rosenbaum, née Katz, was born in New York City on February 11, 1952. She received her B.A., *magna cum laude*, in 1974 from Boston University where she was a Dean's List student and a member of Omicron Delta Epsilon, the International Honor Society in Economics. She received her J.D. in 1976 from St. John's University where she was a Dean's List student and a member of the Moot Court Senior Board. Mrs. Rosenbaum joined Sullivan & Cromwell in 1976 as an associate in the general practice group.

Mrs. Rosenbaum and her husband, Jeffrey, also a lawyer, live in New York, New York. Mrs. Rosenbaum gave birth to a daughter, Lauren, on May 4, 1980.

673 **LYNNE (RUSSO) DALLAS** S&C 1976-

Ms. Dallas, an associate who has been working with both the litigation and general practice groups, was born in Holden, Massachusetts in 1950. She is a graduate of the University of Rochester (B.A. 1972) and Harvard Law School (J.D. 1975).

For the year prior to coming to S&C, Ms. Dallas was an instructor at the University of Miami School of Law, where she taught securities law (Fall 1975), antitrust law (Spring 1976), and a legal methods course for first year students, and participated in the supervision of the freshman moot court program.

Ms. Dallas and her husband, William M. Dallas, Jr., a fellow S&C associate, live in New York City and Torrington, Connecticut.

Member: NYSBA; ABA.

674 **ANNETTE S. WHEELER** S&C 1976-77, 1978-79

Ms. Wheeler is currently an associate in the Paris office of Shearman & Sterling.

Born in Cheltenham, England in 1951, she is a graduate of Yale University (B.A. 1973, magna cum laude) and the University of Pennsylvania Law School (J.D. 1976) where she was on Law Review.

In July 1977, Ms. Wheeler left S&C's litigation group temporarily to serve as law clerk to Chief Judge Irving R. Kaufman, U.S. Court of Appeals for the Second Circuit. She rejoined the office a year later, and left for Paris in November, 1979.

Ms. Wheeler is married to Daniel G. Kelly, Jr., an associate in Davis Polk & Wardwell's Paris office.

675 **JOHN W. CURTIS** S&C 1976-

The son of a West Pointer, Mr. Curtis grew up on Army posts from Kansas to Germany. He chose Harvard rather than the Academy and weathered the late Sixties as that rarity (now extinct) in Cambridge, a member of Army ROTC. After brief stints as an artillery officer in Oklahoma and as a staff assistant in the Governor's office in Boston, he attended Christ Church, Oxford, on a Harvard fellowship, where, switching from his prior studies in American history and literature, he took a second B.A. in Philosophy, Politics and Economics in 1973. While at Harvard Law School Mr. Curtis paid little attention to the passing reference made by Professor Loss to Blue Sky laws — the subtleties of which he explored two years later as a Blue Sky coordinator. He has since become heavily engaged in merger and acquisition work and has been involved, both on the attack and in the defense, in several contested tender offers.

Born July 7, 1948, Cleveland, Tennessee; married Margaret Ann Sarkela, 1977. Captain, U.S. Army reserve; 1976 Class Agent, Harvard Law School Fund.

676 **H. LAKE WISE** S&C 1976-78, 1980-

Mr. Wise rejoined the office in June, 1980, having worked for two years as Director of the Criminal Justice Coordinating Council, Office of the Mayor, City of New York.

A former South Carolinian, he is a graduate of Princeton University (A.B. 1971) and Harvard Law School (J.D. 1976, cum laude). Among his principal engagements has been his participation in the trial of *Hunt v. Mobil*.

677 **NANCY S. WOOD** S&C 1976-

Nancy Stanger Wood attended The Sorbonne University, Paris, France and Cornell University, Ithaca, New York. She graduated from Cornell University in 1970, having majored in anthropology and archeology. She completed her first year of law school at The Ohio State University College of Law, Columbus, Ohio, then transferred to Columbia University School of Law, New York, New York, from which she graduated in 1976. She commenced employment with Sullivan & Cromwell in September, 1976 and has worked in the litigation and the estates and personal practice groups.

Born March 28, 1947, Stillwater, Oklahoma. Married to Obert R. Wood II (research physicist at Bell Laboratories, Holmdel, New Jersey). Son, Obert III, born 12/2/79. Honors: Phi Beta Kappa, Alpha Lambda Delta (Cornell University); The Ohio State Law Journal (The Ohio State University College of Law); Harlan Fiske Stone Scholar (Columbia University). Member, ABA.

678 **ANN THOMPSON BAILEN** S&C 1976-

Ann Bailen is a native New Yorker, born in 1951. She is a graduate of Radcliffe College (A.B. magna cum laude, 1973), where she majored in Classics and was elected to Phi Beta Kappa. At Radcliffe, she devoted much of her time to producing, directing and occasionally performing in, plays by Euripedes in the original Greek. She turned down a Marshall Scholarship for two years' study in Cambridge, England to attend Harvard Law School, from which she graduated in 1976. She joined S&C in the Fall of 1976, and is an associate in the general practice group, currently practising in the Paris office. From 1976 through 1978, she was class agent for the Harvard Law School class of 1976; she is now a Vice Chairman of the Harvard Law School Fund.

Miss Bailen married The Reverend John C. Fisher in April 1980.

Member, NYSBA.

679 **JOANNE C. ADLERSTEIN** S&C 1976-1978

JoAnne Chernev Adlerstein is now an Assistant United States Attorney for the District of New Jersey, with offices in Newark. She heads the Office's criminal tax prosecutions section. In 1980 she was the recipient of a Department of Justice Special Achievement Award. She was in the tax group while at Sullivan & Cromwell.

Ms. Adlerstein was born May 1, 1947 in New York City; she graduated magna cum laude with honors in English and American Literature from Brandeis University in 1969, and received an M.A. in 1970 from Columbia University, where she was an N.D.E.A. Fellow. She graduated in 1976 from Columbia Law School, where she was a Harlan Fiske Stone Scholar and an International Fellow. She is married to Lee A. Adlerstein, Esq. and has two children, David Marshall and Laurie.

680 **FRANCISCO A. GARCIA** S&C 1976-1980

Mr. Garcia left S&C's general practice group in June 1980 to join the Corporate Finance Department of Kidder, Peabody & Co., Incorporated in New York.

Born in Havana, Cuba in 1951, he is a graduate of Harvard College and Harvard Law School (A.B. 1973; J.D. 1976).

681 **JOHN W. FOSTER** S&C 1976-1979

Mr. Foster was born in Columbia, South Carolina in 1948. He received his A.B. degree from Davidson College in 1970, his M.A. degree from Oxford University in 1972, and his J.D. from Harvard in 1976. He served in the U.S. Army from 1972 to 1973.

Mr. Foster left S&C, where he was a general practice associate, on January 26, 1979 to become associated with the firm of Boyd, Knowlton, Tate & Finlay in Columbia, South Carolina. Two other former S&C lawyers, John C. Bruton and Mary Winn Bruton, were members of its predecessor firm.

Mr. Foster is married to Anne Foster.

682 **JANET M. SYDLASKE** S&C 1977-

Ms. Sydlaske, an associate in S&C's tax group, is a graduate of the University of Michigan (B.A. 1970). She attended Columbia Law School (J.D. 1976), where she was a member of the Law Review.

683 **FRANCIS A. TRICOMI** S&C 1977-1978

Mr. Tricomi was born in New York in 1950. A graduate of Rollins College (B.A. 1972) and Brooklyn Law School (J.D. 1976), he was a general practice associate specializing in Blue Sky matters while with the firm. He left S&C on September 15, 1978 to accept a commission in the Judge Advocate General's Corps.

Mr. Tricomi and his wife, the former Mary Patricia Allen, have a son, Matthew William, born January 16, 1973.

Member, NYSBA.

684 **RICHARD W. SCOTT** S&C 1977-1979

A native New Yorker, Richard Scott was reared "in the sunny climes of Houston, Texas." He spent his undergraduate years at Duke University in Durham, North Carolina, during which time he earned an A.B. in Economics and German (summa cum laude, Phi Beta Kappa), played bassoon with the Duke Orchestra, vastly improved his golf game and married his beautiful and charming wife Karen (nee Trussell). Having grown accustomed to the charms of Duke, he stayed for three more years to earn his J.D. (Order of the Coif). "Heeding a primordial urge akin to that which impels salmon upstream," he returned to the city of his birth and joined S&C in August of 1977 as a member of the general practice group.

In October, 1979, he left New York for Texas a second time, to become associated with the firm of Vinson & Elkins in Houston.

685 **JOHN P. MEAD** S&C 1977-

Born in Brooklyn, New York in 1952, Mr. Mead was graduated from Villanova University (B.S. 1974) and New York University Law School (J.D. 1977). He is a general practice associate at S&C.

Mr. Mead and his wife, Judy, have two sons, John Paul III (6/25/77) and Matthew Timothy (2/6/80).

686 **MARK W. JANIS** S&C 1977-1980

Mark Janis is an Associate Professor at the University of Connecticut School of Law, where he teaches international and comparative law.

"A Chicagoan, I forsook the Middle West for Princeton in 1965. As a Rhodes scholar, I took the Oxford Jurisprudence degree. Janet and I spent our Navy years in Monterey and Newport and then went on to Harvard Law, during which time I taught history at Harvard College. I summer-clerked for S&C in 1976 and joined the firm as a regular general practice associate in 1977. My work at S&C was diverse with a bit more Eurodollar financing and commodities than average. After a year in S&C's Paris office, I left the firm in July, 1980 to begin teaching."

The Janises have three children: Matthew (7/21/74), Robert (9/8/76), and Philip (6/20/79).

Member: NYSBA; ABA; American Society of International Law; Gray's Inn; International Bar Association.

687 **SHELLEY D. LaVINE** S&C 1977-1979

Ms. LaVine is labor counsel to HBO, Inc., a division of Time, Inc., in New York City.

