

he knew how in accordance with accepted rules of court procedure. If there were legitimate doubts that troubled him he believed they should be placed before the court. But he believed that it was the court's function to decide between conflicting statements of facts or the application of the law to the facts and that it was his duty as a lawyer to help the court in every proper way that he could. He never forgot that he was an advocate riding into the lists for his client, and that he himself was not sitting as the impartial judge. He was critical but not censorious, sympathetic and understanding without being unobjectively partial, and always indefatigable in his client's behalf.

Both in literature and conversation today it is often insinuated, if not asserted, that the young lawyer starting out in a law firm cannot maintain his integrity and still rise in his profession. Whatever the cynics may say, and they are legion, the plain truth is that no lawyer, whatever his age, can afford to be anything else but rigidly honest with his client and with himself. Cromwell's own career exemplifies this truth.

To those who knew him William Nelson Cromwell was indeed a unique and fascinating figure. The author will rest satisfied if this glimpse into his late partner's life and times has permitted him to share some of the interest that Cromwell inspired in all who knew him. In an age of individualists he was a personality of exceptional color and vigor.

Time gave to him the generous gift of 94 years of life. He made them useful and memorable.

ANNEX A
LAWYERS IN THE OFFICE
OF
SULLIVAN & CROMWELL
1879-1957

	<u>Period of Association</u>	<u>Present Affiliation As of May 1, 1957</u>
* Algernon S. Sullivan	1879-1887	
* William Nelson Cromwell	1879-1948	
* Isaac Carrillo	1879-1886	
* William J. Curtis	{ 1880-1886 1888-1919	
* George C. Comstock	1880-1885	
* Alfred Jaretzki, Sr.	1881-1925	
* George H. Sullivan	1882-1912	
* William L. Stone	1884	
* Alex S. Bacon	1884-1885	
* Canby T. Christensen	1886-1887	
* Merritt E. Haviland	1887-1890	
* Hector H. Tyndale	1887-1912	
* Clarence M. Lewis	1890-1894	

* Deceased

† Absence in war service is not shown

p Partner Sullivan & Cromwell

a Associate Sullivan & Cromwell

	<u>Period of Association</u>	<u>Present Affiliation As of May 1, 1957</u>
* George Douglas	1891-1899	
* Robert Gibson	1891-1892	
* Wolcott G. Lane	1891-1893	
* Edward Bruce Hill	1891-1917	
Edward d'O. Tittman	1893-1895	Retired, Hillsboro, New Mexico
* William F. Kip	1893-1894	
* Clarke M. Rosecrantz	{1894-1896 1914-1920	
* William F. Goldbeck	1894-1896	
* Edward T. McLaughlin	1894-1902	
* Charles E. Mahony	1895-1902	
* Frederick C. Gladden	1896-1897	
* Albert S. Ridley	1896-1938	
* William P. Chapman, Jr.	1897-1906	
* Harlan F. Stone	{1898-1899 1923-1924	
* Henry W. Clark	1899-1904	
* Francis D. Pollak	1899-1916	
* James C. Converse	1900-1902	
* George H. Olney	1900-1903	
* William V. Rowe	1902-1911	
Carl R. Ganter	1902-1910	Retired, New York, New York
William F. Corliss	1902-1952	Retired, Freeport, L. I., New York
* Emery H. Sykes	1903-1949	