She graduated from Wellesley College in 1972, a Durant Scholar. Shortly after graduation she was employed as a litigation assistant in a midtown law firm, and "quickly learned that further vocational training was a necessity." She graduated in 1977 from Columbia University School of Law, where she was a member of the Law Review. Ms. LaVine entered the office that same summer and joined the litigation group. Her practice here included participation in *Berkey Photo, Inc.* v. *Eastman Kodak Company.*

Ms. LaVine is Chairperson of Jewish Advocates for the West Side, a legal clinic affiliated with Community Action for Legal Services.

Member: NYCLA; ABA.

688 **WILLIAM H. KNULL III** S&C 1977-

Mr. Knull came to S&C's litigation group via the University of Virginia Law School (J.D. 1977, Order of the Coif), where he was Notes Editor of the Virginia Law Review during the 1976-77 year; Yale College (B.A. 1970, magna cum laude); and the U.S. Navy (1970-1974). During his term with the Navy, he spent three years in the Mediterranean with the Sixth Fleet.

Of his first S&C business trip, he writes, "I made my first journey in search of documents to a far off land after barely two months in the office, when our worthy opponent persuaded the magistrate supervising discovery that our client's location precluded his making the trip without endangering his personal safety and my more experienced colleagues developed pressing commitments elsewhere. All went well — even the final customs inspection on leaving by several very suspi-

cious soldiers unable to decipher the entirely innocent contents of the documents I brought back. I was duly appreciative that, contrary to the fulminations of the opposition, my professional baptism was only figuratively 'by fire.' "

Mr. Knull, a native Californian, is married to the former Yolanda Chavez of Mexico City. Their daughter, Anna Taylor, was born April 18, 1978, "just in time to share in the height of the *Kennecott v. Curtiss Wright* litigation!"

In addition to his participation in *Kennecott v. Curtiss Wright*, Mr. Knull's engagements in the office have included the Corrugated Container Litigation, and the Fine Paper Antitrust Litigation.

Member, ABA.

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689 **WILLIAM P. STIMSON** S&C 1977-

Before earning an A.B. degree magna cum laude from Harvard College in 1974, Mr. Stimson served a four-year army enlistment that included a tour of duty with the 101st Airborne Division in Vietnam. In 1977, Mr. Stimson received his J.D. degree from Yale Law School and joined S&C's litigation group.

His wife, Lynette Raimondo Stimson, died of cancer on June 17, 1980. Prior to the birth of their son Michael in June 1978, Mrs. Stimson was an educator in a maximum security prison and in a New York City "halfway house."

Member, ABA.

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690 **ERNEST McFAUL LORIMER** S&C 1977-

Mr. Lorimer, a general practice associate at S&C, was born in Niagara Falls, New York in 1952. He is a graduate of Princeton (A.B. 1974) and Yale Law School (J.D. 1977).

Mr. Lorimer and his wife, Linda, live in Westport, Connecticut.

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691 **HOWARD D. BURNETT** S&C 1977-

Mr. Burnett joined S&C's litigation group in 1977 following his graduation from the University of Pennsylvania Law School. A native of Pocatello, Idaho, he recalls having spent most of the waking hours of his college career rowing for an undefeated Harvard crew, of which he was captain in his senior year. Notwithstanding this inexplicable obsession, he graduated from the College cum laude in economics in

1972, at which time he reluctantly concluded that there was no future in becoming a professional oarsman. Instead, Mr. Burnett attended Oxford University (Trinity College) for the next two years, and received a B. Phil. degree in 1974 before succumbing to law school back in the United States. He is married to Carol Ricci Burnett, a corporate banker with the National Banking Division of Morgan Guaranty Trust Company. Their daughter, Elizabeth Elston Burnett, was born on July 4, 1980.

Member: NYSBA, ABA.

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692 **ROBERT SCOTT EDMONDS** S&C 1977-

Mr. Edmonds graduated cum laude from the University of Virginia in 1973. He graduated in 1976 from the Emory University School of Law. Prior to entering the office he served as law clerk to Associate Justice Thomas F. Kelleher, Supreme Court of Rhode Island, and worked for two summers for the Office of Legal Counsel, Institute of Mental Health, Cranston, Rhode Island, "which adequately prepared" him for his present practice. He is a member of the estates and personal group.

Member: Georgia Bar; State Bar of Rhode Island.

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693 **FRANK H. GOLAY, JR.** S&C 1977-

Mr. Golay is an associate in S&C's general practice group, currently working in the London office. Born in Chicago in 1948, he received his B.A. (1970), M.A.T. (1972), and J.D. (1977) degrees from Cornell University. He taught English at Ithaca High School from 1972 to 1974. His wife Inga is a librarian who, prior to their move to London, was library planner at Teachers College Library.

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694 **ROBERT M. HAYES** S&C 1977-

"I was born in Brooklyn in 1952, the youngest of three children. At the age of ten days I joined my parents — who had left New York city a decade earlier — in a then picturesque Long Island village named Valley Stream.

"Boyhood was a Norman Rockwell America. Grandparents visiting on weekends. Little League baseball. CYO basketball. Cub Scouts. Boy Scouts. Serving at 6:30 a.m. Mass. A newspaper route. But carefree boyhood ended prematurely in the summer of 1965 when my fa-

ther, Joseph A. Hayes, a gentle and decent man, died suddenly. My mother was left with a 12 year old son to raise. Deeply religious, her philosophy is best stated on the plaque she gave me when I graduated from college: 'Pray to God, but row toward shore.'

"Graduation from Archbishop Molloy High School in Queens was a disappointment to me. I won neither an athletic nor an academic award. Instead I was awarded a $25 savings bond for 'zeal in the pursuit of excellence,' to my mind a loser's prize. My disappointment was my mother's pride. She felt she had done her job.

"My emphasis at Georgetown University was on politics — those were the years of 'May Day' and impeachment — and journalism. By junior year I was running the campus newspaper and planning a reporting career. Upon graduation from college I returned to Long Island and found a job writing for a weekly newspaper. I began covering the scandal of the treatment of the mentally disabled in state psychiatric hospitals and in communities where former patients were being dumped. After writing a series of articles on Pilgrim State Hospital, I found articles written 20 years earlier describing the same conditions. The pen may be mightier than the sword, but I hoped the law would be mightier than the pen. I began New York University Law School in September, 1974.

"Three years at law school included authorship of a law review note on exploitative adoption laws, a stint on the staff of the New York City Council's Committee on Health, and some lawyering on New York's lower eastside in welfare and housing cases.

"In September, 1977 I arrived at Sullivan & Cromwell, hoping for some help in making myself a lawyer. That process continues."

695 **NINA SUMERS MYERS** S&C 1977-

Ms. Myers was born in New York in 1952. She was graduated (cum laude) in 1974 from the University of Pennsylvania, where she majored in history and economics; and from the University of Pennsylvania Law School in 1977, with credit for her third year spent at Columbia Law School.

Following her first year of law school, Ms. Myers worked in the Public Defender's Office in Philadelphia, Pennsylvania, and after her second year of law school, in the Appeals Bureau of the New York City District Attorney's office.

Ms. Myers is an associate in the estates and personal group. She married Alan C. Myers in May 1976. Their son, Daniel Sumers Myers, was born on December 1, 1980.

696 **CHARLES E. WILSON** S&C 1977-

"After an idyllic childhood in various (eleven) farm communities throughout western Kansas, I had to plan my future. Against the fervent advice of my high school guidance counselor (who believed that I was better suited for farming), I decided to give college a whirl in that renowned citadel of higher learning, Garden City, Kansas, Community Junior College, as its first National Merit Scholarship finalist. During my one year at GCCJC my mind was awakened and I transferred to the University of Kansas. Long on ambition, but short on cash, I graduated in two years at the top of my class while working as a farmer, baker, and bureaucrat. With fifteen dollars and a one-way ticket in my pocket, I set out for New York City to enroll in the New York University School of Law as a Root-Tilden Scholar. Before joining the litigation group at Sullivan & Cromwell, I served as law clerk to Chief Judge David T. Lewis, United States Court of Appeals for the Tenth Circuit."

697 **RICHARD A. CROWLEY** S&C 1977-

Mr. Crowley is an associate in S&C's tax group. Born in Brockton, Massachusetts in 1949, he was graduated from Harvard College (A.B., cum laude, 1971) and the University of Pennsylvania (J.D., magna cum laude, 1977), where he was on the Law Review from 1975 to 1977. Mr. Crowley served in the U.S. Navy from 1971 to 1974.

Member: NYSBA (Tax Section Committee on Financial Institutions); ABA.

698 **ELIZABETH M. OLMSTED** S&C 1977-

Miss Olmsted was born in New York in 1952. A graduate of Cornell (A.B. 1974) and Harvard Law School (J.D. 1977), she has been an associate in S&C's litigation group since joining the firm on 9/13/77. Following her first two years in the New York office, Miss Olmsted is currently winding up a two-year tour of duty in the Washington office.

699 **DEBORAH S. PRUTZMAN** S&C 1977-80

Deborah S. Prutzman is counsel for the New York Clearing House Association.

A graduate of Fordham University with a B.A. in Communications, she spent a year in various editorial positions before entering Columbia Law School, where she was a member of the Law Review and a

civil procedure Teaching Fellow. In 1975 she married L. Donald Prutzman, Jr., an attorney, who is now an associate at Cravath, Swaine & Moore. After her second year in law school, she worked as a summer associate at S&C and returned to the firm following her graduation in 1977.

Mrs. Prutzman left the office for her present position in July 1980.

Member, NYSBA, ABA.

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700 **RICHARD J. RAWSON** S&C 1977-

A native New Yorker, Mr. Rawson is a cum laude graduate of both the University of Notre Dame (B.A. 1974) and Rutgers University Law School (J.D. 1977).

He is currently a litigation associate practicing in S&C's Washington, D.C. office. He has worked on the "Cereal Case" *(FTC v. Kellogg, et al.)* and the asbestos litigation.

Mr. Rawson married Lois Brown on September 9, 1978. They live in Vienna, Virginia.

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701 **RICHARD J. RESSLER** S&C 1977-

Richard James Ressler, an associate in the general practice group, was born in Bethlehem, Pennsylvania in 1952. He was graduated from Princeton University in 1974 (A.B. magna cum laude; Phi Beta Kappa) and Harvard Law School in 1977 (cum laude).