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	<u>Period of Association</u>	<u>Present Affiliation As of May 1, 1957</u>
* Royall Victor	1904-1926	
Waddill Catchings	1904-1908	President, Radio Program Production Company, New York, New York
Henry A. Yeomans	1904-1909	Retired, Cambridge, Massachusetts
* Hjalmar H. Boyesen	1904-1923	
* George H. Stover	1906-1910	
* Henry H. Pierce	1907-1928	
Arthur S. Hills	1907-1909	Attorney at Law Washington, D. C.
p Edward H. Green	1908-	
J. Hampden Dougherty, Jr.	1909-1911	Lowe, Dougherty, Hart & Marcus New York, New York
* Walter H. Pollak	1910-1912	
* Ralph L. Collett	1910-1917	
Robert McC. Marsh	1910-1915	Delafield, Marsh & Hope New York, New York
John K. Byard	1911-1914	Antiques, Silvermine, Connecticut
John Foster Dulles	1911-1949†	The Secretary of State Washington, D. C.
* Ralph Royall	1912-1928	
Reuben B. Crispell	1913-1942†	Retired, New York, New York
* Max Shoop	{1913-1919 1923-1939	
p Eustace Seligman	1914- †	
Donald D. Dodge	1914-1916	Retired, Philadelphia, Pennsylvania

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	<u>Period of Association</u>	<u>Present Affiliation As of May 1, 1957</u>
* William T. Quinn	1915-1925	
a Walter G. Wiechmann	1915- †	
* Henry N. Arnold	1916	
p Alfred Jaretzki, Jr.	1916- †	
H. Starr Giddings	1916-1920	Giddings, Keating & Reid New York, New York
p Paul W. McQuillen	1916- †	
Laurence A. Crosby	1917-1946†	President, Cuban Atlantic Sugar Company Havana, Cuba
p Stoddard M. Stevens	1917-	
* Philip L. Miller	1917-1945	
* Lawrence E. Sherwood	1917-1919	
* Miner W. Tuttle	1917-1932†	
* Wilbur L. Cummings	1918-1941	
Charles P. Stewart	1918-1919	Attorney at Law Jamaica, L. I., New York
William W. Worthington	1918-1920	Attorney at Law San Diego, California
* Robert T. Woodruff	1918	
Walter Chalaire	{1919-1921 1927-1932	Scandrett & Chalaire New York, New York
* Lee R. Francis	1919-1945	
Charles MacGregor	1919-1922	Attorney at Law Scarsdale, New York
Horace G. Reed	1920-1946	Federal Operations Administration Washington, D. C.

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	<u>Period of Association</u>	<u>Present Affiliation As of May 1, 1957</u>
Orville W. Wood	1920-1922	Retired, formerly with Milbank, Tweed, Hope & Hadley, New York, New York
Horace R. Lamb	1920-1926	LeBoeuf, Lamb & Leiby New York, New York
Herman H. Hoss	1920-1922	Vice President, Schwabacher-Frey Company San Francisco, California
DeLano Andrews	1921-1955	Retired, Columbia, South Carolina
Loring W. Post	1921-1929	Department of Justice Washington, D. C.
p David R. Hawkins	1921-	
Harold F. Butler	1922-1935	Vice President, West Penn Electric Company, New York, New York
* Kenneth M. Bixler	1922-1924	
Allan Van Wyck	1922-1933	President, Illinois Power Company Decatur, Illinois
p George C. Sharp	1922- †	
p Arthur H. Dean	1923-	
* William K. Laws	1923-1933	
Emery J. Woodall	1924-1928	Examiner, Federal Power Commission Washington, D. C.
* Rogers S. Lamont	1924-1939	
Jay J. M. Scandrett	1924-1925	Savannah, Georgia
* William Leo Mulry	1924-1947	
p Norris Darrell	1925-	
p S. Pearce Browning, Jr.	1925-	
James P. Gifford	1925-1929	Assistant Dean, School of Law, Columbia University, New York, New York

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	<u>Period of Association</u>	<u>Present Affiliation As of May 1, 1957</u>
Robert S. Gordon	1925-1934	Vice President and General Counsel National Dairy Products Corporation New York, New York
Baldwin Maull	1925-1935	President, Marine Midland Corporation Buffalo, New York
* Jacob I. Bergen	1925-1929	
John C. Higgins	1926-1938	Mining and Lumber Interests Portland, Oregon
Frederick C. Bangs	1926-1933	Attorney at Law New York, New York
Hyman Zettler	1926-1934	Retired, Pacific Palisades, California
Robert E. Goldsby	1926-1930	President, Jersey Mortgage Company Elizabeth, New Jersey
* Jerome W. Thompson	1926-1927	
Allen W. Dulles	1926-1951†	Director, Central Intelligence Agency Washington, D. C.
John Dern	1927-1929	Sidley, Austin, Burgess & Smith Chicago, Illinois
John A. Woodbridge	1927-1939	Vice President and General Counsel Union Electric Company St. Louis, Missouri
Carter M. Braxton	1927-1935	Braxton & Co. New York, New York
Franklin S. Pollak	1927-1933	Bureau of State and Community Service, University of Colorado Boulder, Colorado
Frederick L. Strong	1927-1931	City Magistrate New York, New York
* Robert E. Olds	1928-1932	

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	<u>Period of Association</u>	<u>Present Affiliation As of May 1, 1957</u>
John G. Laylin	1928-1933	Covington & Burling Washington, D. C.
Francis X. Downey	1928-1937	Hodges, Reavis, McGrath & Downey New York, New York
Walter C. Lundgren	1928-1938	Lundgren, Lincoln & McDaniel New York, New York
Lauson H. Stone	1928-1933	Dwight, Royall, Harris, Koegel & Caskey New York, New York
Emmet McCaffery	1928-1938	Dorr, Hand, Whittaker & Peet New York, New York
Joseph A. Grazier	1928-1937	President, American Radiator & Standard Sanitary Corporation New York, New York
Joseph F. Gillis, Jr.	1929-1930	Tolbert, Gillis & Bengard New York, New York
W. Arthur Roseborough	1929-1939	Petroleum Consultant Paris, France
John C. Hover	1929-1944	Davis Polk Wardwell Sunderland & Kiendl New York, New York
p Oliver B. Merrill	1929-	
Samuel Winokur	1929-1931	Vice President, Seeman Brothers, Inc. New York, New York
Raymond B. Goodell	1929-1934	Coudert Brothers New York, New York
Knight G. Aulsbrook	1929-1932	Attorney at Law St. Petersburg, Florida
o James P. Granville	1929-1931	
William F. Treiber	1929-1931	First Vice President, Federal Reserve Bank of New York New York, New York

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	<u>Period of Association</u>	<u>Present Affiliation As of May 1, 1957</u>
Joseph Prendergast	1929-1933	Executive Director, National Recreation Association New York, New York
* Charles H. Sarolea	1929-1934	
Henry S. Wingate	1929-1935	President, The International Nickel Company of Canada, Limited New York, New York
Earle J. Machold	1929-1930	President, Niagara Mohawk Power Corporation Syracuse, New York
David W. Peck	1930-1943	Presiding Justice, Appellate Division, Supreme Court of the State of New York New York, New York
Alexander M. Grean, Jr.	1930-1938	Vice President, Ward Baking Company New York, New York
p Inzer B. Wyatt	1930- †	
Elvin R. Latty	1930-1933	Professor, School of Law, Duke University Durham, North Carolina
William F. Kennedy	1930-1945	Secretary, The International Nickel Company of Canada, Limited New York, New York
W. Frederic Colclough, Jr.	1930-1940	President, American Bank Note Company New York, New York
Clarence O. Dimmock, Jr.	1930-1940	Dimmock, Snyder & Van Patten New York, New York
Elizabeth Beam Osborne (Mrs.)	{ 1930-1939 1942-1944	Geneva, Illinois
Madeline Smythe (Miss)	1930-1931	Menlo Park, California
a Lois S. Rodgers (Mrs.)	{ 1931-1934 1942	