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702 **ROBERT C. BATA** S&C 1977-

"Inspired by the hope that we would amount to more than our native Hungary would ever afford — much less allow — us, my parents brought my brother, Andrew, and me to the United States in 1963. My decision to become a lawyer germinated somewhere between exposure to the world as seen from the family store on the upper West Side of Manhattan and learning the essentials of analytical cogitation at the Bronx High School of Science. I graduated from Yale College in 1973 with a B.A. in Russian and East European Studies and received a J.D. from the University of Texas School of Law, where I was a member of the *Texas International Law Journal*, in 1977. Since then, I have been engaged in the good fight under the banner of the litigation group."

Member: ABCNY; ABA.

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703 **JONATHAN M. BOWIE** S&C 1977-

"Having grown up in Washington, D.C., in a thoroughly non-legal family, I had never heard of Sullivan & Cromwell when I was ushered into my 20 minute interview as a second year student at Harvard Law School. And as we spoke only of mountain-climbing at the interview, it wasn't until a friend, hearing I was to spend my summer at Sullivan & Cromwell, joked about my 'going into the big-time' that I realized my good fortune. Since joining I have been a word-smith and telephone-pounder in the general practice group, and from September 1978 to July 1980 it was my special luck to be assigned to work with a tremendous group in the London office."

Born January 20, 1951, Washington, D.C.; Public school in Virginia and Washington; Harvard College, 1973; Harvard Law School, 1977. Admitted to New York Bar 1978.

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704 **THOMAS CHARLES BIVONA** S&C 1977-

Born and raised in Brooklyn, New York, Tom Bivona attended St. Fortunata Grammar School, Nazareth High School and Brooklyn College. Thanks to intellect, energy and drive, he passed the bar examinations and was admitted to the bar on the basis of his having "read law" while working as a paraprofessional at Shearman & Sterling (1973-1977). He joined S&C in 1977 as Assistant Managing Clerk, later succeeding George A. Scholze as Managing Clerk. Active in local civic and community organizations, he has also served in a number of public service capacities, including that of aide to the New York City Council Vice-Chairman and Majority Leader.

Member: NYCLA; NYSBA; Brooklyn Bar Association.

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705 **PETER P. POBER** S&C 1977-

Mr. Pober, an associate in S&C's general practice group, was born in New York, in 1951. He is a graduate of Columbia (B.S. 1974) and New York University Law School (J.D. 1977).

Mr. Pober and his wife, Judith Ellen, live in New York.

Member, NYSBA.

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706 **BENJAMIN B. LOPATA** S&C 1977-

A native New Yorker, Mr. Lopata is a graduate of Columbia College (B.A. 1972, Phi Beta Kappa) and Yale Law School (J.D. 1977). He also

attended Balliol College, Oxford (B. Phil. 1974), on a National Science Foundation Fellowship.

Mr. Lopata is an associate in the firm's tax group. Among his professional engagements in the office has been his work with Mr. Aidinoff on problems growing out of BP's involvement in the Alaska pipeline.

707 **SALLY COLINVAUX** S&C 1977-

Born in London, England in 1947, Miss Colinvaux attended Trinity College Dublin, where she received her B.A. in 1970 and her M.A. in 1977. She came to S&C as a tax associate from New York University Law School (J.D. 1977). She is married to Dave Vahedra.

708 **COLEMAN A. NUTTER** S&C 1977-

Mr. Nutter, an associate in S&C's general practice group, was raised in Charlottesville, Virginia. He is a graduate of Purdue University (B.S.I.M. 1971) and the University of Georgia Law School (J.D. 1977). He served in the Navy from 1972 to 1975.

Mr. Nutter is married to the former Mary Ann Pruitt of Dayton, Indiana. They have three children: Jennifer (b. 4/19/69), Robert (b. 12/27/71), and William (b. 8/12/75). They live in Merrick, New York.

709 **PETER T. McKEON, JR.** S&C 1978-

Mr. McKeon was born in New York in 1946. A graduate of Fordham University (B.S. 1968; J.D. 1976), he is an associate in the firm's general practice group. Mr. McKeon served in the U.S. Navy from 1969 to 1973.

710 **W. PATRICK MULLOY II** S&C 1978

Mr. Mulloy was born in Louisville, Kentucky in 1953. He received both his B.A. (summa cum laude, 1974) and J.D. (1977) degrees from Vanderbilt University. He was Executive Editor of the Vanderbilt Law Review.

He was with S&C for five weeks before going home to join Henchey, Mulloy & Walz in Louisville. He is married to the former Francie Olsen.

Member: Kentucky Bar Association; ABA.

711 **DARRELL K. FENNELL** S&C 1978-

Mr. Fennell was born in Greensburg, Pennsylvania in 1953. A graduate of Columbia (B.A. 1975) and Harvard Law School (J.D. 1978), he is an associate in S&C's litigation group.

712 **DAVID A. ROSINUS** S&C 1978-

"Possessed by an independent mind and venturesome ways, I resolved early in life to stray from the path my father had trod through Harvard and the Foreign Service, and wandered to Pomona College — one hour from the mountains, the desert and the sea — en route to Columbia Law School and a career in the law. I proved to be peculiarly immune to the allure of California (although one of San Diego's delights, the former Jody Jean Savage, was an attraction to whose native charms I succumbed) and so succeeded in getting elected to Phi Beta Kappa and being graduated summa cum laude from Pomona. Thrice anointed a Harlan Fiske Stone Scholar at the Columbia Law School, I concluded that impending eminence precluded affiliation with any firm but Sullivan & Cromwell.

"Such promise as I had was quickly recognized by the peerless partners of S&C who catapulted me to points along the front lines of responsibility. Although brief, my experience has included reading corporate minutes of many different institutions, proofreading a variety of documents and determining the ages of majority in more than twenty states.

"The struggle to keep auspicious beginnings in perspective has only begun; if the fates dictate that I prevail, it will be in part because I've remembered that a small dose of irreverence is the best antidote to encroaching cynicism."

Born June 8, 1952, in Washington D.C. Graduated The International School, Manila, Philippines (1970); Pomona College, Claremont, California (B.A. 1974); Columbia University School of Law (J.D. 1978). Married Jody Jean Savage, March 18, 1978, Bethesda, Maryland. Presently reside at 289 Henry Street, Brooklyn Heights, New York. Member, ABA.

713 **JEFFREY S. PARKER** S&C 1978-

Before joining Sullivan & Cromwell in August of 1978, Mr. Parker studied engineering at the Georgia Institute of Technology and law at the University of Virginia School of Law.

While attending Georgia Tech, Mr. Parker participated in the co-

operative education program by alternating periods of classroom study with work assignments in the engineering departments of Pan American World Airways in Miami, Florida (1970-71) and Southern Bell Telephone in Atlanta, Georgia (1972-74). He also served as an intern in the Georgia governor's office in 1974 while conducting a field study on the application of industrial and systems engineering techniques to the utilization of personnel and facilities in a small city government in suburban Atlanta.

While attending law school at Virginia, Mr. Parker served as a member of the editorial board of the Virginia Law Review, and authored a student note in the field of commercial law.

Mr. Parker's practice at Sullivan & Cromwell is in the litigation group. His wife, Susan, teaches English at The Fleming School in New York City.

Born September 6, 1952, Alexandria, Virginia; B.I.E., high honors, Georgia Institute of Technology, 1975; J.D., University of Virginia, 1978; married August 5, 1978 to Susan Mitchell Jones of Harrisburg, Pennsylvania. Member, NYSBA, ABA.

714 **DANIEL D. CALDWELL** S&C 1978-

Dan Caldwell has followed a rather circuitous route to membership in the legal profession and association with Sullivan & Cromwell. After four exciting — if turbulent — undergraduate years, he graduated from riot-torn Columbia College in 1970. Having played as a reserve forward on Columbia's nationally ranked varsity basketball team, Mr. Caldwell's first post-graduate employment opportunity was with a professional basketball club in Ramat Gan, Israel.

In 1972, he returned to the United States and began three years as a college textbook sales representative for Harcourt Brace Jovanovich. Despite some success as a hawker of scholarly texts, Mr. Caldwell decided that a drummer's life was not for him and in 1975 enrolled at Rutgers Law School in his native state of New Jersey.

After finishing his freshman year ranked first in his law school class, Mr. Caldwell was hired for the 1977 Sullivan & Cromwell summer program, in which he worked under the guidance of Messrs. Osgood and Cohen. He served as Research Editor of the Rutgers Law Review for the 1977-78 academic year, and returned to Sullivan & Cromwell upon his graduation, with honors, in 1978.

The highlight of Mr. Caldwell's first year at Sullivan & Cromwell "was the opportunity to work with Judge David Peck on the successful appeal of *Levitt* v. *Desert Palace, Inc.*, 601 F.2d 684 (2d Cir. 1979), a fascinating case involving the theft of nearly $1 million in jewelry from Mrs. William Levitt, wife of the millionaire developer, while she and her husband were guests at Caesar's Palace Hotel and Casino in Las Vegas." Messrs. Peck and Caldwell convinced an eminent panel of the Second Circuit Court of Appeals that there had been insufficient evidence to support the jury's verdict finding the hotel liable, and won a directed judgment for defendant-appellant.

715 **ROBERT KINGSLEY HULL** S&C 1978-

"I spent ten of the first fourteen years of my life in South America: nine in Buenos Aires and one in Valencia, Venezuela. I finished high school in the United States, spent a year in Lisbon (where my family had moved in the interim) and then settled in New York for a happy three years at Columbia College. Although there followed three necessary eight-month sojourns in Cambridge, Massachusetts, I continued to spend summers on Morningside Heights.

"Upon receiving my J.D. I became an Associate in Law at Columbia Law School, where I participated in the instruction of the classes of 1978 and 1979. While teaching I also studied, and earned Masters degrees in law and international affairs.

"I spent the 1977-78 academic year in France as one of Columbia's Jervey Fellows in Foreign Law, where, among other things, I was a *stagiaire* at the Conseil d'État, an *auditeur* at the Cour de Cassation, an *élève* at the Académie du Vin and, in one seven-month stretch, a patron of all 18 Michelin three-star restaurants. Vaut le voyage!