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	<u>Period of Association</u>	<u>Present Affiliation As of May 1, 1957</u>
Ruth A. Hall (Miss)	1931-1937	Attorney at Law Kansas City, Missouri
Edward J. McGratty, Jr.	1931-1937	Legal Department, General Motors Corporation, Detroit, Michigan
William E. Nuessle	1931-1934	Assistant General Counsel, National Dairy Products Corporation New York, New York
J. Edward Mount	1931-1937	Assistant to President, Bethlehem Steel Company Bethlehem, Pennsylvania
p William Curtis Pierce	1931- †	
Thomas W. Childs	1932-1940	Vice President and Secretary The American Metal Company, Ltd. New York, New York
John C. Bruton	1932-1947	Boyd, Bruton & Lumpkin Columbia, South Carolina
C. Harold Taylor	1932-1940	Hall, Haywood, Patterson & Taylor New York, New York
Evelyn E. West (Miss)	1932-1933	Attorney at Law New York, New York
Malcolm A. MacIntyre	1933-1940	Debevoise, Plimpton & McLean New York, New York
Richard G. Pettingill	1933-1946†	Dunnington, Bartholow & Miller New York, New York
Robert E. Houston, Jr.	1933-1942	Haynsworth, Perry, Bryant, Marion & Johnstone Greenville, South Carolina
Gordon B. Tweedy	1933-1939	Vice President, C. V. Starr & Co., Inc. New York, New York

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	<u>Period of Association</u>	<u>Present Affiliation As of May 1, 1957</u>
* T. Ross Cissel, Jr.	1934-1942	
Franklin B. Lincoln, Jr.	1934-1939	Lundgren, Lincoln & McDaniel New York, New York
p John F. Dooling, Jr.	1934-	
Robert T. Kimberlin	1934-1938	Vice President, Crown Zellerbach Corporation San Francisco, California
Robert L. Lingelbach	1934-1941	Secretary, Rayonier, Inc. New York, New York
Howard S. McMorris	1934-1937	Hodges, Reavis, McGrath & Downey New York, New York
Harmar Brereton	1934-1941	Vice-President and General Counsel, Eastman Kodak Company Rochester, New York
Hamilton Robinson	1934-1940	Investments, Washington, D. C.
Bernard C. Hemmer, Jr.	1935-1952†	President, River Brand Rice Mills, Inc. Houston, Texas
p William Piel, Jr.	1935- †	
p William Ward Foshay	1935- †	
p Charles S. Hamilton, Jr.	1935-	
James B. Hoffman	1935-1944	Pacific Palisades, California
Cornelius Means	1935-1950†	Counsel, Potomac Electric Power Company Washington, D. C.
p Richard S. Storrs	1935-	
Marshall W. MacDuffie, Jr.	1936-1941	Secretary, Unitronics Corporation New York, New York

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	<u>Period of Association</u>	<u>Present Affiliation As of May 1, 1957</u>
Edwin S. Cohen	1936-1949	Root, Barrett, Cohen, Knapp & Smith New York, New York
James E. Birdsall	1936-1941	Warner, Birdsall & Anfuso New York, New York
p David S. Henkel	1936- †	
Glen McDaniel	1936-1942	Lundgren, Lincoln & McDaniel New York, New York
Houston H. Wasson	1936-1946	Lovejoy, Morris, Wasson & Huppich New York, New York
Alexander L. Keyes	1936-1940	Attorney at Law Morristown, New Jersey
p Edward G. Miller, Jr.	1936- †	
Franklin O. Canfield	1936-1939	Standard Oil Company (New Jersey) Paris, France
Charles W. Allen	1937-1942	Hutchinson, Pierce, Atwood & Allen Portland, Maine
Albert O'B. Andrews	1937-1950†	Vice President, American Standard Products (Canada) Limited Toronto, Canada
Robert L. Augenblick	1937-1950†	Augenblick, Emmet, Frost & Evarts New York, New York
Frank J. Berberich	1937-1947	Secretary, American Radiator & Standard Sanitary Corporation New York, New York
Henry P. deVries	1937-1948	Professor of Law Columbia University Hyde & deVries New York, New York
Macklin Fleming	1937-1939	Attorney at Law San Francisco, California