"A chance meeting in Paris with one of S&C's French lawyers led me to 17, avenue Matignon and, eventually, to 125 Broad Street and the General Practice Group. My work here has included assorted securities offerings and the various activities of our clients in Europe and Latin America.

"I vacation in France, sampling the Michelin two-stars region by region. I have also been known to dine out in New York."

Born October 5, 1951 in Denver, Colorado. Attended Asociación Escuelas Lincoln, Buenos Aires (graduated 1963); Colegio Internacional, Valencia, Venezuela; Woodberry Forest School, Virginia (1968); Lycée Français Charles Lepierre, Lisbon; Columbia University (B.A., 1972); Harvard Law School (J.D., 1975); Columbia Law School (LL.M., 1977); and Columbia University (M. Int'l Aff., 1978). Home address: 54 Riverside Drive, New York, New York 10024. Member: ABCNY; District of Columbia bar.

716 **JANE B. BARON** S&C 1978-

"Jane B. Baron (formerly Jane C. Bernstein) was born in New York City in 1953. Her moderately distinguished career at Radcliffe (A.B. 1975, magna cum laude, Phi Beta Kappa) was followed by a less distin-

guished career at Harvard Law School (J.D. 1978, cum laude). She married Richard J. Baron in May of 1978, and joined Sullivan & Cromwell in September of that year."

717 **ROBERTO CASATI** S&C 1978-

After having received a Master of Laws degree from the University of Michigan, Roberto Casati, an Italian attorney, came to Sullivan & Cromwell in 1975 as a visiting foreign lawyer. Intrigued by such exposure to American law practice, Roberto Casati decided to go back to law school to earn his American J.D. In 1978, he graduated from Columbia Law School, where he was a member of the *Columbia Journal of Transnational Law*, and joined the general practice group of Sullivan & Cromwell.

Roberto Casati is married to Marilyn Tambling who is currently completing her dissertation towards a Ph.D. degree in Comparative Literature from the University of Michigan.

Member, ABA.

718 **JANE J. DICKSON** S&C 1978-

Miss Dickson, an associate in S&C's general practice group, was born in Rhinelander, Wisconsin in 1952. She is a graduate of Barnard College (B.A. 1974) and New York University Law School (J.D. 1978).

719 **DAVID GRUBER** S&C 1978-

David Gruber was "destined (or doomed)" to become a lawyer at an early age. He flirted briefly with theoretical physics while an undergraduate at Hampshire College, but, having determined that he was "insufficiently ethereal for theoretical physics," returned to his first calling. He attended the University of Michigan Law School, where he was a note editor of the *Michigan Law Review*, and was graduated magna cum laude in 1978. Having spent a profitable and happy summer at S&C in 1977, he returned immediately upon graduation, pausing only long enough to take the bar exam and tour England on a bicycle.

720 **ARLINE MANN** S&C 1978-

Miss Mann is a graduate of University College, New York University, and Brooklyn Law School (magna cum laude) where she was an editor of the Law Review and served as student clerk to Judges Neaher (E.D.N.Y.) and Werker (S.D.N.Y.).

Before law school, she spent five years working in professional theatre. Trained as a director and producer, her last position was as assistant to a London and Broadway producer.

Miss Mann is an associate in the firm's litigation group.

721 **NADINE STROSSEN** S&C 1978-

Nadine Strossen decided to become a lawyer while working her way through Radcliffe College as a legal secretary. Following her graduation from Harvard Law School (where she was an editor of the *Harvard Law Review* and a freshman proctor at Harvard College) in 1975, she returned to her home state of Minnesota, where she clerked for a Justice of the Minnesota Supreme Court, worked at the Minneapolis law firm of Lindquist & Vennum, served on the Board of Directors of the Minnesota chapter of the American Civil Liberties Union, and chaired the Minneapolis chapter of the National Women's Political Caucus. In the summer of 1978, heeding an internal voice saying, "Go East, young woman", she moved to New York and joined Sullivan & Cromwell.

Associated with the firm's litigation group, she also handles constitutional cases as a volunteer lawyer for the ACLU. Despite the unsuccessful outcome of one such case, which challenged the tax penalty on marriages between working couples, Ms. Strossen nevertheless became very happily married, on June 1, 1980, to Professor Eli M. Noam of the Columbia Business and Law Schools.

722 **KENNETH A. THOMAS** S&C 1978-

Mr. Thomas was born in Dufur, Oregon in 1953 and until beginning his college education resided in that small rural town. A graduate of the University of Washington, he pursued a variety of academic interests while there, receiving degrees in history and archaeology. Mr. Thomas attended Cornell Law School and received his J.D. from there in 1978. During law school his primary extracurricular activities were participa-

tion in the moot court program and work as a research assistant for a member of the faculty.

Education: B.A., University of Washington, 1975, Magna Cum Laude; J.D., Cornell Law School, 1978, Cum Laude.

Honoraries and Awards: Phi Eta Sigma, College Honors in History, Elected to Phi Beta Kappa in Junior year, Winner First Year Moot Court Competition, Member Moot Court Board, Phi Delta Phi.

Government and Political Positions: Aide, Oregon Delegation, 1972 Republican National Convention; Alternate Delegate, 1976 Republican National Convention; Field Representative, Congressman Al Ullman of Oregon; Staff Aide, Senator Bob Packwood of Oregon; Legislative Assistant, Governor Dan Evans of Washington.

723 **BARBARA UNGAR** S&C 1978-

Barbara Ungar abandoned a career in chemistry to study the law. After graduation from Wayne State University with a B.A. in chemistry, she held a teaching fellowship at the New York University School of Arts and Sciences. She was called to her true vocation in 1975 and was graduated summa cum laude from the University of Miami School of Law in 1978. While at Miami, she was a member of the *Miami Law Review*.

724 **MICHAEL M. WISEMAN** S&C 1978-

Michael Martin Wiseman was born in New York City and raised in Westport, Connecticut. He graduated from Harvard College in 1975. As an undergraduate he concentrated in Medieval and Renaissance History and flirted with the notion of becoming an academic. He graduated from Harvard Law School in 1978, where he was an editor of the *Harvard Law Review*. Having spent the summer of 1977 at Sullivan & Cromwell, he entered the office as an associate in September of 1978.

725 **CONNIE M. FRIESEN** S&C 1978-1980

Connie M. Friesen is an Associate with the law firm of Debevoise, Plimpton, Lyons & Gates in New York.

A 1967 summa cum laude graduate of Concordia College in Moorhead, Minnesota, Mrs. Friesen completed her graduate studies in Government at Harvard University, which granted her a Ph.D. in 1972. At Harvard, she married George F. Friesen, who holds a Ph.D. from Harvard and an M.B.A. from M.I.T. and who is currently with Exxon in New York.

While at Harvard, she was a Graduate Prize Fellow, Traveling Fellow, Woodrow Wilson Fellow, Fulbright Fellow and Harvard Teaching Fellow. Three years of teaching and research at the University of Massachusetts in Amherst, the Harvard Center for International Affairs and the Harvard Russian Research Center followed. Research grants from the Carnegie Endowment for International Peace and the American Council of Learned Societies made possible three extended research trips to Western and Eastern Europe and enabled her to complete a book on *The Political Economy of East-West Trade* (published by Praeger in 1976).

In 1975, consistent with a long-standing desire to learn more about international law, Mrs. Friesen decided to enter law school. She spent 1975-1976 at the Harvard Law School and was graduated from the Yale Law School in 1978. She joined Sullivan & Cromwell in 1978. While in law school she did extensive supervised research on expropriation and completed a manuscript on *The International Law of Compensation for Expropriation*, which is to be published in 1981.

Mrs. Friesen left S&C for her present position in January, 1980.

726 **SABINO RODRIGUEZ III** S&C 1978-

"Rod" Rodriguez writes: "My first encounter with S&C was during the summer of 1976, when my father encouraged me to write for an interview before I returned for my second year of law school. S&C alumnus John Niehuss had highly recommended the firm when I worked with him in Washington the year before and Professor Stanley Surrey, for whom I worked during law school, said I couldn't go wrong working in the tax group. My wife, Carol, and I enjoyed our 1977 summer in New York — quite a change of pace from our Boston experience. Carol has completed her first year at N.Y.U. Law School and we're both very happy with our decision to come to New York."

Born May 5, 1953. After Scarsdale High School, entered Harvard College with advanced standing, graduating magna cum laude in Government and Economics in 1975. Attended Harvard Law School (1975-1978); Research Assistant, Professor Stanley S. Surrey; Notes and Comments Editor, *Harvard International Law Journal*; Director, Harvard U.N. Model Tax Treaty Project; Reporter, Harvard Corporation's Advisory Committee on Shareholder Responsibility. Co-author of several articles on taxation and international law.

Prior experience: Assistant to Robert N. Mattson, Esq., Director of Income Taxes, IBM Corporation; Staff, Council on International Economic Policy, Executive Office of the President; Consultant, International Tax Section, US Treasury Dep't; Aide, Congressman Ogden R. Reid (D-NY).

Married Carol Christine Hendricks, June 22, 1974. Daughter, Laura Alison, born January 7, 1980.

Member: ABCNY (Secretary, Committee on Taxation); NYSBA; ABA.

727 **THEODORE N. FARRIS** S&C 1978-

Mr. Farris, a native New Yorker, found his first home within the sheltered confines of Union Theological Seminary where his theologian grandfather was a professor. Having decided, at an early age, to abandon the seminary for the world, Mr. Farris first graduated from the Greenhouse Nursery School before going on to attend Riverdale County School, Columbia college and Fordham Law School where he became an Articles Editor of the Law Review. Mr. Farris joined the general practice group at Sullivan & Cromwell in September 1978.

728 **WILLIAM L. FARRIS** S&C 1978-

"I was reared in Daisetta, Texas; read Aristotle and Thucydides at the University of Chicago; and went to law school at the University of Texas, where I was a member of the Law Review. Since coming to Sullivan & Cromwell I have worked primarily on Eastman Kodak antitrust matters."