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	<u>Period of Association</u>	<u>Present Affiliation As of May 1, 1957</u>
Charles A. Bane	1938-1949†	Isham, Lincoln and Beale Chicago, Illinois
Lincoln C. Brownell	1938-1949†	Brownell, Lane & Co., Inc. New York, New York
Martin Victor	1938-1952	Secretary, The Babcock & Wilcox Company New York, New York
Richard A. Cabell	1938-1944	Assistant Vice President, The International Nickel Company of Canada, Limited New York, New York
a Howard T. Milman	1939-	
p John R. Raben	1939- †	
Hadlai A. Hull	1939-1941	Treasurer and Director, The Dayton Company Minneapolis, Minnesota
Arthur A. Ballantine, Jr.	1939-1940	Publisher, Durango, Colorado
Ruth Cutter Smiley (Mrs.)	1940-1943	Chappaqua, New York
James T. Hill, Jr.	1940-1950†	Vice President, William A. M. Burden & Co. New York
Roy L. Steinheimer, Jr.	1940-1950	Professor, School of Law, University of Michigan Ann Arbor, Michigan
MacDonald Deming	1940-1942	Haight, Gardner, Poor & Havens New York, New York
Theodore O. Rogers	1940-1942	Attorney at Law West Chester, Pennsylvania
F. Hodge O'Neal	1941	Professor, School of Law, Vanderbilt University Nashville, Tennessee
Louis S. Auchincloss	1941-1951†	Hawkins, Delafield & Wood New York, New York
p Robert J. McDonald	1941- †	

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	<u>Period of Association</u>	<u>Present Affiliation As of May 1, 1957</u>
p Richard G. Powell	1941- †	
p Roy H. Steyer	1941- †	
Bernard C. Rankin	1941-1942	Dickinson, Wright, Davis, McKean & Cudlip Detroit, Michigan
Gray Thoron	1941-1948†	Dean, School of Law, Cornell University Ithaca, New York
Herman W. Gruning	1941-1949†	Confectioner, Maplewood, New Jersey
Jonathan L. Collens	1941-1942	Cleveland, Ohio
Marion E. Horsburgh (Miss)	1942-1947	Legal Department, New York Telephone Com- pany New York, New York
Frank T. Cotter	1942-1943	Wilson, Selig & Cotter Los Angeles, California
William A. Underwood	1942	Director, U. S. A. Operations, Mission to Jordan, American Embassy, Amman, Jordan
Richard D. Griffen	1942-1945†	Secretary, The Best Foods, Inc. New York, New York
Roderick J. Kirkpatrick	1942-1956†	Secretary, The First Boston Corporation New York, New York
Mary Winn Bruton (Mrs.)	1942-1944	Boyd, Bruton & Lumpkin Columbia, South Carolina
Edward H. Schlaudt	1942-1952	Tax Counsel, The Texas Company New York, New York
Doris H. Webster (Mrs.)	1942-1943	Highland Park, New Jersey
Maurice Winger	1942-1943	Secretary, American Enka Corp. Enka, North Carolina
Margaret D. Merli (Mrs.)	1942-1945	Port Washington, Long Island, New York

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	<u>Period of Association</u>	<u>Present Affiliation As of May 1, 1957.</u>
Watson S. Campbell	1942-1944	Montgomery, McCracken, Walker & Rhoads Philadelphia, Pennsylvania
Catherine McPolan Enisy (Mrs.)	1942-1944	Scarsdale, New York
David A. Kerr	1942-1943	MacCoy, Evans & Lewis Philadelphia, Pennsylvania
Mary L. Rea (Mrs.)	1942-1944	Roslyn, Long Island, New York
Donald C. Hain	1943-1949	McCanliss & Early New York, New York
Walter W. Malone	1943-1944	Attorney at Law Washington, D. C.
Elizabeth L. Krauss (Miss)	1943-1945	Attorney at Law New York, New York
Marinus Contant, Jr.	1943-1944	Manufacturer of Aircraft Corona Del Mar, California
Henry B. Armstrong, III	1943-1947†	Legal Department, Travelers Insurance Company Hartford, Connecticut
a Alexander Jay Bruen	1943-	
Ernest J. Jenner	1944-1945	J. C. Penney Company Minneapolis, Minnesota
Effingham Evarts	1944-1946	Attorney at Law Windsor, Vermont
Arthur J. P. Smith	1944	Attorney at Law Brooklyn, New York
Lillian J. Kaminsky (Miss)	1944-1946	Attorney at Law Johnson City, New York
Marjorie J. Ball (Mrs.)	1944-1945	Cleveland, Ohio
Richard C. Kellogg	1944-1945	Minneapolis, Minnesota