Born, July 31, 1951, at Dayton, Texas; University of Chicago, 1969-73, B.A. with Honors in History; University of Texas School of Law, 1975-78, J.D. with High Honors; Sullivan & Cromwell since 9/18/78. Member: NYCLA; NYSBA; ABA.

729 **HENRY STOW LOVEJOY** S&C 1978-

Mr. Lovejoy, known as "Stow," is an associate in S&C's tax group. Born in Milwaukee, Wisconsin in 1952, he received his B.A. degree cum laude from Yale in 1974, his M.A. from the University of Wisconsin in 1976, and his J.D. from Columbia in 1978.

Member: NYSBA; ABA.

730 **MICHAEL P. SCHER** S&C 1978-80

Mr. Scher is associated with the firm of Proskauer Rose Goetz & Mendelsohn in New York City.

Born in Greensboro, North Carolina in 1950, he is a 1973 graduate of Columbia, and a 1977 cum laude graduate of Rutgers School of Law, where he was on the Law Review and received the prize for Constitutional Law. Mr. Scher served as a law clerk in the United States District Court, Newark, New Jersey from September 1977 to August 1978. He left S&C's litigation group for his present position in August, 1980.

Mr. Scher married Marilyn Englander on February 17, 1974. They have two children: Ayalah, born on May 9, 1978, and Yigal, born on February 27, 1980.

Member, NYSBA; ABA.

731 **JACKSON GOODWIN BENNETT** S&C 1978-80

Win Bennett is associated with the firm of Arent, Fox, Kintner, Plotkin & Kahn, Federal Bar Building, 1815 H Street, N.W., Washington, D.C. 20006.

Born in Washington, D.C. on September 18, 1951, he attended the University of North Carolina, Chapel Hill (B.A. 1974) and George Washington University (J.D. 1978, Order of the Coif).

Mr. Bennett left S&C's general practice group for his present position on December 12, 1980.

732 **WENDY L. ADDISS** S&C 1978-

Wendy Luise Addiss is a native New Yorker, born in 1953. A graduate of Bryn Mawr College (B.A. 1975) and New York University (J.D. 1978), she has practiced in S&C's litigation group since becoming associated with the firm on September 20, 1978.

Member: NYSBA, ABA.

733 **HELEN HADJIYANNAKIS** S&C 1978-79

Miss Hadjiyannakis is a member of the faculty of Fordham University School of Law. She was born in Athens, Greece in 1945, and grew up in New York City. A graduate of Vassar College (B.A. 1969) and Fordham University Law School (J.D. 1978), she was an associate in S&C's general practice group until her departure for her new position on June 8, 1979.

734 **WILLIAM A. PLAPINGER** S&C 1978-

Mr. Plapinger is an associate in S&C's general practice group. Born in Washington, D.C. in 1952, he is a graduate of Vassar College (A.B. 1974) and New York University Law School (J.D. 1978).

735 **JAMES M. BARTOS** S&C 1978-

Mr. Bartos grew up "in the urban, if not urbane, confines" of New York, attending the New York School for Nursery Years and the Collegiate School for Boys. In 1975 he graduated summa cum laude from Yale College, where he was seduced into the exegesis and deconstruction of the canonic texts. Having spent many summers digging abroad, he decided, in no single epiphanic moment, to forsake both field archaeology and the academy for the world. He went, therefore, to Columbia Law School, where he was Editor-in-Chief of the *Columbia Journal of Transnational Law*, graduating in 1978. He entered the office in the fall of that year in the general practice group.

736 **GANDOLFO V. DiBLASI** S&C 1978-

Mr. DiBlasi is an associate in S&C's litigation group. Born in Brooklyn, New York in 1953, he is a graduate of Yale College and Law School (B.A. 1975; J.D. 1978).

737 **JOSEPH A. LEOPARDI** S&C 1978-

Mr. Leopardi decided to become a lawyer at the age of 28 — while working as an electrician for Con Edison in a power generating station. His decision to do so was the outgrowth of his first experience with having to hire a lawyer.

After nine years of night school (he continued working for Con Edison by day), he had graduated from Staten Island Community College in 1969, Bernard Baruch College in 1971 and Fordham Law School in 1975.

His first legal employment (after working 16 years as an electrician), was with Simpson Thacher & Bartlett where he remained until joining Sullivan & Cromwell in November of 1978.

Consistently a late bloomer, in 1976 he married Janice Finley and had his first child, Jennifer, in 1977 and his second child, Juliet Jo, in 1979. At age 42, he claims his family is still growing.

When he is not at the office or home playing with his daughters, he can be found on the tennis courts of Staten Island. He is an avid tennis player who gets as much satisfaction out of winning a tennis match as a litigator gets from winning a case. Despite a late start (he took up the sport at age 33), he has won many local tournments and is highly ranked on Staten Island in both the men's singles and doubles categories.

Member, NYSBA.

738 **RICHARD FOOSHEE** S&C 1978-1979

Mr. Fooshee is associated with the firm of Beekman & Bogue on Wall Street as a securities litigator. Born in New York in 1950, he is a graduate of Princeton (1974) and New York Law School (1978). At S&C, he was a general practice associate specializing in Blue Sky work. He left the office for his present position on June 15, 1979.

Mr. Fooshee and his wife, Clare, live in New York.

Mr. Fooshee is Chairman of the Dwight-Englewood School Annual Fund and Treasurer of the Dwight-Englewood School Alumni Council.

739 **JOHN H. FRIEDMAN** S&C 1978-1980

Mr. Friedman left S&C on September 26, 1980, to join the Corporate Finance Department of Shearson Loeb Rhodes Incorporated in New York City.

Mr. Friedman was graduated from Yale College in 1975 (magna cum laude, Phi Beta Kappa). While at Yale, he held several offices in the Yale Political Union, sang in the Freshman Glee Club, and contributed to a textbook in financial accounting of which one of his professors was the author. Mr. Friedman stayed at Yale to attend the law school, from which he graduated in 1978. While at the law school, he worked on a new edition of Bishop and Washington's tome on the indemnification of corporate officers and directors and participated in the Yale Moot Court competition, gaining the semi-final round. After graduation and completion of his bar examination, he lived in France for several months.

Mr. Friedman entered the office immediately upon return from his sojourn abroad. Within three hours of landing at JFK, he attended an S&C recruiting cocktail party at his alma mater. Mr. Friedman continued to follow his interests in international law by actively participating in the firm's China Group. His outside activities include participation in: the Yale Alumni Schools Committee, the Fifth Reunion Committee of Yale Class of 1975, the Executive Committee of the Alumni Association of the Ethical Culture School System, the Executive Board of the Junior Committee of the Friends of The Inner City Schools of the Catholic Archdiocese, and the Executive Board of the Young Associates of the ICRF.

The Visiting Foreign Lawyers

Year	Country	Name and Last-Known Address
1949	Switzerland	BERNARD J. REVERDIN Partner Lovejoy, Wasson, Lundgren and Ashton 250 Park Avenue New York, N.Y. 10017
1950	Italy	CARLOS CARACCIOLO Via Della Lungarina 65 Roma, Italy
1952	Switzerland	CHRISTOPHER A. ECKENSTEIN Deceased
1953	Netherlands	HANS SMIT [1] Professor Columbia University School of Law 435 West 116 Street New York, N.Y. 10027
1954	Switzerland	ERNST BRACK Advokatbureau Dr. Ernst Brack AM Schanzengraben 29 8002 Zurich, Switzerland
1954	Germany	HERWIG GROTE General Counsel Kreditanstalt für Wiederaufbau Palmengartenstrasse 5-9 Frankfurt/Main, West Germany
1956	Germany	WOLFGANG MUELLER-STOFEN Stegemann, Sleveking & Lutteroth Alsterarkaden 27, III 2 Hamburg 36 Germany
1956	Turkey	TUGRUL ANSAY Professor of Law University of Ankara Cebeci-Ankara Turkey
1956	Italy	RAFFAELE ORIANI Deceased
1957	Belgium	THIERRY R. de CONINCK 223 East 74 Street New York, N.Y. 10021

[1] Returned as a regular associate in 1959 (Ref. No. 365).

Year	Country	Name and Last-Known Address
1957	Italy	LUIGI D. MALCHIODI Partner Messrs. Pozzi & Malchiodi Via Borgogna 8 Milan, Italy
1958	Germany	HENNING RASNER Partner Boden, Oppenhoff & Schneider Burgmauer 10 5 Cologne, Germany
1958-59	France	YVES GRAPPOTTE Partner Vaisse, Grappotte & Confino 51, avenue Montaigne 75008 Paris, France
1958-59	England	MICHAEL ZANDER Professor of Law London School of Economics 119 Farringdon Road London ECI, England
1960	England	DAVID B. PARKER Faculty of Law The University of Leeds Leeds, England
1960	Argentina	CARLOS FLIGLER Asst. Vice President and Secretary Morgan Guaranty Banking Corporation 23 Wall Street New York, N.Y. 10005
1960	Philippines	TEODORO D. REGALA [2] Partner Angara, Abello, Concepcion, Regala & Cruz 5th Floor, RFC Building 122 Gamboa Street Legaspi Village Makati, Rizal The Philippines
1960	Switzerland	LOUIS M. MUDRY Private Practice 11 Avenue Henri Dunant Geneva, Switzerland

[2] Stayed on as a regular associate (Ref. No. 382).

Year	Country	Name and Last-Known Address
1960	England	PETER J. H. BENTLEY[3] Partner Baker & McKenzie Presidential Plaza Chicago, Ill. 60601
1961	So. Rhodesia	WALTER F. GILGES[4] Legal Department Eastman Kodak Company 343 State Street Rochester, N.Y. 14560
1961	Chile	JUAN I. GARCIA Direccion Registro Electoral Huerfanos 1234 (or Casilla 1887) Santiago, Chile -and- Professor of Constitutional Law Public Law Department University of Chile School of Law Santiago, Chile
1962	Belgium	ROBERT M. KRUITHOF Assistant Professor of Law University of Ghent Universiteitstraat 2 900 Ghent, Belgium
1962	Argentina	DANIEL C. LECUONA Legal Department International Bank for Reconstruction and Development 1818 H Street, N.W. Washington, D.C. 20433
1963	Spain	MIGUEL G. DIEZ Partner Bufete Arboleya General Oraa, 66, 4º Madrid 6, Spain
1963	Japan	KEN TSUNEMATSU Partner Blakemore & Mitsuki 912 Iino Bldg. 22 1-1, Uchisaiwai-cho 2-chome Chiyoda-ku, Tokyo Japan
1964	Belgium	ETIENNE VAN HOVE (No forwarding address)
1963	Scotland	ROBERT A. R. MACLENNAN Labor Member of Parliament for Caithness and Sutherland c/o Godfray Le Quesne, Q.C. One Crown Office Row The Temple London E.C.1, England
1963	Belgium	JERGENS OSTERHEULT German Embassy Sophia, Bulgaria
1964	Netherlands	ALBERT A. B. ROOZEBOOM Resident Partner Loeff, Fruin, Boeles & Salomonson 38, rue de Lisbonne 78008 Paris, France
1964	Italy	SERGIO P. EREDE Studio Legale Erede & Montanari Via Vivaio 6 20122 Milano, Italy
1965	Australia	DAVID R. MESSENGER Partner Hillards, Barristers and Solicitors 71 Deakin Avenue Mildura, Victoria Australia
1965	England	PETER J. LARKIN Barclays (London & International) Limited 54 Lombard Street London E.C.3, England
1965	Venezuela	JOSE M. PORTAS International Legal Department Shell Oil Company Apartado 5495 del Este Caracas, Venezuela
1965	Pakistan	PARVEZ HASSAN Partner Azfar & Hazzan, Advocates 191 Wapda House Shahrah-e-Quaid-e-Azam Lahore, Pakistan

[3] Stayed on as a regular associate (Ref. No. 390).
[4] Returned as a regular associate in 1965 (Ref. No. 429).

Year	Country	Name and Last-Known Address
1965	France	ANDRE G. TAITHE Partner Gide Loyrette Nouel 26 Cours Albert 1er 75008 Paris, France
1966	France	ZAVIER J. de ROUX Partner Gide Loyrette Nouel 26 Cours Albert 1er 75008 Paris, France -and- In charge of Brussels Office of Dictionnaire du Marche Commun Gide Loyrette Nouel 27, Avenue de Tervueren Brussels, Belgium
1966	Chile	CARLOS OLIVOS, JR. c/o Ira Barsky The New Jersey Zinc Company 65 E. Elizabeth Avenue Bethlehem, Pa. 10818
1966	Sweden	HANS H. CHRISTNER AB Nordstjernan (Johnson Line) 50103 80 Stockholm 7, Sweden -and- Managing Director Johnsonlinjen Agentur AB P.O. Box 147 S-401 22 Gothenburg, Sweden
1966	Germany	MICHAEL F. OPPENHOFF Partner Boden, Oppenhoff & Schneider Burgmauer 10 5 Cologne, Germany
1966	Liberia	WINSTON A. TUBMAN Ambassador of Liberia to the United Nations Liberian Mission to the United Nations 300 East 44th Street New York, N.Y. 10017
1966	Netherlands	WILLEM F.U. deGRAFF Deceased
1966	Spain	VICENTE BLANCO-GASPAR Asesor Judidico International Monistero de Asuntos Exteriores Madrid, Spain
1967	France	JEAN-LOUIS MOULIN Michelin & Cie Place des Carmes Dechaux 63000, Clermont-Ferrand France
1967	Canada	IAN T.H. HAMILTON Secretary Little Long Lac Mines Limited Suite 1900 101 Richmond Street West Toronto 1, Canada M5H 1T1
1967	Netherlands	JAN O. VAN BOETZELAER Manager N. M. Rothschild & Sons Ltd. St. Swithin's Lane London, E.C.4 England
1968	Australia	PETER J. BAYNE Faculty of Law University of Papua & New Guinea Box 1144 Boroko Papua-New Guinea Australia
1968	France	THIBAUD M. de CHASTEIGNER Associate S. G. Archibald 10, Avenue de Messine Paris 8e, France
1968	Panama	JULIO J. FABREGA Arias, Fabrega & Fabrega Edificio Bank of America, Calle 50 Apartado 6307 Panama 5, R. de Panama
1968	Finland	AARNO O. LIUKSILA Legal Department International Monetary Fund 19th & H Streets, N.W. Washington, D.C. 20431
1969	Germany	MICHAEL LICHTENAUER Stegemann, Sieveking & Lutteroth Alsterarkaden 27 2 Hamburg 36, Germany

Year	Country	Name and Last-Known Address
1969	Italy	GUIDO BROSIO Partner Studio Legale Chiomenti Piazza di Monte Savello 30 00186 Rome, Italy -and- Milan Office: Via Andegari L 20121 Milan, Italy
1969	Liberia	ROBERT C. TUBMAN Managing Director ECOWAS Fund P.O. Box 2704 Lome, Togo
1969	Greece	STAVROS C. DIMAS International Finance Corporation Legal Department 1818 H Street Washington, D.C. 20433
1969	Argentina	JORGE E. BUSTAMANTE Partner Marval & O'Farrell 885 Carlos Pellegrini Buenos Aires, Argentina
1970	Switzerland	RICHARD H. WENGLE Hafter and Partners Bleicherweg 58 8027 Zurich, Switzerland
1970	Venezuela	GONZALO COLIMODIO Legal Department C. A. de Servicios Alpasa Paseo Las Mercedes, 3er, Piso Ruta 53 Caracas 106, Venezuela -or- Abogado, Box 11197 Caracas, Venezuela
1970	Netherlands	ROGIER A. A. DUK Partner De Brauw & Helbach Koninginnegracht 12B The Hague, The Netherlands
1971	Japan	JUNJI MASUDA Partner Masuda & Ejiri Toranomon Mitsui Building 8-1, Kasumigaseki 3-chome Chiyoda-ku, Tokyo, Japan
1971	Denmark	MICHAEL R. HORTEN King & Spalding 2500 Trust Company Tower Atlanta, Ga. 30303
1971	France	ANNE MARIE CHAMBON Lecturer Faculty of Law University of Toulouse Toulouse, France
1972	New Zealand	TERRENCE N. McFADGEN Simpson, Coates & Clapshaw 450 Queen Street P.O. Box 5340 Auckland, New Zealand
1972	Belgium	RAYMOND E. C. SOMMEREYNS Office of Legal Counsel United Nations U.N. Plaza New York, N.Y. 10017
1972	Malaysia	HERBERT V. MORAIS Office of General Counsel Asian Development Bank 2330 Roxas Boulevard Pasay City P.O. Box 789 Manila, The Philippines
1973	Australia	JAMES B. THYNNE Allen, Allen & Hemsley Level 46, MLC Centre 19-29 Martin Place GPO Box 50 Sydney, N.S.W. 2001 Australia
1973	Japan	NOBUYUKI TOMOTSUNE Partner Nishimura, Komatsu & Tomotsune Sanno Grand Building (CPO Box 1442) 2-14-2, Nagata-cho, Chiyoda-ku Tokyo, Japan

Year	Country	Name and Last-Known Address
1973	Austria	PETER RIHA Legal Advisor Abteilung Internationale Finanzierungen Girozentrale und Bank der Oesterreichischen Sparkassen A.G. Schubertring 14, A-1011 Vienna Austria
1974	France	DOMINIQUE ABELIN 11, rue de Varize 75016 Paris, France
1974	Japan	MOTOYOSHI NISHIKAWA Legal Department Nippon Steel Corporation 6-3, Otemachi 2-chome Chiyoda-ku, Tokyo 100 Japan
1974	Germany	JURGEN DOHM Boesebeck, Barz and Partner (No address given) Residence: Myliusstrasse 27 6000 Frankfurt/Main 1 Germany
1975	Brazil	RUBENS MALTA CAMPOS Partner Prado, Zavier E. Malta Campos Rua Libero Badaro, 425, 21 ° Andar Sao Paulo, Brazil
1975	Switzerland	WERNER A. RECHSTEINER Homburger, Achermann, Muller und Heini Postfach 476 8034 Zurich, Switzerland
1975	Lebanon	GEORGES BEKHAZI Assistant Director, Development Department Inter-Continental Hotels Pan Am Building 200 Park Avenue, 47th Floor New York, N.Y. 10017
1976	Japan	KENJI HASHIDATE Ohashi & Matsueda Rm. 402, Sanshin Building 4-1 Yurakucho 1-chome Chiyoda-ku, Tokyo 100 Japan
1976	Japan	YUKOHIKO SANADA Nishimura, Komatsu & Tomotsune Room 314, Sanno Grand Bldg. CPO Box 1442 2-14-2, Nagata-cho Chiyoda-ku, Tokyo Japan
1976	Netherlands	DIRK C. MEERBURG De Brauw en Helbach Koninginnegracht 12B 2514AA The Hague The Netherlands
1976	France	JACQUES F. HENROT French Embassy Suite 840, 2020 K Street, N.W. Washington, D.C. 20006
1977	England	FRANCIS G. SANDISON Freshfields Grindall House 25 Newgate Street London EC1A 7LH England
1977	Denmark	STEEN LASSEN Office of Michael Reumert, Esq. Frederiksgade 17 1265 Copenhagen, Denmark
1977	Germany	KARL-ERBO KAGENECK ABD Securities Corporation One Battery Park Plaza New York, N.Y. 10004
1977	Japan	HIROSHI OKAZAKI Kajitani Law and Patent Office 672 Marunouchi Building Chiyoda-ku Tokyo, Japan T100
1978	Switzerland	JEAN-PIERRE JACQUEMOUD 2, rue Bellot 1206 Geneve, Suisse

Year	Country	Name and Last-Known Address
1978	Sweden	OLOF WAERN G. Sandstroms Advokatbyra Sergels torg 12 S-11157 Stockholm, Sweden
1978	Belgium	JEAN VAN DEN EYNDE De Bandt, van Hecke, van Gerven, Lagae & Van Bael Rue Ducale 47 1000 Brussels, Belgium
1978	Japan	TOSHIAKI HASEGAWA c/o Slaughter & May 35 Basinghall Street London EC2V 5DB England
1978	England	RONALD SIMON KENT 6 Ranulf Road London 9W2 England