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	<u>Period of Association</u>	<u>Present Affiliation As of May 1, 1957</u>
Frederick R. Suits	1944-1946	Western Electric Company, Inc. New York, New York
p Vincent A. Rodriguez	1944-	
p Henry N. Ess, III	1944-	
Charles L. Jones, II	1944-1952	Law Department, Standard Oil Company (N. J.) New York, New York
* Charles S. Reilley	1945-1952	
* Robert H. Parker	1945-1948	
Mary Clarke Haberle (Mrs.)	1946	Manhasset, Long Island, New York
Bruce A. Hecker	1946-1955	Manning, Hollinger & Shea New York, New York
John R. Miller	1946-1955	Law Clerk to Judge Wortendyke Newark, New Jersey
p John C. Jaqua, Jr.	1946-	
Victor Futter	1946-1952	Legal Division, Allied Chemical & Dye Corporation New York, New York
Matthew J. Kust	1946-1950	Harvard University, School of Law Cambridge, Massachusetts
William H. Kinsey	1946-1947	Mautz, Souther, Spalding, Denecke & Kinsey Portland, Oregon
Charles H. Watts	1946-1952	Legal Dept., National Dairy Products Corporation New York, New York
Joseph L. Broderick	1946-1952	Brother Albert, O.P., St. Stephen's Priory Dover, Massachusetts
John G. Dorsey	1946-1951	Dorsey, Colman, Barker, Scott & Barber Minneapolis, Minnesota
Irving Kuraner	1946-1947	Kuraner, Freeman, Kuraner & Oberlander Kansas City, Missouri
p Robert A. McDowell	1946-1953 1956-	

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	<u>Period of Association</u>	<u>Present Affiliation As of May 1, 1957</u>
p Garfield H. Horn	1946- †	
Francis E. Barkman	1946-1956	Associate Professor, School of Law, University of Toledo Toledo, Ohio
William H. Buchanan	1946-1955	Legal Department, United States Steel Corporation Pittsburgh, Pennsylvania
Ernest L. Godshalk, Jr.	1946-1948	Legal Department, American Oil Company New York, New York
Allan Kramer	1946-1954	Manning, Hollinger & Shea New York, New York
Robert T. Quittmeyer	1946-1956	Legal Department, American Sugar Refining Company New York, New York
Thomas V. Lefevre	1946-1948	Attorney at Law Philadelphia, Pennsylvania
a Hubert J. DeLynn	1947-	
Donald McL. Davidson	1947-1951	Ferguson & Burdell Seattle, Washington
a George A. Scholze	1947-	
Robert Lockwood	1947-1955	Secretary, Cluett, Peabody & Co., Inc. New York, New York
Howard N. Golden	1947-1950	Golden, Wienskienk & Rosenthal New York, New York
Anthony Chandler	1947-1955	Office of the Secretary, The American Metal Company, Limited New York, New York
Charlotte James Hoyt (Mrs.)	1947-1951	Walden, New York
Daniel F. Kelley, Jr.	1947-1949	McConnell, Valdes & Kelley San Juan, Puerto Rico