The Colleges And Law Schools

COLLEGES OF THE LAWYERS

Allegheny College (1)
American University (1)
Amherst College (14)
Antioch College (1)
Auburn University (1)
Barnard College (7)
The Bernard M. Baruch College of the City University of New York (1)
Boston College (1)
Boston University (2)
Bowdoin College (12)
Bradley University (1)
Brandeis University (1)
Brooklyn College of the City University of New York (2)
Brooklyn Polytechnic Institute (1)
Brown University (5)
Bryn Mawr College (1)
Carleton College (1)
Carroll College (1)
Catholic University (4)
City College of the City University of New York (7)
Colgate University (2)
College of Charleston (1)
College of The Holy Cross (6)
College of New Rochelle (2)
Columbia University (42)
Concordia College (1)
Cooper Union (1)
Cornell University (33)
Dartmouth College (9)
Davidson College (2)
Denison University (1)
De Pauw University (1)
Dickinson College (1)
Duke University (5)
Ecole Libre des Sciences Politiques (1)
Emory University (1)
Fairfield University (2)
Fordham University (11)
Georgetown University (6)
Georgia Institute of Technology (1)
Hampshire College (1)
Hanover College (1)
Harvard University (89)
Harvey Mudd College (1)
Haverford College (3)
Hofstra University (1)
Hunter College of the City University of New York (2)
Indiana University (1)
Iona College (1)
Johns Hopkins University (2)
Kenyon College (1)
Knox College (2)
Lafayette College (3)
Lehigh University (2)
Le Moyne College (1)
Louisiana State University (1)
Massachusetts Institute of Technology (1)
Marquette University (2)
Marshall University (1)
Miami University (Oxford, Ohio) (3)
Michigan State University (2)
Michigan Technological University (1)
Mount Holyoke College (3)
Mount St. Vincent College (1)
New York University (10)
Northwestern University (4)
Oberlin College (2)
The Ohio State University (3)
Pennsylvania State University (1)
Pomona College (1)
Princeton University (29)
Purdue University (1)
Radcliffe College (5)
Rice University (1)
Rollins College (1)
Rostrevor College (Australia) (1)
Rutgers College, Rutgers, The State University (2)
Saint Bonaventure University (1)
Saint Francis College (2)
Sarah Lawrence College (1)
Smith College (5)
Southern Methodist University (2)
St. Cloud State University (1)
St. Francis Xavier College (1)
St. John's College (1)
Stanford University (10)
Syracuse University (5)
Temple University (1)
Trinity College (Hartford) (2)
Tufts University (2)
Tulane University (2)
United States Military Academy (1)
United States Naval Academy (1)
University of Alabama (2)
University of Berlin (Germany) (1)
University of Bridgeport (1)
University of Bristol (England) (1)
University of California, Berkeley (2)
University of California, Los Angeles (3)
University of California, Santa Barbara (1)
University of Cambridge (England) (2)
University of Chicago (7)
University of Colorado (1)
University of Connecticut (1)
University of Dayton (1)
University of Dublin, Trinity College (Ireland) (1)
University of Edinburgh (Scotland) (1)
University of Florida (2)
University of Georgia (1)
University of Kansas (7)
University of Miami (2)
University of Michigan (12)
University of Milan (Italy) (1)
University of Minnesota (5)
University of Missouri (1)
University of Nebraska (1)
University of North Carolina (5)
University of North Dakota (1)
University of Notre Dame (4)
University of Oklahoma (3)
University of Oregon (2)
University of Oxford (England) (1)
University of Paris (France) (1)
University of Pennsylvania (19)
University of Pittsburgh (1)
University of Richmond (2)
University of Rochester (3)
University of The South (4)
University of South Carolina (1)
University of Southern California (2)
The University of Sydney (Australia) (1)
University of Texas (3)
University of Vermont (2)
University of Virginia (7)
University of Washington (2)
University of Wisconsin (6)
Vanderbilt University (4)
Vassar College (4)
Villanova University (3)
Virginia Polytechnic Institute and State University (1)
Vossius Gymnasium (Holland) (1)
Wabash College (2)
Washington and Jefferson College (2)
Washington University (2)
Wayne State University (2)
Wellesley College (1)
Wesleyan University (5)
West Virginia University (4)
Western Michigan University (1)
Westminster College (1)
William Jewell College (1)
William and Mary College (1)
Williams College (11)
Yale University (89)

LAW SCHOOLS OF THE LAWYERS

Boston College Law School (2)
Boston University School of Law (1)
Brooklyn Law School (4)
Case Western Reserve University, Franklin Thomas Backus School of Law (3)
Catholic University of America, Columbus School of Law (9)
Columbia University School of Law (142)
Cornell University, The Law School (19)
Duke University School of Law (6)
Emory University School of Law (1)
Fordham University School of Law (17)
George Washington University, The National Law Center (6)
Georgetown University Law Center (7)
Harvard Law School (196)
The Inns of Court (England) (1)
Louisiana State University Law School (1)
Loyola University School of Law (1)
New York Law School (7)
New York University School of Law (33)
Northwestern University School of Law (1)
Ohio State University College of Law (2)
Oxford University (England) (9)
Rutgers, The State University of New Jersey, Newark School of Law (8)
St. John's University School of Law (4)
Stanford University, Stanford Law School (13)
Syracuse University College of Law (3)
Tulane University School of Law (2)
Union University, Albany Law School (1)
The University of Adelaide (Australia) (1)
The University of Amsterdam (Holland) (1)
University of California, Berkeley, School of Law (6)
University of California, San Francisco, Hastings College of the Law (1)
University of Cambridge (England) (1)
The University of Chicago Law School (3)
University of Edinburgh (Scotland) (1)
University of Florida, Spessard L. Holland School of Law (2)
University of Georgia School of Law (1)
The University of London (England) (1)
University of Maryland School of Law (1)
University of Miami School of Law (2)
University of Michigan Law School (26)
University of Minnesota Law School (3)
University of Notre Dame, Notre Dame Law School (2)
University of Oregon School of Law (1)
University of Pennsylvania Law School (36)
University of the Philippines (1)
University of Pittsburgh School of Law (1)
University of Santa Clara School of Law (1)
University of South Carolina Law Center (1)
University of Texas, Austin, School of Law (5)
University of Utah College of Law (1)
University of Virginia School of Law (26)
University of Washington School of Law (2)
University of Wisconsin Law School (1)
Vanderbilt University School of Law (4)
Villanova University School of Law (1)
Washington University Law School (2)
Yale University Law School (155)

Law Firms In Which S&C Alumni/ae Became Partners

New York City
Alexander & Green
Anderson Russell Kill & Olick, P.C.
Baker & McKenzie (New York City office of Chicago-based firm)
Barrett Smith Schapiro Simon & Armstrong
Boyle, Vogeler, Stebbings & Tiernan
Casey, Lane & Mittendorf
Cleary, Gottlieb, Steen & Hamilton
Cole & Deitz
Coudert Brothers
Curtis, Mallet-Prevost, Colt & Mosle
Davis Polk & Wardwell
Debevoise, Plimpton, Lyons & Gates
Delafield, Hope & Tinker
Dimmock & Kennedy, P.C.
Donovan Leisure Newton & Irvine
Dunnington, Bartholow & Miller
Edwards & Angell
Epstein Becker Borsody & Green
Finley, Kumble, Wagner, Heine, Underberg & Casey
Fried, Fragomen, Del Ray, Bernson & O'Rourke, P.C.
Fried, Frank, Harris, Shriver & Jacobson
Golden, Wienshienk & Mandel
Grand & Ostrow
Greenbaum, Wolff & Ernst
Haight, Gardner, Poor & Havens
Hale Russell Gray Seaman & Birkett
Hall, McNicol, Hamilton, Clark & Murray
Hardy, Peal, Rawlings, Werner & Coogan
Hawkins, Delafield & Wood
Hughes, Hubbard & Reed
Ide & Haigney
Kelley Drye & Warren
Kensington & Sterba
Kronish, Lieb, Shainswit, Weiner & Hellman
LeBoeuf, Lamb, Leiby & MacRae
Lovejoy, Wasson, Lundgren & Ashton
Milbank, Tweed, Hadley & McCloy
Morgan, Lewis & Bockius (New York City office of Philadelphia-based firm)
Morris & McVeigh
Mudge Rose Guthrie & Alexander
Olwine, Connelly, Chase, O'Donnell & Weyher
Parker Auspitz Neesemann & Delehanty
Paul, Weiss, Rifkind, Wharton & Garrison
Proskauer Rose Goetz & Mendelsohn
Raymond, Schneider, Frank & Feldman
Reavis & McGrath
Reboul, MacMurray, Hewitt, Maynard & Kristol
Rogers & Wells
Satterlee & Stephens
Seward & Kissell
Shea & Gould
Towne, Dolgin & Furlaud
Townley & Updike
Ullman, Miller & Wrubel, P.C.
Walsh & Frisch
Walter, Conston, Schurtman & Gumpel, P.C.
Webster & Sheffield
Weil, Gotshal & Manges
Whitman & Ransom
Windels, Marx, Davies & Ives
Wormser, Kiely, Alessandroni, Hyde & McCann
Yamada, Condemi, Thomas & Dean

Washington, D.C.
Adams, Duque & Hazeltine
Akin, Gump, Hauer & Feld
Arter Hadden & Hemmendinger
Bryan, Cave, McPheeters & McRoberts
Cadwalader, Wickersham & Taft
Cleary, Gottlieb, Steen & Hamilton
Covington & Burling
Douglas, Proctor, McIntyre & Gates
Hogan & Hartson
Kirlin, Campbell & Keating
Kurrus & Ash
Kutak Rock & Huie
Leva, Hawes, Symington, Martin & Oppenheimer
Muir, Schoenfeld & Stolper (Merged with Nutter, McClennen & Fish effective January 1, 1981)
Rowley & Green
Schnader, Harrison, Segal & Lewis
Seymour & Patton
Shaw, Pittman, Potts & Trowbridge
Squire, Sanders & Dempsey
Williams & Connolly

Chicago, Illinois
Baker & McKenzie
Isham, Lincoln & Beale
Reuben & Proctor
Sidley & Austin
Winston & Strawn

Philadelphia, Pennsylvania
MacCoy, Evans & Lewis
Montgomery, McCracken, Walker & Rhoads
Morgan, Lewis & Bockius
Pepper, Hamilton & Scheetz

San Francisco, California
Bancroft, Avery & McAlister
Pettit & Martin
Petty, Andrews, Tufts & Jackson
Thacher, Jones, Casey & Ratcliff

Los Angeles, California
Cotter, Lindholm & Johnson
Donovan Leisure Newton & Irvine
Lillick McHose & Charles

Stamford, Connecticut
Cummings & Lockwood
Day, Berry & Howard (Stamford office of Harford-based firm)
Durey & Pierson

Boston, Massachusetts
Sullivan & Worcester
Widett, Slater & Goldman, P.C.