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	<u>Period of Association</u>	<u>Present Affiliation As of May 1, 1957</u>
H. Bartow Farr, Jr.	1948-1951	Willkie, Owen, Farr & Gallagher New York, New York
Kenneth N. Jolly	1948-1955	Assistant to General Counsel, Campbell Soup Company Camden, New Jersey
Eugene L. Bondy, Jr.	1948-1956	Dwight, Royall, Harris, Koegel & Caskey New York, New York
Robert B. Seidman	1948	Attorney at Law, Norwalk, Connecticut
Paul C. Sheeline	1948-1954	Lambert & Co., New York, New York
William F. Voelker	1948-1954†	Dawson, Nagel, Sherman & Howard Denver, Colorado
p Robert MacCrate	1948-	
H. Donald Wilson	1948-1949	Regional Director, United World Federalists Cleveland, Ohio
John M. Keefe	1948-1952	General Counsel, Textile Banking Company New York, New York
Donald Vail	1949-1953	McCanliss & Early New York, New York
David A. DeWahl	1949-1952	Office of the Secretary, American Radiator & Standard Sanitary Corporation New York, New York
Charles G. Rodman	1949-1952	Executive Vice President, Food Fair Super Markets Washington, D. C.
p John F. Arning	1949-	
a Edward M. Harris, Jr.	1949-	
Ashby McC. Sutherland	1949-1953	General Solicitor, The International Nickel Company, Inc. New York, New York
p William A. Ziegler, Jr.	1949-	

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	<u>Period of Association</u>	<u>Present Affiliation As of May 1, 1957</u>
John A. Richardson, Jr.	1949-1955	Paine Webber Jackson & Curtis New York, New York
Charles Maechling, Jr.	1949-1951	Radio Electronic Television Manufacturers Association, Washington, D. C.
a James A. Thomas, Jr.	1949-	
Dean D. Ramstad	1949-1957	Assistant to General Solicitor, The International Nickel Company, Inc. New York, New York
William C. Gordon	1950-1954	Legal Department, Hercules Powder Company, Wilmington, Del.
Karl G. Harr, Jr.	1950-1954	Office of Secretary of Defense Washington, D. C.
Oliver B. James, Jr.	1950	Trust Officer, San Diego Trust and Savings Bank San Diego, Cal.
Marvin S. Sloman	1950-1956	Carrington, Gowan, Johnson, Bromberg & Leeds Dallas, Texas
a Arthur D. Sporn	1950-	
p John R. Stevenson	1950-	
a Thomas H. Beddall, Jr.	1950-	
* H. Reed Baldwin	1951-1952	
a George E. Hall	1951-	
a Marvin Schwartz	1951-	
Albert F. Rothwell	1951-1956	Secretary, National Potash Company, New York, New York
a William E. Willis	1951-	
Philip M. Drake	1951-1953	Cummings & Lockwood Stamford, Conn.
David G. Gill	1951-1954	Davis Polk Wardwell Sunderland & Kiendl New York, New York
James F. Thacher	1951-1952	Thacher, Jones, Casey & Ball San Francisco, California

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	<u>Period of Association</u>	<u>Present Affiliation As of May 1, 1957</u>
Louise Jayne Kurzet (Mrs.)	1951-1952	Attorney at Law, Portland, Oregon
a Edwin M. Zimmerman	1951-	
a William J. Kirby	1952-	
a Langdon M. Day	1952-	
a George C. Kern, Jr.	1952-	
Max A. Stolper	1952-1956	General Counsel, Van Norman Industries, Inc. New York, New York
a Lorene Joergensen (Miss)	1952-	
a Andrew N. Heine	1952-	
Robert M. McAnerney	1953 †	Durey, Pierson & Jamieson Stamford, Connecticut
a Kenneth M. Seggerman, Jr.	1953-	
Calvin Woodard	1953-1955	Cambridge University England
a M. Bernard Aidinoff	{ 1953 1956-	
Jack G. Clarke	1953-1956	Legal Department, Creole Petroleum Corporation New York, New York
Robert P. Beshar	1953-1954	Casey, Lane & Mittendorf New York, New York
James R. Cogan	1953-1957	Alexander & Green New York, New York
a Jerome Gotkin	1953-	
George J. Phocas	1953-1956	Legal Department, Creole Petroleum Corporation New York, New York
a Mitchell Brock	1953-	
a James F. Haley	1953-	
Ann Mathis Pfohl Kirby (Mrs.)	1953-1954	New York, New York
William F. Gleason	1953-1956	St. John's College Annapolis, Maryland
a John N. Ledbetter	1954-	

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	Period of Association	Present Affiliation As of May 1, 1957
a Henry Pollard	1954- †	
Edward C. Stebbins	1954-1955	Pvt., U. S. Army Fort Dix, New Jersey
a Raymond S. Troubh	1954-	
Frederick R. Blackwell	1954-1955	Cooper & Gary Columbia, South Carolina
a Lawrence C. McQuade	1954-	
a George DeGenaro	1954-	
a John W. Dickey	1954-	
a William W. Crawford	1954-	
James M. Carter	1955	Norfolk, Virginia
a David L. Ratner	1955-	
a Gordon Erickson	1955-	
Donald G. Black	1955-1957	Pillsbury, Madison & Sutro San Francisco, California
a William J. Ivey	1955-	
a Frederick C. Seibold, Jr.	1956-	
a Stuart W. Thayer	1956-	
Jackson B. Gilbert	1956-1957	Lt., U. S. Air Force El Paso, Texas
a P. Griffith Garland	1956-	
a Donald F. French	1956-	
Henry J. Steiner	1956-1957	Law Clerk to Justice John M. Harlan Washington, D. C.
a Robert E. Mitchell	1956-	
a Hamilton F. Potter, Jr.	1956-	
a Stephen R. Kaye	1956-	
a Barbara A. Lindemann (Miss)	1956-	
a Norris Darrell, Jr.	1956-	
Robin T. Tait	1956-1957	Pvt., U. S. Army
a Kenneth Scott	1956-	
a Richard M. Price	1957-	
a Stephen K. West	1957-	
a Ronald M. Dworkin	1957-	

ANNEX B

EARLY PROBLEMS IN THE DEVELOPMENT
OF THE "OPEN END" MORTGAGE

The first known consideration of an "open end" mortgage* in the office of Sullivan & Cromwell was by Mr. Henry H. Pierce in connection with the General and Refunding Mortgage of Milwaukee Electric Railway and Light Company dated December 1, 1911, but actually executed on January 2, 1912.

The doubts as to validity of such a mortgage arose:

1) From the status of the law regarding mortgages for both present and future advances, many lawyers contending that liens could intervene between various series of bonds unless there was a firm obligation by the creditor to make the future advances. A trustee under a corporate mortgage is required to certify bonds and deliver them to the corporate mortgagor if the conditions of the mortgage such as those relating to property additions and earnings are complied with. But the corporation might never issue the bonds from its treasury.

2) The recording acts usually required that a definite notice as to amount must be on the record. A firm obligation to advance moneys at least gave the maximum amount of the mortgage.

These objections seemed insuperable in 1911 to counsel for the underwriters and the Milwaukee Electric Railway and Light Company mortgage fixed a limit of \$90,000,000 on the amount of bonds issuable under it, though the initial issue of bonds was only \$3,000,000.**

All bonds under this mortgage were to be dated December 1, 1911 and were to mature on December 1, 1951. This was an attempt to create a conclusive presumption that all bonds out-

* A corporate mortgage in which there is no definite amount of bonds issuable, the amount being governed largely by the amount of additions made to the property or by the earnings of the particular corporation.

** \$13,228,000 principal amount of bonds were reserved to provide for underlying bonds and the balance of \$73,772,000 of bonds was issuable against future property additions or cash deposited with the Trustee which could in turn be withdrawn against future property additions.