Dallas, Texas
Akin, Gump, Hauer & Feld
Carrington, Coleman, Sloman & Blumenthal

Detroit, Michigan
Dickinson, Wright, McKean, Cudlip & Moon
Honigman Miller Schwartz & Cohn

Miami, Florida
Beasley & Olle
Paul & Thomson

Phoenix, Arizona
Fennemore, Craig, von Ammon & Udall
Martori, Meyer, Hendricks & Victor

Richmond, Virginia
Hirschler, Fleischer, Weinberg, Cox & Allen
Wallerstein, Goode & Dobbins

Atlanta, Georgia
King & Spalding

Beverly Hills, California
Kaplan, Livingston, Goodwin, Berkowitz & Selvin

Bridgeport, Connecticut
Cohen and Wolf, P.C.

Charleston, West Virginia
Bowles, McDavid, Graff & Love

Columbia, South Carolina
Boyd, Knowlton, Tate & Finlay

Darien, Connecticut
McAnerney & Millar

Denver, Colorado
Dawson, Nagel, Sherman & Howard

Edison, New Jersey
Schneider, Frank & Spadoro

Erie, Pennsylvania
Carr & Blackwood

Hartford, Connecticut
Day, Berry & Howard

Honolulu, Hawaii
Cades Schutte Fleming & Wright

Houghton, Michigan
McLean & McCarthy

Kansas City, Missouri
Kuraner, Schwegler, Kinton, Lowe & Fishman

Lynn, Massachusetts
Barney & Woodruff

Madison, New Jersey
Miller, Engel & Leonardson

Manhasset, New York
Campbell & Mitchell

Minneapolis, Minnesota
Dorsey, Windhorst, Hannaford, Whitney & Halladay

Newark, New Jersey
Riker, Danzig, Scherer & Hyland

Portland, Maine
Pierce, Atwood, Scribner, Allen, Smith & Lancaster

Portland, Oregon
Souther, Spaulding, Kinsey, Williamson & Schwabe

Poughkeepsie, New York
Van DeWater & Van DeWater

Providence, Rhode Island
Edwards & Angell

San Diego, California
Kaplan, Christison & Martin, P.C.

Seattle, Washington
Ferguson & Burdell

Walnut Creek, California
Black & Dietrick

White Plains, New York
Cabell & Hammer

Wilmington, Delaware
Richards, Layton & Finger

San Juan, Puerto Rico
McConnell Valdes Kelley Sifre Griggs & Ruiz-Suria
O'Neill & Borges

Paris, France
Law offices of S.G. Archibald
Coudert Freres

Brussels, Belgium
DeBandt, van Heck, Lagae & Van Bael

The Hague, Holland
Blackstone, Rueb & Von Boeschoten

London, England
Boodle Hatfield & Co.

Manila, The Philippines
Angara Abello Concepcion Regala & Cruz

Rio de Janeiro, Brazil
Gouvea Vieira

Sao Paulo, Brazil
Franca Ribeiro, Garland e Almeida

Business And Financial Firms And Corporations In Which S&C Alumni/ae Became Legal Counsellors And Senior Executives

Adela Investment Company S.A.
Advanced Genetic Sciences, Inc.
Aerospace Industries Association of America, Inc.
AIRCO, Inc.
Alcan Aluminium Ltd.
Allegheny Power Service Corporation
Allegheny Power System, Inc.
Allied Chemical Corporation
AMAX Inc.
Amerada Hess Corporation
American Airlines, Incorporated
American Bank Note Company
America Enka Co.
American Leasing Corporation
American Standard Inc.
American Television and Communications Corporation (Subsidiary of Time Incorporated)
American Waterworks and Electric Company
Amstar Corporation
Anchor Corporation
Asian Development Bank
Atlas Corporation
The Babcock & Wilcox Company
Bache Halsey Stuart Shields Incorporated
Bankers Trust Company
Banque de la Societe Financiere Europeene
Baxter Travenol Laboratories, Inc.
The Belknap Company
Bethlehem Steel Corporation
The B.F. Goodrich Company
Blyth Eastman Dillon & Co. Incoporated
Bradford Ventures Ltd.
Alex Braun & Sons
Braxton & Company
Bristol-Myers Company
Brooklyn Edison Company
Brownell Lane International
Bunker Ramo Corporation
William A.M. Burden & Co.
Campbell Soup Company
China National Aviation Co.
Clayton & Dubilier
Cluett, Peabody & Co., Inc.
Colonial Hotel Properties, Inc.
Concord Fund, Inc.
Contractors Mutual Association
Coolidge & Co., Inc.
Coopers & Lybrand
CPC International Inc.
Crown Zellerbach Corporation
Culligan International Co.
(subsidiary of Beatrice Foods Company)
Deloitte Haskins & Sells
Deltec International Ltd.
Durham Bank and Trust Company
Eagle Savings & Loan
Eastern Airlines, Incorporated
Eastman Kodak Company
The Equitable Life Assurance Society of the United States
Esso Inter-America Inc.
(affiliate of Exxon Corporation)
Exxon Corporation
Federal Reserve Bank
The First Boston Corporation
The Ford Foundation
Free Europe Inc.
(Radio Free Europe)
Freeport Minerals Company
GATX Leasing Corporation
General Housewares Corporation
General Motors Corporation
General Motors Overseas Corporation
(subsidiary of General Motors Corporation)
Goldman, Sachs & Co.
The Grand Union Company
Gren Ven Associates
Gruning's, Inc.
Gulf Resources & Chemical Corporation
Hercules Incorporated
Home Box Office, Inc.
(subsidiary of Time Incorporated)
Howmedica, Inc.
ICI Americas Inc.
(subsidiary of Imperial Chemical Industries Limited of Great Britain)
Illinois Power Company
Inco Ltd.
The Interchemical Corporation
Intercontinental Hotels Corporation
International Bank for Reconstruction & Development
International Business Machines Corporation
International Harvester Company
International Nickel Japan Ltd. Tokyo
(subsidiary of Inco Ltd.)
International Paper Company
Investment Company Institute
(Washington, D.C.)
Itek Corporation
ITT Rayonier Inc.
(subsidiary of International Telephone and Telegraph Corporation)
J. C. Penney Company Inc.
Jersey Mortgage Company
Johnson & Higgins
Johnson & Johnson
Jonal & Company
J. P. Stevens & Co., Inc.
Kay Corporation
Kidder, Peabody & Co., Incorporated
Lambert & Company
Lazard Freres & Co.
Levi Strauss & Co.
Litton Industries, Incorporated
John P. Maguire & Co., Inc.
Marine Midland Banks, Inc.
Martin Marietta Corporation
McDonnell Douglas Corporation
Mead Corporation
Mellon Bank
(subsidiary of Mellon National Corporation)
The Mellon Foundation
Mellon National Corporation
Merrill Lynch, Pierce, Fenner & Smith
Mobil Corporation
Mobil Oil Indonesia
Monroe International
Morgan Guaranty Trust Company of New York
Morgan Stanley & Co. Incorporated
Motorola, Incorporated
N. V. Bunge Corporation
National Broadcasting Company, Inc.
National Dairy Products Corporation
(later Kraft, Inc.)
New England Petroleum Corporation
New York Clearing House Association
Niagara Mohawk Power Corporation
Olin Corporation
Pacific Energy Corporation
Peabody International Corporation
Pitney Bowes, Inc.
Potomac Electric Power Company
Price Waterhouse & Co.
Reliances Electric Co.
Richardson-Merrell, Inc.
Rhode Island Hospital Trust National Bank (subsidiary of Hospital Trust Corporation)
RKO General Incorporated
(subsidiary of General Tire & Rubber Co.)
Rotan Mosle Inc.
Russell, Burdsall & Ward Corporation
SCM Corporation
Salomon Brothers
San Diego Trust & Savings Bank
Sanders Associates, Inc.
Saudi Research & Development Corporation (REDEC)
Scholastic Magazines, Inc.
Schwabacher-Frey Company
Security Trust Company
(Rochester, New York)
Sensormatic Electronics Corporation
Shearson Loeb Rhoades Incorporated
The Singer Company
Squibbs Corporation
Standard Oil Co. (Indiana)
Standard Oil Co. (New Jersey)
C. V. Starr & Co.
Sun Oil Company
(subsidiary of Sun Company, Inc.)
Teleprompter Corp.
Texasgulf Inc.
Textile Banking Company, Inc.
The Travelers Corporation

UGI Corporation
Union Carbide Corporation
Union Electric Company (St. Louis)
Union Pacific Railroad Company
United Missouri Bank of Kansas City, N.A.
United States Steel Corporation
Vanguard Group
Ward Foods, Inc.
Western Electric Co., Inc.
(subsidiary of American Telephone
and Telegraph Company)
Westinghouse Broadcasting Co., Inc.
(subsidairy of Westinghouse Electric
Corporation)
Worthington Pump & Machinery Corp.
W. R. Grace & Co.
W. T. Grant Company
Yosemite Park & Curry Co.